# Fairfax County, Virginia

# Fiscal Year 2012
# Advertised Budget

# Volume 2:
# Capital Construction and Other Operating Funds

1742

Prepared by the
Fairfax County Department of Management and Budget
12000 Government Center Parkway
Suite 561
Fairfax, Virginia 22035

http://www.fairfaxcounty.gov/dmb/

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1742

GOVERNMENT FINANCE OFFICERS ASSOCIATION

# *Distinguished Budget Presentation Award*

**PRESENTED TO**

## Fairfax County

**Virginia**

For the Fiscal Year Beginning

**July 1, 2010**

President

Executive Director

The Government Finance Officers Association of the United States and Canada (GFOA) presented an award of Distinguished Budget Presentation to Fairfax County, Virginia for its annual budget for the fiscal year beginning July 1, 2010.

In order to receive this award, a governmental unit must publish a budget document that meets program criteria as a policy document, as an operations guide, as a financial plan, and as a communications device.

This award is valid for a period of one year only. We believe our current budget continues to conform to program requirements, and we are submitting it to GFOA to determine its eligibility for another award.

# BUDGET CALENDAR

## For preparation of the FY 2012 Budget

**July 1, 2010**
Distribution of the FY 2012 budget development guide. Fiscal Year 2011 begins.

**September - October 2010**
Agencies forward completed budget submissions to the Department of Management and Budget (DMB) for review.

**September - December 2010**
The County and FCPS solicits public input for the FY 2012 budget through two Community Dialogues, an Employee Forum, and online feedback for public comment to guide the development of a budget framework for the FY 2012 Advertised Budget Plan.

**February 3, 2011**
School Board adopts its advertised FY 2012 Budget.

**February 22, 2011**
County Executive's presentation of the FY 2012 Advertised Budget Plan. Board authorization for publishing FY 2012 tax and budget advertisement.

**March 29, 30 and 31, 2011**
Public hearings on proposed FY 2012 budget, *FY 2011 Third Quarter Review* and FY 2012-2016 Capital Improvement Program (with Future Years to 2021) (CIP).

**April 12, 2011**
Board action on *FY 2011 Third Quarter Review*. Board mark-up of the FY 2012 proposed budget.

**April 26, 2011**
Adoption of the FY 2012 budget plan, Tax Levy and Appropriation Ordinance by the Board of Supervisors.

**June 30, 2011**
Distribution of the FY 2012 Adopted Budget Plan. Fiscal Year 2011 ends.

**July 1, 2011**
Fiscal Year 2012 begins.

Fairfax County is committed to complying with the Americans with Disabilities Act (ADA). Special accommodations will be made upon request. Please call 703-324-2391 (Virginia Relay: 711).

# Board Goals & Priorities
# December 7, 2009

By **engaging** our residents and businesses in the process of addressing these challenging times, **protecting investment** in our **most critical priorities**, and by **maintaining strong responsible fiscal stewardship**, **<u>we must ensure</u>:**

√ **<u>A quality educational system</u>**

Education is Fairfax County's highest priority. We will continue the investment needed to protect and enhance this primary community asset. Our children are our greatest resource. Because of our excellent schools, businesses are eager to locate here and our children are able to find good jobs. A well-educated constituency is best able to put back into their community.

√ **<u>Safe streets and neighborhoods</u>**

Fairfax County is the safest community of our size in the U.S. We will continue to invest in public safety to respond to emergency situations, as well as efforts to prevent and intervene in destructive behaviors, such as gang activity and substance abuse.

√ **<u>A clean, sustainable environment</u>**

Fairfax County will continue to protect our drinking water, air quality, stream valleys and tree canopy through responsible environmental regulations and practices. We will continue to take a lead in initiatives to address energy efficiency and sustainability and to preserve and protect open space for our residents to enjoy.

√ **<u>Livable, caring and affordable communities</u>**

As Fairfax County continues to grow we will do so in ways that address **environmental** and **mobility** challenges. We will encourage housing that is affordable to our children, seniors and members of our workforce. We will provide compassionate and efficient services to members of our community who are in need. We will continue to protect and support our stable lower density neighborhoods. We will encourage and support participation in community organizations and other activities that address community needs and opportunities.

√ **<u>A vibrant economy</u>**

Fairfax County has a well-earned reputation as a business-friendly community. We will vigorously pursue **economic development** and **revitalization** opportunities. We will support the business community and encourage this healthy partnership. We will continue to be sensitive and responsive to the needs of our corporate neighbors in the areas of **workforce development** and **availability, affordable housing, regulation and taxation**.

√ **<u>Efficient transportation network</u>**

Fairfax County makes it a priority to connect People and Places. We will continue to plan for and invest in transportation improvements to include comprehensive bicycle and pedestrian initiatives, bus and para transit, road and intersection improvements and expansion of Metrorail and VRE.

√ **<u>Recreational and cultural opportunities</u>**

A desirable community is one where there is a lot going on that residents can enjoy. Fairfax County will continue to provide for athletic, artistic, intellectual and recreational activities, in our communities, parks, libraries and schools.

√ **<u>Taxes that are affordable</u>**

The property tax is Fairfax County's primary source of revenue to provide services. We will ensure that taxes are affordable for our residents and businesses, and we will seek ways to diversify County revenues in order to make our tax base more equitable. We will ensure that County programs and services are efficient, effective and well run.

*Note: The Board of Supervisors adopted its own goals and priorities in December 2009. In addition, in 2004 County staff developed long-term vision elements for strategic planning purpose (see next page).*

# Fairfax County Vision Elements

**To protect and enrich the quality of life for the people, neighborhoods, and diverse communities of Fairfax County by:**

### Maintaining Safe and Caring Communities -

The needs of a diverse and growing community are met through innovative public and private services, community partnerships and volunteer opportunities. As a result, residents feel safe and secure, capable of accessing the range of services and opportunities they need, and are willing and able to give back to their community.

### Building Livable Spaces -

Together, we encourage distinctive "built environments" that create a sense of place, reflect the character, history, and natural environment of the community, and take a variety of forms – from identifiable neighborhoods, to main streets, to town centers. As a result, people throughout the community feel they have unique and desirable places to live, work, shop, play, and connect with others.

### Connecting People and Places -

Transportation, technology, and information effectively and efficiently connect people and ideas. As a result, people feel a part of their community and have the ability to access places and resources in a timely, safe, and convenient manner.

### Maintaining Healthy Economies -

Investments in the work force, jobs, and community infrastructure and institutions support a diverse and thriving economy. As a result, individuals are able to meet their needs and have the opportunity to grow and develop their talent and income according to their potential.

### Practicing Environmental Stewardship -

Local government, industry, and residents seek ways to use all resources wisely and to protect and enhance the County's natural environment and open space. As a result, residents feel good about their quality of life and embrace environmental stewardship as a personal and shared responsibility.

### Creating a Culture of Engagement -

Individuals enhance community life by participating in and supporting civic groups, discussion groups, public-private partnerships, and other activities that seek to understand and address community needs and opportunities. As a result, residents fell that they can make a difference and work in partnership with others to understand and address pressing public issues.

### Exercising Corporate Stewardship -

Fairfax County government is accessible, responsible, and accountable. As a result, actions are responsive, providing superior customer service and reflecting sound management of County resources and assets.

*Note: The Board of Supervisors adopted its own goals and priorities in December 2009 (see previous page). In addition, in 2004 County staff developed long-term vision elements for strategic planning purpose.*

# TABLE OF CONTENTS
# CAPITAL CONSTRUCTION
# AND OTHER OPERATING FUNDS

# TABLE OF CONTENTS
# CAPITAL CONSTRUCTION
# AND OTHER OPERATING FUNDS

# TABLE OF CONTENTS
# CAPITAL CONSTRUCTION
# AND OTHER OPERATING FUNDS

**Enterprise Funds**



**Internal Service Funds**



**Trust Funds**

# TABLE OF CONTENTS
# CAPITAL CONSTRUCTION
# AND OTHER OPERATING FUNDS

# How to Read the Budget

Volume 2 contains information on non-General Fund budgets or "Other Funds." A fund accounts for a specific set of activities that a government performs. For example, refuse disposal is an activity and therefore, a fund that is classified as a Special Revenue Fund.

Each County fund is represented with its own narrative that contains programming and budgetary information. The narratives have several elements including:

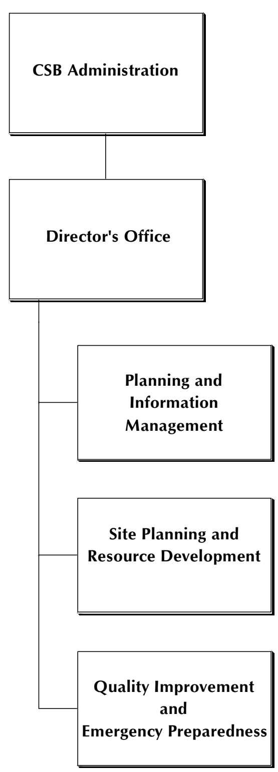
- Organization Chart
- Agency Mission and Focus
- Budget and Staff Resources
- FY 2012 Funding Adjustments / Changes to the FY 2011 Adopted Budget Plan
- Cost Centers (funding and position detail)
- Cost Center Specific Goals, Objectives and Key Performance Measures
- Performance Measurement Results
- Fund Statement
- Summary of Capital Projects

Not all narratives will contain each of these components. For example, funds that are classified as Capital Funds will not have organization charts because staff positions are not budgeted in these funds; that is, they only provide funding for the purchase and construction of capital construction projects. However, Capital Funds do have a summary of capital projects that lists the cost of each project in a fund. A brief example of each section follows.

## *Organization Chart*

The organization chart displays the organizational structure of each fund. An example depicting the organizational structure of the Community Services Board - Administration is shown below.

**COMMUNITY SERVICES BOARD**
**ADMINISTRATION**



# How to Read the Budget

### *Agency Mission and Focus*

The agency mission is a broad statement reflecting intended accomplishments for achievement of the agency's public purpose. It describes the unique contribution of the organization to the County government and residents receiving services and provides a framework within which an agency operates. The agency focus section includes a description of the agency's programs and services. The agency's relationship with County boards, authorities or commissions may be discussed here, as well as key drivers or trends that may be influencing how the agency is conducting business. The focus section is also designed to inform the reader about the strategic direction of the agency and the challenges that it is currently facing.

### *Budget and Staff Resources*

It is important to note that expenditures are summarized in three categories. *Personnel Services* consist of expenditure categories including regular pay, shift differential, limited and part-time salaries, and overtime pay. *Operating Expenses* are the day-to-day expenses involved in the administration of the agency, such as office supplies, printing costs, repair and maintenance for equipment, and utilities. *Capital Equipment* includes items that have a value that exceeds $5,000 and an expected life of more than one year, such as an automobile or other heavy equipment. In addition, some agencies will also have a fourth expenditure category entitled *Recovered Costs*. Recovered Costs are reimbursements from other County agencies for specific services or work performed or reimbursements of work associated with capital construction projects. These reimbursements are reflected as a negative figure in the agency's budget, thus offsetting expenditures.

A Summary Table is provided including the agency's positions, expenditures less recovered costs, and income/revenue (if applicable).

### *FY 2012 Funding Adjustments / Changes to the <u>FY 2011 Adopted Budget Plan</u>*

This section summarizes changes to the budget. The first part of this section includes adjustments from the <u>FY 2011 Adopted Budget Plan</u> necessary to support the FY 2012 program. Where applicable, a table summarizing reductions necessary to balance the FY 2012 budget is included in this section.

The second part of this section includes revisions to the current year budget that have been made since its adoption. All adjustments to the FY 2011 budget as a result of the *FY 2010 Carryover Review* and any other changes through December 31, 2010 are reflected here. Funding adjustments are generally presented programmatically and include Personnel Services, Operating Expenses and other costs.

### *Cost Centers*

As an introduction to the more detailed information contained for each functional area or cost center, a brief description of the cost centers is included. In addition, each cost center is highlighted by several icons which indicate the various vision elements that are supported by the programs and services within the cost center. A listing of the staff resources for each cost center is also included.

### *Cost Center Specific Goals, Objectives and Key Performance Measures*

Most cost centers include goals, objectives and performance indicators. Goals are broad statements of purpose, generally indicating what service or product is provided, for whom, and why. Objectives are outcome-based statements of specifically what will be accomplished during the budget year. Ideally, these objectives should support the goal statement, reflect the planned benefit(s) to customers, be written to allow measurement of progress and describe a quantifiable target. Indicators are the first-level data for reporting performance on those objectives.

A Family of Measures is provided to present an overall view of a program so that factors such as cost can be balanced with customer satisfaction and the outcome ultimately achieved. The concept of a Family of Measures encompasses the following types of indicators and serves as the structure for a performance measurement model that presents a comprehensive picture of program performance as opposed to a single-focus orientation.

- Input: Value of resources used to produce an output.
- Output: Quantity or number of units produced.
- Efficiency: Inputs used per unit of output.
- Service Quality: Degree to which customers are satisfied with a program, or the accuracy or timeliness with which the product/service is provided.
- Outcome: Qualitative consequences associated with a program.

### *Performance Measurement Results*

This section includes a discussion and analysis of how the agency's performance measures relate to the provision of activities, programs, and services stated in the agency mission. The results of current performance measures are discussed, as well as conditions that contributed to the level of performance achieved and action plans for future-year improvement of performance targets.

# How to Read the Budget

## *Fund Statement*

A fund statement provides a breakdown of all collected revenues and total expenditures and disbursements for a given fiscal year. It also provides the total funds available at the beginning of a fiscal year and an ending balance. An example follows:

**FUND STATEMENT**

**Fund Type H94, FCRHA General Revenue** — **Fund 940, FCRHA General Operating**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$11,606,881** | **$11,457,884** | **$11,769,276** | **$12,076,527** |
| Revenue: | | | | |
| Investment Income[1] | $55,712 | $194,307 | $55,000 | $88,000 |
| Monitoring/ Developer Fees[2] | 580,052 | 581,507 | 1,035,674 | 760,632 |
| Rental Income | 69,345 | 73,248 | 73,248 | 73,803 |
| Program Income[3] | 1,173,209 | 1,155,370 | 1,155,370 | 1,371,054 |
| Other Income[4] | 528,560 | 402,322 | 402,322 | 309,046 |
| Total Revenue | $2,406,878 | $2,406,754 | $2,721,614 | $2,602,535 |
| **Total Available** | **$14,013,759** | **$13,864,638** | **$14,490,890** | **$14,679,062** |
| Expenditures: | | | | |
| Personnel Services[5] | $1,433,030 | $1,611,139 | $1,611,139 | $1,804,340 |
| Operating Expenses[6] | 811,453 | 795,615 | 803,224 | 712,285 |
| Total Expenditures | $2,244,483 | $2,406,754 | $2,414,363 | $2,516,625 |
| **Total Disbursements** | **$2,244,483** | **$2,406,754** | **$2,414,363** | **$2,516,625** |
| | | | | |
| **Ending Balance** | **$11,769,276** | **$11,457,884** | **$12,076,527** | **$12,162,437** |
| Debt Service Reserve on One University Plaza | $2,195,925 | $2,195,925 | $2,195,925 | $2,195,925 |
| Cash with Fiscal Agent | 6,854,000 | 6,854,000 | 6,854,000 | 6,854,000 |
| **Unreserved Ending Balance** | **$2,719,351** | **$2,407,959** | **$3,026,602** | **$3,112,512** |

Fund Type →

← Fund

Revenue Categories →

← Funds available at the beginning of the fiscal year

← Revenue available for expenditure during the fiscal year

Expenditure Categories →

Total Funds Available minus Total Disbursements →

Ending Balance minus escrow reserves ←

[1] The FY 2012 decrease from the FY 2011 Adopted Budget Plan is due to anticipated reductions in interest income for funds held with fiscal agent.

[2] The FY 2012 increase from the FY 2011 Adopted Budget Plan is due to additional developer fee incomes.

[3] The FY 2012 increase primarily reflects support for Bridging Affordability Program administrative expenses.

[4] The FY 2012 decrease is due to a reduction in reimbursement revenue related to the write off of a Federal Financing Bank note.

[5] The FY 2012 increase in Personnel Services is primarily due to adjustments for project-based budgeting.

[6] The FY 2012 decrease is primarily based on a three-year average of prior years' actuals.

## *Summary of Capital Projects:*

A summary of capital projects is included in all Capital Project Funds, and selected Enterprise Funds, Housing Funds and Special Revenue Funds that support capital expenditures. The summary of capital projects provides detailed financial information about each capital project within each fund, including: total project estimates, prior year expenditures, revised budget plans, and proposed funding levels. The summary of capital projects may include some projects without a Total Project Estimate amount. These projects are considered "Continuing" projects, or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

### FY 2012 Summary of Capital Projects

### Fund: 301 Contributed Roadway Improvement Fund

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 007700 | Fairfax Center Reserve | | $1,107,821.23 | $4,604,519.71 | $0 |
| 007701 | Route 50/Waples Mill Interchange | 4,132,878 | 33,378.91 | 92.55 | 0 |
| 007702 | Tall Timbers Drive | 1,450,000 | 22,575.23 | 74,464.97 | 0 |
| 008800 | Centreville Reserve | | 0.00 | 604,273.32 | 0 |
| 008801 | Stone Road | 1,994,990 | 277.65 | 0.00 | 0 |
| 008802 | Clifton Road | 5,036,640 | 0.00 | 0.00 | 0 |
| 008803 | Route 29 Widening | 1,455,771 | 44,239.52 | 563,686.00 | 0 |
| 008804 | Poplar Tree Road | 550,000 | 27,100.80 | 45,140.47 | 0 |
| 009900 | Miscellaneous Contributions | | 824,782.47 | 16,279,330.07 | 0 |
| 009901 | Primary Improvements | 424,584 | 0.00 | 424,584.00 | 0 |
| 009902 | Secondary Improvements | 1,033,765 | 0.00 | 36,297.00 | 0 |
| 009903 | Bridge Design/Construction | 8,369 | 0.00 | 8,369.00 | 0 |
| 009904 | Intersection/Interchange | 385,282 | 0.00 | 311,975.00 | 0 |
| 009906 | Signal Installations | 581,707 | (17,068.98) | 65,204.36 | 0 |
| 009908 | Transit Improvements | 32,325 | 0.00 | 5,381.59 | 0 |
| 009911 | Tysons Corner Reserve | | 416,653.64 | 13,049,539.55 | 0 |
| 009913 | Dolley Madison Blvd | 8,945,941 | 3,642.34 | 1,342,279.61 | 0 |
| 009914 | Job Access/Reserve Commute Pedestrian Improvements | 997,800 | 38,386.21 | 677,334.71 | 0 |
| 009915 | Tysons Corner Grid Concept | 2,500,000 | 0.00 | 2,500,000.00 | 0 |
| 009916 | Tysons Circulator Feasibility Study | 500,000 | 0.00 | 500,000.00 | 0 |
| 009917 | Tysons Metrorail Access Management | 350,000 | 0.00 | 350,000.00 | 0 |
| **Total** | | **$30,380,053** | **$2,501,789.02** | **$41,442,471.91** | **$0** |

# FOR ADDITIONAL INFORMATION

**Information** regarding the contents of this or other budget volumes can be provided by calling the Fairfax County Department of Management and Budget at 703-324-2391 from 8:00 a.m. to 4:30 p.m.

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**http://www.fairfaxcounty.gov/budget**

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Fairfax, VA 22030-2514
703-293-6227

**Reston Regional**
11925 Bowman Towne Drive
Reston, VA 20190-3311
703-689-2700

**Centreville Regional**
14200 St. Germain Drive
Centreville, VA 20121-2299
703-830-2223

**Great Falls**
9830 Georgetown Pike
Great Falls, VA 22066–2634
703-757-8560

**John Marshall**
6209 Rose Hill Drive
Alexandria, VA 22310-6299
703-971-0010

**Dolley Madison (temporary location)**
6649-A Old Dominion Drive
McLean, VA 22101-4517
703-356-0770

**Thomas Jefferson**
7415 Arlington Boulevard
Falls Church, VA 22042-7409
703-573-1060

**Burke Centre**
5935 Freds Oak Road
Burke, VA 22015-2599
703-249-1520

**George Mason Regional**
7001 Little River Turnpike
Annandale, VA 22003-5975
703-256-3800

**Sherwood Regional**
2501 Sherwood Hall Lane
Alexandria, VA 22306-2799
703-765-3645

**Tysons-Pimmit Regional**
7584 Leesburg Pike
Falls Church, VA 22043-2099
703-790-8088

**Herndon Fortnightly**
768 Center Street
Herndon, VA 20170-4640
703-437-8855

**Lorton**
9520 Richmond Highway
Lorton, VA 22079-2124
703-339-7385

**Richard Byrd**
7250 Commerce Street
Springfield, VA 22150-3499
703-451-8055

**Kingstowne**
6500 Landsdowne Centre
Alexandria, VA 22315-5011
703-339-4610

**Oakton**
10304 Lynnhaven Place
Oakton, VA 22124-1785
703-242-4020

**Pohick Regional**
6450 Sydenstricker Road
Burke, VA 22015-4274
703-644-7333

**Chantilly Regional**
4000 Stringfellow Road
Chantilly, VA 20151-2628
703-502-3883

**Martha Washington**
6614 Fort Hunt Road
Alexandria, VA 22307-1799
703-768-6700

**Kings Park**
9000 Burke Lake Road
Burke, VA 22015-1683
703-978-5600

**Patrick Henry**
101 Maple Avenue East
Vienna, VA 22180-5794
703-938-0405

**Woodrow Wilson**
6101 Knollwood Drive
Falls Church, VA 22041-1798
703-820-8774

**Access Services**
12000 Government Center Parkway, Suite 123
Fairfax, VA 22035-0012
703-324-8380
TTY 703-324-8365

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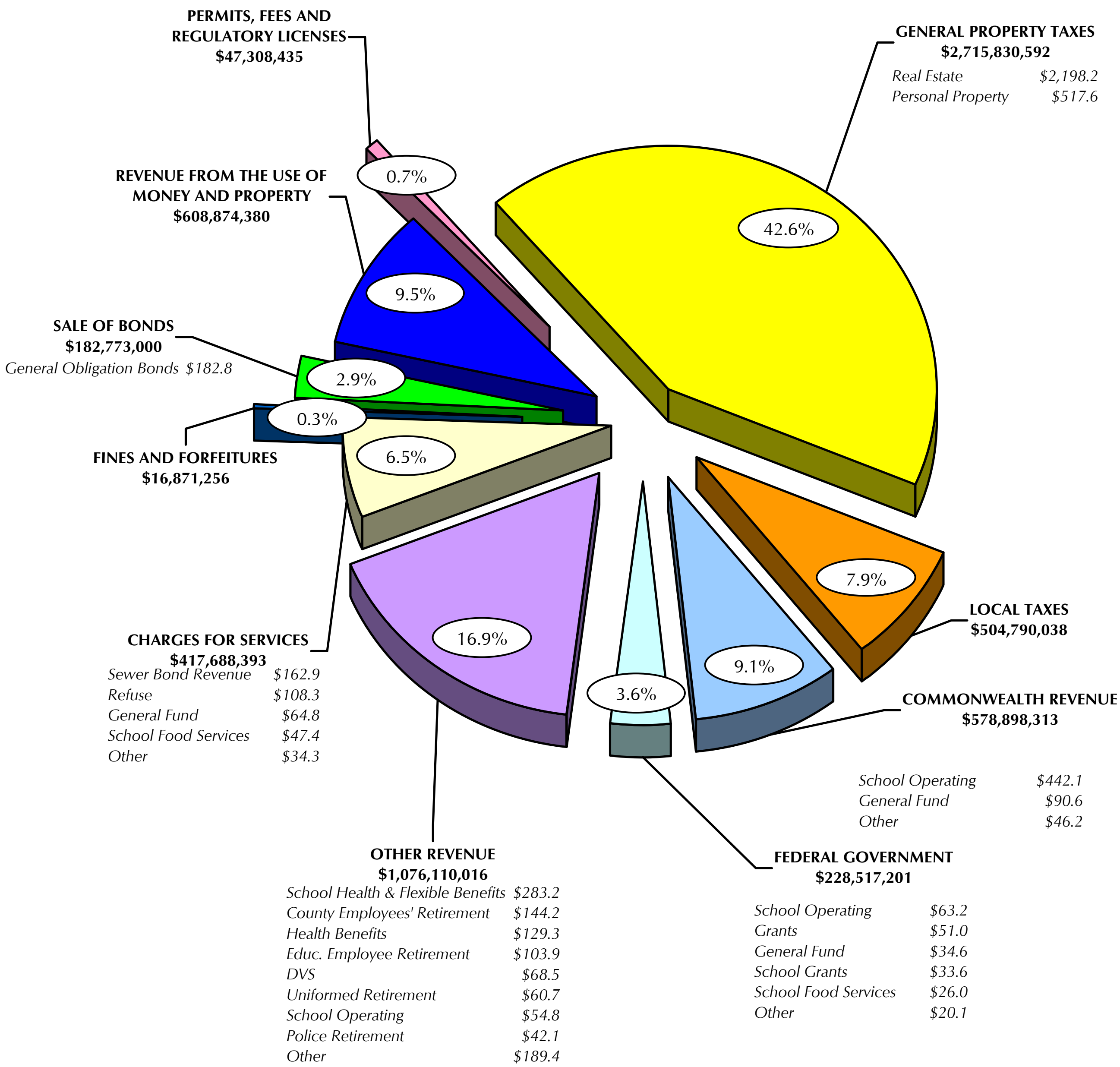
Fairfax, VA 22035-0074
(703) 324-2391

# FY 2012 REVENUE ALL FUNDS

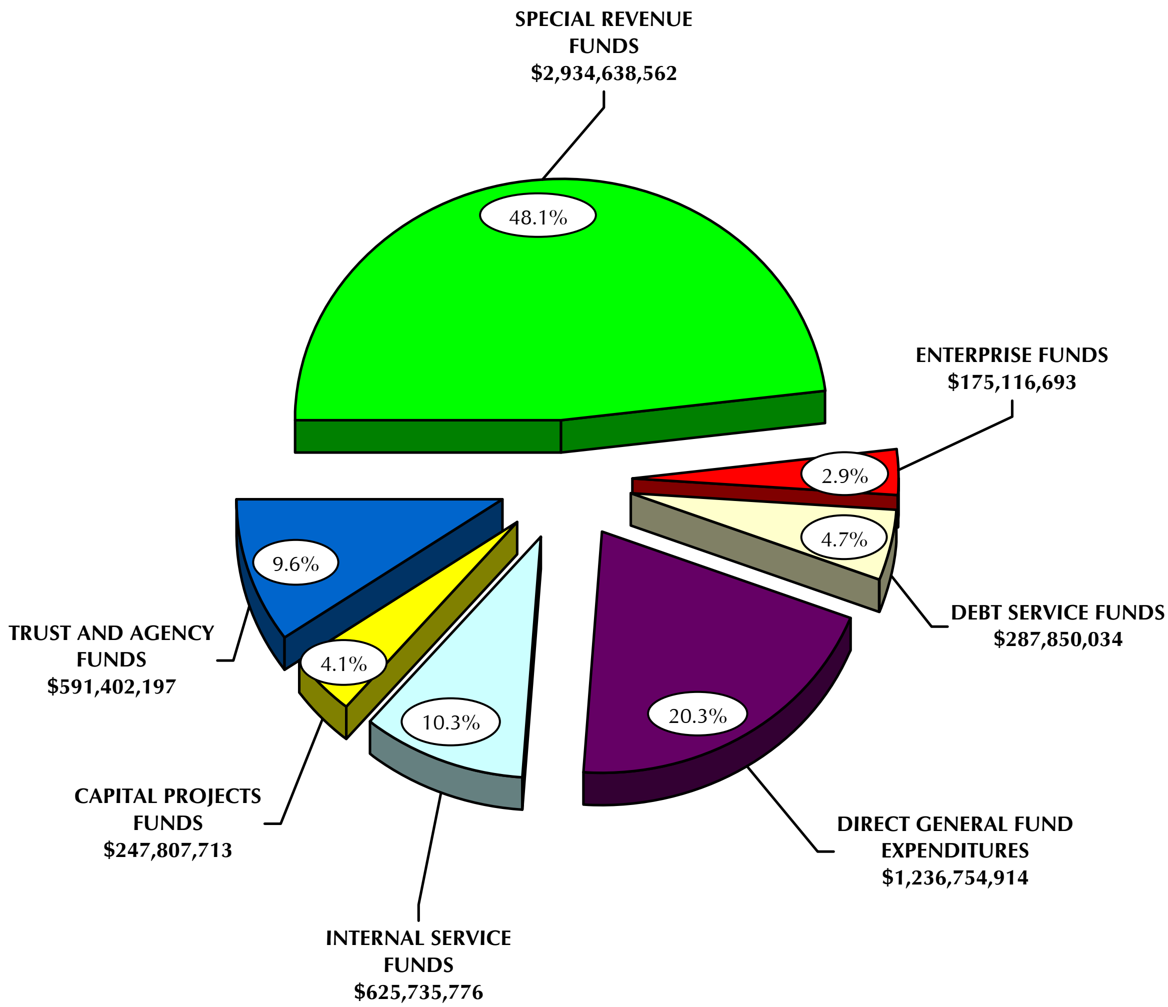
(subcategories in millions)




## TOTAL REVENUE = $6,377,661,624

For presentation purposes, Personal Property Taxes of $211,313,944 that are reimbursed by the Commonwealth as a result of the Personal Property Tax Relief Act of 1998 are included in the Personal Property Taxes category.

# FY 2012 EXPENDITURES ALL FUNDS




**TOTAL EXPENDITURES = $6,099,305,889**

# FY 2012 ADVERTISED REVENUE AND RECEIPTS BY FUND
# SUMMARY OF APPROPRIATED FUNDS

| Fund Type/Fund | FY 2010 Actual [1] | FY 2011 Adopted Budget Plan [2] | FY 2011 Revised Budget Plan [3] | FY 2012 Advertised Budget Plan [4] | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|
| **GOVERNMENTAL FUNDS** | | | | | | |
| **G00 General Fund Group** | | | | | | |
| 001 General Fund | $3,350,609,508 | $3,237,504,611 | $3,247,642,484 | $3,340,353,056 | $92,710,572 | 2.85% |
| 002 Revenue Stabilization Fund | 1,003,509 | 0 | 0 | 0 | 0 | - |
| **Total General Fund Group** | **$3,351,613,017** | **$3,237,504,611** | **$3,247,642,484** | **$3,340,353,056** | **$92,710,572** | **2.85%** |
| **G10 Special Revenue Funds** | | | | | | |
| 090 Public School Operating | $541,974,172 | $518,415,974 | $577,200,268 | $560,152,894 | ($17,047,374) | (2.95%) |
| 100 County Transit Systems | 31,993,225 | 32,279,432 | 33,779,432 | 40,888,622 | $7,109,190 | 21.05% |
| 102 Federal/State Grant Fund | 62,382,358 | 60,046,908 | 129,645,697 | 63,567,362 | ($66,078,335) | (50.97%) |
| 103 Aging Grants & Programs | 3,896,303 | 3,682,087 | 4,221,140 | 0 | ($4,221,140) | (100.00%) |
| 104 Information Technology | 1,327,275 | 500,000 | 500,000 | 300,000 | ($200,000) | (40.00%) |
| 105 Cable Communications | 18,954,235 | 16,925,224 | 16,925,224 | 19,315,370 | $2,390,146 | 14.12% |
| 106 Fairfax-Falls Church Community Services Board | 44,073,970 | 47,220,473 | 55,137,678 | 50,402,751 | ($4,734,927) | (8.59%) |
| 108 Leaf Collection | 2,130,526 | 1,924,086 | 1,924,086 | 1,920,354 | ($3,732) | (0.19%) |
| 109 Refuse Collection and Recycling Operations | 21,069,188 | 20,233,973 | 20,233,973 | 20,693,934 | $459,961 | 2.27% |
| 110 Refuse Disposal | 51,949,722 | 57,201,639 | 57,201,639 | 51,242,247 | ($5,959,392) | (10.42%) |
| 111 Reston Community Center | 7,574,407 | 7,655,587 | 7,655,587 | 7,700,355 | $44,768 | 0.58% |
| 112 Energy Resource Recovery (ERR) Facility | 30,569,919 | 34,353,508 | 32,232,564 | 32,048,249 | ($184,315) | (0.57%) |
| 113 McLean Community Center | 5,186,500 | 5,603,955 | 5,603,955 | 5,290,432 | ($313,523) | (5.59%) |
| 114 I-95 Refuse Disposal | 6,328,071 | 6,575,814 | 6,575,814 | 6,880,668 | $304,854 | 4.64% |
| 115 Burgundy Village Community Center | 41,930 | 57,610 | 57,610 | 43,096 | ($14,514) | (25.19%) |
| 116 Integrated Pest Management Program | 2,152,362 | 1,814,188 | 1,814,188 | 1,752,316 | ($61,872) | (3.41%) |
| 120 E-911 Fund | 22,822,591 | 23,236,680 | 22,062,804 | 22,441,353 | $378,549 | 1.72% |
| 121 Dulles Rail Phase I Transportation Improvement District | 28,017,357 | 23,768,271 | 23,768,271 | 23,221,610 | ($546,661) | (2.30%) |
| 122 Dulles Rail Phase II Transportation Improvement District [5] | 0 | 3,597,035 | 3,597,035 | 6,719,320 | $3,122,285 | 86.80% |
| 124 County & Regional Transportation Projects | 50,874,426 | 43,105,550 | 93,105,550 | 42,000,000 | ($51,105,550) | (54.89%) |
| 125 Stormwater Services | 10,170,890 | 28,000,000 | 28,000,000 | 28,800,000 | $800,000 | 2.86% |
| 141 Elderly Housing Programs | 2,308,072 | 2,232,945 | 2,574,180 | 2,349,439 | ($224,741) | (8.73%) |
| 142 Community Development Block Grant | 8,570,551 | 5,982,304 | 15,738,895 | 6,463,133 | ($9,275,762) | (58.94%) |
| 143 Homeowner and Business Loan Programs | 5,152,423 | 3,883,825 | 8,015,978 | 4,514,316 | ($3,501,662) | (43.68%) |
| 144 Housing Trust Fund | 255,970 | 840,000 | 375,000 | 348,814 | ($26,186) | (6.98%) |
| 145 HOME Investment Partnerships Grant | 317,466 | 2,707,657 | 9,941,180 | 2,692,612 | ($7,248,568) | (72.91%) |
| 191 School Food & Nutrition Services | 72,360,775 | 71,736,004 | 71,736,005 | 74,254,586 | $2,518,581 | 3.51% |
| 192 School Grants & Self Supporting | 53,878,908 | 54,009,387 | 66,465,786 | 45,382,516 | ($21,083,270) | (31.72%) |
| 193 School Adult & Community Education | 8,588,695 | 9,993,558 | 10,271,619 | 10,354,438 | 82,819 | 0.81% |
| **Total Special Revenue Funds** | **$1,094,922,287** | **$1,087,583,674** | **$1,306,361,158** | **$1,131,740,787** | **($174,620,371)** | **(13.37%)** |

# FY 2012 ADVERTISED REVENUE AND RECEIPTS BY FUND
## SUMMARY OF APPROPRIATED FUNDS

| Fund Type/Fund | FY 2010 Actual [1] | FY 2011 Adopted Budget Plan [2] | FY 2011 Revised Budget Plan [3] | FY 2012 Advertised Budget Plan [4] | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|
| **G20 Debt Service Funds** | | | | | | |
| 200/201 Consolidated Debt Service | $1,997,726 | $390,000 | $390,000 | $378,770 | ($11,230) | (2.88%) |
| **G30 Capital Project Funds** | | | | | | |
| 301 Contributed Roadway Improvement Fund | $2,413,378 | $110,000 | $1,246,893 | $110,000 | ($1,136,893) | (91.18%) |
| 302 Library Construction | 10,203,514 | 0 | 11,380,000 | 0 | ($11,380,000) | (100.00%) |
| 303 County Construction | 3,528,045 | 1,400,000 | 11,220,000 | 1,400,000 | ($9,820,000) | (87.52%) |
| 304 Transportation Improvements | 18,236,933 | 0 | 115,369,152 | 0 | ($115,369,152) | (100.00%) |
| 306 Northern Virginia Regional Park Authority | 2,700,000 | 2,700,000 | 2,700,000 | 3,000,000 | $300,000 | 11.11% |
| 307 Pedestrian Walkway Improvements | 318,207 | 0 | 3,585,955 | 0 | ($3,585,955) | (100.00%) |
| 309 Metro Operations & Construction | 56,300,000 | 22,692,000 | 14,738,706 | 24,773,000 | $10,034,294 | 68.08% |
| 311 County Bond Construction | 13,362,750 | 0 | 49,492,435 | 0 | ($49,492,435) | (100.00%) |
| 312 Public Safety Construction | 14,543,503 | 0 | 76,919,134 | 0 | ($76,919,134) | (100.00%) |
| 314 Neighborhood Improvement Program | 8,596 | 5,000 | 5,000 | 5,000 | $0 | 0.00% |
| 315 Commercial Revitalization Program | 1,680 | 0 | 4,066,209 | 0 | ($4,066,209) | (100.00%) |
| 316 Pro Rata Share Drainage Construction | 4,506,173 | 0 | 10,330,735 | 0 | ($10,330,735) | (100.00%) |
| 317 Capital Renewal Construction | 53,347 | 5,000,000 | 14,000,000 | 15,000,000 | $1,000,000 | 7.14% |
| 318 Stormwater Management Program | 1,353,979 | 0 | 3,058,202 | 0 | ($3,058,202) | (100.00%) |
| 319 The Penny for Affordable Housing Fund | 13,011,075 | 13,458,400 | 14,358,400 | 14,668,400 | $310,000 | 2.16% |
| 340 Housing Assistance Program | 411,761 | 0 | 11,573,648 | 0 | ($11,573,648) | (100.00%) |
| 370 Park Authority Bond Construction | 11,701,090 | 0 | 54,835,000 | 0 | ($54,835,000) | (100.00%) |
| 390 School Construction | 158,696,095 | 155,436,000 | 470,752,755 | 155,386,000 | (315,366,755) | (66.99%) |
| **Total Capital Project Funds** | **$311,350,126** | **$200,801,400** | **$869,632,224** | **$214,342,400** | **($655,289,824)** | **(75.35%)** |
| **TOTAL GOVERNMENTAL FUNDS** | **$4,759,883,156** | **$4,526,279,685** | **$5,424,025,866** | **$4,686,815,013** | **($737,210,853)** | **(13.59%)** |
| **PROPRIETARY FUNDS** | | | | | | |
| **G40 Enterprise Funds** | | | | | | |
| 400 Sewer Revenue | $138,245,198 | $148,015,000 | $148,015,000 | $164,003,500 | $15,988,500 | 10.80% |
| 406 Sewer Bond Debt Reserve | 0 | 9,706,000 | 9,706,000 | 0 | (9,706,000) | (100.00%) |
| 408 Sewer Bond Construction | 5,699,443 | 141,294,000 | 173,151,032 | 500,000 | (172,651,032) | (99.71%) |
| **Total Enterprise Funds** | **$143,944,641** | **$299,015,000** | **$330,872,032** | **$164,503,500** | **($166,368,532)** | **(50.28%)** |

# FY 2012 ADVERTISED REVENUE AND RECEIPTS BY FUND
# SUMMARY OF APPROPRIATED FUNDS

| Fund Type/Fund | FY 2010 Actual [1] | FY 2011 Adopted Budget Plan [2] | FY 2011 Revised Budget Plan [3] | FY 2012 Advertised Budget Plan [4] | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|
| **G50 Internal Service Funds** | | | | | | |
| 501 County Insurance Fund | $902,477 | $1,602,667 | $895,859 | $895,859 | $0 | 0.00% |
| 503 Department of Vehicle Services | 66,140,578 | 69,256,977 | 69,256,977 | 68,958,686 | ($298,291) | (0.43%) |
| 504 Document Services Division | 3,475,115 | 3,589,468 | 3,589,468 | 3,475,115 | ($114,353) | (3.19%) |
| 505 Technology Infrastructure Services | 26,396,829 | 26,251,337 | 26,251,337 | 27,578,688 | $1,327,351 | 5.06% |
| 506 Health Benefits Fund | 110,576,961 | 126,342,690 | 126,342,690 | 129,608,596 | $3,265,906 | 2.58% |
| 590 School Insurance Fund | 12,158,768 | 12,721,373 | 12,721,373 | 14,034,221 | $1,312,848 | 10.32% |
| 591 School Health and Flexible Benefits | 258,878,268 | 273,953,171 | 273,953,172 | 289,573,878 | $15,620,706 | 5.70% |
| 592 School Central Procurement | 11,023,393 | 14,000,000 | 14,000,000 | 14,000,000 | 0 | 0.00% |
| **Total Internal Service Funds** | **$489,552,389** | **$527,717,683** | **$527,010,876** | **$548,125,043** | **$21,114,167** | **4.01%** |
| **TOTAL PROPRIETARY FUNDS** | **$633,497,030** | **$826,732,683** | **$857,882,908** | **$712,628,543** | **($145,254,365)** | **(16.93%)** |
| **FIDUCIARY FUNDS** | | | | | | |
| **G60 Trust Funds** | | | | | | |
| 600 Uniformed Employees Retirement Trust Fund | $187,486,472 | $135,577,794 | $135,577,794 | $144,539,401 | $8,961,607 | 6.61% |
| 601 Fairfax County Employees' Retirement Trust Fund | 612,649,463 | 314,515,389 | 314,515,389 | 350,110,336 | 35,594,947 | 11.32% |
| 602 Police Retirement Trust Fund | 180,506,905 | 102,462,834 | 102,462,834 | 112,581,103 | 10,118,269 | 9.88% |
| 603 OPEB Trust Fund | 15,199,719 | 4,276,577 | 4,276,577 | 5,199,562 | 922,985 | 21.58% |
| 691 Educational Employees' Retirement | 324,586,201 | 222,829,790 | 293,116,969 | 316,733,260 | 23,616,291 | 8.06% |
| 692 Public School OPEB Trust Fund | 29,240,492 | 39,000,000 | 48,163,000 | 39,289,000 | (8,874,000) | (18.42%) |
| **Total Trust Funds** | **$1,349,669,252** | **$818,662,384** | **$898,112,563** | **$968,452,662** | **$70,340,099** | **7.83%** |
| **G70 Agency Funds** | | | | | | |
| 700 Route 28 Taxing District | $11,534,704 | $10,645,808 | $10,645,808 | $9,765,406 | ($880,402) | (8.27%) |
| **TOTAL FIDUCIARY FUNDS** | **$1,361,203,956** | **$829,308,192** | **$908,758,371** | **$978,218,068** | **$69,459,697** | **7.64%** |
| **TOTAL APPROPRIATED FUNDS** | **$6,754,584,142** | **$6,182,320,560** | **$7,190,667,145** | **$6,377,661,624** | **($813,005,521)** | **(11.31%)** |
| **Appropriated From (Added to) Surplus** | **($1,064,722,146)** | **($169,089,253)** | **$626,667,942** | **($372,349,336)** | **($999,017,278)** | **(159.42%)** |
| **TOTAL AVAILABLE** | **$5,689,861,996** | **$6,013,231,307** | **$7,817,335,087** | **$6,005,312,288** | **($1,812,022,799)** | **(23.18%)** |
| **Less: Internal Service Funds** | **($489,552,389)** | **($527,717,683)** | **($527,010,876)** | **($548,125,043)** | **($21,114,167)** | **4.01%** |
| **NET AVAILABLE** | **$5,200,309,607** | **$5,485,513,624** | **$7,290,324,211** | **$5,457,187,245** | **($1,833,136,966)** | **(25.14%)** |

# FY 2012 ADVERTISED REVENUE AND RECEIPTS BY FUND
# SUMMARY OF APPROPRIATED FUNDS

| Fund Type/Fund | FY 2010 Actual [1] | FY 2011 Adopted Budget Plan [2] | FY 2011 Revised Budget Plan [3] | FY 2012 Advertised Budget Plan [4] | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|

**EXPLANATORY NOTE:**

*The "Total Available" indicates the revenue in each fiscal year that is to be used to support expenditures. This amount is the total revenue adjusted by the amount of funding that is either appropriated from fund balance or added to fund balance. In some instances, adjustments to fund balance that are not currently reflected in the "Changes in Fund Balance" table also affect the "Total Available." Explanations for these adjustments are provided below. The "Total Available," plus (minus) the effect of these changes matches the expenditure totals by fiscal year on the "Expenditure by Fund/Summary of Appropriated Funds," net of any transfers between funds.*

[1] **Not reflected are the following adjustments to balance which were carried forward from FY 2009 to FY 2010:**

Fund 191, School Food and Nutrition Services, change in inventory of $177,950

Fund 403, Sewer Bond Parity Debt Service, non-appropriated amortization expense of ($7,629)

Fund 501, County Insurance, net change in accrued liability of $1,294,983

Fund 590, Public School Insurance, net change in accrued liability of $1,922,678

[2] **Not reflected are the following adjustments to balance which were carried forward from FY 2010 to FY 2011:**

Fund 001, General Fund, assumes carryover of $20,000,000 set aside at the *FY 2009 Carryover Review* for retirement requirements.

Fund 191, Public School Food and Nutrition Services, assumes carryover of General Reserve of $11,281,198

Fund 193, Public School Adult and Community Education, assumes available FY 2010 balance of $558,836

Fund 403, Sewer Bond Parity Debt Service, non-appropriated amortization expense of ($25,000)

Fund 590, Public School Insurance, assumes carryover of Allocated Reserves of $4,735,027 and additional available FY 2010 balance of $1,656,090

Fund 591, Public School Health and Flexible Benefits, assumes carryover of claims stabilization reserve of $52,446,696

[3] **Not reflected are the following adjustments to balance which were carried forward from FY 2010 to FY 2011:**

Fund 403, Sewer Bond Parity Debt Service, non-appropriated amortization expense of ($25,000)

[4] **Not reflected are the following adjustments to balance which were carried forward from FY 2011 to FY 2012:**

Fund 001, General Fund, assumes carryover of $15,000,000 set aside at the *FY 2010 Carryover Review* for retirement requirements and $9,580,000 in anticipated reductions to be taken at *FY 2011 Third Quarter Review*.

Fund 103, Aging Grants and Programs, assumes ($675,269) in projected available FY 2011 balance to be transferred out of fund as part of the *FY 2011 Carryover Review* due to the elimination of the fund.

Fund 191, Public School Food and Nutrition Services, assumes carryover of General Reserve of $13,591,947

Fund 192, Public School Grants and Self-Supporting Programs, assumes available FY 2011 balance of $1,357,741

Fund 193, Public School Adult and Community Education, assumes available FY 2010 balance of $86,271

Fund 403, Sewer Bond Parity Debt Service, non-appropriated amortization expense of ($25,000)

Fund 590, Public School Insurance, assumes carryover of Allocated Reserves of $4,842,320

Fund 591, Public School Health and Flexible Benefits, claims stabilization reserve of $46,713,537

[5] As part of the FY 2011 Adopted Budget Plan, Fund 122, Dulles Rail Phase II Transportation Improvement District, was created to separately account for revenue received from the Phase II Dulles Rail Transportation Improvement District.

# FY 2012 ADVERTISED EXPENDITURES BY FUND
# SUMMARY OF APPROPRIATED FUNDS

| Fund Type/Fund | FY 2010 Estimate | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|---|
| **GOVERNMENTAL FUNDS** | | | | | | | |
| **G00 General Fund Group** | | | | | | | |
| 001 General Fund | $1,253,939,653 | $1,161,435,687 | $1,193,609,511 | $1,259,269,308 | $1,236,754,914 | ($22,514,394) | (1.79%) |
| **G10 Special Revenue Funds** | | | | | | | |
| 090 Public School Operating [1] | $2,206,246,417 | $2,062,741,349 | $2,153,563,115 | $2,248,251,991 | $2,171,059,534 | ($77,192,457) | (3.43%) |
| 100 County Transit Systems | 98,837,662 | 67,845,129 | 81,849,311 | 101,406,721 | 98,000,389 | (3,406,332) | (3.36%) |
| 102 Federal/State Grant Fund | 144,228,345 | 63,324,919 | 62,960,909 | 161,458,165 | 67,818,214 | (93,639,951) | (58.00%) |
| 103 Aging Grants & Programs | 11,193,849 | 7,105,406 | 7,824,306 | 11,355,598 | 0 | (11,355,598) | (100.00%) |
| 104 Information Technology | 57,984,875 | 20,946,887 | 5,467,349 | 52,885,885 | 11,251,579 | (41,634,306) | (78.72%) |
| 105 Cable Communications | 15,295,646 | 8,411,542 | 9,887,220 | 16,384,504 | 10,950,136 | (5,434,368) | (33.17%) |
| 106 Fairfax-Falls Church Community Services Board | 150,959,539 | 138,875,521 | 140,558,420 | 152,428,905 | 144,980,981 | (7,447,924) | (4.89%) |
| 108 Leaf Collection | 2,434,340 | 2,183,025 | 2,300,780 | 2,300,780 | 2,404,038 | 103,258 | 4.49% |
| 109 Refuse Collection and Recycling Operations | 23,285,876 | 19,638,378 | 19,277,682 | 20,733,313 | 20,238,318 | (494,995) | (2.39%) |
| 110 Refuse Disposal | 66,501,528 | 49,518,214 | 55,397,092 | 61,407,069 | 51,244,631 | (10,162,438) | (16.55%) |
| 111 Reston Community Center | 8,519,985 | 6,973,608 | 8,006,141 | 9,850,107 | 7,748,352 | (2,101,755) | (21.34%) |
| 112 Energy Resource Recovery (ERR) Facility | 38,071,370 | 37,501,930 | 31,975,909 | 33,779,516 | 16,443,313 | (17,336,203) | (51.32%) |
| 113 McLean Community Center | 5,703,976 | 4,380,058 | 5,308,040 | 5,968,797 | 5,579,357 | (389,440) | (6.52%) |
| 114 I-95 Refuse Disposal | 24,233,518 | 8,783,864 | 8,586,108 | 23,540,506 | 8,211,546 | (15,328,960) | (65.12%) |
| 115 Burgundy Village Community Center | 45,333 | 25,518 | 44,065 | 44,065 | 44,065 | 0 | 0.00% |
| 116 Integrated Pest Management Program | 3,246,904 | 2,176,637 | 2,903,352 | 3,282,472 | 2,903,352 | (379,120) | (11.55%) |
| 118 Consolidated Community Funding Pool | 9,266,423 | 9,082,779 | 8,970,687 | 9,154,331 | 8,970,687 | (183,644) | (2.01%) |
| 119 Contributory Fund | 12,935,440 | 12,854,128 | 12,038,305 | 12,038,305 | 12,212,942 | 174,637 | 1.45% |
| 120 E-911 Fund | 44,831,136 | 32,620,514 | 37,245,287 | 47,068,932 | 37,245,287 | (9,823,645) | (20.87%) |
| 121 Dulles Rail Phase I Transportation Improvement District | 52,350,000 | 22,491,341 | 13,350,000 | 50,000,000 | 25,000,000 | (25,000,000) | (50.00%) |
| 122 Dulles Rail Phase II Transportation Improvement District [2] | 0 | 0 | 500,000 | 500,000 | 500,000 | 0 | 0.00% |
| 124 County & Regional Transportation Projects | 132,170,111 | 21,716,427 | 27,598,338 | 142,666,046 | 22,540,528 | (120,125,518) | (84.20%) |
| 125 Stormwater Services | 15,937,967 | 11,989,666 | 28,000,000 | 31,869,191 | 28,800,000 | (3,069,191) | (9.63%) |
| 141 Elderly Housing Programs | 4,546,796 | 3,304,084 | 4,186,706 | 5,201,767 | 4,159,501 | (1,042,266) | (20.04%) |
| 142 Community Development Block Grant | 17,887,472 | 7,589,731 | 5,982,304 | 17,110,097 | 6,463,133 | (10,646,964) | (62.23%) |
| 143 Homeowner and Business Loan Programs | 8,832,635 | 5,352,189 | 3,883,825 | 8,629,710 | 4,514,316 | (4,115,394) | (47.69%) |
| 144 Housing Trust Fund | 6,331,697 | 2,177,659 | 840,000 | 4,385,008 | 348,814 | (4,036,194) | (92.05%) |
| 145 HOME Investment Partnerships Grant | 7,585,726 | 1,255,546 | 2,707,657 | 9,067,045 | 2,692,612 | (6,374,433) | (70.30%) |
| 191 School Food & Nutrition Services | 79,679,668 | 67,366,590 | 83,017,202 | 87,778,280 | 87,846,533 | 68,253 | 0.08% |
| 192 School Grants & Self Supporting | 100,745,088 | 69,688,989 | 70,894,825 | 96,567,320 | 63,625,695 | (32,941,625) | (34.11%) |
| 193 School Adult & Community Education | 11,927,771 | 9,654,485 | 10,952,394 | 11,469,416 | 10,840,709 | (628,707) | (5.48%) |
| **Total Special Revenue Funds** | **$3,361,817,093** | **$2,777,576,113** | **$2,906,077,329** | **$3,438,583,842** | **$2,934,638,562** | **($503,945,280)** | **(14.66%)** |
| **G20 Debt Service Funds** | | | | | | | |
| 200/201 Consolidated Debt Service | $290,207,893 | $279,344,291 | $287,575,052 | $299,437,880 | $287,850,034 | ($11,587,846) | (3.87%) |

# FY 2012 ADVERTISED EXPENDITURES BY FUND
# SUMMARY OF APPROPRIATED FUNDS

| Fund Type/Fund | FY 2010 Estimate | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|---|
| **G30 Capital Project Funds** | | | | | | | |
| 301 Contributed Roadway Improvement Fund | $45,110,408 | $2,501,789 | $0 | $41,442,472 | $0 | ($41,442,472) | (100.00%) |
| 302 Library Construction | 30,949,743 | 12,245,823 | 0 | 18,699,086 | 0 | (18,699,086) | (100.00%) |
| 303 County Construction | 69,350,292 | 20,517,003 | 13,462,406 | 63,412,892 | 16,723,869 | (46,689,023) | (73.63%) |
| 304 Transportation Improvements | 137,913,306 | 11,133,043 | 0 | 124,478,064 | 0 | (124,478,064) | (100.00%) |
| 306 Northern Virginia Regional Park Authority | 2,700,000 | 2,700,000 | 2,700,000 | 2,700,000 | 3,000,000 | 300,000 | 11.11% |
| 307 Pedestrian Walkway Improvements | 4,773,691 | 898,026 | 0 | 4,352,620 | 100,000 | (4,252,620) | (97.70%) |
| 309 Metro Operations & Construction | 29,559,403 | 27,844,412 | 28,141,231 | 21,920,231 | 33,965,733 | 12,045,502 | 54.95% |
| 311 County Bond Construction | 80,228,756 | 8,980,606 | 0 | 71,834,175 | 0 | (71,834,175) | (100.00%) |
| 312 Public Safety Construction | 134,799,432 | 17,678,347 | 0 | 118,064,588 | 750,000 | (117,314,588) | (99.36%) |
| 314 Neighborhood Improvement Program | 148,485 | 0 | 0 | 148,485 | 0 | (148,485) | (100.00%) |
| 315 Commercial Revitalization Program | 4,575,251 | 478,697 | 0 | 4,098,234 | 0 | (4,098,234) | (100.00%) |
| 316 Pro Rata Share Drainage Construction | 14,723,479 | 4,506,173 | 0 | 10,337,006 | 0 | (10,337,006) | (100.00%) |
| 317 Capital Renewal Construction | 37,671,555 | 5,205,382 | 8,000,000 | 40,519,520 | 15,000,000 | (25,519,520) | (62.98%) |
| 318 Stormwater Management Program | 22,085,406 | 8,570,099 | 0 | 16,423,397 | 0 | (16,423,397) | (100.00%) |
| 319 The Penny for Affordable Housing Fund | 23,461,206 | 18,186,529 | 13,458,400 | 19,864,899 | 14,668,400 | (5,196,499) | (26.16%) |
| 340 Housing Assistance Program | 9,014,216 | 1,074,560 | 515,000 | 8,455,286 | 515,000 | (7,940,286) | (93.91%) |
| 370 Park Authority Bond Construction | 81,879,185 | 19,207,157 | 0 | 62,750,052 | 0 | (62,750,052) | (100.00%) |
| 390 School Construction | 534,378,991 | 109,570,133 | 165,582,149 | 575,242,805 | 163,084,711 | (412,158,094) | (71.65%) |
| **Total Capital Project Funds** | **$1,263,322,805** | **$271,297,779** | **$231,859,186** | **$1,204,743,812** | **$247,807,713** | **($956,936,099)** | **(79.43%)** |
| **TOTAL GOVERNMENTAL FUNDS** | **$6,169,287,444** | **$4,489,653,870** | **$4,619,121,078** | **$6,202,034,842** | **$4,707,051,223** | **($1,494,983,619)** | **(24.10%)** |
| **PROPRIETARY FUNDS** | | | | | | | |
| **G40 Enterprise Funds** | | | | | | | |
| 401 Sewer Operation and Maintenance | $98,365,426 | $82,748,442 | $99,968,777 | $100,381,002 | $93,287,604 | ($7,093,398) | (7.07%) |
| 402 Sewer Construction Improvements | 42,969,800 | 17,021,611 | 24,500,000 | 50,448,189 | 29,000,000 | (21,448,189) | (42.52%) |
| 403 Sewer Bond Parity Debt Service | 10,886,182 | 10,601,291 | 19,827,531 | 19,827,531 | 26,104,805 | 6,277,274 | 31.66% |
| 406 Sewer Bond Debt Reserve | 0 | 0 | 0 | 0 | 0 | 0 | 0.00% |
| 407 Sewer Bond Subordinate Debt Service | 24,333,391 | 24,288,863 | 24,910,740 | 24,910,740 | 26,724,284 | 1,813,544 | 7.28% |
| 408 Sewer Bond Construction | 100,705,727 | 51,349,975 | 140,294,000 | 226,749,752 | 0 | (226,749,752) | (100.00%) |
| **Total Enterprise Funds** | **$277,260,526** | **$186,010,182** | **$309,501,048** | **$422,317,214** | **$175,116,693** | **($247,200,521)** | **(58.53%)** |

# FY 2012 ADVERTISED EXPENDITURES BY FUND
# SUMMARY OF APPROPRIATED FUNDS

| Fund Type/Fund | FY 2010 Estimate | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|---|
| **G50 Internal Service Funds** | | | | | | | |
| 501 County Insurance Fund | $18,129,718 | $19,409,562 | $16,379,718 | $20,169,556 | $21,777,676 | $1,608,120 | 7.97% |
| 503 Department of Vehicle Services | 80,066,491 | 62,988,531 | 69,567,247 | 77,165,191 | 69,398,301 | (7,766,890) | (10.07%) |
| 504 Document Services Division | 8,495,757 | 6,034,168 | 6,050,787 | 7,640,509 | 6,050,787 | (1,589,722) | (20.81%) |
| 505 Technology Infrastructure Services | 26,520,043 | 23,694,754 | 28,160,148 | 30,655,413 | 29,483,564 | (1,171,849) | (3.82%) |
| 506 Health Benefits Fund | 123,108,171 | 111,378,722 | 126,746,872 | 133,712,937 | 129,853,306 | (3,859,631) | (2.89%) |
| 590 School Insurance Fund | 18,845,206 | 13,777,401 | 19,112,490 | 17,872,964 | 18,884,727 | 1,011,763 | 5.66% |
| 591 School Health and Flexible Benefits | 311,799,857 | 261,189,356 | 326,399,867 | 323,613,352 | 336,287,415 | 12,674,063 | 3.92% |
| 592 School Central Procurement | 14,000,000 | 11,284,250 | 14,000,000 | 14,000,000 | 14,000,000 | 0 | 0.00% |
| **Total Internal Service Funds** | **$600,965,243** | **$509,756,744** | **$606,417,129** | **$624,829,922** | **$625,735,776** | **$905,854** | **0.14%** |
| **TOTAL PROPRIETARY FUNDS** | **$878,225,769** | **$695,766,926** | **$915,918,177** | **$1,047,147,136** | **$800,852,469** | **($246,294,667)** | **(23.52%)** |
| **FIDUCIARY FUNDS** | | | | | | | |
| **G60 Trust Funds** | | | | | | | |
| 600 Uniformed Employees Retirement Trust Fund | $67,324,901 | $63,601,151 | $77,763,515 | $77,763,515 | $79,650,095 | $1,886,580 | 2.43% |
| 601 Fairfax County Employees' Retirement Trust Fund | 201,053,281 | 182,620,769 | 213,982,858 | 213,982,858 | 220,823,834 | 6,840,976 | 3.20% |
| 602 Police Retirement Trust Fund | 54,849,822 | 51,096,135 | 58,963,783 | 58,963,783 | 61,716,542 | 2,752,759 | 4.67% |
| 603 OPEB Trust Fund | 15,077,881 | 14,239,001 | 6,842,229 | 6,842,229 | 7,144,556 | 302,327 | 4.42% |
| 691 Educational Employees' Retirement | 167,775,061 | 158,339,078 | 175,427,519 | 170,034,426 | 179,749,264 | 9,714,838 | 5.71% |
| 692 Public School OPEB Trust Fund | 26,010,000 | 27,198,189 | 26,047,000 | 30,723,000 | 32,552,500 | 1,829,500 | 5.95% |
| **Total Trust Funds** | **$532,090,946** | **$497,094,323** | **$559,026,904** | **$558,309,811** | **$581,636,791** | **$23,326,980** | **4.18%** |
| **G70 Agency Funds** | | | | | | | |
| 700 Route 28 Taxing District | $12,598,694 | $11,541,422 | $10,645,808 | $10,646,111 | $9,765,406 | ($880,705) | (8.27%) |
| **TOTAL FIDUCIARY FUNDS** | **$544,689,640** | **$508,635,745** | **$569,672,712** | **$568,955,922** | **$591,402,197** | **$22,446,275** | **3.95%** |
| **TOTAL APPROPRIATED FUNDS** | **$7,592,202,853** | **$5,694,056,541** | **$6,104,711,967** | **$7,818,137,900** | **$6,099,305,889** | **($1,718,832,011)** | **(21.99%)** |
| **Less: Internal Service Funds [3]** | **($600,965,243)** | **($509,756,744)** | **($606,417,129)** | **($624,829,922)** | **($625,735,776)** | **($905,854)** | **0.14%** |
| **NET EXPENDITURES** | **$6,991,237,610** | **$5,184,299,797** | **$5,498,294,838** | **$7,193,307,978** | **$5,473,570,113** | **($1,719,737,865)** | **(23.91%)** |

[1] FY 2012 expenditures for Fund 090, Public School Operating, are reduced by $48,802,412 to offset the discrepancy between the proposed Transfer Out from the General Fund and the Superintendent's Proposed Transfer In to Fund 090.

[2] As part of the FY 2011 Adopted Budget Plan, Fund 122, Dulles Rail Phase II Transportation Improvement District, was created to separately account for revenue received from the Phase II Dulles Rail Transportation Improvement District.

[3] Total Appropriated Funds Expenditures are reduced by Internal Service Fund Expenditures, as the amounts are already included.

# FY 2012 ADVERTISED CHANGES IN FUND BALANCE
# SUMMARY OF APPROPRIATED FUNDS

| Fund Type/Fund | Balance 6/30/09 | Balance 6/30/10 | Balance 6/30/11 | Balance 6/30/12 | Appropriated From/ (Added to) Surplus |
|---|---|---|---|---|---|
| **GOVERNMENTAL FUNDS** | | | | | |
| **G00 General Fund Group** | | | | | |
| 001 General Fund | $185,385,547 | $240,276,899 | $101,717,128 | $97,776,767 | $3,940,361 |
| 002 Revenue Stabilization Fund | 86,610,227 | 103,827,504 | 103,827,504 | 103,827,504 | 0 |
| **Total General Fund Group** | **$271,995,774** | **$344,104,403** | **$205,544,632** | **$201,604,271** | **$3,940,361** |
| **G10 Special Revenue Funds** | | | | | |
| 090 Public School Operating | $118,117,827 | $189,730,689 | $101,811,861 | $75,000,000 | $26,811,861 |
| 100 County Transit Systems | 20,469,602 | 23,678,258 | 981,250 | 0 | 981,250 |
| 102 Federal/State Grant Fund | 27,073,254 | 29,093,113 | 194,646 | 194,646 | 0 |
| 103 Aging Grants & Programs | 2,852,446 | 3,896,167 | 675,269 | 0 | 675,269 |
| 104 Information Technology | 42,607,890 | 37,418,536 | 0 | 0 | 0 |
| 105 Cable Communications | 18,189,339 | 21,519,673 | 13,257,162 | 6,206,547 | 7,050,615 |
| 106 Fairfax-Falls Church Community Services Board | 6,969,641 | 5,783,119 | 500,000 | 372,096 | 127,904 |
| 108 Leaf Collection | 3,562,807 | 3,510,308 | 3,133,614 | 2,649,930 | 483,684 |
| 109 Refuse Collection and Recycling Operations | 7,128,416 | 8,559,226 | 8,059,886 | 8,515,502 | (455,616) |
| 110 Refuse Disposal | 11,355,917 | 13,787,425 | 9,581,995 | 9,579,611 | 2,384 |
| 111 Reston Community Center | 8,145,369 | 8,746,168 | 6,551,648 | 6,503,651 | 47,997 |
| 112 Energy Resource Recovery (ERR) Facility | 26,787,307 | 21,578,204 | 21,776,758 | 37,381,694 | (15,604,936) |
| 113 McLean Community Center | 11,745,157 | 12,551,599 | 12,186,757 | 11,897,832 | 288,925 |
| 114 I-95 Refuse Disposal | 55,631,109 | 53,175,316 | 36,210,624 | 34,879,746 | 1,330,878 |
| 115 Burgundy Village Community Center | 241,842 | 258,254 | 271,799 | 270,830 | 969 |
| 116 Integrated Pest Management Program | 3,275,153 | 3,250,878 | 1,782,594 | 631,558 | 1,151,036 |
| 118 Consolidated Community Funding Pool | 295,736 | 183,644 | 0 | 0 | 0 |
| 119 Contributory Fund | 210,569 | 291,881 | 291,881 | 241,881 | 50,000 |
| 120 E-911 Fund | 11,037,477 | 12,062,616 | 1,114,791 | 369,160 | 745,631 |
| 121 Dulles Rail Phase I Transportation Improvement District | 84,573,977 | 90,099,993 | 63,868,264 | 62,089,874 | 1,778,390 |
| 122 Dulles Rail Phase II Transportation Improvement District [1] | 0 | 0 | 3,097,035 | 9,316,355 | (6,219,320) |
| 124 County & Regional Transportation Projects | 46,777,323 | 60,428,110 | 0 | 0 | 0 |
| 125 Stormwater Services | 0 | 3,869,191 | 0 | 0 | 0 |
| 141 Elderly Housing Programs | 963,920 | 2,001,133 | 1,362,771 | 1,541,934 | (179,163) |
| 142 Community Development Block Grant | 390,382 | 1,371,202 | 0 | 0 | 0 |
| 143 Homeowner and Business Loan Programs | 4,078,937 | 3,879,171 | 3,265,439 | 3,265,439 | 0 |
| 144 Housing Trust Fund | 6,160,757 | 4,239,068 | 229,060 | 229,060 | 0 |
| 145 HOME Investment Partnerships Grant | 63,945 | (874,135) | 0 | 0 | 0 |
| 191 School Food & Nutrition Services | 10,870,140 | 16,042,275 | 0 | 0 | 0 |
| 192 School Grants & Self Supporting | 5,837,182 | 13,216,096 | 0 | 0 | 0 |
| 193 School Adult & Community Education | 904,751 | 797,797 | 0 | 0 | 0 |
| **Total Special Revenue Funds** | **$536,318,172** | **$644,144,975** | **$290,205,104** | **$271,137,346** | **$19,067,758** |

# FY 2012 ADVERTISED CHANGES IN FUND BALANCE
# SUMMARY OF APPROPRIATED FUNDS

| Fund Type/Fund | Balance 6/30/09 | Balance 6/30/10 | Balance 6/30/11 | Balance 6/30/12 | Appropriated From/ (Added to) Surplus |
|---|---|---|---|---|---|
| **G20 Debt Service Funds** | | | | | |
| 200/201 Consolidated Debt Service | $10,334,630 | $12,456,328 | $0 | $0 | $0 |
| **G30 Capital Project Funds** | | | | | |
| 301 Contributed Roadway Improvement Fund | $40,503,990 | $40,305,579 | $0 | $0 | $0 |
| 302 Library Construction | 9,361,395 | 7,319,086 | 0 | 0 | 0 |
| 303 County Construction | 45,285,464 | 39,206,531 | 0 | 0 | 0 |
| 304 Transportation Improvements | 2,005,022 | 9,108,912 | 0 | 0 | 0 |
| 306 Northern Virginia Regional Park Authority | 0 | 0 | 0 | 0 | 0 |
| 307 Pedestrian Walkway Improvements | 1,346,484 | 766,665 | 0 | 0 | 0 |
| 309 Metro Operations & Construction | (32,252,164) | 1,732,294 | 0 | 0 | 0 |
| 310 Storm Drainage Bond Construction | 0 | 0 | 0 | 0 | 0 |
| 311 County Bond Construction | 13,764,278 | 22,341,740 | 0 | 0 | 0 |
| 312 Public Safety Construction | 44,980,298 | 41,145,454 | 0 | 0 | 0 |
| 314 Neighborhood Improvement Program | 428,896 | 250,939 | 107,454 | 112,454 | (5,000) |
| 315 Commercial Revitalization Program | 509,042 | 32,025 | 0 | 0 | 0 |
| 316 Pro Rata Share Drainage Construction | 6,271 | 6,271 | 0 | 0 | 0 |
| 317 Capital Renewal Construction | 21,201,555 | 23,519,520 | 0 | 0 | 0 |
| 318 Stormwater Management Program | 25,906,315 | 13,365,195 | 0 | 0 | 0 |
| 319 The Penny for Affordable Housing Fund | 10,681,953 | 5,506,499 | 0 | 0 | 0 |
| 340 Housing Assistance Program | (3,162,227) | (3,610,267) | 23,095 | 23,095 | 0 |
| 370 Park Authority Bond Construction | 15,421,119 | 7,915,052 | 0 | 0 | 0 |
| 390 School Construction | 36,763,861 | 94,573,900 | 0 | 0 | 0 |
| **Total Capital Project Funds** | **$232,751,552** | **$303,485,395** | **$130,549** | **$135,549** | **($5,000)** |
| **TOTAL GOVERNMENTAL FUNDS** | **$1,051,400,128** | **$1,304,191,101** | **$495,880,285** | **$472,877,166** | **$23,003,119** |
| **PROPRIETARY FUNDS** | | | | | |
| **G40 Enterprise Funds** | | | | | |
| 400 Sewer Revenue | $87,265,589 | $86,560,787 | $66,925,787 | $72,740,703 | ($5,814,916) |
| 401 Sewer Operation and Maintenance | 9,712,141 | 16,963,699 | 15,382,697 | 95,093 | 15,287,604 |
| 402 Sewer Construction Improvements | 24,969,800 | 25,948,189 | 0 | 0 | 0 |
| 403 Sewer Bond Parity Debt Service | 4,536,296 | 577,376 | 574,845 | 0 | 574,845 |
| 406 Sewer Bond Debt Reserve | 16,555,123 | 16,555,123 | 26,261,123 | 26,261,123 | 0 |
| 407 Sewer Bond Subordinate Debt Service | 1,490,263 | 1,501,400 | 1,090,660 | 0 | 1,090,660 |
| 408 Sewer Bond Construction | 110,953,222 | 65,302,690 | 11,703,970 | 12,203,970 | (500,000) |
| **Total Enterprise Funds** | **$255,482,434** | **$213,409,264** | **$121,939,082** | **$111,300,889** | **$10,638,193** |

# FY 2012 ADVERTISED CHANGES IN FUND BALANCE
# SUMMARY OF APPROPRIATED FUNDS

| Fund Type/Fund | Balance 6/30/09 | Balance 6/30/10 | Balance 6/30/11 | Balance 6/30/12 | Appropriated From/ (Added to) Surplus |
|---|---|---|---|---|---|
| **G50 Internal Service Funds** | | | | | |
| 501 County Insurance Fund | $42,111,511 | $40,515,660 | $42,259,280 | $42,394,780 | ($135,500) |
| 503 Department of Vehicle Services | 48,433,607 | 44,890,336 | 32,982,122 | 32,542,507 | 439,615 |
| 504 Document Services Division | 2,459,629 | 2,298,809 | 646,001 | 468,562 | 177,439 |
| 505 Technology Infrastructure Services | 5,735,303 | 5,641,038 | 3,051,065 | 2,960,292 | 90,773 |
| 506 Health Benefits Fund | 28,275,238 | 27,473,477 | 20,103,230 | 19,858,520 | 244,710 |
| 590 School Insurance Fund | 27,605,581 | 27,909,626 | 22,758,035 | 22,749,849 | 8,186 |
| 591 School Health and Flexible Benefits | 51,971,268 | 49,660,180 | 0 | 0 | 0 |
| 592 School Central Procurement | 718,373 | 457,516 | 457,516 | 457,516 | 0 |
| **Total Internal Service Funds** | **$207,310,510** | **$198,846,642** | **$122,257,249** | **$121,432,026** | **$825,223** |
| **TOTAL PROPRIETARY FUNDS** | **$462,792,944** | **$412,255,906** | **$244,196,331** | **$232,732,915** | **$11,463,416** |
| **FIDUCIARY FUNDS** | | | | | |
| **G60 Trust Funds** | | | | | |
| 600 Uniformed Employees Retirement Trust Fund | $867,187,220 | $991,072,541 | $1,048,886,820 | $1,113,776,126 | ($64,889,306) |
| 601 Fairfax County Employees' Retirement Trust Fund | 2,039,051,396 | 2,469,080,090 | 2,569,612,621 | 2,698,899,123 | (129,286,502) |
| 602 Police Retirement Trust Fund | 706,622,286 | 836,033,056 | 879,532,107 | 930,396,668 | (50,864,561) |
| 603 OPEB Trust Fund | 51,792,775 | 62,653,493 | 69,987,841 | 88,042,847 | (18,055,006) |
| 691 Educational Employees' Retirement | 1,441,366,143 | 1,607,613,266 | 1,730,695,809 | 1,867,679,805 | (136,983,996) |
| 692 Public School OPEB Trust Fund | 17,520,320 | 19,562,623 | 37,002,623 | 43,739,123 | (6,736,500) |
| **Total Trust Funds** | **$5,123,540,140** | **$5,986,015,069** | **$6,335,717,821** | **$6,742,533,692** | **($406,815,871)** |
| **G70 Agency Funds** | | | | | |
| 700 Route 28 Taxing District | $7,021 | $303 | $0 | $0 | $0 |
| **TOTAL FIDUCIARY FUNDS** | **$5,123,547,161** | **$5,986,015,372** | **$6,335,717,821** | **$6,742,533,692** | **($406,815,871)** |
| **TOTAL APPROPRIATED FUNDS** | **$6,637,740,233** | **$7,702,462,379** | **$7,075,794,437** | **$7,448,143,773** | **($372,349,336)** |

[1] As part of the FY 2011 Adopted Budget Plan, Fund 122, Dulles Rail Phase II Transportation Improvement District, was created to separately account for revenue received from the Phase II Dulles Rail Transportation Improvement District.

# Fund 002
# Revenue Stabilization

## Focus

The Board of Supervisors, during deliberations on the *FY 1999 Carryover Review*, approved the establishment of Fund 002, Revenue Stabilization Fund (RSF). The purpose of this fund is to provide a mechanism for maintaining a balanced budget without resorting to tax increases and expenditure reductions that aggravate the stresses imposed by the cyclical nature of the economy.

The Board of Supervisors established the Reserve under the directive that the Revenue Stabilization Fund will not be used as a method of addressing the demand for new or expanded services; it is solely to be used as a financial tool in the event of a significant economic downturn. Therefore, the Board of Supervisors established a policy for utilizing the Revenue Stabilization Fund that identified three specific criteria that must be met in order to make a withdrawal from the Fund:

- Projected revenues reflect a decrease greater than 1.5 percent from the current year estimate;
- Withdrawals from the Fund shall not exceed one-half of the fund balance in any fiscal year; and
- Withdrawals from the Reserve shall be used in combination with spending cuts or other measures.

The Revenue Stabilization Fund has a target balance of 3.0 percent of General Fund disbursements. The Fund shall be separate and distinct from the County's 2.0 percent Managed Reserve, which was initially established in FY 1983. However, the aggregate balance of both reserves shall not exceed 5.0 percent of General Fund disbursements.

The target balance of 3.0 percent of General Fund disbursements was to be accomplished by transferring funds from the General Fund over a multi-year period. The Board of Supervisors determined that a minimum of 40 percent of non-recurring balances identified at quarterly reviews would be transferred to the Revenue Stabilization Fund and the Fund would retain the interest earnings on the balance, and the retention of interest would continue until the Reserve was fully funded. As a result of Board of Supervisors' approved General Fund transfers, along with projected interest earnings, the fund achieved fully funded status in FY 2006 by reaching its target level of 3.0 percent of General Fund disbursements. Based on the projected earnings on the balance in the fund and depending on the average yield for the portfolio, it is anticipated that the fund will remain fully funded by retaining its interest earnings. However, if adjustments to disbursements result in a target level which exceeds the amount of interest projected to be earned by the fund, a General Fund Transfer to this fund would be required to maintain the 3.0 percent of disbursements fully funded target level. Conversely, if the amount of interest projected to be earned by the fund exceeds the amount required to maintain fully funded status, Fund 001, General Fund, will retain the additional interest earnings.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- ♦ There have been no adjustments to this fund since approval of the FY 2011 Adopted Budget Plan.

# Fund 002
# Revenue Stabilization

## FUND STATEMENT

**Fund Type G00, General Fund** | **Fund 002, Revenue Stabilization**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$86,610,227** | **$102,823,995** | **$103,827,504** | **$103,827,504** |
| Revenue: | | | | |
| Interest Earnings [1] | $1,003,509 | $0 | $0 | $0 |
| Total Revenue | $1,003,509 | $0 | $0 | $0 |
| Transfer In: | | | | |
| General Fund (001) | $16,213,768 | $0 | $0 | $0 |
| Total Transfer In | $16,213,768 | $0 | $0 | $0 |
| **Total Available** | **$103,827,504** | **$102,823,995** | **$103,827,504** | **$103,827,504** |
| Transfer Out: | | | | |
| General Fund (001) | $0 | $0 | $0 | $0 |
| Total Transfer In | $0 | $0 | $0 | $0 |
| **Total Disbursements** | **$0** | **$0** | **$0** | **$0** |
| | | | | |
| **Ending Balance** | **$103,827,504** | **$102,823,995** | **$103,827,504** | **$103,827,504** |

[1] Based on the anticipated balance in the fund and budgeted County Disbursements in FY 2011 and FY 2012, it is anticipated that this fund will not need to retain interest earnings in either year to remain fully funded.

# Special Revenue Funds

## Overview

Special Revenue Funds account for the proceeds of specific revenue sources that are restricted to expenditures for specified purposes. These proceeds include state and federal aid, income derived through activities performed by the Solid Waste Management Program, special levies, program activity revenue and operation of the public school system. The funds that are classified within the Special Revenue Funds group are listed below.

**STATE AND FEDERAL AID**

These funds administer programs that benefit Fairfax County residents in accordance with County policy. Included are funds for programs that attempt to identify and alleviate the causes of poverty; manage grant resources for a variety of County programs ranging from public safety to human services issues; aid aging citizens within Fairfax County; and conserve and upgrade low and moderate-income neighborhoods. (Note: in July 2011, the County is implementing an integrated finance, budget, purchasing and human resources computer system. As a result, grant funding associated with Fund 103, Aging Grants and Programs is being consolidated into Fund 102, Federal/State Grants Fund. In addition, funding previously classified as a grant in Fund 103, Aging Grants and Programs that no longer meets the grant definition of the new computer system will be transferred to Agency 67, Department of Family Services or Agency 79, Department of Neighborhood and Community Services in the General Fund. )

- **Fund 102 - Federal/State Grant Fund**
- **Fund 103 - Aging Grants and Programs**
- **Fund 106 - Fairfax-Falls Church Community Services Board**
- **Fund 142 - Community Development Block Grant**
- **Fund 145 - HOME Investment Partnership Grant**

**CONSOLIDATED COMMUNITY FUNDING POOL**

These grants enable community-based organizations to leverage their existing program funding to provide services that are most appropriately delivered by non-governmental organizations. Starting in FY 2001, the Consolidated Community Funding Pool initiated grant awards on a two-year funding cycle to provide increased stability for the community-based organizations. Prior to FY 2001, the County awarded grants from the pool on a one-year cycle.

- **Fund 118 – Consolidated Community Funding Pool**

**INFORMATION TECHNOLOGY (IT)**

This fund supports the critical role of information technology in improving the County's business processes and customer service, and in recognition of the ongoing investment necessary to achieve such improvements.

- **Fund 104 - Information Technology**

**FAIRFAX-FALLS CHURCH COMMUNITY SERVICES BOARD (CSB)**

Funding to support CSB programs in the areas of mental health, intellectual disability, alcohol and drug, and early intervention services is derived from a variety of sources including the cities of Fairfax and Falls Church, the state and federal governments, client/program fees and transfers from the General Fund.

- **Fund 106 - Fairfax-Falls Church Community Services Board**

**SOLID WASTE MANAGEMENT**
These funds provide for the collection and disposal of refuse within Fairfax County, as well as the disposal of refuse delivered by local jurisdictions. Revenue is derived from collection and disposal charges of the various program components.

- **Fund 108 - Leaf Collection**
- **Fund 109 - Refuse Collection and Recycling Operations**
- **Fund 110 - Refuse Disposal**
- **Fund 112 - Energy/Resource Recovery Facility (E/RRF)**
- **Fund 114 - I-95 Refuse Disposal**

**COMMUNITY CENTERS**
These funds provide for the construction, operation, and maintenance of community centers for use by the residents within the special tax districts who pay a special levy based on assessed value of real property.

- **Fund 111 - Reston Community Center**
- **Fund 113 - McLean Community Center**
- **Fund 115 - Burgundy Village Community Center**

**SERVICE DISTRICTS**
These funds are service districts that provide a specific service to County residents. The Integrated Pest Management Program gains revenue through a special countywide tax levy on residential, commercial, and industrial properties to allow for the treatment of the gypsy moth, cankerworm and emerald ash borer population as well as the prevention of the West Nile Virus. The Stormwater Services Program is a new district established in FY 2010. The service district levy is currently $0.015 per $100 of assessed real estate value. This amount will support both staff operating requirements and stormwater capital projects. Capital Projects include: repairs to stormwater infrastructure, measures to improve water quality, stream stabilization, rehabilitation and safety upgrades of dams, repair and replacement of underground pipe systems and surface channels, structural flood proofing and Best Management Practices (BMP) site retrofits. This funding also supports implementation of watershed master plans, increased public outreach efforts and stormwater monitoring activities.

- **Fund 116 - Integrated Pest Management Program**
- **Fund 125 - Stormwater Services**

**CONTRIBUTORY AGENCIES**
This fund was established to reflect the General Fund support of contributory agencies. Funding for the County's contribution to various organizations and/or projects is reflected in this fund. Support of this program was previously included in the General Fund in Agency 88, Contributory Agencies. However, because the expenditures made to these organizations are typically not in direct support of County operations, a separate fund was established.

- **Fund 119 - Contributory Fund**

**E-911 FUND**

This fund was created to satisfy a state legislative requirement that E-911 revenues and expenditures be accounted for separately. All expenditures associated with the Public Safety Communications Center (PSCC) are budgeted in this fund.

- **Fund 120 - E-911**

**DULLES RAIL PHASE I TRANSPORTATION IMPROVEMENT DISTRICT**

The District was formed by the Board of Supervisors on February 23, 2004 based on petition of the owners of commercial and industrial property in order to fund the extension of the Metrorail Orange line in the vicinity of West Falls Church to Wiehle Avenue in Reston. The District will contribute up to $400.0 million of the County's share for Phase I through the imposition of a voluntary tax on commercial and industrial properties within the Phase I District. On June 22, 2009, the Board of Supervisors approved preliminary bond documents for Phase I Dulles Rail Tax District financing and authorization of judicial proceedings to validate the bonds. It was determined that prior to the issuance of bonds by the Economic Development Authority, there should be a judicial determination of the validity of the bonds to ensure broad financial market acceptance of the bonds. The initial judicial review was completed at the Circuit Court level on August 28, 2009, at which time the County received a favorable ruling. On November 4, 2010, the Virginia Supreme Court affirmed the lower court ruling. It is anticipated that by the spring of 2010, the bonds for the project will be sold to provide the proportional share of project funding required in accordance with the funding agreement with the Metropolitan Washington Airports Authority and the County.

- **Fund 121 – Dulles Rail Phase I Transportation District Improvements**

**DULLES RAIL PHASE II TRANSPORTATION IMPROVEMENT DISTRICT**

Phase II of the Dulles Metrorail project will run from just west of Wiehle Avenue to Ashburn in eastern Loudoun County. This extension will serve Reston Town Center, Herndon, Dulles Airport, Route 606, and Ashburn. Commercial and industrial properties in the Phase II District, which lie near the project on either side of the right-of-way of the Dulles Airport Access and Toll Road ("DTR") within Fairfax County, will be taxed to help Fairfax County fund the County's share of the project. Consistent with the Petition and the resolution adopted by the Board to create the Phase II District, a tax rate of $0.05 per hundred dollars of assessed value was proposed for FY 2011 for commercial and industrial properties within the Phase II District. According to the Petition, for FY 2012, this tax rate increases to $0.10 per hundred dollars of assessed value. It is expected to yield approximately $6.6 million in revenue for the fund. The Petition proposed annual tax increases of $0.05 cents per year until the rate reaches $0.20 cents per $100 of assessed value in FY 2014.

- **Fund 122– Dulles Rail Phase II Transportation District Improvements**

**COUNTY AND REGIONAL TRANSPORTATION**

These funds provide for planning, coordinating and implementing a multi-modal transportation system for Fairfax County that moves people and goods, consistent with the values of the community and is supported by commercial and industrial taxes for transportation.

- **Fund 124 - County and Regional Transportation Projects**

**PROGRAM ACTIVITY REVENUE**

These funds support the County's bus and commuter rail service, and the County's cable operations. The primary sources of revenue for program activity funds are derived from receipts generated through program operations.

- **Fund 100 - County Transit Systems**
- **Fund 105 - Cable Communications**

**OPERATION OF THE PUBLIC SCHOOL SYSTEM**

These funds provide for recording expenditures required to operate, maintain, and support the Fairfax County Public School system programs, as well as the procurement, preparation, and serving of student breakfasts, snacks, and lunches. Primary sources of revenue include federal and state aid, transfers from the General Fund and receipts derived through food sales.

- **Fund 090 - Public School Operating**
- **Fund 191 - Public School Food and Nutrition Services**
- **Fund 192 - Public School Grants and Self-Supporting Programs**
- **Fund 193 - Public School Adult and Community Education**

**DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT**

Narratives for Fund 141, Elderly Housing Programs; Fund 142, Community Development Block Grant; Fund 143, Homeowner and Business Loan Programs; Fund 144, Housing Trust Fund; and Fund 145, HOME Investment Partnership Grant can be found in the Housing and Community Development Programs section of this Volume.

# Fund 090
# Public School Operating

## Focus

Expenditures required for operating, maintaining and supporting the instructional program of Fairfax County Public Schools (FCPS) are recorded in Fund 090, Public School Operating. These expenditures include the costs for salaries and related employee benefits, materials, equipment and services, as well as costs for projected changes in membership and inflation. Revenue to support these expenditures is provided by a transfer from the County General Fund, state and federal aid, tuition payments from the City of Fairfax, as well as other fees and transfers.

It should be noted that the following fund statement reflects the FY 2012 Fairfax County Public School Superintendent's Proposed Budget, which was released on January 6, 2011 and included a request for a 3.0 percent increase to the General Fund transfer. Adjustments to the Superintendent's Proposed Budget, adopted by the Fairfax County School Board on February 3, 2011 are discussed in the Overview volume of the County's FY 2012 Advertised Budget Plan. All financial schedules included in the FY 2012 Advertised Budget Plan reflect the same funding level as the FY 2011 General Fund transfer as proposed by the County Executive. The proposed County General Fund Transfer for School operations in FY 2012 totals $1,610,334,722.

# Fund 090
# Public School Operating

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** **Fund 090, Public School Operating Fund**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan[1] | FY 2012 Superintendent's Proposed |
|---|---|---|---|---|
| **Beginning Balance** | **$118,117,827** | **$53,500,000** | **$189,730,689** | **$53,818,854** |
| Revenue: | | | | |
| Sales Tax | $144,856,335 | $140,077,108 | $145,077,108 | $148,621,812 |
| State Aid | 255,574,758 | 247,701,721 | 293,178,348 | 293,490,848 |
| Federal Aid | 87,827,700 | 79,161,279 | 87,716,400 | 63,197,897 |
| City of Fairfax Tuition | 34,755,136 | 36,586,349 | 35,433,040 | 35,433,040 |
| Tuition, Fees, and Other | 18,960,243 | 14,889,517 | 15,795,372 | 19,409,297 |
| Total Revenue | $541,974,172 | $518,415,974 | $577,200,268 | $560,152,894 |
| Reserve Available | $0 | $0 | $0 | $47,993,007 |
| Transfers In: | | | | |
| County General Fund (001) | $1,626,600,722 | $1,610,334,722 | $1,610,334,722 | $1,659,137,134 |
| County General Fund (001) - Priority School Initiative | 0 | 0 | 1,255,755 | 0 |
| Total Transfers In | $1,626,600,722 | $1,610,334,722 | $1,611,590,477 | $1,659,137,134 |
| **Total Available** | **$2,286,692,721** | **$2,182,250,696** | **$2,378,521,434** | **$2,321,101,889** |
| Total Expenditures | $2,062,741,349 | $2,153,563,115 | $2,248,251,991 | $2,219,861,946 |
| Transfers Out: | | | | |
| School Construction Fund (390) | $8,684,077 | $10,146,149 | $9,916,150 | $7,698,711 |
| School Grants & Self-Supporting Fund (192) | 20,802,447 | 14,367,709 | 14,367,709 | 14,367,709 |
| School Adult & Community Education Fund (193) | 958,836 | 400,000 | 400,000 | 400,000 |
| Consolidated County & Schools Debt Fund (200 & 201) | 3,775,323 | 3,773,723 | 3,773,723 | 3,773,523 |
| Total Transfers Out | $34,220,683 | $28,687,581 | $28,457,582 | $26,239,943 |
| **Total Disbursements** | **$2,096,962,032** | **$2,182,250,696** | **$2,276,709,573** | **$2,246,101,889** |
| **Ending Balance** | **$189,730,689** | **$0** | **$101,811,861** | **$75,000,000** |
| VRS Reserve | $0 | $0 | $44,993,007 | $75,000,000 |
| Employee Compensation Reserve | 0 | 0 | 3,000,000 | 0 |
| Budgeted Beginning Balance | 0 | 0 | 53,818,854 | 0 |
| **Available Ending Balance** | **$189,730,689** | **$0** | **$0** | **$0** |

[1] The *FY 2011 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on December 2, 2010 during their *FY 2011 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2011 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 12, 2011.

# Fund 100
# County Transit Systems

## Mission

To provide safe, reliable, clean and effective public transportation service that complements the other elements of the multi-modal transportation system in Fairfax County and provides a cost-saving alternative to Washington Metropolitan Area Transit Authority (WMATA) Metrobus service. To fund the County's share of operating costs for the Virginia Railway Express (VRE).

## Focus

**FAIRFAX CONNECTOR Bus System**

Fund 100, County Transit Systems, provides funding for operating and capital expenses for the FAIRFAX CONNECTOR bus system. The Fairfax County Department of Transportation (FCDOT) manages, oversees and coordinates the activities of the FAIRFAX CONNECTOR bus system, which in FY 2012 will operate 66 routes providing primarily intra-county service and access to Metrorail stations serving County residents. The system currently includes 220 buses in service, an additional 19 buses on order to meet existing service requirements, and 22 rebuilt buses which serve as a reserve fleet. The FY 2012 budget also includes proposed fleet additions, as noted below, to prepare for service requirements in future years.


Buses operate from three bus operations centers, Huntington, Reston-Herndon and West Ox, owned by the County. Day to day operations are accomplished through private contractor. The Huntington Division provides local service to the Huntington, Van Dorn and Franconia-Springfield Metrorail Stations, express service to the Pentagon Metrorail Station and cross-county service between Springfield and Tysons Corner. The Reston-Herndon Division includes express service from Reston and Herndon to the West Falls Church – VT/UVA Metrorail Station, express service from Reston to the Pentagon and Crystal City, local service between Herndon, Reston, and Tysons Corner, local service within Reston, and cross-county service between Fair Oaks and Reston.

*The new West Ox Bus Operations Center commenced operations on June 29, 2009.*

In June 2009, the West Ox Division became operational, providing service to the Centreville and Chantilly areas in the western part of the County. The Division operates from the West Ox Bus Operations Center, a new facility completed in fall 2008. The facility is jointly funded and occupied by the County and WMATA. The site eventually will support 300 buses. Phase I has a total maximum space for 100 WMATA buses and 75 County buses. Under the Joint Use Agreement with WMATA, WMATA pays its share of on-going operating and maintenance costs to the County. The new center provides more optimal and effective service to the western portion of the County, including FAIRFAX CONNECTOR services that previously replaced WMATA's 12s, 20s and 2W non-regional Metrobus routes, as approved by the Board of Supervisors in February 2006.

In addition to the opening of the new West Ox Bus Operations Center, recent years have included a number of CONNECTOR initiatives. In FY 2008, FAIRFAX CONNECTOR equipped all buses with front-mounted bike racks able to carry two bikes. Bike racks have been well received across the County by FAIRFAX CONNECTOR riders, as they offer a healthier, more environmentally friendly commuting choice.

FCDOT continues its commitment to the Emission Reduction Program. The program includes an idling reduction program, auto shutdown program, reduced horsepower on the 30 foot fleet, and 139 buses with engines that include diesel particulate filters (DPF). The agency has installed DPF filters on many of the older buses, has retired 29 of the older buses and has equipped 45 buses with Engineered Machine Products (EMP) technology. EMP technology electrifies many of the systems, making buses with this technology a Mini-Hybrid and reducing fuel consumption. Additionally, in FY 2012, FCDOT will phase in the use of Diesel Exhaust Fluid (DEF), an exhaust treatment to reduce emissions of nitrogen oxide (NOx) and Volatile Organic Compounds (VOCs), mandated by 2010 Environmental Protection Agency (EPA) regulations for 2010 and future model year buses.

A further initiative was the completion of a bus rebuilding program, enabling FAIRFAX CONNECTOR to take retired buses and create a reserve fleet. This program resulted in the rebuilding of buses with new engines, transmissions, bulkheads, wheelchair lifts and other major components. These rebuilt buses enable the FAIRFAX CONNECTOR to have a more adequate spare ratio to address maintenance requirements, provide more protection to the active fleet, deploy standby buses to provide system reliability and dependability, enable training without impacting service delivery and provide a contingency fleet in the event of unforeseen regional emergencies.

FAIRFAX CONNECTOR service is supported from a combination of sources, including fare and advertising revenue, State Aid held on behalf of Fairfax County at the Northern Virginia Transportation Commission, a transfer of commercial and industrial real estate tax for transportation revenue from Fund 124, County and Regional Transportation Projects, a transfer from Fund 309, Metro Operations and Construction, and a General Fund transfer. Previous years' support of $6.7 million from the Dulles Corridor Grant expired in FY 2011, when the Metropolitan Washington Airports Authority (MWAA), the state's successor in operating the Dulles Toll Road, determined the grant would not be continued. The grant was instrumental in building transit ridership in the Dulles corridor prior to the opening of Metrorail operations in the corridor. In FY 2011, the County utilized a combination of strategies to cover the loss of this important revenue source, including: a reduction in standby bus service to respond to bus breakdowns and overcrowding; the addition of General Fund support to continue CONNECTOR routes and service hours that had been considered for elimination; and the use of one time balances in State Aid held by the Northern Virginia Transportation Commission to meet some of the requirement. In FY 2012, the County continues to support Dulles corridor services with General Fund and State Aid support.

Moderate overall growth in fare revenue receipts is expected in FY 2012, based on current ridership experience, growth in ridership on new County routes in the West Ox Division, and planned route expansions. Under the Board of Supervisors' policy, CONNECTOR bus fares and fare structure follow those of WMATA. The most recent increase in fares was effective in early FY 2011, when fares increased from $1.35 fare to $1.50 (SmarTrip rate). No fare increase is proposed for FY 2012.

**FY 2012 Bus Services Funding**

Total FY 2012 funding of $93,093,696 is proposed for bus services, including $76,949,194 for FAIRFAX CONNECTOR existing and new service, $12,500,000 in one-time funding for the acquisition of 25 new buses, and $3,644,502 for WMATA reimbursable facility and fuel costs at the West Ox Bus Operations Center. It is necessary to order 25 new expansion buses in FY 2012 to provide adequate lead time for the acquisition process for buses supporting transit on future HOT Lanes. Buses are generally funded at least 18 months prior to the anticipated initiation of service, to allow time to for competitive bidding and the building of buses according to specifications. The total FY 2012 bus purchase cost of $12.5 million will be supported through the application of State Aid balances held at the Northern Virginia Transportation Commission. It is noted that the HOT Lanes proposal, a Commonwealth of Virginia Megaproject, was initiated in 2004 as a Public-Private Transportation Act Proposal. The Board of Supervisors endorsed the HOT Lanes concept and provided comments to the state on the proposal on May 10, 2004. The Board later gave conditional concurrence for design plans on June 30, 2008, subject to specific modifications and requests to mitigate the impact on County residents and residential neighborhoods.

Funding is also included in the FY 2012 budget to continue the timely replacement of aging FAIRFAX CONNECTOR buses, in accordance with the Board of Supervisors' approved FAIRFAX CONNECTOR Transit Bus Fleet Replacement Policy, which includes a FAIRFAX CONNECTOR bus replacement schedule based on a 12-year useful life cycle. In FY 2012, as in FY 2011, $5.7 million will support the replacement of 12 FAIRFAX CONNECTOR buses (5 percent of the fleet) that reach established replacement criteria, thus minimizing maintenance issues and ensuring future bus service reliability.

Finally, funding is included in support of a number of CONNECTOR service expansions, as noted below, to support the influx of personnel to Fort Belvoir as a result of the federal Base Realignment and Closure (BRAC) program, and to implement high priority recommendations from the Transportation Development Plan (TDP). Increased support from commercial and industrial tax funding and the General Fund transfer support these expansions to meet the most critical service needs.

**Commercial and Industrial Tax Funding**
Commercial and industrial tax funding of $19.46 million is included in FY 2012, an increase of $3.95 million over the FY 2011 Adopted Budget Plan level. The commercial real estate tax revenue is posted to Fund 124, County and Regional Transportation Projects, and then a portion is transferred to the County Transit Systems budget. In FY 2012, the transportation tax provides continued support for West Ox Division rush hour and midday service, enables the continuation of increased frequencies on overcrowded priority bus routes (Routes 171, 401/402 and 950), and continues support for previous year's service expansions at all three operating divisions. It also allows the addition of a route from Tysons to Dulles Airport, as endorsed by the Board on July 27, 2010; improves the frequency of Richmond Highway corridor routes; and improves the frequency of Route 310 servicing Franconia Road to Rolling Valley, where headways will decrease from every 30 minutes to every 20 minutes.

**General Fund Support**
General Fund support is provided to Fund 100, County Transit Systems, for CONNECTOR requirements and for the County share of the subsidy for commuter rail services operated by the Virginia Railway Express (VRE). The proposed FY 2012 General Fund transfer to Fund 100 is $34,455,482, an increase of $2,463,435, or 7.7 percent, over the FY 2011 Adopted Budget Plan. This increase supports additional CONNECTOR service associated with Fort Belvoir (BRAC), including: a complete restructure of routes 171 and 304 (serving the Laurel Hill/Lorton/Newington area), a new route 309 replacing 305/307 (serving the Richmond Highway/Saratoga area), and a new route 333/334 replacing 331/332 (serving the I-95 area). The General Fund increase also supports the relocation of routes servicing Reston East Park & Ride. The current park and ride site at Wiehle Ave. and the toll road will be permanently closed in March 2011 for the construction of the Wiehle Ave. metro station. There is no change in the level of General Fund support provided for VRE.

**Commuter Rail**
The Board of Supervisors approved the County's participation in the VRE regional rail service on August 1, 1988. The service is a joint effort among the Northern Virginia Transportation Commission, the Potomac and Rappahannock Transportation Commission, the Virginia Department of Rail and Public Transportation, and the participating jurisdictions of Fairfax County, Manassas, Manassas Park, Fredericksburg, Prince William County, and Stafford County. The City of Alexandria and Arlington County are also contributing jurisdictions.

The operation and maintenance costs associated with the commuter rail system are funded from a combination of ridership revenues (which accrue directly to VRE), state contributions and contributions from the participating and contributing local jurisdictions. According to the VRE Master Agreement, at least 50 percent of the operating costs must be paid by passenger fares, with the remainder funded by the participating jurisdictions according to a funding formula. The anticipated FY 2012 Fairfax County subsidy of $4.91 million remains unchanged from the FY 2011 level.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Expenditures: | | | | |
| Bus Services | | | | |
| Huntington | $31,530,156 | $36,222,333 | $42,971,789 | $46,189,762 |
| Reston/Herndon | 20,273,373 | 20,911,273 | 28,412,305 | 26,468,981 |
| West Ox | 11,046,066 | 19,809,012 | 23,615,934 | 20,434,953 |
| Systemwide Projects | 0 | 0 | 1,500,000 | 0 |
| Subtotal - Bus Services, CONNECTOR & WMATA | $62,849,595 | $76,942,618 | $96,500,028 | $93,093,696 |
| Commuter Rail (VRE) | $4,995,534 | $4,906,693 | $4,906,693 | $4,906,693 |
| **Total Expenditures** | **$67,845,129** | **$81,849,311** | **$101,406,721** | **$98,000,389** |
| Income: | | | | |
| Miscellaneous Revenue | $34,080 | $50,000 | $50,000 | $162,778 |
| Fare Revenue* | 5,012,021 | 7,609,193 | 7,609,193 | 6,179,464 |
| State Reimbursement - Dulles | 6,645,000 | 0 | 0 | 0 |
| Tysons Lunch Shuttle Reimbursement | 804,709 | 1,187,886 | 1,187,886 | 0 |
| Advertising Revenue | 125,110 | 200,000 | 200,000 | 200,000 |
| WMATA Reimbursements, West Ox | 2,392,507 | 5,030,475 | 5,030,475 | 3,644,502 |
| State Aid (NVTC) Operations | 13,896,798 | 18,201,878 | 18,201,878 | 18,201,878 |
| State Aid (NVTC) Projects | 3,083,000 | 0 | 1,500,000 | 12,500,000 |
| **Total Income** | **$31,993,225** | **$32,279,432** | **$33,779,432** | **$40,888,622** |
| **Net Cost to the County** | **$35,851,904** | **$49,569,879** | **$67,627,289** | **$57,111,767** |

*Additional fare revenue is received as a credit from the bus operator contractor, rather than as income. In FY 2012, more revenue is anticipated to be received as a credit than was estimated in the FY 2011 budget, with a total of $5,571,068 in cash revenue anticipated to be received as an expenditure credit.

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

♦ **New Bus Purchases** **$12,500,000**
Funding of $12,500,000 is included in the budget for the purchase of 25 buses in preparation for Dulles Metrorail extension service requirements and Transit Development Plan (TDP) recommendations for bus transit on future HOT Lanes. The Board of Supervisors endorsed the HOT Lanes concept on May 10, 2004, and gave conditional concurrence for design plans on June 30, 2008, subject to the inclusion of measures to mitigate the impact on County residents. It is necessary to put buses on order at least 18 months prior to the anticipated initiation of service. The purchase of these buses will be supported through the application of $12.5 million in State Aid balances held at the Northern Virginia Transportation Commission. It is noted that funding of $5,720,000 also is included in the budget for the annual replacement of a portion of the CONNECTOR fleet based on age and maintenance criteria. This funding remains at the same level as in FY 2011, and will allow the replacement of 12 buses, or approximately 5 percent of the fleet, in FY 2012.

- **BRAC and Other Transit Development Plan (TDP) Critical Service** **$4,737,724**
A net increase of $4,737,724 is due to new service expansions supporting the influx of personnel to the Fort Belvoir site resulting from the federal Base Realignment and Closure Plan. It also includes costs of relocating bus services to a new Reston Park and Ride while the Wiehle Ave. Metro station is under construction and critical service to implement high priority recommendations from the Transportation Development Plan (TDP). Critical service recommendations include: a new route 981 servicing Tysons to Dulles Airport, as endorsed by the Board on July 27, 2010; the relocation of routes servicing Reston East Park & Ride, which will be permanently closed in March 2011 in preparation for the construction of the Wiehle Ave. metro station; improved frequency of routes 151/152 in the Richmond Highway corridor, and increased frequency of Route 310 servicing Franconia Road to Rolling Valley, with headways to decrease from every 30 minutes to every 20 minutes. Other service modifications include a complete restructure of routes 171 and 304 (serving the Laurel Hill/Lorton/Newington area); a new route 309 replacing 305/307 (serving the Richmond Highway/Saratoga area); and a new route 333 replacing 331/332 (serving the I-95 area). In addition, Route 380D will be renamed Route 395 and will continue to provide service from Gambrill and North Backlick to the Pentagon.

- **Bus Operations Contract** **$3,328,070**
An increase of $3,328,070 is necessary to support the contractually obligated increase of 7 percent in the bus operations contract.

- **Other CONNECTOR Costs of Operations** **$1,182,903**
An increase of $1,182,903 is necessary to support anticipated increases in insurance, fuel for new bus service hours, and the phasing in of the use of Diesel Exhaust Fluid (DEF), an exhaust treatment to reduce emissions of nitrogen oxide (NOx) and Volatile Organic Compounds (VOCs), as mandated by the Environmental Protection Agency (EPA).

- **Bus Operations Contractor Collection of Fares** **($3,023,760)**
An expenditure decrease of $3,023,760 is included to reflect the terms of the bus operations contract. Under the contract, the bus operations contractor is responsible for the collection of bus fare payments made in cash (fare revenue that is not SmarTrip). The contractor uses this fare revenue to offset its monthly bill to the County, thereby reducing the County's expenditure requirement. This amount was previously anticipated to be received as SmarTrip revenue in the FY 2011 budget, but is now realigned to be reflected as cash fare revenue credited through the contractor bill. It is noted that this adjustment impacts the level of CONNECTOR fare income presented as revenue on the fund statement; however, the combined level of projected fare revenue received as income and as an expenditure credit is actually a moderate increase over the FY 2011 level.

- **WMATA Facility and Service Costs at West Ox** **($1,385,973)**
A decrease of $1,385,973 in expenditures and associated WMATA reimbursements, is based on actual WMATA operational requirements at the West Ox Bus Operations Center, based on experience in WMATA's first year of operations at that site (FY 2010). Under the Joint Use Agreement, WMATA pays its share of on-going operating and maintenance costs to the County.

- **Tysons Lunch Shuttle** **($1,187,886)**
A decrease of $1,187,886 in both revenue and expenditures results from the discontinuation of the Tysons Lunch Shuttle, supported through state reimbursements under the Virginia Megaprojects Transportation Management Program. The two midday lunch shuttles, operating at 10-minute headways in Tysons Corner, were approved by the Board of Supervisors and implemented in fall 2009 as part of the Dulles Rail Transportation Management Plan. In response to Board Matters presented on July 27, 2010 and September 28, 2010, FCDOT terminated the Tysons CONNECTOR service December 31, 2010. The County has requested the state to apply its funding for this service to other transit service within the Dulles Corridor prior to the opening of Phase 1 of the rail extension to Wiehle Avenue.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$19,557,410**

  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved $19,557,410, including $16,410,850 in encumbered carryover for previously approved Northern Virginia Transportation Commission (NVTC) projects and services not yet billed, unencumbered carryover of $1,646,560, and an adjustment of $1,500,000 to appropriate NVTC funds for a countywide transit network study. The unencumbered carryover of $1,646,560 results from delays in implementation of previously approved infrastructure projects supported by appropriated State Aid revenue held at NVTC. NVTC revenues in support of these expenditures were received in FY 2010 and prior years, but project implementation was delayed until the transition to a new bus operations contractor took place. The items included $136,121 for security cameras for the effective monitoring of the CONNECTOR's revenue handling process; $750,945 to complete critical repairs to the Reston/Herndon Bus Operations Center that provide a roof replacement, a bus wash replacement system conforming to EPA clean water standards, and electrical upgrades; and $500,000 to study and evaluate the current CONNECTOR bus operations contract model to identify potential cost efficient alternatives for the future for which a consultant is still being identified. The balance of $259,494 in unencumbered carryover was based on new price requirements for replacement buses. The adjustment of $1,500,000 to appropriate NVTC revenues for a countywide transit network study was to address long range planning requirements associated with the need for a countywide high quality interconnected transit network. The study will assess the Enhanced Public Transportation Corridors presently included in the County's Transportation Planning, will consider transit-related planning initiatives by other entities and jurisdictions in the region, and will assess transit modes applicable to high speed transit and the logical evolution of transportation modes over time in various transportation corridors.

## Cost Centers

There are two cost centers in Fund 100, County Transit Systems. The first represents the FAIRFAX CONNECTOR bus service, including three divisions, Huntington, Reston-Herndon, and West Ox. The second cost center is focused on Commuter Rail, the Virginia Railway Express (VRE).

## Fairfax CONNECTOR

## Key Performance Measures

### Objectives

- To provide service to 9,980,000 Fairfax CONNECTOR passengers in FY 2012, an increase of 1.23 percent.

- To provide an exemplary transit bus system, which is cost effective and competitive in the Washington Metropolitan Region by providing 694,183 platform hours of service and 10,646,752 platform miles of service in FY 2012.

# Fund 100
# County Transit Systems

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Authorized fleet size | 202 | 220 | 220 / 220 | 239 | 264 |
| Routes served | 57 | 58 | 63 / 63 | 67 | 66 |
| Passengers transported | 9,810,228 | 9,576,635 | 9,624,741 / 9,643,793 | 9,858,630 | 9,980,000 |
| Platform hours provided | 546,260 | 541,458 | 672,530 / 587,173 | 635,540 | 694,183 |
| Platform miles provided | 8,113,184 | 7,710,795 | 10,879,003 / 8,902,255 | 9,735,086 | 10,646,752 |
| Revenue hours | 476,988 | 475,870 | 588,249 / 531,378 | 579,661 | 633,300 |
| Revenue miles generated | 7,101,744 | 6,803,738 | 8,929,372 / 7,714,382 | 8,604,674 | 9,422,338 |
| **Efficiency:** | | | | | |
| Passengers/revenue mile | 1.38 | 1.41 | 1.08 / 1.25 | 1.15 | 1.06 |
| Operating costs (1) | $46,574,998 | $45,015,820 | $63,267,658 / $57,934,861 | $68,739,450 | $76,800,262 |
| Farebox revenue | $5,829,294 | $7,979,136 | $9,823,583 / $9,379,386 | $10,156,500 | $11,750,532 |
| Operating subsidy | $40,745,704 | $37,036,684 | $53,444,075 / $48,555,025 | $58,582,950 | $65,049,730 |
| Farebox revenue as a percent of operating costs | 12.52% | 17.73% | 15.53% / 16.19% | 14.78% | 15.30% |
| Operating cost/passenger | $4.75 | $4.70 | $6.57 / $6.01 | $6.97 | $7.70 |
| Operating subsidy/passenger | $4.15 | $3.87 | $5.55 / $5.03 | $5.94 | $6.52 |
| Operating cost/platform hour | $85.26 | $83.14 | $94.07 / $98.67 | $108.16 | $110.63 |
| Operating cost/platform mile | $5.74 | $5.84 | $5.82 / $6.51 | $7.06 | $7.21 |
| **Service Quality:** | | | | | |
| Complaints per 100,000 passengers | 13 | 8 | 17 / 7 | 7 | 7 |
| **Outcome:** | | | | | |
| Percent change in FAIRFAX CONNECTOR passengers | 0.96% | (2.38%) | 0.50% / 0.70% | 2.23% | 1.23% |
| Percent change in service provided for platform hours | 0.70% | (0.88%) | 24.21% / 8.44% | 8.24% | 9.20% |
| Percent change in service provided for platform miles | 0.78% | (4.96%) | 41.09% / 15.45% | 9.36% | 9.40% |

(1) Excludes WMATA bus services operated from West Ox Bus Operations Center. Also excludes the expenditure credit related to cash bus fare revenue collected by the contractor.

## Performance Measurement Results

CONNECTOR ridership in both FY 2009 and FY 2010 was impacted by the economic downturn, resulting in fewer working commuters. However, FY 2010 ridership levels did show a marginal increase over the prior year actuals, despite FY 2010 route eliminations and service reductions to meet targeted General Fund reductions. Some of this ridership growth was attributable to new ridership associated with the opening of a third division at the West Ox Bus Operations Center. In FY 2011 and FY 2012, the expansion of critical high priority routes (supported by commercial real estate tax revenue), the creation of a new route between Tysons and Dulles Airport, and proposed General Fund supported transit expansions associated with BRAC (Fort Belvoir growth) are anticipated to return ridership counts to pre- FY 2009 levels. FY 2012 ridership is very conservatively projected to reflect anticipated growth of 1.23 percent.

The Efficiency indicator charted above for "passengers per revenue mile" reflects the changing annual composition of CONNECTOR routes. New routes and service hours implemented in FY 2010 and following years in part served the transit needs in the western part of the County, where there are fewer passengers per revenue mile because there are more miles to travel between the western County passenger boarding points and transit center/Metro station destinations. The FAIRFAX CONNECTOR will continue to evaluate all FY 2012 routes to maximize ridership while at the same time achieving the best alignment of service to balance commuter needs during rush hours and the needs of riders who depend on bus service at other hours as their only means of transportation.

## Commuter Rail

## Key Performance Measures

### Objectives

- To provide a reliable alternative mode of transportation to Fairfax County residents utilizing the Virginia Railway Express (VRE).

| Indicator | Prior Year Actuals<br>FY 2008<br>Actual | <br>FY 2009<br>Actual | <br>FY 2010<br>Estimate/Actual | Current Estimate<br>FY 2011 | Future Estimate<br>FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Annual Fairfax County VRE subsidy ($ in millions) | $4.70 | $5.51 | $5.00 / $5.00 | $4.91 | $4.91 |
| Daily trains operated | 30 | 30 | 30 / 30 | 30 | 30 |
| Stations maintained in Fairfax County | 5 | 5 | 5 / 5 | 5 | 5 |
| Parking spaces provided in Fairfax County | 2,955 | 2,955 | 2,955 / 2,955 | 2,955 | 2,955 |
| Daily a.m. boardings at Fairfax County stations | 1,896 | 1,605 | 1,653 / 1,746 | 1,798 | 1,852 |
| Estimated annual boardings / alightings at Fairfax County stations | 929,040 | 786,450 | 810,043 / 855,540 | 881,020 | 907,636 |
| **Efficiency:** | | | | | |
| Cost per County VRE trip | $5.06 | $7.00 | $6.17 / $5.84 | $5.57 | $5.41 |
| **Outcome:** | | | | | |
| Percent change in VRE passengers boarding at stations in Fairfax County | 23.3% | (15.3%) | 3.0% / 8.8% | 3.0% | 3.0% |

## Performance Measurement Results

VRE annual ridership continues to increase. FY 2012 ridership is projected to rise another 3 percent over the current year, following ridership growth of nearly 9 percent in FY 2010 and projected 3 percent in FY 2011. Ridership growth is fueled by a number of factors, including an increased FY 2010 federal government subsidy for commuter fares, VRE operational efficiencies such as new rail cars and extended platforms, and more conveniently located maintenance yards where trains can be parked midday (thus reducing the operating costs of running trains far away to a distant maintenance yard for parking). The previous FY 2009 dip in ridership appears to have been a temporary event, resulting in part from the elimination of County EZ bus service transporting passengers from temporary satellite lots to the Burke VRE Station while the new Burke VRE garage was being constructed. Once the garage was operational, commuters adjusted their parking pattern to using the new garage, and FY 2010 ridership began to grow once again. Operational efficiencies and increased ridership have had a positive impact on the County VRE subsidy and subsequent cost per County VRE trip. The cost per trip for a County VRE user is projected to decrease in FY 2012 to $5.41 per trip from the estimated FY 2011 level of $5.57 per trip.

VRE continues to implement a number of operational and capital efforts to address on-time performance issues. These efforts are anticipated to have a positive impact on present and future ridership in the system.

# Fund 100
# County Transit Systems

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** **Fund 100, County Transit Systems**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$20,469,602** | **$981,250** | **$23,678,258** | **$981,250** |
| Revenue: | | | | |
| Miscellaneous Revenue[1] | $34,080 | $50,000 | $50,000 | $162,778 |
| SmarTrip Revenue[2] | 5,012,021 | 7,609,193 | 7,609,193 | 6,179,464 |
| State Reimbursement - Dulles[3] | 6,645,000 | 0 | 0 | 0 |
| Tysons Lunch Shuttle Reimbursement[4] | 804,709 | 1,187,886 | 1,187,886 | 0 |
| Bus Advertising | 125,110 | 200,000 | 200,000 | 200,000 |
| WMATA Reimbursements, West Ox Bus Operations Center[5] | 2,392,507 | 5,030,475 | 5,030,475 | 3,644,502 |
| State Aid (NVTC) Operations[6] | 13,896,798 | 18,201,878 | 18,201,878 | 18,201,878 |
| State Aid (NVTC) Projects[7, 8] | 3,083,000 | 0 | 1,500,000 | 12,500,000 |
| Total Revenue | $31,993,225 | $32,279,432 | $33,779,432 | $40,888,622 |
| Transfers In: | | | | |
| General Fund (001)[9] | $21,562,367 | $31,992,047 | $31,992,047 | $34,455,482 |
| County and Regional Transportation Projects (124)[10] | 15,507,212 | 15,507,212 | 10,867,614 | 19,459,472 |
| Metro Operations and Construction (309) | 1,990,981 | 2,070,620 | 2,070,620 | 2,215,563 |
| Total Transfers In | $39,060,560 | $49,569,879 | $44,930,281 | $56,130,517 |
| **Total Available** | **$91,523,387** | **$82,830,561** | **$102,387,971** | **$98,000,389** |
| Expenditures: | | | | |
| FAIRFAX CONNECTOR | | | | |
| Huntington Division | | | | |
| Operating Expenses | $25,655,021 | $30,502,333 | $36,931,174 | $32,969,762 |
| Capital Equipment[8] | 5,875,135 | 5,720,000 | 6,040,615 | 13,220,000 |
| Subtotal - Huntington Division | $31,530,156 | $36,222,333 | $42,971,789 | $46,189,762 |
| Reston-Herndon Division | | | | |
| Operating Expenses | $19,610,956 | $20,911,273 | $27,586,360 | $23,468,981 |
| Capital Equipment[8] | 0 | 0 | 75,000 | 3,000,000 |
| Capital Projects | 662,417 | 0 | 750,945 | 0 |
| Subtotal - Reston-Herndon | $20,273,373 | $20,911,273 | $28,412,305 | $26,468,981 |
| West Ox Division, County CONNECTOR | | | | |
| Operating Expenses[4] | $8,312,861 | $14,778,537 | $18,585,459 | $14,790,451 |
| Capital Equipment[8] | 340,698 | 0 | 0 | 2,000,000 |
| Subtotal - West Ox Division, County | $8,653,559 | $14,778,537 | $18,585,459 | $16,790,451 |
| West Ox Division, WMATA[5] | $2,392,507 | $5,030,475 | $5,030,475 | $3,644,502 |
| Subtotal - West Ox Division, County and WMATA | $11,046,066 | $19,809,012 | $23,615,934 | $20,434,953 |
| Total CONNECTOR Service | $60,457,088 | $71,912,143 | $89,969,553 | $89,449,194 |

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** | **Fund 100, County Transit Systems**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Total WMATA Service | $2,392,507 | $5,030,475 | $5,030,475 | $3,644,502 |
| Total Bus Services, CONNECTOR & WMATA | $62,849,595 | $76,942,618 | $95,000,028 | $93,093,696 |
| Systemwide Projects[7] | $0 | $0 | $1,500,000 | $0 |
| Commuter Rail[11] | 4,995,534 | 4,906,693 | 4,906,693 | 4,906,693 |
| Total Expenditures[2] | $67,845,129 | $81,849,311 | $101,406,721 | $98,000,389 |
| **Total Disbursements** | **$67,845,129** | **$81,849,311** | **$101,406,721** | **$98,000,389** |
| | | | | |
| **Ending Balance[12]** | **$23,678,258** | **$981,250** | **$981,250** | **$0** |
| Transportation-Related Requirements | $981,250 | $981,250 | $981,250 | $0 |
| Reserve for Commercial and Industrial Tax[13] | 4,639,598 | 0 | 0 | 0 |
| **Unreserved Balance** | **$18,057,410** | **$0** | **$0** | **$0** |

[1] Miscellaneous revenue includes such items as reimbursement from the Washington Metropolitan Area Transit Authority (WMATA) for the value of WMATA tokens collected on FAIRFAX CONNECTOR routes, insurance recoveries, and miscellaneous developer contributions.

[2] Fare revenue is received either directly by the County as SmarTrip fare payments, or indirectly through the contractor, who credits cash receipts to the monthly bus operations contract bill. FY 2012, Smart Trip fare revenue goes down by $1.4 million, but contractor expenditure credits for cash fares received goes up by $3.0 million (decreasing CONNECTOR expenditures), for a net growth of in fare revenue of $1.6 million. This fare revenue growth is projected as a result of ridership experience and planned service expansions.

[3] Prior to FY 2011, the Virginia Department of Rail and Public Transportation (VDRPT) and then the Metropolitan Washington Airports Authority (MWAA) had provided funding for the Dulles Corridor Rapid Transit Project to order to build transit use in the corridor prior to the opening of the Dulles metrorail extension. MWAA discontinued this grant in FY 2011.

[4] In November 2009, the County initiated the Tysons Lunch Shuttle, as part of a collaborative effort with the State to minimize midday traffic in the Tysons area during the construction of Dulles rail. The State has provided reimbursements for this service through the Virginia Megaprojects Transportation Management Plan. This service was discontinued in early 2011 due to limited consumer use.

[5] WMATA reimburses the County for its share of space at the West Ox Bus Operations Center, a joint use facility for WMATA and the County CONNECTOR. WMATA initiated operations from this site in spring 2009. Both WMATA expenditures and the offsetting WMATA reimbursement is lowered in FY 2012 to more accurately reflect the actual experience to date.

[6] State Aid for mass transit is disbursed to the Northern Virginia Transportation Commission (NVTC), where it is made available to the County. Beginning in FY 2009, State Aid operating support was first made available due to the addition to NVTC balances of recordation fees, state bonds for transit capital and the redirection of funds from closed out transit projects.

[7] State Aid for Projects may support one time infrastructure or equipment requirements. As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved the appropriation of $1,500,000 in State Aid for a countywide transit network study. This will be a multimodal study that will include an evaluation of efforts underway by other entities and jurisdictions in the region.

[8] It is noted that FY 2012 capital equipment purchases for all three divisions total to $18,220,000, including $5,720,000 (the same level as in FY 2011) for the continuation of the CONNECTOR bus replacement program based on a 12 year bus life cycle, and $12,500,000 for the purchase of 25 new buses funded by State Aid. An 18 month lead time is required for the acquisition of these buses prior to the implementation of any new service in support of transit connections for beltway HOT lanes to the Tysons district.

[9] The FY 2012 proposed transfer increase includes an increase of $2,463,435 in support of new bus service for Fort Belvoir (BRAC), and service adjustments due to the relocation of the Reston East Park and Ride to permit the construction of the Wiehle Ave. metro station at that site.

[10] A transfer from Fund 124, County and Regional Transportation Project, was implemented in FY 2010 to support the expansion of additional bus transit services and the opening of the new West Ox Bus Operations Center. The source of these funds is annual revenue available from the 11 cent commercial and industrial tax for transportation, as approved by the Board of Supervisors. The state Transportation Funding and Reform Act of 2007 (HB 3202) provided the enabling legislation for this tax, which was first implemented in FY 2009.

[11] Fairfax County participates in the VRE Master Agreement, and provides an annual subsidy to Virginia Railway Express (VRE) operations and construction.

[12] The fund balance in Fund 100, County Transit Systems, fluctuates based on projected operating and capital equipment requirements. These costs change annually and a substantial percentage of unspent funding is carried forward each year, thus resulting in changes to the ending balance.

[13] The FY 2010 reserve of commercial and industrial (C&I) tax funds holds C&I funds not used in FY 2010 due to delays in the implementation of expanded bus service. These reserved funds were used to decrease the FY 2011 C&I transfer requirement from Fund 124, County and Regional Transportation Projects.

# Fund 102
# Federal/State Grant Fund

## Mission

To provide reserves for unanticipated and anticipated grants awarded to Fairfax County from federal, state, and other funding sources. The reserves enable Fairfax County to accept grant funding to enhance services provided to the residents of Fairfax County.

## Focus

In order to provide a comprehensive summary of grant awards to be received by the County in FY 2012, awards *already received* and awards *anticipated to be received* by the County for FY 2012 are included in the Fund 102, Federal/State Grant Fund budget. The total FY 2012 appropriation within Fund 102, Federal/State Grant Fund is $67,818,214, an increase of $4,857,305 or 7.7 percent, over the FY 2011 Adopted Budget Plan total of $62,960,909.

In July 2011, the County is implementing an integrated finance, budget, purchasing and human resources computer system. As a result, some Department of Family Services funding previously classified as a grant in Fund 102, Federal/State Grant Fund no longer meets the grant definition of the new computer system and thus needs to be transferred to the General Fund. Additionally, grant funding associated with Fund 103, Aging Grants and Programs is being consolidated into Fund 102, Federal/State Grants Fund. It is anticipated that remaining FY 2011 funding and associated positions will be transferred as part of the *FY 2011 Carryover Review*. (Note, some funding previously classified as a grant in Fund 103, Aging Grants and Programs that no longer meets the grant definition of the new computer system will be transferred to Agency 67, Department of Family Services or Agency 79, Department of Neighborhood and Community Services in the General Fund.) Corresponding adjustments have been made in Agency 67, Department of Family Services and Fund 103, Aging Grants and Programs, for no net impact to the County.

In order to secure grant funding, the grantor often requires that a certain percentage of funds be matched from local funding sources. In FY 2012, the General Fund commitment for Local Cash Match totals $4,250,852, an increase of $1,336,851, or 45.9 percent, from the total FY 2011 anticipated need for Local Cash Match of $2,914,001. It should be noted that the increase is primarily the result of the consolidation of Fund 103, Aging Grants and Programs into Fund 102, Federal/State Grant Fund offset by a decrease due to the transfer of the Department of Family Services grants to the General Fund.

Prior appropriation of the anticipated grants will allow for grants to be handled in an expeditious manner when actual awards are received. As specific grants are awarded and approved, a supplemental appropriation of the required funds is made to the specific agency or agencies administering a grant. This increase in a specific agency grant appropriation is offset by a concurrent decrease in the grant reserve. The list of anticipated grants to be received in FY 2012 was developed based on prior awards and the most recent information available concerning future awards. A detailed list of grant programs by agency, including a description of the grant programs funded, the number of positions supported, the residents served, and the funding sources (federal/state grant funds, General Fund support, and other support) is included. In addition, an amount of $5,075,000 is included as part of the reserve to allow for grant awards that cannot be anticipated.

Effective September 1, 2004, the Board of Supervisors established new County policy for grant applications and awards that meet certain requirements. If a grant is $100,000 or less, with a required Local Cash Match of $25,000 or less, with no significant policy implications, and if the grantor does not require Board of Supervisors' approval, the agency can work directly with the Department of Management and Budget to receive the award and reallocate funding from the anticipated/unanticipated reserve directly to the agency. Please note it is anticipated that this policy will be reviewed and updated based on the requirements of the new computer system. If an award exceeds these limitations but was listed in the Anticipated Grant Awards table in the Adopted Budget for the current fiscal year, the Board of Supervisors' approval is not required unless the actual funding received differs significantly from the projected funding listed in the budget. For any grant that does not meet all of the specified criteria, the agency must obtain Board of Supervisors' approval in order to apply for or accept the grant award.

**Funding in Reserve within Fund 102**

An amount of $67,818,214 is included in FY 2012 as a reserve for grant awards. Grant awards are principally funded by two general sources – federal/state grant funding and Local Cash Match. The FY 2012 reserves for each of these sources are estimated for anticipated grant awards and for unanticipated grant awards. The Reserve for Grant Funding and the Reserve for Local Cash Match are shown on the fund statement as both estimated revenue and estimated expenditures.

In FY 2012, the Reserve for Grant funding is $63,567,362, including the Reserve for Anticipated Grant Funding of $58,567,362 and the Reserve for Unanticipated Grant Funding of $5,000,000. This reflects an increase of $3,520,454, or 5.9 percent, over the FY 2011 Adopted Budget Plan Reserve for Grant Funding of $60,046,908. This is primarily attributed to an increase in estimated funding for grants in the Department of Family Services, Health Department, Office to Prevent and End Homelessness, Juvenile and Domestic Relations District Court, and the Office of Emergency Preparedness, as well as an increase in the Reserve for Unanticipated Grant Funding. This is offset by a decrease due to the transfer of the Department of Family Services grants to the General Fund.

In FY 2012, the Reserve for Local Cash Match is $4,250,852 including the Reserve for Anticipated Local Cash Match of $4,175,852 and the Reserve for Unanticipated Local Cash Match of $75,000. This reflects an increase of $1,336,851, or 45.9 percent, from the FY 2011 Adopted Budget Plan Reserve for Local Cash Match of $2,914,001. The increase in Local Cash Match requirements is due primarily to the consolidation of Fund 103, Aging Grants and Programs into Fund 102, Federal/State Grant Fund, as well as an increase in requirements for the Department of Transportation, the Department of Neighborhood and Community Services and Police Department. This is offset by a decrease in Local Cash Match requirements due to the transfer of the Department of Family Services grants to the General Fund. The Reserve for Local Cash Match is a projection of the County contributions required for anticipated and unanticipated grant awards. The anticipated Local Cash Match required by agencies is as follows:

| AGENCY | FY 2012 ADVERTISED LOCAL CASH MATCH |
|---|---|
| Department of Transportation | $140,000 |
| Department of Family Services | $3,333,931 |
| Office to Prevent and End Homelessness | $500,837 |
| Department of Neighborhood and Community Services | $109,957 |
| Juvenile and Domestic Relations District Court | $9,089 |
| Police Department | $46,050 |
| Fire and Rescue Department | $35,988 |
| Reserve for Unanticipated Grant Awards | $75,000 |
| **Total** | **$4,250,852** |

The following table provides funding levels for the FY 2012 Advertised Budget Plan for the fund including the awards in the reserves for anticipated and unanticipated awards. Actual grant awards received in FY 2012 may differ from the attached list.

| FY 2012 ANTICIPATED GRANT AWARDS | | | | | |
|---|---|---|---|---|---|
| FY 2012 ANTICIPATED GRANT AWARDS | FY 2012 GRANT FUNDED POSITION/SYE | FY 2012 TOTAL PROJECTED FUNDING | SOURCES OF FUNDING | | |
| | | | GENERAL FUND | FEDERAL/ STATE | OTHER |
| **Office of Human Rights and Equity Programs** | | | | | |
| U.S. Equal Employment Opportunity Commission Contract (39005G) | 2/2.0 | $84,600 | $0 | $84,600 | $0 |
| The U.S. Equal Employment Opportunity Commission (EEOC) program is the result of a contractual agreement reached between the Fairfax County Office of Human Rights and the Federal EEOC. This agreement requires the Office of Human Rights to investigate complaints of employment discrimination in Fairfax County. Any individual who applies for employment or is employed in Fairfax County is eligible to use these services. | | | | | |
| HUD Fair Housing Complaints Grant (39006G) | 3/3.0 | $60,000 | $0 | $60,000 | $0 |
| The U.S. Department of Housing and Urban Development (HUD) provides funding to assist the Fairfax County Office of Human Rights and Equity Programs, Human Rights Division, with its education and outreach program on fair housing and to enforce compliance (includes investigating complaints of illegal housing discrimination in Fairfax County) with the County's Fair Housing Act. | | | | | |
| **TOTAL–OFFICE OF HUMAN RIGHTS AND EQUITY PROGRAMS** | **5/5.0** | **$144,600** | **$0** | **$144,600** | **$0** |
| **Department of Transportation** | | | | | |
| Marketing and Ridesharing Program (40001G) | 7/7.0 | $700,000 | $140,000 | $560,000 | $0 |
| The Virginia Department of Transportation Marketing and Ridesharing grant encourages commuters to rideshare, assists commuters in their ridesharing efforts, and promotes the use of Fairfax County bus and rail services. Any County resident or any non-County resident working in Fairfax County may use this program. A 20 percent Local Cash Match is required. | | | | | |
| Employer Outreach Program (40013G) | 2/2.0 | $203,410 | $0 | $203,410 | $0 |
| Congestion Mitigation Air Quality (CMAQ) funds provided via the Metropolitan Washington Council of Governments and the Virginia Department of Rail and Public Transportation for the Employer Outreach Program are used to decrease air pollution by promoting alternative commuting modes. Transportation Demand Management programs, customized for each participant employment site, are implemented in partnership between the employer and the County. | | | | | |
| CMAQ Countywide Transit Stores (40017G) | 0/0.0 | $450,000 | $0 | $450,000 | $0 |
| Transportation Efficiency Improvement funds provided by the Virginia Department of Rail and Public Transportation for the Springfield Mall Transit Store are used to provide an Information Center at the Springfield Mall. The Information Center provides information regarding the status of the interchange project and answers to residents' questions about the project. | | | | | |
| Base Realignment and Closure Act (BRAC) (40021G) | 6/6.0 | $683,940 | $0 | $683,940 | $0 |
| These funds from the Office of Economic Adjustment, Department of Defense, will be used to undertake studies of transportation, land use and public facilities impacts associated with the 2005 BRAC actions at Fort Belvoir. Funding for the required Local Cash Match of 10 percent is anticipated to come from an In-kind match. It should be noted that 3/3.0 SYE positions supporting this grant are located in Agency 35, Department of Planning and Zoning and that 3/3.0 SYE positions supporting this grant are located in Agency 40, Department of Transportation. | | | | | |

| FY 2012 ANTICIPATED GRANT AWARDS | | | | | |
|---|---|---|---|---|---|
| | | | SOURCES OF FUNDING | | |
| FY 2012 ANTICIPATED GRANT AWARDS | FY 2012 GRANT FUNDED POSITION/SYE | FY 2012 TOTAL PROJECTED FUNDING | GENERAL FUND | FEDERAL/ STATE | OTHER |
| Transportation Projects (TBD) | 0/0.0 | $2,500,000 | $0 | $2,000,000 | $500,000 |
| The Department of Transportation receives funding for transportation projects from various sources, including the Congestion Mitigation Air Quality (CMAQ) program, Regional Surface Transportation Program (RSTP), Job Access/Reverse Commute (JARC) program, and Federal Appropriations. Due to appropriation cycle of the federal government it is unknown specifically how much Fairfax County will receive in FY 2012; however, it is anticipated that the County will receive at least $2,500,000, including $500,000 in Local Cash Match. Please note, funding in Fund 124, County and Regional Transportation Projects will be used to meet the Local Cash Match requirements. The Department of Transportation will formally notify the Board of Supervisors and obtain the Board's concurrence prior to spending these funds. | | | | | |
| **TOTAL – DEPARTMENT OF TRANSPORTATION** | **15/15.0** | **$4,537,350** | **$140,000** | **$3,897,350** | **$500,000** |
| **Fairfax County Public Library** | | | | | |
| **E-Rate Reimbursements (52011G)** | **0/0.0** | **$74,310** | **$0** | **$74,310** | **$0** |
| The Federal Communications Commission (FCC) E-Rate Reimbursements program provides affordable access to modern telecommunications and information systems through reimbursements to vendors that participate in the Schools and Libraries Universal Service Program. | | | | | |
| **Department of Family Services** | | | | | |
| Women's Business Center (67201G) | 0/0.0 | $0 | $0 | $0 | $0 |
| The Women's Business Center is the result of an agreement reached between the Fairfax County Office for Women and the U.S. Small Business Administration (SBA). This is a cooperative agreement with the Community Business Partnership, the Northern Virginia Small Business Development Center, and the Enterprise Center of George Mason University to establish the first Women's Business Center program in Virginia, which will provide technical assistance to women business owners.<br><br>Please note due to the replacement of the County's legacy computer system, which will replace finance, budget, purchasing and human resources computer systems, in July 2011, this grant will be moved to Agency 67, Department of Family Services General Fund. | | | | | |
| Sexual Assault Treatment and Prevention (67202G) | 3/1.5 | $96,242 | $0 | $96,242 | $0 |
| The Department of Criminal Justice Services provides funding through federal Victims of Crime Act (VOCA) monies to provide trauma recovery treatment for victims of sexual assault and outreach to community groups and service provider to expand their knowledge of sexual violence issues and available services within the community. It should be noted that this grant (formerly 75030G) was transferred from Fund 106, Fairfax-Falls Church Community Services Board to the Department of Family Services as part of the consolidation of domestic and sexual violence services. | | | | | |
| V-Stop (67203G) | 1/0.5 | $29,457 | $0 | $29,457 | $0 |
| The Department of Criminal Justice Services provides funding through federal Violence Against Women Act (VAWA) monies to provide one part-time volunteer coordinator for the Victim Assistance Network (VAN). Volunteers are then trained to staff VAN's 24-hour hotline for sexual and domestic violence calls, facilitate domestic violence and sexual assault supports groups, provide community education and assist with office duties. It should be noted that this grant (formerly 75053G) was transferred from Fund 106, Fairfax-Falls Church Community Services Board to the Department of Family Services as part of the consolidation of domestic and sexual violence services. | | | | | |
| Domestic Violence Crisis (67204G) | 0/0.0 | $30,000 | $0 | $30,000 | $0 |
| The Virginia Department of Social Services provides funding to assist victims of domestic violence and their families who are in crisis. The grant supports one apartment unit at the Women's Shelter, as well as basic necessities such as groceries and utilities. It should be noted that this grant (formerly 75063G) was transferred from Fund 106, Fairfax-Falls Church Community Services Board to the Department of Family Services as part of the consolidation of domestic and sexual violence services. | | | | | |

| FY 2012 ANTICIPATED GRANT AWARDS | | | | | |
|---|---|---|---|---|---|
| FY 2012 ANTICIPATED GRANT AWARDS | FY 2012 GRANT FUNDED POSITION/SYE | FY 2012 TOTAL PROJECTED FUNDING | SOURCES OF FUNDING | | |
| | | | GENERAL FUND | FEDERAL/ STATE | OTHER |
| ***Workforce Investment Act (WIA)*** | | | | | |
| Fairfax County receives funding from the U.S. Department of Labor for the Workforce Investment Act (WIA) of 1998. WIA is a work-first approach to employment and training for adults, youth and dislocated workers. Funding in the following programs is anticipated. | | | | | |
| WIA Adult Program (67300G) | 12/12.0 | $612,624 | $0 | $612,624 | $0 |
| The WIA Adult Program focuses on meeting needs of businesses for skilled workers and individuals' training and employment needs. Easy access to information and services is provided through a system of One-Stop centers. Services may include job search and placement assistance, labor market information, assessment of skills, follow-up services after employment, group and individual counseling, training services directly linked to job opportunities in the local area, and other services for dislocated workers. Services provided directly by the County totals $533,027 while services provided by the Skill Source Group totals $79,597. | | | | | |
| WIA Youth Program (67302G) | 7/7.0 | $597,477 | $0 | $597,477 | $0 |
| The WIA Youth Program focuses on preparation for post-secondary educational opportunities or employment by linking academic and occupational learning. Programs include tutoring, study skills training and instruction leading to completion of secondary school, alternative school services, mentoring by adults, paid and unpaid work experience, occupational skills training, leadership development, support services and other services for disadvantaged youth ages 14 to 21. Services provided directly by the County totals $501,350 while services provided by the Skill Source Group totals $96,127. | | | | | |
| WIA Dislocated Worker Program (67304G) | 13/13.0 | $1,411,871 | $0 | $1,411,871 | $0 |
| The WIA Dislocated Worker Program focuses on meeting the business needs for skilled workers and individuals' training and employment needs. Easy access to information and services is provided through a system of One-Stop Centers. Services may include job search and placement assistance, labor market information, assessment of skills, follow-up services after employment, group and individual counseling, training services directly linked to job opportunities in the local area and other services for dislocated workers. Services provided directly by the County totals $927,030 while services provided by the Skill Source Group totals $484,841. | | | | | |
| ***Subtotal--WIA*** | **32/32.0** | **$2,621,972** | **$0** | **$2,621,972** | **$0** |
| Fraud FREE Program (67312G) | 4/4.0 | $0 | $0 | $0 | $0 |
| The Fraud Recovery Special Fund provides funding for a Fairfax County Fraud Investigation Unit. Staff assigned to this unit has the responsibility to assess any indications of fraud in a variety of County-administered welfare programs such as Temporary Assistance for Needy Families, Food Stamps, and Medicaid.<br><br>Please note due to the replacement of the County's legacy computer system, which will replace finance, budget, purchasing and human resources computer systems, in July 2011, this grant will be moved to Agency 67, Department of Family Services General Fund. It is anticipated that these positions will be transferred as part of the *FY 2011 Carryover Review*. | | | | | |
| Virginia Serious and Violent Offender Re-Entry (VASAVOR) (67321G) | 1/1.0 | $123,760 | $0 | $123,760 | $0 |
| The Virginia Serious and Violent Offender Re-Entry (VASAVOR) program provides services to ex-offenders recently released from prison. Services include job skills training, education, career assessment, employment counseling and job seeking skills. | | | | | |
| Fairfax Bridges to Success (67325G) | 3/3.0 | $252,835 | $0 | $252,835 | $0 |
| The U.S. Department of Health and Human Services provides this funding through the Virginia Department of Social Services to facilitate successful employment and movement toward self-sufficiency for Temporary Assistance for Needy Families (TANF) participants who have disabilities. This program combines the former TANF Hard-to-Serve (67314G) and the TANF Job Retention/Wage Advancement (67318G) grants into a single award. | | | | | |

# Fund 102
# Federal/State Grant Fund

| FY 2012 ANTICIPATED GRANT AWARDS | | | | | |
|---|---|---|---|---|---|
| FY 2012 ANTICIPATED GRANT AWARDS | FY 2012 GRANT FUNDED POSITION/SYE | FY 2012 TOTAL PROJECTED FUNDING | SOURCES OF FUNDING | | |
| | | | GENERAL FUND | FEDERAL/ STATE | OTHER |
| Inova Health System (67329G) | 12/12.0 | $851,110 | $0 | $0 | $851,110 |
| Funding under the Inova Health Systems grant covers the personnel costs of grant eligibility workers stationed at the Inova Fairfax and Inova Mount Vernon hospitals for the purposes of identifying, accepting and processing applications for financial/medical assistance of County residents who are at the time hospitalized. Inova reimburses Fairfax County for 100 percent of all personnel services costs (salary and County benefits) on a monthly basis for the positions. | | | | | |
| Base Realignment and Closure (67331G) | 0/0.0 | $909,520 | $0 | $909,520 | $0 |
| The Department of Labor provides this grant to Fairfax County to assist workers who are impacted by the closure of military bases in Northern Virginia. Funding for this grant is used to pay for training expenses of eligible dislocated workers. | | | | | |
| Jail Pre-Release Center (67332G) | 0/0.0 | $20,000 | $0 | $20,000 | $0 |
| The Department of Justice provides this grant to Fairfax County to provide training for those who are currently in prison to prepare them to enter the work force after leaving prison. | | | | | |
| Volunteer Income Tax Assistance (VITA) (67334G) | 0/0.0 | $61,852 | $0 | $61,852 | $0 |
| The Internal Revenue Service provides funding directly to Fairfax County to provide free tax preparation services for the underserved low income population, which includes the elderly, disabled, limited English proficient, non-urban and Native American taxpayers. | | | | | |
| Northern Virginia Growing America Through Entrepreneurship (GATE) (67336G) | 0/0.0 | $61,260 | $0 | $61,260 | $0 |
| The U.S. Department of Labor provides funding to help dislocated workers over the age of 45 who are starting and expanding their own small businesses in Northern Virginia. | | | | | |
| Educating Youth through Employment (EYE) Program (67338G) | 0/0.0 | $71,818 | $0 | $0 | $71,818 |
| The U.S. Department of Labor provides funding for a summer initiative that recruits, screens and matches youth ages 16 to 21 with professional opportunities in the private sector and other area businesses. Participants are required to attend intensive training workshops before and during their summer work experiences. | | | | | |
| MegaJob Fair (67339G) | 0/0.0 | $20,000 | $0 | $0 | $20,000 |
| This funding from the Skill Source Group supports the annual Mega Job Fair and Career Expo, which invites public and private employers to the Fairfax County Government Center to provide information to job seekers about potential employment opportunities. | | | | | |
| ***Fairfax Area Agency on Aging*** | | | | | |
| The Department of Family Services administers Aging Grants which includes federal funds granted to localities under the Older Americans Act and state funds from the Virginia Department for the Aging. With additional support from the County, these funds provide community-based services such as case management/consultation services, legal assistance, insurance counseling, transportation, information and referral, volunteer home services, home delivered meals, nutritional supplements and congregate meals. In addition, the regional Northern Virginia Long-Term Care Ombudsman Program serves the jurisdictions of Alexandria, Arlington, Fairfax, Loudoun, and Prince William.<br><br>Please note the Aging Grants were previously in Fund 103, Aging Grants and Programs; however, due to the replacement of the County's legacy computer system, which will replace finance, budget, purchasing and human resources computer systems, in July 2011, these grants have been consolidated into either Fund 102, Federal/State Grant Fund, Agency 67, Department of Family Services General Fund, or Agency 79, Department of Neighborhood and Community Services. It is anticipated that remaining FY 2011 funding and associated positions will be transferred as part of the *FY 2011 Carryover Review*. | | | | | |

| FY 2012 ANTICIPATED GRANT AWARDS | | | | | | |
|---|---|---|---|---|---|---|
| FY 2012 ANTICIPATED GRANT AWARDS | FY 2012 GRANT FUNDED POSITION/SYE | FY 2012 TOTAL PROJECTED FUNDING | SOURCES OF FUNDING | | |
| | | | GENERAL FUND | FEDERAL/ STATE | OTHER |
| Community Based Services (67460G) | 0/0.0 | $784,255 | $6,869 | $725,322 | $52,064 |
| Community-Based Services provides services to adults age 60 and older to enable them to live as independently as possible in the community. This includes assisted transportation, information and referral, telephone reassurance, volunteer home services, insurance counseling, and other related services. | | | | | |
| Long Term Care Ombudsman (67461G) | 0/0.0 | $606,948 | $402,087 | $84,658 | $120,203 |
| The Long Term Care Ombudsman Program, serving the City of Alexandria and the counties of Arlington, Fairfax, Loudoun and Prince William, improves quality of life for the more than 10,000 residents in 110 nursing and assisted living facilities by educating residents and care providers about patient rights and by resolving complaints against nursing and assisted living facilities, as well as home care agencies, through counseling, mediation and investigation. More than 60 trained volunteers are part of this program. The program also provides information about long-term care providers and educates the community about long-term care issues. | | | | | |
| Homemaker/Fee for Service (67462G) | 0/0.0 | $226,758 | $0 | $226,758 | $0 |
| Fee for Service provides home-based care to adults age 60 and older to enable them to remain in their homes rather than in more restrictive settings. Services are primarily targeted toward those older adults who are frail, isolated, of a minority group, or in economic need. | | | | | |
| Congregate Meals Program (67463G) | 0/0.0 | $1,935,236 | $1,144,849 | $576,261 | $214,126 |
| The Congregate Meals program provides one meal a day, five days a week, which meets one third of the dietary reference intake for older adults. Congregate Meals are provided in 29 congregate meal sites around the County including the County's senior and adult day health centers, several private senior centers and other sites serving older adults such as the Alzheimer's Family Day Center. Congregate Meals are also provided to residents of the five County senior housing complexes. | | | | | |
| Home Delivered Meals (67464G) | 0/0.0 | $1,177,033 | $48,234 | $948,437 | $180,362 |
| Funding supports the Home-Delivered Meal program and the Nutritional Supplement program. Home-Delivered Meals provides meals to frail, homebound, low-income residents age 60 and older who cannot prepare their own meals. Meals are delivered through partnerships with 22 community volunteer organizations that drive 49 delivery routes. The Nutritional Supplement program targets low-income and minority individuals who are unable to consume sufficient calories form solid food due to chronic disabling conditions, dementia, or terminal illnesses. | | | | | |
| Care Coordination (67465G) | 0/0.0 | $563,757 | $297,363 | $266,394 | $0 |
| Care Coordination Services are provided to elderly persons at risk of institutionalization who have deficiencies in two or more activities of daily living through the DFS "Adult Care Network" Program. Care Coordination Services include intake, assessment, plan of care development, implementation of the plan of care, service monitoring, follow-up and reassessment. | | | | | |
| Family Caregiver (67466G) | 0/0.0 | $301,697 | $99,893 | $195,804 | $6,000 |
| Caregiver Support provides education and support services to caregivers of persons 60 and older, or older adults caring for grandchildren. Services include scholarships for respite care, gap-filling respite and bathing services, assisted transportation (which is also reflected in Community-Based Services), assistance paying for supplies and services, and other activities that contribute to the well-being of senior adults and help to relieve caregiver stress. | | | | | |
| ***Subtotal – Fairfax Area Agency on Aging*** | **0/0.0** | ***$5,595,684*** | ***$1,999,295*** | ***$3,023,634*** | ***$572,755*** |

| FY 2012 ANTICIPATED GRANT AWARDS | | | | | |
|---|---|---|---|---|---|
| **FY 2012 ANTICIPATED GRANT AWARDS** | **FY 2012 GRANT FUNDED POSITION/SYE** | **FY 2012 TOTAL PROJECTED FUNDING** | **SOURCES OF FUNDING** | | |
| | | | **GENERAL FUND** | **FEDERAL/ STATE** | **OTHER** |
| Independent Living Initiatives Grant Program (67500G) | 1/1.0 | $67,834 | $13,567 | $54,267 | $0 |
| The U.S. Department of Health and Human Services Independent Living Initiatives Grant Program, administered through the Virginia Department of Social Services, provides comprehensive services for older youth in foster care to develop skills necessary to live productive, self-sufficient and responsible adult lives. The program directly serves youth in foster care through the age of 20. A 20 percent Local Cash Match is required.<br><br>Please note due to the replacement of the County's legacy computer system, which will replace finance, budget, purchasing and human resources computer systems, in July 2011, a portion of this grant will be moved to Agency 67, Department of Family Services General Fund. It is anticipated that the position associated with this grant will be transferred as part of the *FY 2011 Carryover Review*. | | | | | |
| Foster and Adoptive Parent Training Grant (67501G) | 4/4.0 | $276,267 | $181,255 | $95,012 | $0 |
| The Virginia Department of Social Services Foster and Adoptive Parent Training Grant provides for: the enhancement of community education regarding foster care and adoption; pre-service training, in-service training, and in-home support of agency-approved foster and adoptive parents and volunteers; training for child welfare staff; and employee educational stipends. A 65.6 percent Local Cash Match is required.<br><br>Please note due to the replacement of the County's legacy computer system, which will replace finance, budget, purchasing and human resources computer systems, in July 2011, a portion of this grant will be moved to Agency 67, Department of Family Services General Fund. It is anticipated that the positions associated with this grant will be transferred as part of the *FY 2011 Carryover Review*. | | | | | |
| VISSTA/VISSTA Day Care Training (67510G) | 5/5.0 | $352,551 | $0 | $352,551 | $0 |
| The Virginia Institute of Social Services Training Activities (VISSTA) program provides skills training for Adult Services, temporary assistance, and Comprehensive Services Act (CSA). This includes employment and day care training for Department of Family Services staff. The program also provides training to improve the quality of child care given by licensed and non-licensed day care providers. | | | | | |
| Foster Care and Adoption Staffing (67513G) | 22/22.0 | $0 | $0 | $0 | $0 |
| The General Assembly approved $6.9 million statewide for new foster care and adoption staffing, effective July 1, 1999. This funding is a result of a staffing study conducted by the Virginia Department of Planning and Budget and the Virginia Department of Social Services that demonstrated the need for 201 additional staff for local jurisdictions. The additional staff is used to improve the agencies' ability to meet legal mandates with regard to foster care and adoption.<br><br>Please note due to the replacement of the County's legacy computer system, which will replace finance, budget, purchasing and human resources computer systems, in July 2011, this grant will be moved to Agency 67, Department of Family Services General Fund. It is anticipated that these positions will be transferred as part of the *FY 2011 Carryover Review*. | | | | | |
| Title IV-E Reasonable and Necessary (67515G) | 20/20.0 | $0 | $0 | $0 | $0 |
| The Virginia Department of Social Services authorizes federal pass-through reimbursement of reasonable and necessary costs related to administering uncapped federal programs. All funds will be reinvested in expanding or enhancing local social services rather than supplanting existing funding sources. Funds will support preventing abuse and neglect and out-of-home placement for children as well as quality assurance efforts to ensure safety, permanency, and well-being for children in the community.<br><br>Please note due to the replacement of the County's legacy computer system, which will replace finance, budget, purchasing and human resources computer systems, in July 2011, this grant will be moved to Agency 67, Department of Family Services General Fund. It is anticipated that these positions will be transferred as part of the *FY 2011 Carryover Review*. | | | | | |

| FY 2012 ANTICIPATED GRANT AWARDS | FY 2012 GRANT FUNDED POSITION/SYE | FY 2012 TOTAL PROJECTED FUNDING | SOURCES OF FUNDING: GENERAL FUND | SOURCES OF FUNDING: FEDERAL/ STATE | SOURCES OF FUNDING: OTHER |
|---|---|---|---|---|---|
| Promoting Safe and Stable Families (67516G) | 9/8.5 | $672,968 | $104,310 | $568,658 | $0 |
| These Virginia Department of Social Services funds are used to develop, expand, and deliver family preservation and family support services. Required Local Cash Match for this program is 15.5 percent. | | | | | |
| Program Improvement Plan (PIP) (67517G) | 3/3.0 | $0 | $0 | $0 | $0 |
| The implementation of the PIP program is the result of the Child and Family Services Review (CFSR) and the subsequent allocation of additional state general funds by the Governor of Virginia and the General Assembly in an effort to strengthen Virginia's child welfare system and improve outcomes for children and families. The funds allow local departments of social services to improve the quality and quantity of face-to-face interactions between caseworkers, parents, and children.<br><br>Please note due to the replacement of the County's legacy computer system, which will replace finance, budget, purchasing and human resources computer systems, in July 2011, this grant will be moved to Agency 67, Department of Family Services General Fund. It is anticipated that these positions will be transferred as part of the *FY 2011 Carryover Review.* | | | | | |
| USDA Child and Adult Care Food Program (67600G) | 8/7.5 | $3,825,223 | $0 | $3,825,223 | $0 |
| The U.S. Department of Agriculture (USDA) Child and Adult Care Food Program provides partial reimbursement for snacks served to children in family day care homes. Funds also provide for nutrition training, monitoring, and technical assistance. The program serves children from ages infant to 12 in approved day care homes. | | | | | |
| USDA SACC Snacks (67601G) | 0/0.0 | $300,000 | $0 | $300,000 | $0 |
| The U.S. Department of Agriculture (USDA) provides partial reimbursement for snacks served to children in the School-Age Child Care program. The program serves school-age children, grades K-6. | | | | | |
| ***U.S. Department of Health and Human Services Head Start Programs*** | | | | | |
| Head Start is a national child development program that serves income eligible families with very young children. Families served by Head Start grants receive assistance with child education and development, social and health services, and parent education including family literacy and English-as-a-Second-Language. The overall match requirements for Head Start grants are 20 percent. In addition to Local Cash Match, the agency uses in-kind services to meet this required match total. | | | | | |
| Head Start Federal Program Grant (67602G) | 32/31.5 | $5,143,148 | $659,106 | $4,484,042 | $0 |
| Head Start is a national child development program that serves income-eligible families with children 3 to 5 years of age. Families served by Head Start receive assistance with child education and development, social and health services, and parent education including family literacy and English-as-a-Second-Language. This funding will provide services to an estimated 434 children. | | | | | |
| Early Head Start (67610G) | 25/25.0 | $3,274,405 | $310,680 | $2,963,725 | $0 |
| The Early Head Start program is a national child development program that serves income eligible families with children 0 to 3 years of age. Families served by Head Start receive assistance with child education and development, social and health services, and parent education including family literacy and English-as-a-Second-Language. This funding will provide services to an estimated 212 children 0 to 3 years of age, as well as pregnant mothers. It should be noted that this grant reflects the totals formerly funded under two separate grants 67606G (Early Head Start) and 67608G (Early Head Start – South County). | | | | | |
| ***Subtotal – Head Start Programs*** | ***57/56.5*** | ***$8,417,553*** | ***$969,786*** | ***$7,447,767*** | ***$0*** |

| FY 2012 ANTICIPATED GRANT AWARDS | | | | | |
|---|---|---|---|---|---|
| FY 2012 ANTICIPATED GRANT AWARDS | FY 2012 GRANT FUNDED POSITION/SYE | FY 2012 TOTAL PROJECTED FUNDING | SOURCES OF FUNDING | | |
| | | | GENERAL FUND | FEDERAL/ STATE | OTHER |
| Virginia Preschool Initiative (67604G) | 4/4.0 | $2,957,000 | $50,000 | $2,907,000 | $0 |
| The Virginia Department of Education Preschool Initiative allows Fairfax County to serve approximately 969 at risk four-year-olds in a comprehensive preschool program in various settings throughout the County, including community pre-schools, family child care homes, and Fairfax County Public Schools. The Virginia Department of Education requires a Local Cash Match, which varies from year to year based on the state composite index. The anticipated state composite index for FY 2012 will require $50,000 in Local Cash Match from the County, with the balance of required Local Cash Match provided by the Fairfax County Public Schools. | | | | | |
| Child Care Assistance and Referral (67605G) | 27/27.0 | $0 | $0 | $0 | $0 |
| The Virginia Department of Social Services provides Child Care Development Fund (CCDF) funding for staff to support the provision of child care services, which includes eligibility determination and childcare placement, as well as recruitment, resources, and referral activities through the Child Care Assistance and Referral (CCAR) program.<br><br>Please note due to the replacement of the County's legacy computer system, which will replace finance, budget, purchasing and human resources computer systems, in July 2011, this grant will be moved to Agency 67, Department of Family Services General Fund. It is anticipated that the positions associated with this grant will be transferred as part of the *FY 2011 Carryover Review*. | | | | | |
| VIEW Day Care (67607G) | 2/2.0 | $0 | $0 | $0 | $0 |
| The Virginia Department of Social Services reimburses Fairfax County for childcare services provided by the School-Age Child Care program to families who are participating in VIEW, the state welfare reform program.<br><br>Please note due to the replacement of the County's legacy computer system, which will replace finance, budget, purchasing and human resources computer systems, in July 2011, this grant will be moved to Agency 67, Department of Family Services General Fund. It is anticipated that these positions will be transferred as part of the *FY 2011 Carryover Review*. | | | | | |
| Virginia Infant and Toddler Specialist (ITS) Network (67619G) | 3/2.5 | $193,234 | $0 | $193,234 | $0 |
| Funds are provided by Child Development Resources, Inc. to establish a Virginia Infant and Toddler Specialist Network office in the Northern 1 Region (encompassing Arlington County, Fairfax County, Loudoun County, City of Alexandria, City of Fairfax, and City of Falls Church) to provide training and professional development to child care centers and family child care providers to strengthen practices and enhance the healthy growth and development of infants and toddlers (birth to 36 months of age). | | | | | |
| Child Care Quality Initiative Program (67621G) | 1/1.0 | $101,406 | $15,718 | $85,688 | $0 |
| The Virginia Department of Social Services provides funds to develop, expand, and deliver family preservation and family support services to enhance the quality and supply of child care services in the community. This grant was formerly included in 67605G, Child Care Assistance and Referral. A 15.5 percent Local Cash Match is required. | | | | | |
| Virginia Child Care Resource and Referral Network (VACCRRN) (67622G) | 0/0.0 | $21,281 | $0 | $21,281 | $0 |
| Funds for the Virginia Child Care Resource and Referral Network (VACCRRN), provided by the Virginia Department of Social Services, are used to enhance the quality of child care resources and referral activities. This grant was formerly included in 67605G, Child Care Assistance and Referral. | | | | | |
| **TOTAL - DEPARTMENT OF FAMILY SERVICES** | **222/218** | **$27,930,827** | **$3,333,931** | **$23,081,213** | **$1,515,683** |

| FY 2012 ANTICIPATED GRANT AWARDS | FY 2012 GRANT FUNDED POSITION/SYE | FY 2012 TOTAL PROJECTED FUNDING | SOURCES OF FUNDING: GENERAL FUND | SOURCES OF FUNDING: FEDERAL/ STATE | SOURCES OF FUNDING: OTHER |
|---|---|---|---|---|---|
| **Health Department** | | | | | |
| Immunization Action Plan (71006G) | 0/0.0 | $67,843 | $0 | $67,843 | $0 |
| The U.S. Department of Health and Human Services Immunization Action Plan provides funding for outreach and education services regarding immunizations for children from low-income families within the community. | | | | | |
| Women, Infants, and Children (WIC) (71007G) | 49/49.0 | $3,753,986 | $0 | $3,753,986 | $0 |
| The U.S. Department of Agriculture provides funding for the Women, Infants, and Children (WIC) Grant. This program provides food, nutrition education, and breastfeeding promotion for pregnant, postpartum, or breastfeeding women, infants, and children under age 5. For FY 2012, total projected funding for this program is $3,383,354.<br><br>In addition, the U.S. Department of Agriculture provides funding to enhance the continuity and consistency of WIC's breastfeeding promotion efforts by offering mother-to-mother breastfeeding support. For FY 2012, total projected funding for this program is $370,632. | | | | | |
| Perinatal Health Services (71010G) | 4/4.0 | $261,236 | $0 | $261,236 | $0 |
| The U.S. Department of Health and Human Services Perinatal Health Services Grant provides nutrition counseling for low-income pregnant women to reduce the incidence of low birth weight in Fairfax County. | | | | | |
| Tuberculosis Grant (71014G) | 2/2.0 | $165,000 | $0 | $165,000 | $0 |
| The Centers for Disease Control and Prevention Tuberculosis Control Program, administered by the Virginia Department of Health, Tuberculosis Control Division, provide funding to coordinate tuberculosis case investigation, case management, and reporting activity for Fairfax County. These efforts include timely reporting of newly diagnosed cases, monitoring the follow-up of tuberculosis suspects to ensure timely diagnosis and treatment, and assisting nursing staff with investigation of contact with active cases of tuberculosis in the County. | | | | | |
| PHEP&R (Public Health Emergency Preparedness & Response) for Bioterrorism (71025G) | 2/2.0 | $226,933 | $0 | $226,933 | $0 |
| The Centers for Disease Control and Prevention (CDC) provide funding for Focus Area A of the Bioterrorism Grant through the Virginia Department of Health to fund a position that serves the Fairfax/Falls Church Health District. The major goal is to have an emergency response plan that is coordinated with local agencies, hospitals, physicians, and laboratories in the County and the region. For FY 2012, total projected funding for Focus Area A is $105,132 for 1/1.0 SYE grant position.<br><br>The CDC provide funding for Focus Area B of the Bioterrorism Grant through the Virginia Department of Health to fund a district epidemiologist who will provide surveillance and investigation of general communicable diseases, disease outbreaks and other diseases of public health significance in the County and region. For FY 2012, total projected funding for Focus Area B is $95,326 for 1/1.0 SYE grant position.<br><br>The CDC provides funding through the Virginia Department of Health to fund the continuation of public health emergency preparedness and response activities. The pandemic influenza funding was incorporated into this funding stream in FY 2009. Activities funded include, but are not limited to, supporting of mass dispensing capabilities, training of staff in emergency response, and continuing outreach efforts by providing educational summits for physician and non-profit organizations on pandemic influenza. For FY 2012, total projected funding is $26,475. | | | | | |
| **TOTAL - HEALTH DEPARTMENT** | **57/57.0** | **$4,474,998** | **$0** | **$4,474,998** | **$0** |

| FY 2012 ANTICIPATED GRANT AWARDS | | | | | |
|---|---|---|---|---|---|
| FY 2012 ANTICIPATED GRANT AWARDS | FY 2012 GRANT FUNDED POSITION/SYE | FY 2012 TOTAL PROJECTED FUNDING | SOURCES OF FUNDING | | |
| | | | GENERAL FUND | FEDERAL/ STATE | OTHER |
| **Office to Prevent and End Homelessness** | | | | | |
| Community Housing and Resource Program - Award Three (73001G) | 0/0.0 | $865,417 | $433,837 | $431,580 | $0 |
| The U.S. Department of Housing and Urban Development (HUD) Community Housing and Resource Program assists homeless families in making the transition from living in shelters to permanent housing. The program offers 36 transitional housing units and various supportive services. | | | | | |
| RISE (Reaching Independence through Support and Education) Supportive Housing Grant (73002G) | 0/0.0 | $520,346 | $67,000 | $453,346 | $0 |
| The U.S. Department of Housing and Urban Development (HUD) RISE Supportive Housing Grant is a renewable grant that provides 20 units of transitional housing. Funding also provides support services for families through a partnership of private nonprofit organizations and County agencies. | | | | | |
| Emergency Solution Grant (TBD) | 0/0.0 | $500,000 | $0 | $500,000 | $0 |
| The U.S. Department of Housing and Urban Development (HUD) Emergency Solutions Grant (ESG) funding must be used for prevention activities. Elements of Homeless Prevention and Rapid Re-housing (HPRP) are incorporated. A new grant number will be established when the FY 2012 award is received. | | | | | |
| **TOTAL - OFFICE TO PREVENT AND END HOMELESSNESS** | **0/0.0** | **$1,885,763** | **$500,837** | **$1,384,926** | **$0** |
| **Department of Neighborhood and Community Services** | | | | | |
| Summer Lunch Program (79001G) | 0/0.0 | $427,788 | $109,957 | $317,831 | $0 |
| The U. S. Department of Agriculture (USDA) Summer Lunch Program serves children between the ages of 5 and 18 at eligible centers throughout the County. Eligibility is based on at least 50 percent of the children in an area meeting income guidelines established by the USDA. The program distributes nutritious lunches to children. The USDA provides a set amount of funding yearly and local funding is used as a supplement to ensure that all eligible children are served. It should be noted that this grant (formerly 50004G) was transferred from Agency 50, Department of Community and Recreation Services to the newly created Agency 79, Department of Neighborhood and Community Services as part of the consolidation included in the FY 2011 Adopted Budget Plan. | | | | | |
| Local Government Challenge Grant (79004G) | 0/0.0 | $5,000 | $0 | $5,000 | $0 |
| The Virginia Commission for the Arts Local Government Challenge Grant is awarded to jurisdictions that support local arts programs for improving the quality of the arts. The funding awarded to Fairfax County will be provided to the Arts Council of Fairfax County for distribution. It should be noted that this grant (formerly 50004G) was transferred from Agency 50, Department of Community and Recreation Services to the newly created Agency 79, Department of Neighborhood and Community Services as part of the consolidation included in the FY 2011 Adopted Budget Plan. | | | | | |
| Youth Smoking Prevention Program (79009G) | 1/1.0 | $74,310 | $0 | $0 | $74,310 |
| The Virginia Tobacco Settlement Foundation awards funding for a comprehensive tobacco, alcohol, and drug prevention program for teens. It should be noted that this grant (formerly 50004G) was transferred from Agency 50, Department of Community and Recreation Services to the newly created Agency 79, Department of Neighborhood and Community Services as part of the consolidation included in the FY 2011 Adopted Budget Plan. | | | | | |

| FY 2012 ANTICIPATED GRANT AWARDS | | | | | |
|---|---|---|---|---|---|
| FY 2012 ANTICIPATED GRANT AWARDS | FY 2012 GRANT FUNDED POSITION/SYE | FY 2012 TOTAL PROJECTED FUNDING | SOURCES OF FUNDING | | |
| | | | GENERAL FUND | FEDERAL/ STATE | OTHER |
| Joey Pizzano Memorial Fund (79012G) | 1/1.0 | $56,500 | $0 | $0 | $56,500 |
| The Joey Pizzano Memorial Fund funds a swim and water safety program for school-aged children with disabilities that helps develop new leisure activities for beginning swimmers and enhance levels of more experienced swimmers. It should be noted that this grant (formerly 50004G) was transferred from Agency 50, Department of Community and Recreation Services to the newly created Agency 79, Department of Neighborhood and Community Services as part of the consolidation included in the FY 2011 Adopted Budget Plan. | | | | | |
| Evening Reporting Center Grant (81022G) | 1/1.0 | $0 | $0 | $0 | $0 |
| The goal of the Evening Reporter Center is to provide a community-based alternative to detention for moderate and high-risk youth currently on probation who might otherwise be detained pending further court action. The 30 day program will provide highly structured group activities during the high-risk time period between 3 p.m. and 7 p.m., develop skills in youth that will support pro-social behaviors, and repair harm done to the community by providing community service opportunities. The project is a collaboration between the Juvenile and Domestic Relations District Court, the Department of Neighborhood and Community Services, the Department of Systems Management, Adult Detention Services and Fairfax County Public Schools. Funding for this position is located in Agency 81, Juvenile and Domestic Relations District Court. | | | | | |
| **TOTAL - DEPARTMENT OF NEIGHBORHOOD AND COMMUNITY SERVICES** | **3/3.0** | **$563,598** | **$109,957** | **$322,831** | **$130,810** |
| **Juvenile and Domestic Relations District Court** | | | | | |
| Juvenile Accountability Incentive Block Grant (81013G) | 0/0.0 | $90,893 | $9,089 | $81,804 | $0 |
| Federal funding from the Office of Juvenile Justice and Delinquency Prevention through the Virginia Department of Criminal Justice Services is used to provide Juvenile Drug Treatment Court support, contract treatment services for juvenile offenders and skills training for probation staff. A 10 percent Local Cash Match is required. | | | | | |
| Evening Reporting Center (81022G) | 1/1.0 | $0 | $0 | $0 | $0 |
| The goal of the Evening Reporter Center program is to provide a community-based alternative to detention for moderate and high-risk youth currently on probation who might otherwise be detained pending further court action. The 30 day program will provide highly structured group activities during the high-risk time period between 3 p.m. and 7 p.m., develop skills in youth that will support pro-social behaviors, and repair harm done to the community by providing community service opportunities. The project a is collaboration between the Juvenile and Domestic Relations District Court, the Department of Neighborhood and Community Services, Adult Detention Services and Fairfax County Public Schools. For FY 2012, no state funding is being provided. This program is being supported by an existing balance in federal Title IV E funding until it is determined whether state funding will be restored in the future. It should be noted that 1/1.0 SYE position supporting this grant is located in Agency 79, Department of Neighborhood and Community Services. | | | | | |
| Gang Prevention Intervention (81024G) | 1/1.0 | $592,915 | $0 | $592,915 | $0 |
| Funding through the Northern Virginia Regional Gang Task Force provides assistance to jurisdictions in Northern Virginia in prosecuting and decreasing gang activity. Funding of $485,970 is to support the Intervention, Prevention and Education (IPE) program, which allows counselors to provide direct services to gang at-risk youth in the region as well as conduct community outreach and coordination of services for youth and their families.<br><br>Funding of $106,945 supports the Gang Response Intervention Team (GRIT) coordinator position in Fairfax County. This position works with the other coordinators from Arlington, Alexandra, Prince William and Loudoun to structure a regional prevention program, coordinate prevention and intervention strategies and programs for Fairfax County and work with various departments in Fairfax to establish a coordinated approach to gang and gang related issues. | | | | | |
| **TOTAL - JUVENILE AND DOMESTIC RELATIONS COURT** | **2/2.0** | **$683,808** | **$9,089** | **$674,719** | **$0** |

| FY 2012 ANTICIPATED GRANT AWARDS | | | | | |
|---|---|---|---|---|---|
| **FY 2012 ANTICIPATED GRANT AWARDS** | **FY 2012 GRANT FUNDED POSITION/SYE** | **FY 2012 TOTAL PROJECTED FUNDING** | **SOURCES OF FUNDING** | | |
| | | | **GENERAL FUND** | **FEDERAL/ STATE** | **OTHER** |
| **General District Court** | | | | | |
| **Comprehensive Community Corrections Act (85006G)** | **10/9.5** | **$691,100** | **$0** | **$691,100** | **$0** |
| The Court Services Division of the General District Court provides pre-trial and post-trial supervision of defendants and offenders in the community as mandated by the Comprehensive Community Corrections Act (CCCA) Grant. This award from the Virginia Department of Criminal Justice Services will continue to support 10/9.5 SYE grant positions that provide pre-trial services, including supervision of staff in the Court Services Division and client services in the General District Court, and provide probation services in the General District Court and the Juvenile and Domestic Relations District Court. | | | | | |
| **Police Department** | | | | | |
| Seized Funds (90002G) | 0/0.0 | $1,095,175 | $0 | $1,095,175 | $0 |
| The Seized Funds Program provides additional funding for law enforcement activities under authority of the Comprehensive Crime Control Act of 1984 and the Anti-Drug Abuse Act of 1986. These funds are released by the Department of Justice from asset seizures stemming from illegal narcotics activity. The program serves residents of Fairfax County. | | | | | |
| Victim Witness Assistance (90016G) | 5/5.0 | $178,460 | $0 | $178,460 | $0 |
| The Virginia Department of Criminal Justice Services provides funding for the Victim Witness Assistance Program. This award provides funding to ensure that staffing levels are adequate to provide services. | | | | | |
| Someplace Safe (90025G) | 1/1.0 | $52,200 | $13,050 | $39,150 | $0 |
| The Virginia Department of Criminal Justice Services provides funding for the Victim Witness Unit's "Someplace Safe" Program, which provides a police response to domestic violence cases in Fairfax County. The required Local Cash Match is 25 percent. | | | | | |
| Bulletproof Vest (90031G) | 0/0.0 | $66,000 | $33,000 | $33,000 | $0 |
| The U.S. Department of Justice, Bureau of Justice Assistance provides funding for the purchase of new or replacement ballistic vests for the protection of sworn law enforcement officers. One vest may be purchased per officer per year under the provisions of this program. A Local Cash Match of 50 percent is required. | | | | | |
| State Police-Internet Crimes Against Children (90034G) | 0/0.0 | $14,000 | $0 | $14,000 | $0 |
| Funding from this subgrant is targeted for supplies, equipment and training for use in the continuation of the Internet Crimes Against Children (ICAC) Task Force. The Virginia Department of State Police (VSP) is the primary grant recipient of the Office of Juvenile Justice and Delinquency Prevention grant to reduce the number of internet crimes against children. The task force consists of personnel from VSP, other Northern Virginia jurisdictions and the District of Columbia. The amount provided to the Fairfax County Police Department is $12,500. Use of the funds is governed by an Interagency Agreement between the Police Department and the VSP. | | | | | |
| COPS Technology Program Grant (90052G) | 0/0.0 | $200,000 | $0 | $200,000 | $0 |
| The U.S. Department of Justice provides grant funding for the continued development of technologies and automated systems that help law enforcement agencies prevent, respond to and investigate crime. | | | | | |
| FY 2004 OJJDP Congressional Earmark - Gangs (90054G) | 2/2.0 | $290,000 | $0 | $290,000 | $0 |
| The U.S. Congress appropriates this funding for the Congressional District Gang Task Force. The purpose of the grant is to provide assistance to jurisdictions within the district in prosecuting and impacting gang activity through directed investigation and intelligence activity. | | | | | |

| FY 2012 ANTICIPATED GRANT AWARDS | | | | | |
|---|---|---|---|---|---|
| **FY 2012 ANTICIPATED GRANT AWARDS** | **FY 2012 GRANT FUNDED POSITION/SYE** | **FY 2012 TOTAL PROJECTED FUNDING** | **SOURCES OF FUNDING** | | |
| | | | **GENERAL FUND** | **FEDERAL/ STATE** | **OTHER** |
| DMV Traffic Safety Programs (90067G) | 0/0.0 | $111,500 | $0 | $111,500 | $0 |
| The Virginia Department of Motor Vehicles (DMV) provides funding to support the cost of an information and enforcement program targeting proper attention to traffic safety laws in Fairfax County. It should be noted that this grant reflects the totals formerly funded under seven separate grants, as the DMV chose to consolidate grant programs previously awarded separately into a single grant. The seven grants that have been consolidated include: 90022G (Smooth Operator), 90039G (District Challenge), 90043G (Click It or Ticket), 90044G (Speed/Racing Abatement), 90060G (Operation Strikeforce), 90061G (Pedestrian Safety), and 90063G (Traffic Safety Training Programs). | | | | | |
| Justice Assistance Grant (JAG) (90068G) | 0/0.0 | $144,500 | $0 | $144,500 | $0 |
| Formerly the Local Law Enforcement Block Grant program, the Justice Assistance Grant provides funding for the purpose of reducing crime and improving public safety. The program serves the residents of Fairfax County through the acquisition of equipment and technology and through personnel services funding to support saw enforcement. | | | | | |
| **TOTAL – POLICE DEPARTMENT** | **8/8.0** | **$2,151,835** | **$46,050** | **$2,105,785** | **$0** |
| **Fire and Rescue Department** | | | | | |
| Virginia Department of Fire Programs (92001G) | 10/9.0 | $2,472,294 | $0 | $2,472,294 | $0 |
| The Fire Programs Fund award provides funding for: fire services training; constructing, improving and expanding regional fire service training facilities; public fire safety education; purchasing firefighting equipment or firefighting apparatus; or purchasing protective clothing and protective equipment for firefighting personnel. Program revenues may not be used to supplant County funding for these activities. The program serves residents of Fairfax County, as well as the towns of Clifton and Herndon. | | | | | |
| Four-for-Life (92004G) | 0/0.0 | $848,130 | $0 | $848,130 | $0 |
| The Virginia Department of Health, Division of Emergency Services Four-for-Life Program is funded from the $4 fee included as part of the annual Virginia motor vehicle registration. Funds are set aside by the Commonwealth for local jurisdictions for emergency medical services purposes, including the training of Emergency Medical Services (EMS) personnel and the purchase of necessary equipment and supplies. Funds are allocated based on the vehicle registrations processed in each locality. | | | | | |
| Assistance to Firefighters Act Grant (92020G) Grant Program | 0/0.0 | $175,282 | $35,056 | $140,226 | $0 |
| The primary goal of the Assistance to Firefighters Grants is to meet the firefighting and emergency response needs of fire departments and nonaffiliated emergency medical services organizations. Awards are made to local fire departments on a competitive basis. Eligible categories for a specific award period are determined by the Federal Emergency Management Agency (FEMA). Categories include training, wellness and fitness programs, vehicles, equipment, personal protective equipment, and fire prevention programs. Required Local Cash Match for this program is 20 percent. | | | | | |
| Virginia Fire Services Board Training Mini-Grant (92024G) Grant Program | 0/0.0 | $9,319 | $932 | $8,387 | $0 |
| The Virginia Fire Services Board awards “Mini-Grants” from the interest earned by the Fire Programs Fund. Guidelines restrict grants to projects that will positively impact and/or further fire service training within the Commonwealth. | | | | | |
| FEMA Urban Search and Rescue (92100G Series) (92100G) | 4/4.0 | $1,100,000 | $0 | $1,100,000 | $0 |
| The responsibilities and procedures for national urban search and rescue activities under the Robert T. Stafford Disaster Relief Emergency Act are set forth in a cooperative agreement between the Federal Emergency Management Agency (FEMA) and the County. Funding is provided to enhance, support and maintain the readiness of the Department's Urban Search and Rescue Team, equipment cache, and medical supplies. | | | | | |

| FY 2012 ANTICIPATED GRANT AWARDS | | | | | |
|---|---|---|---|---|---|
| **FY 2012 ANTICIPATED GRANT AWARDS** | **FY 2012 GRANT FUNDED POSITION/SYE** | **FY 2012 TOTAL PROJECTED FUNDING** | **SOURCES OF FUNDING** | | |
| | | | **GENERAL FUND** | **FEDERAL/ STATE** | **OTHER** |
| FEMA Urban Search and Rescue Activation (92200G Series) (92200G) | 0/0.0 | $1,000,000 | $0 | $1,000,000 | $0 |
| The responsibilities and procedures for national urban search and rescue activities provided by the Department's Urban Search and Rescue Team and National Medical Emergency Response Team are identified in memorandums of agreement with the Federal Emergency Management Agency (FEMA). Activities are performed at the request of a government agency and are provided at the option of the local jurisdiction. Upon activation, an appropriation is necessary to cover initial expenses for procuring or replacing emergency supplies and to cover Personnel Services expenditures. All expenditures related to activations are reimbursed by the appropriate agency requesting the deployment. This appropriation is restricted to the necessary expenditures resulting from the activation of the Fairfax County Urban Search and Rescue Team (VA-TF1) and the National Medical Emergency Response Team. | | | | | |
| OFDA International Urban Search and Rescue (92300G Series) (92300G) | 4/4.0 | $1,000,000 | $0 | $1,000,000 | $0 |
| A memorandum with the U.S. Agency for International Development (USAID), Office of Foreign Disaster Assistance (OFDA) exists to provide emergency urban search and rescue services internationally. Funding is provided to enhance, support, and maintain the readiness of the Department's Urban Search and Rescue Team, equipment cache, and medical supplies. Year three of a five year agreement is anticipated to begin FY 2012. The total value of this agreement over the five-year grant period (exclusive of deployment costs) is anticipated to be in excess of $5,000,000. | | | | | |
| OFDA International Urban Search and Rescue Activations (92400G Series) (92400G) | 0/0.0 | $1,000,000 | $0 | $1,000,000 | $0 |
| The responsibilities and procedures for international urban search and rescue activities provided by the Department's Urban Search and Rescue Team are identified in a memorandum of agreement with the Office of Foreign Disaster Assistance (OFDA). Activities are performed at the request of a government agency and are provided at the option of the local jurisdiction. Upon activation, an appropriation is necessary to cover initial expenses for procuring or replacing emergency supplies and to cover Personnel Services expenditures. All expenditures related to an activation are reimbursed by the appropriate agency requesting the deployment. This appropriation is restricted to the necessary expenditures resulting from the activation of the Fairfax County Urban Search and Rescue Team (USAID SAR 1). | | | | | |
| **TOTAL – FIRE AND RESCUE DEPARTMENT** | **18/17.0** | **$7,605,025** | **$35,988** | **$7,569,037** | **$0** |
| **Emergency Preparedness** | | | | | |
| **Homeland Security Grant Programs (DHSFRP)** | **0/0.0** | **$12,000,000** | **$0** | **$12,000,000** | **$0** |
| The Department of Homeland Security (DHS) funds several initiatives to support state and local emergency preparedness efforts through its First Responder Programs, including the Urban Areas Security Initiative (UASI) program and Homeland Security Grant Program. The purpose of the UASI program is to allow local governments to enhance capabilities in the areas of law enforcement, emergency medical services, emergency management, fire service, public works, public safety communications, and public health through the purchase of response equipment that will be necessary to prepare for and respond to emergencies arising out of terrorist or other mass casualty events affecting public safety. The purpose of the Homeland Security Grant Program is to enhance the capacity of state and local emergency responders to prevent, respond to and recover from a weapons of mass destruction terrorism incident involving chemical, biological, radiological, nuclear and explosive devices and cyber attacks. The Homeland Security Grant Program combines several previous grants into one program, including the State Homeland Security Program, Law Enforcement Terrorism Prevention Program, and Citizens Corps Grant program. It is anticipated that Fairfax County will receive at least $12,000,000 in FY 2012 through the DHS First Responder Programs. | | | | | |

# Fund 102
# Federal/State Grant Fund

| FY 2012 ANTICIPATED GRANT AWARDS | | | | | |
|---|---|---|---|---|---|
| FY 2012 ANTICIPATED GRANT AWARDS | FY 2012 GRANT FUNDED POSITION/SYE | FY 2012 TOTAL PROJECTED FUNDING | SOURCES OF FUNDING | | |
| | | | GENERAL FUND | FEDERAL/ STATE | OTHER |
| ***Fund 102 Summary*** | | | | | |
| *Reserve for Anticipated Grants (subtotal of grants in above table)* | *340/334.5* | *$62,743,214* | *$4,175,852* | *$56,420,869* | *$2,146,493* |
| *Reserve for Unanticipated Grants* | *0/0.0* | *$5,075,000* | *$75,000* | *$5,000,000* | *$0* |
| **TOTAL FUND [1]** | *340/334.5* | **$67,818,214** | **$4,250,852** | **$61,420,869** | **$2,146,493** |

[1] The total number of grant positions in Fund 102, Federal/State Grant Fund, includes 1/1.0 SYE Management Analyst, 2/2.0 SYE Management Analysts III, and 1/1.0 SYE Emergency Management Specialist that are not summarized in the *Anticipated Grant Awards* table. These positions in the Office of Emergency Management are associated with the Emergency Management Performance Grant (02915G) and the Urban Areas Security Initiative Grant (02917G). Therefore, the overall position total in Fund 102, Federal/State Grant Fund, is 344/338.5 SYE.

# Fund 102
# Federal/State Grant Fund

## *Agency Position Summary*

344 Grant Positions / 338.5 Grant Staff Years

## *Position Detail Information*

**OFFICE OF HUMAN RIGHTS AND EQUITY PROGRAMS**

**EEOC (39005G)**
1 Human Rights Specialist II
1 Administrative Assistant II
2 Positions
2.0 Staff Years

**HUD (39006G)**
1 Administrative Assistant III
2 Human Rights Specialists II
3 Positions
3.0 Staff Years

**DEPARTMENT OF TRANSPORTATION**

**Marketing and Ridesharing Program (40001G)**
1 Transportation Planner III
1 Transportation Planner II
1 Communications Specialist III
1 Communications Specialist I
1 Planning Technician I
2 Administrative Assistants II
7 Positions
7.0 Staff Years

**Employer Outreach Program (40013G)**
1 Transportation Planner II
1 Transportation Planner I
2 Positions
2.0 Staff Years

**Base Realignment and Closure Act (BRAC) (40021g)**
2 Transportation Planners III
1 Transportation Planner IV
2 Planners II (DPZ)
1 Planner III (DPZ)
6 Positions
6.0 Staff Years

**DEPARTMENT OF FAMILY SERVICES**

**Sexual Assault Treatment and Prevention (67202G)**
2 Social Workers III, 2 PT
1 Mental Health Therapist, PT
3 Positions
1.5 Staff Years

**V-Stop (67203G)**
1 Volunteer Services Coordinator, PT
1 Position
0.5 Staff Year

**WIA Adult Program (67300G)**
1 Human Service Worker V
2 Human Service Workers IV
6 Human Service Workers III
3 Administrative Assistants II
12 Positions
12.0 Staff Years

**WIA Youth Program (67302G)**
1 Human Service Worker IV
4 Human Service Workers II
1 Youth Program Counselor* LT conv
1 Administrative Assistant II
7 Positions
7.0 Staff Years

**WIA Dislocated Worker Program (67304G)**
1 Financial Specialist II
1 Management Analyst II
1 Human Service Worker IV
10 Human Service Workers III
13 Positions
13.0 Staff Years

**Fraud FREE Program (67312G)**
2 Human Service Workers III
2 Human Service Workers II
4 Positions
4.0 Staff Years

**Virginia Serious and Violent Offender Re-Entry (VASAVOR) (67321G)**
1 Human Service Worker III
1 Position
1.0 Staff Year

**Fairfax Bridges to Success (67325G)**
2 Human Service Workers III
1 Human Service Worker II
3 Positions
3.0 Staff Years

**Inova Health System (67329G)**
1 Human Service Worker IV
4 Human Service Workers III
6 Human Service Workers II
1 Administrative Assistant II
12 Positions
12.0 Staff Years

**Independent Living Initiatives (67500G)**
1 Social Worker III
1 Position
1.0 Staff Year

**Foster and Adoptive Parent Training (67501G)**
3 Social Workers III
1 Social Worker II
4 Positions
4.0 Staff Years

**VISSTA (67510G)**
1 Management Analyst III
4 Administrative Assistants IV
5 Positions
5.0 Staff Years

**Foster Care and Adoption Staffing (67513G)**
1 Senior Social Work Supervisor
1 Social Work Supervsor
11 Social Workers III
6 Social Workers II
3 Administrative Assistants III
22 Positions
22.0 Staff Years

**Title IV-E Reasonable and Necessary (67515G)**
3 Management Analysts III
2 Social Work Supervisors
11 Social Workers III
1 Social Worker II
1 Financial Specialist II
1 Administrative Assistant IV
1 Administrative Assistant II
20 Positions
20.0 Staff Years

**Promoting Safe and Stable Families (67516G)**
1 Management Analyst II, PT
3 Social Workers III
3 Social Workers II
1 Human Services Coordinator II
1 Administrative Assistant II
9 Positions
8.5 Staff Years

**Program Improvement Plan (67517G)**
2 Social Workers III
1 Human Services Coordinator II
3 Positions
3.0 Staff Years

# Fund 102
# Federal/State Grant Fund

**USDA Child and Adult Care Food Program (67600G)**
1 Child Care Specialist III
3 Child Care Specialists I, 1 PT
1 Business Analyst II
1 Administrative Assistant V
2 Administrative Assistants IV
8 Positions
7.5 Staff Years

**Head Start Federal Program (67602G)**
1 Financial Specialist III
1 Child Care Program Admin I
1 Head Start Coordinator
1 Public Health Nurse III
3 Child Care Specialists II
1 Child Care Specialist I
3 Human Service Workers II
7 Day Care Center Teachers II
8 Day Care Center Teachers I
1 Day Care Center Aide, PT
2 Administrative Assistants IV
1 Administrative Assistant III
1 Human Services Assistant
1 Cook's Aide
32 Positions
31.5 Staff Years

**Virginia Preschool Initiative (67604G)**
1 Child Care Specialist III
3 Child Care Specialists II
4 Positions
4.0 Staff Years

**Child Care Assist. Program (67605G)**
1 Child Care Program Adm. I
1 Business Analyst II
1 Business Analyst I
9 Child Care Specialists I
11 Human Service Workers II
1 Human Service Workers I
2 Human Services Assistants
1 Administrative Assistant III
27 Positions
27.0 Staff Years

**VIEW Day Care (67607G)**
2 Child Care Specialists III
2 Positions
2.0 Staff Years

**Early Head Start (67610G)**
1 Head Start Coordinator
1 Business Analyst I
1 Child Care Specialist III
3 Child Care Specialists II
6 Child Care Specialists I
5 Day Care Center Teachers II
6 Day Care Center Teachers I
1 Administrative Assistant III
1 Cook's Aide
25 Positions
25.0 Staff Years

**Virginia ITS Network (67619G)**
3 Child Care Specialists II, 1 PT
3 Positions
2.5 Staff Years

**Child Care Quality Init Prog (67621G)**
1 Child Care Specialist II
1 Position
1.0 Staff Year

**HEALTH DEPARTMENT**
**WIC (71007G)**
1 Nutrition Program Coordinator
1 Nutrition Program Supervisor
4 Sr. Public Health Nutritionists
10 Public Health Nutritionists
23 Nutritionist Assistants I
8 Nutritionist Assistants II
1 Information Technology Tech I
1 Administrative Assistant IV
49 Positions
49.0 Staff Years

**Perinatal Health Services (71010G)**
4 Human Services Assistants
4 Positions
4.0 Staff Years

**Tuberculosis Grant (71014G)**
1 Public Health Nurse III
1 Human Services Assistant
2 Positions
2.0 Staff Years

**EP&R for Bioterrorism Grant (71025G)**
1 Management Analyst III
1 Epidemiologist
2 Positions
2.0 Staff Years

**DEPARTMENT OF NEIGHBORHOOD AND COMMUNITY SERVICES**
**Youth Smoking Prevention (79009G)**
1 Park/Recreation Specialist I
1 Position
1.0 Staff Year

**Joey Pizzano Memorial Fund (79012G)**
1 Park/Recreation Specialist II
1 Position
1.0 Staff Year

**Evening Reporting Center (81022G)**
1 Park/Recreation Specialist I
1 Position
1.0 Staff Year

**JUVENILE AND DOMESTIC RELATIONS DISTRICT COURT**
**Evening Reporting Center (81022G)**
1 Probation Counselor III
1 Position
1.0 Staff Year

**Gang Prevention Intervention Grant (81024G)**
1 Management Analyst II
1 Position
1.0 Staff Year

**GENERAL DISTRICT COURT**
**CCCA Grant (85006G)**
1 Probation Counselor III
7 Probation Counselors II, 1 PT
1 Probation Supervisor I
1 Administrative Assistant II
10 Positions
9.5 Staff Years

**POLICE DEPARTMENT**
**Victim Witness Assistance (90016G)**
1 Probation Counselor III
3 Probation Counselors II
1 Human Services Assistant
5 Positions
5.0 Staff Years

**Someplace Safe (90025G)**
1 Probation Counselor II
1 Position
1.0 Staff Year

**OJJDP Congressional Earmark-Gangs (90054G)**
1 Police Sergeant
1 Police Officer II
2 Positions
2.0 Staff Years

# Fund 102
# Federal/State Grant Fund

**FIRE AND RESCUE DEPARTMENT**

**Fire Programs (92001G)**

| | |
|---|---|
| 1 | Fire Battalion Chief, PT |
| 2 | Fire Lieutenants |
| 1 | Management Analyst I |
| 2 | Fire Technicians |
| 1 | Communications Specialist II |
| 2 | Life Safety Education Specialists |
| 1 | Photographic Specialist, PT |
| 10 | Positions |
| 9.0 | Staff Years |

**Urban Search & Rescue (92108G)**

| | |
|---|---|
| 1 | Financial Specialist III |
| 1 | Management Analyst I |
| 1 | Fire Technician |
| 1 | Administrative Assistant III |
| 4 | Positions |
| 4.0 | Staff Years |

**International Search & Rescue (92306G)**

| | |
|---|---|
| 1 | Fire Battalion Chief |
| 1 | Program and Procedures Coord. |
| 1 | Administrative Assistant IV |
| 1 | Warehouse Specialist |
| 4 | Positions |
| 4.0 | Staff Years |

**OFFICE OF EMERGENCY MANAGEMENT**

**Emergency Management Performance Grant (02915G)**

| | |
|---|---|
| 1 | Financial Specialist II |
| 1 | Position |
| 1.0 | Staff Year |

**Urban Areas Security Initiative Grant (02917G)**

| | |
|---|---|
| 1 | Emergency Management Specialist |
| 2 | Management Analysts III |
| 3 | Positions |
| 3.0 | Staff Year |

PT Denotes Part Time

# Fund 102
# Federal/State Grant Fund

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** | **Fund 102, Federal/State Grant Fund**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance[1]** | **$27,073,254** | **$235,135** | **$29,093,113** | **$194,646** |
| Revenue: | | | | |
| Federal Funds[2] | $43,436,300 | $0 | $77,744,621 | $0 |
| Federal Funds-ARRA[2,3] | 5,090,170 | 0 | 7,814,909 | 0 |
| State Funds | 10,104,958 | 0 | 17,029,178 | 0 |
| Other Match | 1,229,106 | 0 | 43,002 | 0 |
| Other Non-profit Grants | 119,762 | 0 | 41,400 | 0 |
| Seized Funds | 1,194,136 | 0 | 0 | 0 |
| Interest - Seized Funds | 16,100 | 0 | 0 | 0 |
| Interest - Fire Programs Funds | 45,266 | 0 | 16,132 | 0 |
| Miscellaneous Revenue | 1,146,560 | 0 | 1,350,205 | 0 |
| Reserve for Estimated Grant Funding | 0 | 60,046,908 | 25,606,250 | 63,567,362 |
| Total Revenue | $62,382,358 | $60,046,908 | $129,645,697 | $63,567,362 |
| Transfers In: | | | | |
| General Fund (001) | | | | |
| Local Cash Match[4] | $2,674,729 | $0 | $2,881,504 | $0 |
| Reserve for Estimated Local Cash Match | 287,691 | 2,914,001 | 32,497 | 4,250,852 |
| Total Transfers In | $2,962,420 | $2,914,001 | $2,914,001 | $4,250,852 |
| **Total Available** | **$92,418,032** | **$63,196,044** | **$161,652,811** | **$68,012,860** |
| Expenditures: | | | | |
| ARRA Funding[2,3] | $5,035,315 | $0 | $8,955,430 | $0 |
| Emergency Preparedness[2,5] | 8,716,090 | 0 | 23,368,568 | 0 |
| Office of County Executive | 2,383 | 0 | 27,293 | 0 |
| Economic Development Authority | 1,000,000 | 0 | 1,750,000 | 0 |
| Capital Facilities | 362,910 | 0 | 11,944,444 | 0 |
| Planning and Zoning | 15,679 | 0 | 4,321 | 0 |
| Department of Housing and Community Development | 1,306,844 | 0 | 1,894,753 | 0 |
| Office of Human Rights and Equity Prog. | 315,194 | 0 | 284,040 | 0 |
| Department of Transportation | 2,503,862 | 0 | 7,870,960 | 0 |
| Dept. of Community and Recreation Services | 537,923 | 0 | 0 | 0 |
| Fairfax County Public Library | 207,892 | 0 | 154,750 | 0 |
| Department of Family Services[2] | 26,699,090 | 0 | 35,023,577 | 0 |
| Dept. of Systems Management for Human Services | 37,629 | 0 | 0 | 0 |
| Health Department[2] | 4,307,319 | 0 | 6,596,917 | 0 |
| Office to Prevent and End Homelessness | 0 | 0 | 1,535,763 | 0 |
| Dept. of Neighborhood and Community Services | 0 | 0 | 982,188 | 0 |
| Circuit Court and Records | 93,873 | 0 | 9,628 | 0 |
| Juvenile and Domestic Relations District Court | 671,071 | 0 | 3,101,790 | 0 |
| Commonwealth's Attorney | 105,544 | 0 | 106,622 | 0 |
| General District Court | 721,713 | 0 | 750,526 | 0 |
| Police Department | 2,314,839 | 0 | 10,073,969 | 0 |
| Office of the Sheriff | (32,567) | 0 | 113,634 | 0 |
| Fire and Rescue Department | 8,402,316 | 0 | 16,002,285 | 0 |
| Unclassified Administrative Expenses | 0 | 62,960,909 | 30,906,707 | 67,818,214 |
| Total Expenditures | $63,324,919 | $62,960,909 | $161,458,165 | $67,818,214 |
| **Ending Balance[6]** | **$29,093,113** | **$235,135** | **$194,646** | **$194,646** |

# Fund 102
# Federal/State Grant Fund

[1] The *FY 2011 Revised Budget Plan* Beginning Balance reflects $7,740,153 in Local Cash Match carried over from FY 2010, including $2,332,194 in Local Cash Match previously appropriated to agencies but not yet expended and $5,407,960 in the Reserve for Estimated Local Cash Match consisting of the balance of the Reserve not used during FY 2010 plus Local Cash Match returned to the Reserve as the result of grant closeouts. Thus, the total Reserve for Estimated Local Cash Match in FY 2011 is $5,300,457.

[2] In order to account for revenues and expenditures in the proper fiscal year, audit adjustments in the amount of $4,986,033.41 have been reflected as increases to FY 2010 revenue and $598,938.71 have been reflected as increases to FY 2010 expenditures to properly record revenue accruals and reclassify grant expenditures to the correct program year. This impacts the amount carried forward, resulting in a net increase of $4,387,094.70 to the *FY 2011 Revised Budget Plan*. The audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the Audit Adjustments will be included in the FY 2011 Third Quarter package.

[3] Represents funding received by the Department of Family Services, Department of Administration for Human Services, Health Department, Office to Prevent and End Homelessness, Office of the Commonwealth's Attorney, and the Department of Vehicle Services as part of the American Recovery and Reinvestment Act of 2009 (ARRA).

[4] The FY 2011 Estimated Local Cash Match appropriated to agencies totals $3,021,504 but $140,000 has been taken from available Local Cash Match balances due to unspent funds from previous years.

[5] Emergency Preparedness grant funding is reflected as a separate category in order to centrally identify grant funds earmarked for security and emergency preparedness requirements. Agencies currently involved in this effort include the Office of Public Affairs, Department of Information Technology, Health Department, Police Department, Fire and Rescue Department, and the Office of Emergency Management.

[6] The Ending Balance in Fund 102, Federal/State Grant Fund, fluctuates primarily due to timing, as some revenues received late in the fiscal year have not been by spent by June 30 as the time period for spending grant funds often continues beyond the end of the fiscal year.

# Fund 103
# Aging Grants and Programs

In July 2011, the County is implementing an integrated finance, budget, purchasing and human resources computer system. As a result, grant funding associated with Fund 103, Aging Grants and Programs is being consolidated into Fund 102, Federal/State Grants Fund. In addition, funding previously classified as a grant in Fund 103, Aging Grants and Programs that no longer meets the grant definition of the new computer system will be transferred to Agency 67, Department of Family Services or Agency 79, Department of Neighborhood and Community Services in the General Fund. Corresponding adjustments have been made in Fund 102, Federal/State Grant Fund, Agency 67, Department of Family Services, and Agency 79, Department of Neighborhood and Community Services for no net impact. It is anticipated that remaining FY 2011 funding and associated positions will be transferred as part of the *FY 2011 Carryover Review*.

## Budget and Staff Resources

**Agency Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Grant | 51/ 50 | 51/ 50 | 51/ 50.5 | 51/ 50.5 |
| Expenditures: | | | | |
| Personnel Services | $3,632,697 | $3,770,602 | $5,143,122 | $0 |
| Operating Expenses | 3,459,987 | 4,053,704 | 6,212,476 | 0 |
| Capital Equipment | 12,722 | 0 | 0 | 0 |
| **Total Expenditures** | **$7,105,406** | **$7,824,306** | **$11,355,598** | **$0** |
| Revenue: | | | | |
| Federal | $2,052,499 | $2,085,560 | $2,495,652 | $0 |
| State | 1,165,711 | 1,023,772 | 1,102,569 | 0 |
| Project Income | 500,783 | 381,233 | 415,560 | 0 |
| Other Jurisdictions' Share of the Ombudsman Program | 137,584 | 120,203 | 102,822 | 0 |
| City of Fairfax | 0 | 33,013 | 66,026 | 0 |
| City of Falls Church | 36,306 | 36,306 | 36,306 | 0 |
| Private Corporations | 3,420 | 2,000 | 2,205 | 0 |
| **Total Revenue** | **$3,896,303** | **$3,682,087** | **$4,221,140** | **$0** |
| **Net Cost to the County[1]** | **$3,209,103** | **$4,142,219** | **$7,134,458** | **$0** |

[1] The *FY 2011 Revised Budget Plan* net cost to the County includes unrealized revenue of $3,896,167 that is carried over from FY 2010 to address the last three months of the program year in FY 2011.

**Position Summary**

**OPERATIONS AND DIRECT SERVICES**

**Community-Based Social Services**
- 2 Social Work Supervisors
- 1 Social Worker III
- 9 Social Workers II
- 1 Management Analyst II
- 1 Administrative Assistant II, PT
- 1 Paralegal
- 1 Communications Specialist III

**Congregate Meals**
- 1 Management Analyst I

**Home-Delivered Meals**
- 1 Social Work Supervisor
- 1 Management Analyst II
- 1 Social Worker III
- 5 Social Workers II

**Care Coordination for the Elderly Virginian**
- 1 Social Work Supervisor
- 1 Social Worker III
- 2 Social Workers II
- 1 Mental Health Therapist
- 2 Public Health Nurses II
- 1 Business Analyst II
- 1 Administrative Assistant II

**Family Caregiver Support**
- 1 Management Analyst II
- 1 Senior Social Work Supervisor

**LONG-TERM CARE OMBUDSMAN**
- 1 Social Work Supervisor
- 5 Social Workers III

**DEPARTMENT OF NEIGHBORHOOD AND COMMUNITY SERVICES**

**Congregate Meals**
- 4 Park/Rec. Specialists II
- 5 Park/Rec. Assistants

**TOTAL POSITIONS**
**51 Grant Positions / 50.5 Grant Staff Years**

**PT Denotes Part-Time Position**

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Consolidation Due to the Replacement of the Legacy Computer System** **($7,824,306)**
  A decrease of $7,573,537 is due to the replacement of the County's legacy computer system. In July 2011, the County will implement an integrated finance, budget, purchasing and human resources computer system. As a result, Fund 103 Aging Grants and Programs is being consolidated into Fund 102, Federal/State Grant Fund, Agency 67, Department of Family Services General Fund or Agency 79, Department of Neighborhood and Community Services General Fund. A corresponding adjustment of $5,595,684 has been made in Fund 102, Federal/State Grant Fund; an adjustment of $1,315,212 in Agency 67, Department of Family Services General Fund; and an adjustment of $344,547 in Agency 79, Department of Neighborhood and Community Services General Fund. Additionally, $318,094 in Fringe Benefits funding is included in Agency 89, Employee Benefits. It should be noted that there is a decrease of $250,769 in anticipated FY 2012 program adjustments due to revised federal and state funding awards.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$3,531,292**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved carryover funding of $3,531,292 due to carryover of $3,546,065 in unexpended grants for Program Year 2010, a net decrease of $85,698 due to revised federal and state funding allocations and an increase of $70,925 due to funding received as part of the American Recovery and Reinvestment Act of 2009.

## Key Performance Measures

Fund 103, Aging Grants and Programs performance measures are consistent with the performance measures of the Adult and Aging Services cost center in Agency 67, Department of Family Services in the General Fund. Please refer to the Agency 67, Department of Family Services General Fund narrative in the Health and Welfare program area section of Volume 1 for a discussion of performance measures.

# Fund 103
# Aging Grants and Programs

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** | **Fund 103, Aging Grants and Programs**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance[1]** | **$2,852,446** | **$228,659** | **$3,896,167** | **$0** |
| Revenue: | | | | |
| Federal Funds | $1,993,712 | $2,085,560 | $2,347,615 | $0 |
| Federal Funds - ARRA[2] | 58,787 | 0 | 148,037 | 0 |
| State Funds | 1,165,711 | 1,023,772 | 1,102,569 | 0 |
| Project Income | 500,783 | 381,233 | 415,560 | 0 |
| Other Jurisdictions' Share of Ombudsman Program | 137,584 | 120,203 | 102,822 | 0 |
| City of Fairfax | 0 | 33,013 | 66,026 | 0 |
| City of Falls Church | 36,306 | 36,306 | 36,306 | 0 |
| Private Corporations | 3,420 | 2,000 | 2,205 | 0 |
| Total Revenue | $3,896,303 | $3,682,087 | $4,221,140 | $0 |
| Transfer In: | | | | |
| General Fund (001) | $4,252,824 | $3,913,560 | $3,913,560 | $0 |
| Total Transfer In | $4,252,824 | $3,913,560 | $3,913,560 | $0 |
| **Total Available** | **$11,001,573** | **$7,824,306** | **$12,030,867** | **$0** |
| Grant Expenditures: | | | | |
| **67460G,** Title III B, Community-Based Social Services | $1,316,270 | $1,501,744 | $2,027,735 | $0 |
| **67461G,** Title VII Ombudsman | 410,272 | 470,447 | 590,056 | 0 |
| **67462G,** Fee for Services/ Homemaker | 265,012 | 282,782 | 337,491 | 0 |
| **67463G,** Title III C(1) Congregate Meals | 2,195,524 | 2,746,578 | 4,643,099 | 0 |
| **67464G,** Title III C(2) Home-Delivered Meals | 1,707,032 | 1,739,393 | 2,232,123 | 0 |
| **67465G,** Care Coordination for the Elderly Virginian | 744,708 | 712,532 | 875,603 | 0 |
| **67466G,** Caregiver Support | 407,802 | 370,830 | 501,453 | 0 |
| **S6704G,** ARRA Funding | 58,786 | 0 | 148,038 | 0 |
| Total Grant Expenditures | $7,105,406 | $7,824,306 | $11,355,598 | $0 |
| **Total Disbursements** | **$7,105,406** | **$7,824,306** | **$11,355,598** | **$0** |
| | | | | |
| **Ending Balance** | **$3,896,167** | **$0** | **$675,269** | **$0** |

[1] In July 2011, the County is implementing an integrated finance, budget, purchasing and human resources computer system. As a result, grant funding associated with Fund 103, Aging Grants and Programs is being consolidated into Fund 102, Federal/State Grants Fund. In addition, funding previously classified as a grant in Fund 103, Aging Grants and Programs that no longer meets the grant definition of the new computer system will be transferred to Agency 67, Department of Family Services or Agency 79, Department of Neighborhood and Community Services in the General Fund. Corresponding adjustments have been made in Fund 102, Federal/State Grant, Agency 67, Department of Family Services, and Agency 79, Department of Neighborhood and Community Services for no net impact. It is anticipated that remaining FY 2011 funding and associated positions associated will be transferred as part of the *FY 2011 Carryover Review*.

[2] In order to account for revenue in the proper fiscal year, an audit adjustment in the amount of $23,524 has been reflected as an increase to FY 2010 revenue to properly reflect revenue accrual. This impacts the amount carried forward resulting in a net increase of $23,524 to the *FY 2011 Revised Budget Plan*. The audit adjustment has been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments will be included in the FY 2011 Third Quarter Package.

# Fund 104
# Information Technology

## Mission

Fund 104, Information Technology (IT), supports the County's strategic IT investments in major technology projects that improve access to County services, promote government operational efficiencies and effectiveness, customer service and increase performance and security capabilities. They include automation for County agencies, requirements aligned with countywide strategic goals, enterprise technology infrastructure, and enterprise-level or inter-agency corporate systems.

## Focus

Fund 104, Information Technology, was established in FY 1995 to strengthen centralized management of available resources by consolidating major IT projects in one fund. A General Fund transfer, revenue from the State Technology Trust Fund and other internal revenue funds, and interest earnings are sources for investment in IT projects.

The County's technological improvement strategy has two key elements. The first element is to provide an adequate infrastructure of basic technology for agencies in making quality operational improvements and efficiencies. The second is to redesign business processes and apply technology to achieve large-scale improvements in service quality and achieve administrative efficiencies. The County's long-term commitment to providing quality customer service through the effective use of technology is manifested in service enhancements, expedited response to citizen inquiries, improved operational efficiencies, better information for management decisions and increased performance capabilities.

The Senior Information Technology Steering Committee, which is comprised of the County Executive and senior County managers, adopted five IT priorities which guide the direction of Fund 104. They include:

- **Mandated Requirements**: Provide support for requirements enacted by the federal government, Commonwealth of Virginia, Board of Supervisors, or Court ordered or a result of County regulation changes.

- **Completion of Prior Investments**: Provide support for multi-year lease purchases and to implement a project phase or to complete a planned project.

- **Enhanced County Security**: Provide support for homeland security, physical security, information security and privacy requirements.

- **Improved Service and Efficiency**: Promote consolidated business practices; support more efficient government; optimize management and use of County assets and data; enhance systems to meet the expectations and needs of citizens; and promote service that can be provided through the Internet/ e-government. This includes corporate and strategic initiatives that add demonstrable value to a broad sector of government or to the County as a whole, which also provide productivity benefits and/or effectively manages the County's information and knowledge assets.

- **Maintaining a Current and Supportable Technology Infrastructure**: Focus on technology infrastructure modernizations which upgrade, extend or enhance the overall architecture or major County infrastructure components, including hardware and software and its environment. Ensure that citizens, businesses and County employees have appropriate access to information and services.

In accordance with the FY 2012 Budget Guidelines funding requests for Fund 104 IT projects were limited to IT projects requiring a funding increment to meet project milestones, contractual obligations, and security and infrastructure requirements for enterprise-wide IT systems. The projects recommended for funding meet one or more of the IT priorities established by the Senior IT Steering Committee and align with the County's strategic and business requirements.

# Fund 104
# Information Technology

In keeping with established procedures, a Project Review Team consisting of business and technical staff from the Department of Information Technology (DIT) and the Department of Management and Budget (DMB) evaluated submissions requesting additional funding for clear alignment with project plans and anticipated deliverables. Projects were reviewed for continued alignment with project plans from both a business and a technical perspective, including whether the continued implementation of the project would realize proposed benefits. Benefits of the projects were weighed against the cost and several risk factors, including potential unknown related expenses, changes in scope necessitated by new business drivers, technological relevance, operational transformation needs, project schedule viability and the impact of not funding or otherwise delaying the project. Technical factors examined include alignment with County technology architecture and standards, impact on existing County IT infrastructure and availability of viable products and services. Also considered is the organizational experience with the solutions that support the project business goals, and the availability of human resources both in DIT and the sponsoring agency to implement the projects.

## FY 2012 Initiatives

In FY 2012, funding of $11.25 million, which includes a General Fund transfer of $5.28 million, a transfer from Fund 105, Cable Communications of $5.67 million, and interest income of $0.30 million, is provided for initiatives that meet one or multiple priorities established by the Senior Information Technology Steering Committee. These initiatives include a mix of projects that provide benefits for both citizens and employees and that adequately balance new and continuing initiatives with the need for securing and strengthening the County's technology infrastructure. Funded projects will support initiatives in general County services, public safety, human services and enterprise technology security and infrastructure. Although many initiatives meet more than one of the technology priorities, for narrative purposes below, projects have been grouped into only one priority area.

| **Priority** | **FY 2012 Advertised Funding** |
|---|---|
| Completion of Prior Investments | $2.04 million |
| Enhanced County Security | $2.00 million |
| Improved Services and Efficiency | $4.27 million |
| Maintaining a Current and Supportable Technology Infrastructure | $2.94 million |
| **TOTAL** | **$11.25 million** |

## Completion of Prior Investments - $2.04 million

The County's IT program focuses on using technology as an essential tool to enable cost-effective delivery of services, and continues to stress the need to build reliable, supportable projects for these services in a timely manner. Many projects funded can be completed within that fiscal year, while others are multi-phase projects that require more than one year of funding.

In FY 2012, funding of $278,212 is included for continued support for the County's planned on-going maintenance of essential Geographic Information System (GIS) data. Through a series of complex geospatial transformations the raw imagery, taken from aerial imagery flown by the state, is converted to GIS data available to many County agencies including: Police, Fire and Rescue, Transportation, Housing and Community Development, Public Works and Environmental Services, Planning and Zoning, and Tax Administration.

Funding of $1,215,000 is included in FY 2012 to complete the Public Safety Architecture Modernization Project. This project implemented the Computer Aided Dispatch (CAD) and Public Safety Records Management Systems (RMS) for Fairfax County law enforcement agencies. This multiagency initiative provides an integrated public safety information platform which enables data sharing across functional areas of key public safety agencies for improved collaboration and interoperability. FY 2012 funding will support complete implementation of the I/CAD version 9.1 software as well as commercial mobile wireless services for the County's public safety agencies.

Funding of $550,167 is included in FY 2012 for operational support of the County's Public Service Radio System network infrastructure. The project replaced a 20-year-old Public Service Communications System, which provided two-way radio communications for all County non-public safety agencies, as well as the Fairfax County Public Schools (FCPS) Transportation Department (school buses), and Fairfax Water, with updated technology that meets the needs of user agencies. The system provides adequate call processing capacity and area coverage to more than 90 percent of the area within the jurisdictional boundaries of Fairfax County. The new network eliminates two zones within the County and provides seamless coverage on one system. It should be noted that total FY 2012 funding of $1,209,196 is included for this project; however, based on a portion of project costs derived from the number of radios users operating on the system, an amount of $659,029 will be recovered from FCPS and Fairfax Water, thus resulting in the $550,167 cost to the County.

### Enhanced County Security - $2.00 million

Recognizing the value of technology to County government, ensuring the security of the County's IT investments and information assets is of primary importance. Efforts are focused on the security of various levels including business process, network, application, data, and web access through various IT projects and enterprise infrastructure initiatives. During FY 2012, the County will continue to enhance its multi-faceted approach to securing County data and assets as new industry practices and homeland security guidelines are adopted and cyber threats become more sophisticated.

Funding of $2,000,000 is provided for deployment of up-to-date technology to support secure access of new web-based social media functionalities. This project will implement protected web security gateway infrastructure that will provide comprehensive secure web access, secure social media access to County agencies for agency business needs, smart media/video streaming, and data leakage protection. This project also improves compliance with regulatory standards, proactively mitigates against cyber security threats to the County's networks, enables real time security monitoring and captures web traffic intelligence efficiently. Funds available in Fund 105, Cable Communications are being used to secure and deploy this technology.

### Improved Service and Efficiency - $4.27 million

Projects funded in FY 2012 provide for improved service and efficiency in provision of services to the residents and the business community in Fairfax County. These include projects supporting the County's e-government programs as well as initiatives that improve County processes resulting in improved efficiencies and service delivery.

In FY 2012, funding of $400,000 is included in support of the County's continuing commitment to e-government for initiatives that improve public accessibility to County information and services. A primary use of this funding will be in support of the County's Interactive Voice Response (IVR) system which is extensively used by multiple agencies. It is anticipated that the current vendor will no longer be supporting IVR and as a result, FY 2012 project plans include replacement of hardware and software associated with the migration of the current IVR system to a new platform including associated data conversion and application interfaces. IVR applications are used for a range of services including complex transactions based systems that collect real estate taxes, property taxes, traffic ticket payments, as well as permit inspection scheduling. In addition, this funding will continue to support the County's web and e-government services, web content, social media integration, transparency, Web 3.0, and compliance with e-health records. Additionally, the e-government program enhances citizen participation with County government through online public input processes.

Funding of $3,670,000 supports the Police Department's In Car Video System project to install digital surveillance video cameras in Fairfax County Police Department's fleet of 800 patrol vehicles. The In Car Video system enables accurate recording of events, statements, and scenes, enhances both the

Commonwealth and County Attorneys abilities to prove their cases, and improves the Department's accountability to the public. The use of in-car video supports the Police Department's commitment to provide safe, fair, unbiased and responsible service to the residents of Fairfax County in carrying out law enforcement duties. The system will meet standards published by the International Association of Chiefs of Police (IACP) for in-car video surveillance. It should be noted that because of the primary role the I-Net will play in terms of transmitting the video to secure storage, funds available in Fund 105, Cable Communications, can be used to secure and install the capital hardware and software necessary for the in-car video program to become a reality.

Funding of $200,000 is provided for an enterprise-wide volunteer management system that improves volunteer recruitment, placement, and scheduling as well better tracking and measurement of the impact of volunteer contributions to County government. The goal is to develop common data elements and provide a shared point of entry for citizens interested in volunteering with Fairfax County. Project objectives include streamlining the process of matching volunteer abilities, interests and availability with County agency needs.

## Maintain a Current and Supportable Technology Infrastructure - $2.94 million

In an ever-evolving technology and communications environment, maintaining current and supportable technology architecture is a challenge that must be continually addressed to ensure performance, operability, security and integrity of business operations and information. The County's technological improvement strategy strives to balance business needs that require technology investments with the desire to adopt contemporary but relevant and supportable technology industry trends, as well as the ability to leverage existing infrastructure. Projects funded in FY 2012 will support the goal of updating and strengthening the technology foundation where practical, and ensuring that residents, the business community and County staff have appropriate and reliable access to information and services.

Funding of $2,163,200 is included for strategic infrastructure and services necessary for implementation of complex multi-phase enterprise-wide business transformation IT systems. This funding will support the projected infrastructure configuration and system integration services supporting the full scope of the County's FOCUS/ERP project. The plan includes servers, storage, middleware, security, document management, and web and associated system integration services for seamless performance between Fairfax County Government and Fairfax County Public Schools (FCPS) environments essential for implementation of SAP software. The plan outlined is strategic and aligns with County enterprise technology plans including infrastructure investment and enhancing opportunities for shared cost efficiencies between the County and FCPS.

Funding of $500,000 is included to support conversion and migration of the County's remaining legacy mainframe systems after implementation of the County's FOCUS/ERP project. The project includes significant historical data that needs to migrate off the mainframe onto more contemporary platforms, and several legacy applications in other business areas. The project will support migration of legacy financial, public safety records, personal property, public works, and human services. Upon completion, the County's legacy mainframe platform will be substantially retired.

Funding of $200,000 is included for additional remote access capabilities for internal users to access the County's systems. This project supports the expanding need for telework, COOP, disaster recovery, and increasing reliance of agency mobile workers on wireless solutions. Enterprise-wide standardized access control methodology enables secure identity authentication for authorized access to County networks, data, and systems. This project supports secure access from remote locations and provides improved security, reporting, and data analysis.

Funding of $75,000 is included to provide for on-going information technology training and certification in recognition of the challenges associated with maintaining skills at the pace of technological changes and to ensure that the rate of change in information technology does not out-pace the County's ability to maintain proficiency. As the County's workforce becomes increasingly dependent on information technology, training support has become more essential.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustment** **$47,589,191**

  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $47,589,191 due to carryover of unexpended project balances of $37,208,643 and a net increase based on higher than budgeted FY 2010 revenue of $380,548. In addition, funding of $10,000,000, supported by an increase in the General Fund transfer, is included to support anticipated milestone payments, infrastructure, training, and other obligations for the Legacy System Replacement project in FY 2011.

The following Project Summary table lists the projects contained in Fund 104, Information Technology. Descriptions for FY 2012 funded projects are included on the following pages. Information regarding technology initiatives can also be found in the FY 2012 Information Technology Plan prepared by the Department of Information Technology.

**Agency Summary**

| Category | FY 2012 Advertised Budget Plan |
|---|---|
| IT0004, Geographic Information System (GIS) | $278,212 |
| IT0010, Information Technology Training | 75,000 |
| IT0022, Tactical Initiatives | 2,163,200 |
| IT0024, Public Access to Information | 400,000 |
| IT0050, Public Service Communications Replacements | 550,167 |
| IT0058, Remote Access | 200,000 |
| IT0061, Information Technology Security | 2,000,000 |
| IT0083, Public Safety Architecture Modernization | 1,215,000 |
| IT0088, Retirement of Legacy Systems | 500,000 |
| IT0090, Police In Car Video System | 3,670,000 |
| IT0091, Volunteer Management System | 200,000 |
| **Total Funds** | **$11,251,579** |

| **IT0004, Geographic Information System (GIS)** | **IT Priorities:** • Completion of Prior Investments; • Enhanced County Security; • Improved Service and Efficiency; • Maintaining a Current and Supportable Technology Infrastructure |
|---|---|

| FY 2010 Expenditures | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget |
|---|---|---|
| $502,657 | $1,067,467 | $278,212 |

**Description and Justification:** This project provides continued funding for the County's planned multiyear implementation and maintenance of essential Geographic Information System (GIS) data including oblique imagery and planimetric data. GIS provides County staff and citizens the means to electronically access, analyze and display land-related data. The imagery is used in the My Neighborhood viewer, the Digital Map viewer, the 3-D Virtual Fairfax application and all of the County's web and desktop mapping applications.

In FY 2012, funding of $278,212 is included for this project. Through a series of complex geospatial transformations, the raw imagery taken from aerial imagery flown by the state, will be converted to GIS data available to many County agencies including: Police, Fire and Rescue, Transportation, Housing and Community Development, Public Works and Environmental Services, Planning and Zoning, and Tax Administration (DTA). The project includes planimetric impervious surface features inlcuding: driveways, building footprints, streams, sidewalks, pools, edges of roads and centerlines which are critically needed by key agencies such as DPWES (Stormwater) and by Public Safety agencies.

**Return on Investment (ROI):** The updated GIS data enhances the County's security oriented applications such as emergency response preparedness, preplanning fire and rescue, hazardous material spills, and crime mapping. Planimetric data is also a key data sets used by the Computer Aided Dispatch system's mobile units in Police and Fire and Rescue vehicles. The GIS database with new impervious features and contouring facilitates key agencies land use applications following Fairfax County's Environmental Quality Advisory Council (EQAC) recommendations and contribute to overall improved services and efficiency. The updated GIS data provides County agencies readily accessible data necessary for engineering and design projects in any location as well as the ability to view field conditions from a desktop without traveling to the site, providing significant savings to County staff in various agencies.

GIS imagery is also the source of the 3-D building imagery displayed in Virtual Fairfax (VF) a web based 3-D visualization tool available on the County's website. VF enables users to fluidly navigate the County and surrounding areas, view 3-d images of buildings in key County areas, determine the terrain, shadow impacts and building elevations. VF contains direct links to key County land information systems containing assessment data (DTA), zoning and building activity (LDSNet), school and magisterial districts, and parks.

# Fund 104
# Information Technology

| **IT0010, Information Technology Training** | **IT Priorities:** • Maintaining a Current and Supportable Technology Infrastructure |
|---|---|

| **FY 2010 Expenditures** | **FY 2011 Revised Budget Plan** | **FY 2012 Advertised Budget** |
|---|---|---|
| **$31,957** | **$170,888** | **$75,000** |

**Description and Justification:** This project provides funding for information technology training in recognition of the challenges associated with maintaining skills at the same pace as technology changes. The rate of change in information technology is an ongoing challenge for the County in maintaining relevant proficiencies for its technology workforce, and enabling quick adoption of technology that is beneficial in meeting the County's mission, goals and objectives. As the County's business has become increasingly dependent on information technology, training support has become more essential.

Funding of $75,000 is included in FY 2012 to provide for information technology training and certification. The Department of Information Technology anticipates additional required training for staff in SAP software implementation and integration tools and related applications.

**Return on Investment (ROI):** Continued funding will address instruction in new technologies, network management, computer operations, and software applications development and maintenance to assist County staff and systems.

| IT0022, Enterprise Architecture and Support (Tactical Initiatives) | IT Priorities: | • Maintaining a Current and Supportable Technology Infrastructure<br>• Improved Service and Efficiency |
|---|---|---|

| FY 2010 Expenditures | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget |
|---|---|---|
| $804,589 | $2,593,139 | $2,163,200 |

**Description and Justification:** This project supports the implementation of the County's IT enterprise ERP and related business systems. Funding provides for necessary integration of application and infrastructure systems components to meet the County IT architecture and interoperability goals enabling seamless systems integration and flexible IT architecture.

Funding of $2,163,200 is included for strategic infrastructure and services necessary for implementation of complex multi-phase enterprise-wide business transformation IT systems. This funding will support the projected infrastructure configuration and system integration services supporting the full scope of the County's FOCUS/ERP project. The plan includes servers, storage, middleware, security, document management, and web and associated system integration services for seamless performance between Fairfax County Government and Fairfax County Public Schools (FCPS) environments essential for implementation of SAP software. The plan outlined is strategic and aligns with County enterprise technology plans including infrastructure investment and enhancing opportunities for shared cost efficiencies between the County and FCPS.

**Return on Investment (ROI):** This initiative supports infrastructure requirements of multi-phase, joint initiatives with Fairfax County Government and Fairfax County Public Schools that will replace the County's corporate legacy systems with a single, unified system. Automation and modernization will empower both employees and managers to execute processes more efficiently, and make the best strategic decisions based on the most timely and accurate information. This project will enable the County to incorporate fully integrated best business practices. It will add and improve functionality in back-office functional areas, improve the quality and accessibility of information for decision support, and reduce redundant data entry, storage and paper processing. This implementation is also a step in the County's on-going infrastructure modernization program and focuses on the IT investment priorities of providing a stable and secure IT infrastructure and leveraging IT investments.

| **IT00024, Public Access to Information** | **IT Priorities:** • Improved Service and Efficiency<br>• Maintaining a Current and Supportable Technology Infrastructure |
|---|---|

| FY 2010 Expenditures | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget |
|---|---|---|
| **$484,324** | **$468,431** | **$400,000** |

**Description and Justification:** This project provides funding for initiatives that improve public accessibility to government information and services. A comprehensive approach is employed to ensure efficient infrastructure capable of supporting multiple business solutions.

In FY 2012, a primary use of this $400,000 in funding will be in support of the County's Interactive Voice Response (IVR) system which is extensively used by multiple agencies. It is anticipated that the current vendor will no longer be supporting IVR and as a result, FY 2012 project plans include replacement of hardware and software associated with the migration of the current IVR system to a new platform including associated data conversion and application interfaces. IVR applications are used for a range of services including complex transactions based systems that collect real estate taxes, property taxes, traffic ticket payments, as well as permit inspection scheduling. In addition, this funding will continue to support the County's web and e-government services, web content, social media integration, transparency, Web 3.0, and compliance with e-health records. Additionally, the e-government program enhances citizen participation with county government through online public input processes and will also allow the County to extend e-commerce/ e-services to citizens via mobile devices.

**Return on Investment (ROI):** E-government is a foundational program with a comprehensive strategy that includes multiple channels using enabling technology, policy and processes that integrate the County's website, social media, interactive voice response (IVR) platforms, and mobile devices for cohesive public access to County information and services. In addition to the benefits to constituents by providing more opportunities for access to services and information online and improved customer service, public access technologies continue to provide County government greater internal efficiencies that enable effective response to growing demand for services associated with County growth and diversity.

This project continues to provide single information architecture and supporting infrastructure for platforms needed to provide new information and services to the public. The project also develops and promotes the sharing of data across agency and jurisdictional lines, thereby increasing the scope and value of information and services provided to citizens. It expands the capabilities of content management systems in order to improve automated workflow, revision control, indexing, and search and retrieval for countywide systems to improve operational efficiencies and collaboration. Internet and Intranet initiatives provide significant and wide-ranging opportunities to use technology as a means of making information more readily available to the public. Public access technologies minimize staff resources needed to provide basic information, thereby allowing staff to be deployed to more complex tasks, as well as respond to requests requiring more detailed or specialized information.

| IT0050, Public Service Communications Replacements | IT Priorities: • Completion of Prior Investments<br>• Maintaining a Current and Supportable Technology Infrastructure |
|---|---|

| FY 2010 Expenditures | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget |
|---|---|---|
| $675,841 | $3,011,082 | $550,167 |

**Description and Justification:** This project provides continued funding for the Public Service Communications System, which provides two-way radio communications for all County non-public safety agencies, as well as the Fairfax County Public Schools (FCPS) Transportation Department (school buses), and Fairfax Water, with updated technology that meets the needs of user agencies. The completed system provides adequate call processing capacity and area coverage to more than 90 percent of the area within the jurisdictional boundaries of Fairfax County.

Funding of $550,167 is recommended in FY 2012 for on-going operational requirements including site leases, inter-site network charges, and system maintenance. It should be noted that total FY 2012 funding of $1,209,196 is included for this project; however, based on a portion of project costs derived from the number of radios users operating on the system, an amount of $659,029 will be recovered from FCPS and Fairfax Water, thus resulting in the $550,167 cost to the County.

**Return on Investment (ROI):** The replacement system provides reliable radio coverage to many areas of the County that are not covered by the old radio system. This provides the necessary protection and safety for bus drivers and other staff that depends on reliable communications, improves customer service to County citizens and County agencies, and reduces reliance on commercial wireless networks in addition to future cost avoidance and other non-quantifiable benefits. The completed system is fully compatible with the mobile and portable radios used by the County's public safety radio system which allows for direct communication between public safety and public service users for incident or disaster management, and provides a separate backup system for the public safety system.

| **IT0058 Remote Access** | **IT Priorities:** • Maintaining a Current and Supportable Technology Infrastructure<br>• Enhanced County Security |
|---|---|

| FY 2010 Expenditures | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget |
|---|---|---|
| $0 | $27,140 | $200,000 |

**Description and Justification:** This project supports enhanced and expanded capability of internal users to access the County's systems from remote locations for service field activities, telework, and possible pandemic outbreak access.

Funding of $200,000 is included in FY 2012 to integrate Active Sync with Microsoft Outlook Exchange for wireless devices to support agency mobile workers and remote access capabilities. This project establishes an enterprise-wide standardized remote access control methodology that provides a solution for employees and external system users, and also is intended to be expanded to partners and County customers and residents to authenticate their identity in order to gain access to relevant data and do business in a secure manner. All user authentication management is policy-based and centrally managed allowing for comprehensive audit and reporting services to support and log information on the extensive user base. This project supports increased security, simplified management, rapid reporting and data analysis, and secure access from remote locations.

**Return on Investment (ROI)**: This project provides a cost effective approach to enhance the County's infrastructure in order to provide flexibility for a variety of remote access devices that may be used by County staff. The capability encourages more employees to take advantage of telecommuting in line with regional goals supported by the Board of Supervisors and also provides County staff necessary remote access capacity in case of emergency events such as hurricanes, snow storms, or pandemic outbreaks.

| IT0061, Information Technology Security | IT Priorities: • Enhanced County Security<br>• Maintaining a Current and Supportable Technology Infrastructure<br>• Improved Service and Efficiency |
|---|---|

| FY 2010 Expenditures | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget |
|---|---|---|
| $141,836 | $2,444 | $2,000,000 |

**Description and Justification:** This project supports the provision of appropriate IT security infrastructure for secure access to advanced web and social media functionalities. Some County agencies must now use social media sites for conducting County business with constituents and community partners. As users increasingly embrace social media and mobile computing the County must proactively plan and implement robust IT security infrastructure for safeguarding the County's critical systems from increasingly sophisticated threats arising from new tools.

Funding of $2,000,000 is provided for deployment of secure up-to-date technology to support web and social media functionalities. This project will implement protected web security gateway infrastructure that will provide comprehensive secure web access, secure social media access to County agencies for agency business needs, smart media/video streaming, and data leakage protection.

Funds available in Fund 105, Cable Communications are being used to secure and deploy this technology. Fund 105 funds are dedicated funds that can only be used for specific purposes defined by federal law. For example, these funds cannot be used for Personnel Services and ongoing system maintenance costs. No additional personnel costs are anticipated associated with securing this technology and maintenance costs are not anticipated in FY 2012.

**Return on Investment (ROI):** Investment in this project provides appropriate IT security infrastructure to protect County networks and data from growing cyber security threats inherent to the expansion of web/social media access. This project will also enable real time security monitoring, capture web traffic intelligence efficiently, provide for secure access and administrative controls to external social media sites, and improve bandwidth efficiency for Web2.0 multi-media content. Additionally important security concerns with corporate, regulatory, and e-discovery compliance and requirements will be addressed. Electronic communications are subject to the same regulatory litigation requirements.

| **IT0083, Public Safety Architecture Modernization** | **IT Priorities:** • Completion of Prior Investments<br>• Enhanced County Security<br>• Improved Service and Efficiency<br>• Maintaining a Current and Supportable Technology Infrastructure |
|---|---|

| FY 2010 Expenditures | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget |
|---|---|---|
| $2,390,058 | $2,730,709 | $1,215,000 |

**Description and Justification:** The Public Safety Architecture Modernization project supports implementation of common infrastructure supporting integrated Computer Aided Dispatch (CAD) and Public Safety Records Management Systems (RMS), including public safety communications, as well as Police, Fire and Rescue, and Emergency Medical Services records management. This project provided the underlying infrastructure components and shared capabilities required for an integrated, interoperable public safety system.

Funding of $1,215,000 is included in FY 2012 to complete implementation of the planned i/CAD version 9.1 software. This will be followed by whole system acceptance and completion of overall Public Safety Architecture Modernization effort. Funding in FY 2012 also provides for wireless commercial services for Public Safety mobile devices.

**Return on Investment (ROI):** The award winning Public Safety Architecture Modernization project represents a joint initiative undertaken by the public safety agencies in Fairfax County (Department of Public Safety Communications, Police Department, Fire and Rescue Department, Office of the Sheriff, and Office of Emergency Management). The initiative provides an integrated public safety suite for CAD and RMS, with supporting network infrastructure to support robust GIS including automatic vehicle location (AVL), automatic vehicle routing recommendations (AVRR), broadband wireless data services, and automated field reporting. Savings are achieved in implementing standards for all stakeholders, consolidating system infrastructure and reducing system tool redundancies from prior independent systems.

More importantly, this project greatly enhances the County's ability to respond quickly and effectively to emergencies that require coordination among the various responder organizations and share information required for collaboration, case management, reporting, remediation and mitigation.

| **IT0088, Retirement of Legacy Systems** | **IT Priorities:** • Maintaining a Current and Supportable Technology Infrastructure<br>• Improved Service and Efficiency |
|---|---|

| FY 2010 Expenditures | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget |
|---|---|---|
| $0 | $0 | $500,000 |

**Description and Justification:** The FOCUS/ERP project will replace the County's existing legacy mainframe systems for budget, human resources, finance, and procurement. This project will support an assessment conversion and migration of legacy data, databases, and system off the mainframe onto more contemporary platforms. This project will support validation of existing data prior to migration and development of inquiry and reporting capabilities.

Funding of $500,000 is included to support the assessment, conversion and migration of the County's legacy mainframe systems in connection with implementation of the County's FOCUS/ERP project. Substantial historical flat file and DB2 data needs to migrate off the mainframe onto more contemporary server based and virtual platforms. New relational databases and new indexing schemes are required to store legacy mainframe data. Upon completion of the data migration and conversion, the County's mainframe platform will be retired.

**Return on Investment (ROI):** Many efficiencies and cost savings will be achieved with migration off and eventual retirement of the mainframe system. These include support and licensing costs for mainframe database platforms, tools, utilities and monitoring modules. With retirement of the mainframe system the County will achieve savings by ending lease payments for hardware, software utilities, mainframe data storage devices, as well as the cost of separate mainframe security software RACF. Furthermore the converted legacy systems can utilize more efficient virtualized server environment thus providing opportunities for additional savings in the County's data center.

| IT0090, Police In Car Video System | IT Priorities: • Enhanced County Security<br>• Improved Service and Efficiency |
|---|---|

| FY 2010 Expenditures | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget |
|---|---|---|
| $0 | $0 | $3,670,000 |

**Description and Justification:** The goal of this project is to install digital surveillance video cameras in the Police Department's patrol vehicles which currently have no in-car video capabilities. The In Car Video system enables accurate recording of events, statements, and scenes, enhances both the Commonwealth and County Attorneys abilities to prove their cases, improves on-scene safety and the Police Department's accountability to the public.

FY 2012 funding of $3,670,000 is included to support the Police In Car Video System project to install digital surveillance video cameras in the Fairfax County Police Department's fleet of 800 patrol vehicles. The project will provide in-car video capabilities for the Fairfax County Police Department and will meet standards published by the International Association of Chiefs of Police (IACP). Each patrol vehicle will require a video package that will include an in-car video camera, controller, a display component, digital recording device, and wireless data communications. Included with each system would be a software license package and a five year required maintenance agreement.

It should be noted that because of the primary role the I-Net will play in terms of transmitting the video to secure storage, funds available in Fund 105, Cable Communications, can be used to secure and install the capital hardware and software necessary for the in-car video program to become a reality. Fund 105 funds are dedicated funds that can only be used for specific purposes defined by federal law. For example, these funds cannot be used for Personnel Services and ongoing system maintenance costs. No additional personnel costs are anticipated associated with this program and maintenance costs are not anticipated in FY 2012.

**Return on Investment (ROI):** In-car video provides benefits to the public, the law enforcement community and the legal system across the nation. Locally, the use of in-car video supports the Police Department's commitment to provide fair, unbiased and responsible service to the residents of Fairfax County in a number of ways. First, in-car video is a valuable aide to criminal investigations through accurate recording of events, statements, and scenes. Video evidence enhances both the Commonwealth and County Attorneys abilities to adjudicate court cases. Second, in-car video enhances police accountability to the public, and provides an invaluable, objective perspective for reviewing the actions of officers when it is necessary to prove or disprove an allegation of wrongdoing. Third, in-car video provides the Police Department with a means to observe and assess its primary method of service delivery. Video footage can be reviewed, critiqued, and then used to develop better practices, policies, and training for staff. This can improve officer safety, quality of service, and public satisfaction. The overall return on investment is increased trust and confidence by the public in their Police Department.

| IT0091, Volunteer Management System | IT Priorities: • Improved Service and Efficiency |
|---|---|

| FY 2010 Expenditures | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget |
|---|---|---|
| $0 | $0 | $200,000 |

**Description and Justification:** The Volunteer Management System will provide a cost-effective, value-added enterprise IT solution to support County-wide efforts for improving volunteer recruitment and reporting of the impact of volunteer contributions to Fairfax County. Increasing volunteer engagement has been highlighted as a goal in both agency and Board of Supervisors' budget and planning discussions in recent years.

Funding of $200,000 is provided for an enterprise-wide volunteer management system that improves volunteer recruitment, placement, scheduling and the ability to track the impact of volunteer contributions in support of the County. The goal of the project is to develop common data elements and provide a shared point of entry for citizens interested in volunteering with Fairfax County. Project objectives include streamlining the process of matching volunteer abilities, interests and availability with county agency needs, and providing the means for tracking volunteer contributions to County government.

**Return on Investment (ROI):** There are both immediate and long-term benefits to an enterprise approach to volunteer management software. The County currently supports multiple volunteer technology tools. Multiple data collection and entry processes for citizens interested in volunteering in Fairfax County is confusing and may prevent potential volunteers and staff from making the best connections with interests, skills, and availability. It is currently difficult to count and accurately report the impact of volunteers County-wide and the value of services they provide to the community. Developing common data elements and providing a shared point of entry for those interested in volunteering with Fairfax County would address these shortcomings. Capturing data about volunteer employers allows agencies to apply for corporate grants that are increasingly influenced by employee volunteer experiences.

# Fund 104
# Information Technology

## FUND STATEMENT

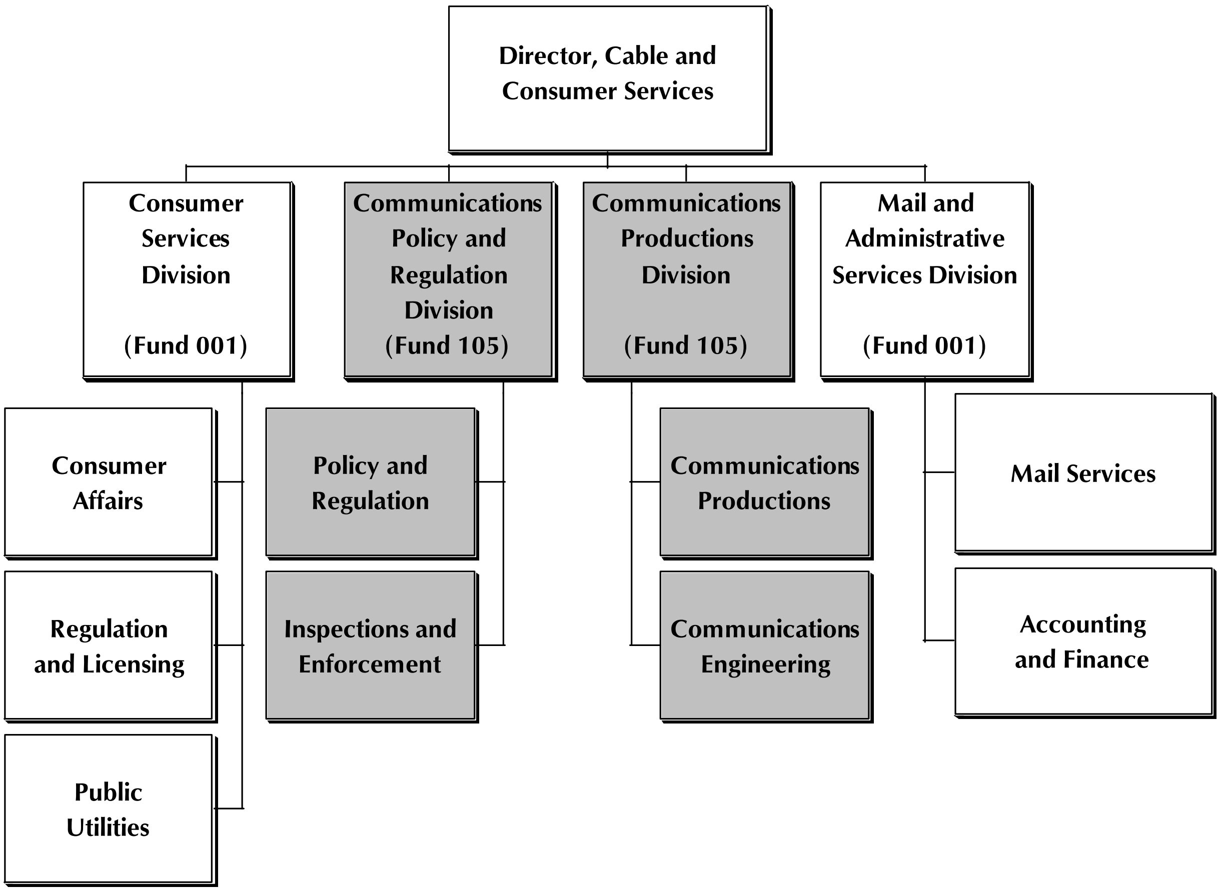
**Fund Type G10, Special Revenue Funds** **Fund 104, Information Technology**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$42,607,890** | **$0** | **$37,418,536** | **$0** |
| Revenue: | | | | |
| Interest | $272,651 | $500,000 | $500,000 | $300,000 |
| State Technology Trust Fund | 1,054,624 | 0 | 0 | 0 |
| Total Revenue | $1,327,275 | $500,000 | $500,000 | $300,000 |
| Transfers In: | | | | |
| General Fund (001) | $13,430,258 | $3,225,349 | $13,225,349 | $5,281,579 |
| Cable Communications (105) | 1,000,000 | 1,742,000 | 1,742,000 | 5,670,000 |
| Total Transfers In | $14,430,258 | $4,967,349 | $14,967,349 | $10,951,579 |
| **Total Available** | **$58,365,423** | **$5,467,349** | **$52,885,885** | **$11,251,579** |
| Expenditures: | | | | |
| IT Projects[1] | $20,946,887 | $5,467,349 | $52,885,885 | $11,251,579 |
| Total Expenditures | $20,946,887 | $5,467,349 | $52,885,885 | $11,251,579 |
| **Total Disbursements** | **$20,946,887** | **$5,467,349** | **$52,885,885** | **$11,251,579** |
| **Ending Balance[2]** | **$37,418,536** | **$0** | **$0** | **$0** |

[1] In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of $170,654.95 has been reflected as an increase to FY 2010 expenditures and a decrease to FY 2011 revised expenditures to properly reflect the recording of expenditures in this fund. These audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2011 Third Quarter package.

[2] Information Technology projects are budgeted based on total project costs. Most projects span multiple years. Therefore, funding is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 105
# Cable Communications




The Department of Cable and Consumer Services (DCCS) is the umbrella agency for four distinct functions: Communications Policy and Regulation; Communications Productions; Consumer Services; and Mail and Administrative Services. The total agency staff is dispersed over two funding sources. The Cable Communications function, which includes the Communications Policy and Regulation Division and the Communications Productions Division, is responsible for communications regulation and for television programming, and is presented in Fund 105 (Volume 2). Fund 105 is supported principally by revenue received from local cable operators through franchise agreements. Consumer Services, which mediates complaints, educates consumers, regulates taxicabs, issues licenses, and provides utility rate case intervention, is presented within the Public Safety Program Area (Volume 1) and is fully supported by the General Fund. Mail and Administrative Services manages mail services as well as accounting and finance services. Mail Services along with Accounting and Finance are programs presented in the Legislative-Executive Functions/Central Services Program Area (Volume 1) and are fully supported by the General Fund. While the functions of the Department of Cable and Consumer Services provide diverse services, they all provide quality customer service to the community and work collaboratively with County agencies, neighboring jurisdictions, and professional organizations.

***Fairfax County government's Channel 16 is one of the best government access cable television stations in the nation.***

# Fund 105
# Cable Communications

## Mission

To promote the County's cable communications policy; to enforce public safety, customer service, and regulatory requirements among the County's franchised cable operators; and to produce television programming for Fairfax County Government Channel 16 and the Fairfax County Training Network (FCTN).

To accomplish the mission, Cable Communications encourages competition, innovation, and inclusion of local community interests in the countywide deployment of cable communications services; negotiates, drafts, and provides regulatory oversight and enforcement of cable communications contracts, ordinances, statutes, and customer service policies; protects the health, safety, and welfare of the public by enforcing safety codes and construction standards; ensures community access to public, educational, and governmental programming; maintains a reliable means of mass communication of official information during emergencies; provides digital media production services to create informational programming for County residents accessible through a variety of distribution channels; and supports internal communications, including remote origination and viewing of training programs for County employees and emergency first-responders.

## Focus

The Cable Communications Fund (CCF) was established by the Board of Supervisors in 1982 to provide accurate and auditable accounting of revenues and expenses associated with the administration of the County's cable communications ordinance and franchise agreements, communications productions, and cable-related consumer and policy services. CCF revenue supporting this fund comes from Institutional Network (I-Net) and Public, Educational, and Governmental (PEG) access capital grants and state communications sales and use taxes received from local cable operators based on the operators' gross revenues.

The Communications Policy and Regulation Division (CPRD) negotiates cable franchise agreements and is responsible for regulatory oversight of the County's three franchised cable television providers. At the end of FY 2010, there were over 275,000 cable subscribers within the County. More than two-thirds of County households now have a choice of cable service providers. Comcast and Cox provide service in separate, non-overlapping franchise areas, and Verizon's build-out is on target to provide service throughout the County by 2012. Franchised cable service providers continue to offer video, broadband Internet access, and voice services. CPRD ensures that cable operators provide high quality customer service, safe cable system construction and operation, and access to PEG programming and emergency information.

CPRD enforces construction codes and standards on a competitively neutral basis. In FY 2010, more than 95 percent of inspected work sites were in compliance with applicable codes. Verizon will complete build-out of the fiber-optic cable communications system in FY 2012, and Cox and Comcast will continue to replace and upgrade portions of the hybrid fiber-coaxial systems resulting in a continued need for construction-related inspections and complaint investigations.

In FY 2010, CPRD worked to analyze proposed state and federal cable, broadband, and telecommunications legislation and regulation. CPRD continued to assist County residents with effects of the June 2009 transition to over-the-air digital television. CPRD continues to work with the other county agencies to monitor the fiscal impact on the CCF of the Virginia General Assembly's 2007 Communications Sales and Use Tax legislation. CPRD will also continue to work with the County Executive's legislative liaison and TeleCommUnity, a local government alliance, to monitor new developments in cable and broadband legislation, regulation and technology, and to work with other DCCS staff to develop related consumer education materials.

CPRD continues to administer financial support for the Institutional Network (I-Net). The I-Net is comprised of more than 4,000 kilometers of fiber linking over 400 County and Fairfax County Public Schools (FCPS) locations. CPRD will continue to support the construction of new I-Net sites and efforts to migrate video, high-speed data, and voice services to the I-Net in designated County and FCPS facilities. CPRD also continues to be active with public safety and new technology initiatives, assisting the Department of Information Technology in filing a waiver petition with the Federal Communications Commission with the aim of enabling the County to move forward with an advanced public safety radio system.

# Fund 105
# Cable Communications

The Communications Productions Division (CPD) is responsible for the production of television programming for Fairfax County Government Channel 16 and the Fairfax County Training Network (FCTN). Channel 16 programming includes both Board-directed programming and the highest-rated program proposals submitted by County agencies. In FY 2012, Channel 16 will televise an estimated 330 live meetings of the Board of Supervisors, Planning Commission, Board of Zoning Appeals, County Executive projects, Board-directed special programming, town meetings, and monthly video newsletters for members of the Board of Supervisors. In addition, Channel 16 will televise programs and teleconferences highlighting the services of County agencies. The final number of informational programs produced in FY 2012 will be determined through the Fairfax County Communication Strategy's quarterly program proposal process. Channel 16 reaches an estimated 625,000 residents with information about County programs and services available in the community. In addition Channel 16 programming is available via streaming and video-on-demand, reaching an even larger audience. CPD is also reaching out to an increasingly diverse community by offering translated programming including Spanish, Korean, and Vietnamese, as requested by County agencies.

In addition to programming for the public, CPD is responsible for programming on closed-circuit FCTN via the Fairfax County I-Net. In FY 2012, CPD will televise training and internal communications productions, as well as national satellite conferences, telecommunication courses, video training, and lectures on areas such as leadership, ethics, public health and safety, and management techniques. FCTN programming reaches approximately 25,000 combined County and Fairfax County Public Schools' employees, providing the latest training and professional development programming to improve services to the public.

During FY 2012 CPD will continue to operate an emergency message system for the public, serve as a centralized resource for loan pool equipment for County agencies, manage a satellite downlink, and support video teleconferencing. CPD also provides engineering support services to County agencies and new County facilities that require complex audio and video installations.

In conjunction with the Fairfax County Communication Strategy, CPD will continue to evaluate and redesign Channel 16 and FCTN programming, enhance current operations and customer service through technology changes, and support live remote testimony for public hearings. CPD will continue to maintain a national presence, be a leader in the quality of programming produced, and research new services to enhance operations.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| **Category** | **FY 2010 Actual** | **FY 2011 Adopted Budget Plan** | **FY 2011 Revised Budget Plan** | **FY 2012 Advertised Budget Plan** |
| Authorized Positions/Staff Years | | | | |
| Regular | 40/ 40 | 40/ 40 | 46/ 46 | 51/ 51 |
| Expenditures: | | | | |
| Personnel Services | $3,796,519 | $4,691,124 | $4,691,124 | $4,951,569 |
| Operating Expenses | 4,379,629 | 4,896,096 | 10,771,546 | 5,698,567 |
| Capital Equipment | 235,394 | 300,000 | 921,834 | 300,000 |
| **Total Expenditures** | **$8,411,542** | **$9,887,220** | **$16,384,504** | **$10,950,136** |

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- **Conference Center Consolidation** **$181,259**
  An increase of $181,259, including $172,859 in Personnel Services and $8,400 in Operating Expenses, is associated with consolidating the scheduling and coordinating of events at the Government Center Complex. As a result of this action, 4/4.0 SYE positions, as well as associated Operating Expenses and limited-term support will be transferred from the Facilities Management Department to Fund 105, Cable Communications. This consolidation maximizes operational efficiencies by aligning video technology support with Communications Productions engineering staff and leveraging technology, scheduling, logistics, and resources to continue providing Conference Center services. A corresponding decrease will be shown in the Facilities Management Department budget.

- **Cable-Related Financial Services Adjustment** **$79,250**
  An increase of $79,250, including $78,000 in Personnel Services and $1,250 in Operating Expenses and 1/1.0 SYE position is included to appropriately charge Fund 105 for financial-related services provided by the Department of Cable and Consumer Services (DCCS). This expenditure increase is offset by a corresponding decrease in Agency 04, DCCS.

- **Other Post-Employment Benefits** **$9,586**
  An increase of $9,586 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Advertised Budget Plan.

- **I-Net Operating Expenses** **$792,821**
  An increase of $792,821 in Operating Expenses is wholly attributable to additional I-Net operating expenses fully supported by increased I-Net revenue in FY 2012.

- **Capital Equipment** **$300,000**
  Capital Equipment funding of $300,000 includes $250,000 for digital video production equipment in support of the Communications Production Division. The remaining $50,000 reflects funds for I-Net maintenance.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$6,497,284**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $6,497,284 due to $2,341,612 in encumbered carryover and $4,155,672 in unencumbered carryover primarily attributable to unexpended funds related to the design and operation of the I-Net.

# Fund 105
# Cable Communications

♦ **Position Changes** **$0**
As part of the FY 2011 review of County position categories, a conversion of 6/6.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

## Cost Centers

The two cost centers within Fund 105, Cable Communications that work together to achieve the mission of the Fund are the Communications Policy and Regulation Division and Communications Productions Division. A large portion of the Communications Policy and Regulation Division is dedicated for I-Net initiatives.

## Communications Policy and Regulation Division

**Funding Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 17/ 17 | 17/ 17 | 21/ 21 | 22/ 22 |
| **Total Expenditures** | **$5,878,652** | **$6,268,261** | **$12,221,064** | **$7,140,332** |

**Position Summary**

| | | | | | |
|---|---|---|---|---|---|
| | **Office of the Director** | | **Communications Policy and Regulation Division** | | **Inspections and Enforcement** |
| 1 | Director, DCCS | | | 1 | Engineer III |
| 1 | Administrative Assistant V | 1 | Director, Policy and Regulation | 1 | Engineering Technician III |
| | | 1 | Administrative Assistant IV | 1 | Communications Engineer |
| | **Regulation and Licensing** | | | 6 | Senior Electrical Inspectors |
| 1 | Administrative Assistant III | | **Policy and Regulation** | | |
| | | 2 | Management Analysts III | | **Consumer Affairs** |
| | **Administrative Services** | | | 1 | Consumer Specialist II |
| 1 | Financial Specialist III (1 T) | | **Public Utilities** | 1 | Consumer Specialist I |
| 1 | Administrative Assistant IV | 1 | Utilities Analyst | 1 | Administrative Assistant II |

**TOTAL POSITIONS**
**22 Positions (1 T) / 22.0 Staff Years (1.0 T)** **(T) Denotes Transferred Position**

## Key Performance Measures

### Goal

To encourage competition and innovation in countywide deployment of cable communications services; to protect the public by rigorously enforcing cable communications construction safety codes and procedures, customer service regulations, consumer protection statutes, franchise agreements, the Fairfax County Communications Ordinance and applicable law; to respond to public and County agency inquiries regarding communications policy, statutes, regulations and technological developments; to support development of community networks to cost-effectively transport video and data; and to maintain reliable means of mass communication of official information during public safety emergencies.

### Objectives

♦ To inspect 27 percent of cable communications construction work sites within the County and achieve 100 percent correction of all identified instances of non-compliance with applicable federal, state and County cable construction and public right-of-way codes and standards.

# Fund 105
# Cable Communications

- To inspect 100 percent of all homeowner cable communications construction complaints requiring investigation by inspectors within 1 business day and to complete 100 percent of such complaint investigations.

- To achieve a 95 percent favorable resolution rate of cable communications service complaint investigations.

- To complete 99 percent of all inquiries while meeting response deadlines for regulatory, legislative, and policy inquiries.

- To meet measurement requirements for construction, activation and repair of the I-Net.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Cable communications construction work sites | 88,915 | 103,168 | 70,000 / 68,157 | 65,000 | 60,000 |
| Homeowner cable construction complaints inspected | 208 | 224 | 180 / 185 | 160 | 160 |
| Cable service complaints investigated | 292 | 324 | 220 / 251 | 220 | 240 |
| Regulatory, legislative and policy inquiries | 141 | 568 | 120 / 239 | 200 | 180 |
| I-Net locations constructed | 16 | 36 | 19 / 19 | 26 | 20 |
| I-Net locations activated for video transport | 16 | 9 | 5 / 7 | 4 | 6 |
| I-Net incidents repaired | 127 | 149 | 150 / 164 | 150 | 150 |
| **Efficiency:** | | | | | |
| Inspector hours per cable communications construction work site inspected | 0.30 | 0.31 | 0.45 / 0.37 | 0.45 | 0.45 |
| Inspector hours per inspected homeowner cable construction complaint | 2.8 | 3.2 | 3.5 / 3.8 | 3.8 | 3.8 |
| Staff hours per cable service complaint | 5.5 | 4.7 | 5.5 / 4.6 | 5.5 | 5.5 |
| Inquiry responses prepared per staff | 56 | 284 | 50 / 99 | 83 | 75 |
| Staff hours per I-Net location constructed | 17 | 20 | 25 / 28 | 30 | 30 |
| Staff hours per I-Net location for video activation | 19 | 24 | 20 / 21 | 20 | 20 |
| Staff hours per I-Net incident repaired | 4 | 5 | 6 / 6 | 6 | 6 |

# Fund 105
# Cable Communications

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Service Quality:** | | | | | |
| Percent of cable communications construction work site deficiencies/non-compliance notices corrected | 100% | 100% | 100% / 100% | 100% | 100% |
| Percent of homeowner cable construction complaints inspected within one business day | 100% | 100% | 100% / 100% | 100% | 100% |
| Percent of cable service complaints responded to within 2 business days of receipt | 100% | 100% | 100% / 100% | 100% | 100% |
| Percent of inquiry responses meeting response deadlines | 96% | 99% | 95% / 100% | 95% | 95% |
| Percent of I-Net locations constructed on time | 94% | 100% | 100% / 100% | 100% | 100% |
| Percent of on-time I-Net video activations | 100% | 100% | 100% / 100% | 100% | 100% |
| Percent of I-Net incident repairs completed within 8 hours | 100% | 100% | 100% / 100% | 100% | 100% |
| **Outcome:** | | | | | |
| Percent of cable communications construction work sites inspected | 27% | 23% | 20% / 27% | 23% | 27% |
| Percent of homeowner cable construction complaints completed | 100% | 100% | 100% / 100% | 100% | 100% |
| Percent of favorably resolved cable service complaints | 100% | 98% | 90% / 100% | 95% | 95% |
| Percent of inquiries completed | 100% | 99% | 99% / 103% | 99% | 99% |
| Percent of I-Net locations constructed | 200% | 189% | 100% / 100% | 100% | 100% |
| Percent of total I-Net locations activated for video | 267% | 90% | 100% / 140% | 100% | 100% |
| Percent of I-Net overall uptime | 99.9% | 99.9% | 99.9% / 99.9% | 99.9% | 99.9% |

## Performance Measurement Results

Verizon's fiber-optic cable communications system construction will continue in FY 2012; however, construction may decline from FY 2010 levels as Verizon completes build-out.

The FY 2010 estimates for total I-Net locations activated for video were based on projections to complete I-Net construction and activation at all planned building construction and renovation locations known as of the start of the fiscal year. The FY 2010 increase in the percentage of I-Net locations activated for video resulted from changes in the scope of work. The FY 2011 estimates and FY 2012 estimates are based on I-Net construction and activations at sites known as of the start of FY 2011.

## Communications Productions Division

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 23/ 23 | 23/ 23 | 25/ 25 | 29/ 29 |
| **Total Expenditures** | **$2,532,890** | **$3,618,959** | **$4,163,440** | **$3,809,804** |

**Position Summary**

**Communications Productions Division**
1 Director, Comm. Productions
2 Administrative Assistants II

**Communications Productions**
1 Instructional Cable TV Specialist
5 Producers/Directors
6 Assistant Producers
4 Media Technicians

**Communications Engineering**
1 Network Telecom Analyst III
2 Network Telecom Analysts II
1 Network Telecom Analyst I

**Consumer Affairs**
1 Administrative Assistant II

**Conference Center**
1 Video Engineer (1 T)
1 Administrative Assistant III (1 T)
1 Administrative Assistant II (1 T)
1 Administrative Associate (1 T)

**Regulation and Licensing**
1 Administrative Assistant III

**TOTAL POSITIONS**
**29 Positions (4 T) / 29.0 Staff Years (4.0 T)**
**(T) Denotes Transferred Position**

## Key Performance Measures

### Goal

To provide a centralized video production center and satellite conferencing facility for the Board of Supervisors, County Executive, and all County agencies in order to communicate critical County information to the public and training for employees, and to provide related production services in new technologies to benefit the public and County operations.

### Objectives

- To serve the public information needs of the County and the educational needs of the County workforce by completing 98 percent of program hours requested for both Channel 16 and FCTN while maintaining cost, quality and work hour efficiencies.
- To maintain 99.5 percent uptime for Channel 16 program transmission.
- To complete 100 percent of duplication requests within required deadline.

| Indicator | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| | FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | FY 2011 | FY 2012 |
| **Output:** | | | | | |
| Original live program hours | 636.0 | 627.5 | 664.0 / 650.1 | 664.0 | NA |
| Original studio program hours | 40.8 | 62.0 | 52.0 / 75.1 | 52.0 | NA |
| Original field program hours | 135.8 | 122.6 | 148.5 / 117.5 | 148.5 | NA |
| Original program hours | 812.6 | 812.1 | 864.5 / 842.7 | 864.5 | 864.5 |
| Hours of program transmission | NA | NA | NA / NA | 8,716 | 8,716 |
| Completed duplication requests | NA | NA | NA / NA | 443 | 443 |

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Efficiency:** | | | | | |
| Live program work hours per program hour | 5.3 | 4.9 | 5.0 / 4.9 | 5.0 | NA |
| Studio program work hours per program hour | 39.9 | 38.1 | 50.0 / 37.2 | 50.0 | NA |
| Field program work hours per program hour | 147.1 | 128.2 | 159.5 / 135.5 | 159.5 | NA |
| Work hours per program hour | 30.7 | 26.1 | 33.9 / 26.0 | 33.9 | 33.9 |
| Staff hours per transmission interruption resolution | NA | NA | NA / NA | 12.0 | 12.0 |
| Staff hours per duplication request | NA | NA | NA / NA | 0.8 | 0.8 |
| **Service Quality:** | | | | | |
| Percent of clients satisfied with live programs | 100% | 100% | 97% / 100% | 97% | NA |
| Percent of clients satisfied with studio programs | 100% | 100% | 97% / 100% | 97% | NA |
| Percent of clients satisfied with field programs | 100% | 100% | 97% / 100% | 97% | NA |
| Percent of clients satisfied with programs | 100% | 100% | 97% / 100% | 97% | 97% |
| Percent of transmission interruptions resolved within 8 hours | NA | NA | NA / NA | 80% | 80% |
| Percent of completed duplication requests meeting customer requirements | NA | NA | NA / NA | 98% | 98% |
| **Outcome:** | | | | | |
| Percent of requested live programs completed | 100% | 100% | 98% / 100% | 98% | NA |
| Percent of requested studio programs completed | 100% | 98% | 98% / 99% | 98% | NA |
| Percent of requested field programs completed | 99% | 98% | 98% / 101% | 98% | NA |
| Percent of requested programs completed | 99% | 99% | 98% / 101% | 98% | 98% |
| Percent of program transmission uptime | NA | NA | NA / NA | 99.5% | 99.5% |
| Percent of duplication requests completed within required deadline | NA | NA | NA / NA | 100% | 100% |

## Performance Measurement Results

In FY 2012, CPD is combining live, studio, and field programs into a single measure to more succinctly reflect program hours. Also, CPD is introducing two new families of measures to report on additional lines of service.

# Fund 105
# Cable Communications

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** **Fund 105, Cable Communications**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$18,189,339** | **$11,309,863** | **$21,519,673** | **$13,257,162** |
| Revenue: | | | | |
| Miscellaneous Revenue | $1,930 | $1,200 | $1,200 | $1,200 |
| Fines and Penalties | 18,700 | 0 | 0 | 0 |
| I-Net and Equipment Grant | 5,041,343 | 4,437,285 | 4,437,285 | 5,142,674 |
| Franchise Operating Fees | 13,892,262 | 12,486,739 | 12,486,739 | 14,171,496 |
| Total Revenue | $18,954,235 | $16,925,224 | $16,925,224 | $19,315,370 |
| **Total Available** | **$37,143,574** | **$28,235,087** | **$38,444,897** | **$32,572,532** |
| Expenditures: | | | | |
| Personnel Services | $3,796,519 | $4,691,124 | $4,691,124 | $4,951,569 |
| Operating Expenses[1] | 4,379,629 | 4,896,096 | 10,771,546 | 5,698,567 |
| Capital Equipment | 235,394 | 300,000 | 921,834 | 300,000 |
| Subtotal Expenditures | $8,411,542 | $9,887,220 | $16,384,504 | $10,950,136 |
| Transfers Out: | | | | |
| General Fund (001)[2] | $2,011,708 | $2,729,399 | $2,729,399 | $3,601,043 |
| Schools Grants & Self Supporting Programs (192)[3] | 2,136,548 | 2,267,729 | 2,267,729 | 3,476,203 |
| Schools Grants & Self Supporting Programs (192)[4] | 250,000 | 250,000 | 250,000 | 250,000 |
| Information Technology (104)[5] | 1,000,000 | 1,742,000 | 1,742,000 | 5,670,000 |
| County Construction (303)[6] | 0 | 0 | 0 | 404,500 |
| Public Safety Construction (312)[7] | 0 | 0 | 0 | 200,000 |
| Technology Infrastructure Services (505)[8] | 1,814,103 | 1,814,103 | 1,814,103 | 1,814,103 |
| Total Transfers Out | $7,212,359 | $8,803,231 | $8,803,231 | $15,415,849 |
| **Total Disbursements** | **$15,623,901** | **$18,690,451** | **$25,187,735** | **$26,365,985** |
| | | | | |
| **Ending Balance[9]** | **$21,519,673** | **$9,544,636** | **$13,257,162** | **$6,206,547** |
| Reserve for PC Replacement | $31,500 | $31,500 | $31,500 | $31,500 |
| **Unreserved Ending Balance** | **$21,488,173** | **$9,513,136** | **$13,225,662** | **$6,175,047** |

# Fund 105
# Cable Communications

[1] In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of $861,936.38 has been reflected as an increase to FY 2010 expenditures to properly reflect an accrual of funds associated with a specific contract. This audit adjustment has been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments will be included in the FY 2011 Third Quarter Package.

[2] The Transfer Out to the General Fund represents compensation for staff and services provided by the County for cable-related activities. The actual amount to be transferred to the General Fund on an annual basis is based on actual gross receipts. Annual reconciliation of the revenue and subsequent transfer is conducted and adjustments to the transfer level have been incorporated in the FY 2012 budget.

[3] This funding reflects a direct transfer to Fairfax County Public Schools (FCPS). The amount is calculated as 1 percent of the gross revenues of all franchise operators. The actual amount to be transferred to the FCPS on an annual basis is based on actual gross receipts. Annual reconciliation of the revenue and subsequent transfer is conducted and adjustments to the transfer level have been incorporated in the FY 2012 budget.

[4] This funding reflects a direct transfer to FCPS to support a replacement equipment grant of $250,000.

[5] In FY 2012 this funding reflects a direct transfer to Fund 104, Information Technology, to support the purchase of in-car video technology in 800 police cruisers and to secure technology to support social media functionality.

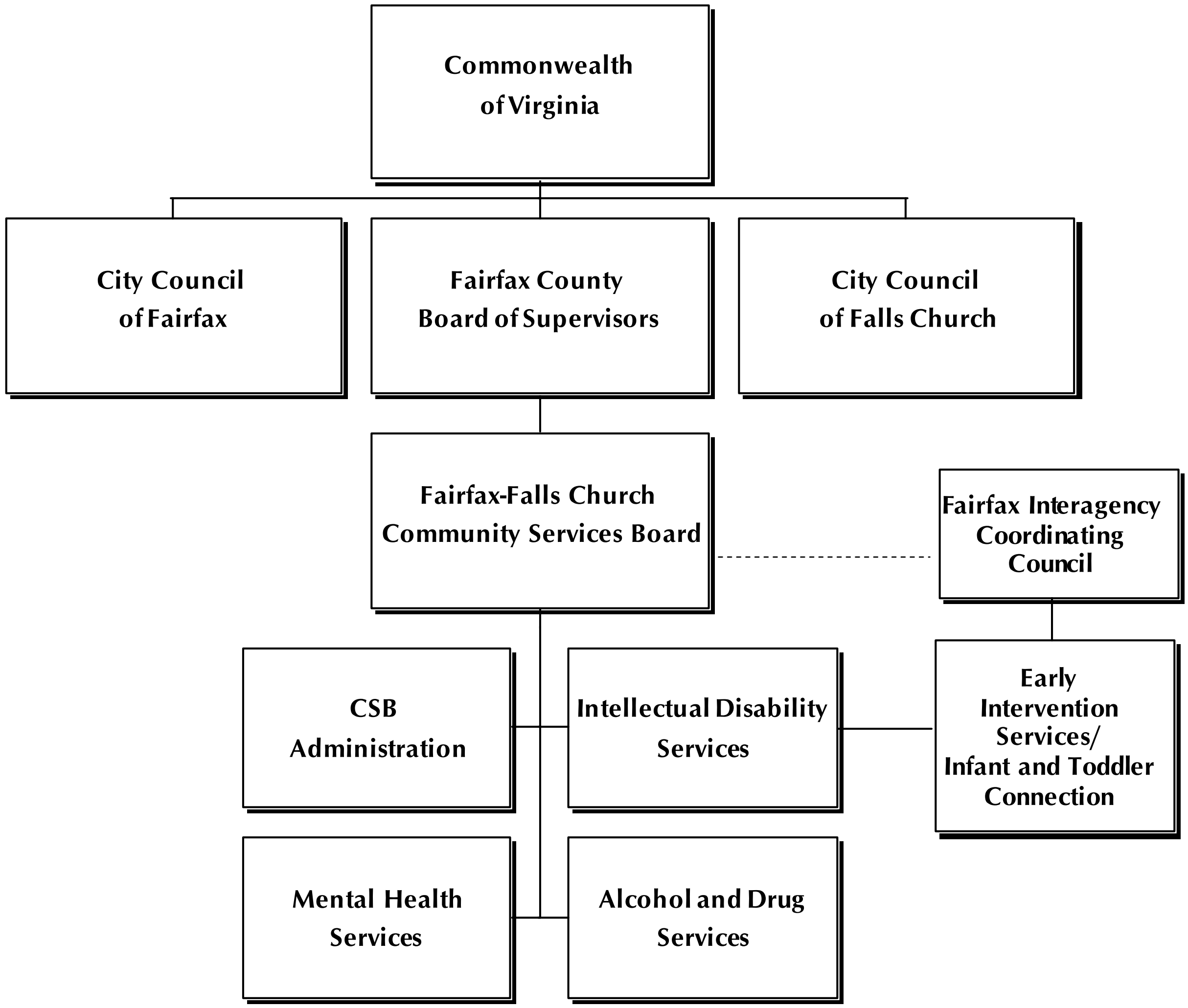
[6] This funding reflects a direct transfer to Fund 303, County Construction, to support extending the I-Net and voice/data systems to new and expanded County facilities.

[7] This funding reflects a direct transfer to Fund 312, Public Safety Construction, to support technology-related costs associated with courtroom renovations.

[8] This funding reflects a direct transfer to Fund 505, Technology Infrastructure Services, to support staff and equipment costs related to construction of the I-Net.

[9] Actual ending balances fluctuate year to year, as ending balances are reappropriated within Fund 105. Equipment and services expenditure requirements fluctuate year to year based on I-Net construction and maintenance schedule.

# Fund 106
# Community Services Board (CSB) - Overview




## Mission

As the legislatively mandated local authority, the Fairfax-Falls Church Community Services Board (CSB) plans and ensures the provision of public services to people with mental health, substance abuse, intellectual disabilities, and infants at risk for developmental delays in Fairfax County and the cities of Fairfax and Falls Church.

Working collaboratively with local government leadership and the CSB Board, the CSB ensures that there is a full range of services responsive to the needs of residents and their families who have needs in the areas noted above. Given the statewide nature of the CSB system, other populations with similar disabilities also receive some services as resources become available, including populations such as veterans through the Wounded Warrior program and individuals who have traumatic brain injuries that manifest with psychiatric behaviors. The system also anticipates a greater role in serving people with autism and developmental disabilities in the near future.

The CSB believes in the dignity of self-determined lives and approaches service design, delivery and community supports with an emphasis on empowering clients to help develop the programs and services used.

# Fund 106
# Community Services Board (CSB) - Overview

## Focus

Following the presentation and endorsement of the Josiah H. Beeman Commission Implementation Plan by the Board of Supervisors, the CSB has focused on incorporating changes identified by the plan. The department has developed a plan to address the need to create a simple, direct point of access to the CSB system, regardless of disability. The plan will start by integrating the Mental Health and Alcohol and Drug access systems in FY 2011, and once the new system is operating smoothly, the Intellectual Disability system will also be integrated.

As part of the effort to decrease barriers to patients, the Joe and Fedona Gartlan Center for Community Mental Health (formerly the Mount Vernon Mental Health Center) has re-opened, and is functioning at full occupancy. Since all three major disability providers occupy the building, the department is striving to integrate all three support services to find ways to decrease paperwork and barriers to cross referrals. Similarly, there have been smaller-scale projects at other service delivery sites to both decrease unnecessary barriers to services and unnecessary paperwork.

One of the major initiatives identified by the Beeman Commission as a priority is the development of an integrated approach to primary care services. The CSB has been working effectively with Fairfax County Health Department's Community Health Care Network (CHCN) to create integrated opportunities at both the Woodburn and Gartlan sites. The CSB continues to look for further opportunities to expand health oriented approaches which include: expanded health assessments through nursing staff, increased efforts to identify people with health problems that need better management through partnership with health insurance companies, and plans for a fully integrated clinic at the new Woodburn site. The CSB requires all new consumer assessments to identify affiliation with a primary care provider to help identify early risk.

Early Intervention Services has worked closely with the State to develop a business model that decreases reliance on local and state general funding, while continuing to expanding services. The agency has significantly eclipsed any previous growth rates in new individuals served, growing at approximately 20 percent in FY 2011 thus far. There is also the potential for increased funding in both Medicaid and private insurance revenue, as revenue streams are projected to grow.

A major service initiative that was endorsed through the Beeman Commission process is the development of more intensive case management services for people with mental health and alcohol/drug problems. The CSB has begun to develop plans to implement a more intensive model of service. Staff has already begun to serve individuals experiencing homelessness, and over the course of FY 2011 and FY 2012, services will be expanded to other population groups with serious needs.

The CSB has been an active partner with other human services agencies in addressing the needs of high risk youth and families, by creating a Systems of Care, which assists with decreasing unnecessary placements in residential settings. One of the key initiatives is creating, developing and implementing an Intensive Care Coordination team that will serve up to 60 youth and their families on a 24 hours a day, 7 days a week basis.

In FY 2010 and FY 2011, the CSB, in response to the closure of the state-run Community Pharmacy, issued a Request for Proposal and contracted with a vendor to implement two full service on-site pharmacies.. Once implemented, there will be cost savings and improved services.

Intellectual Disability Services (IDS) continues to meet the commitment to families with youth graduating high school needing funding for either job training or day programming. This population represents an expanding group of youth leaving the school system and IDS may begin to experience challenges transitioning youth into adult services.

As a major partner in developing the Fairfax County Housing Blueprint, the CSB has begun to find housing for individuals who have the greatest need. In addition, the CSB is working to find these individuals employment with the assistance of a CSB system wide coordinator for employment.

# Fund 106
# Community Services Board (CSB) - Overview

The Financial Assessment and Screening Team (FAST) will assess a person's potential eligibility for need based services and payments, and will begin the application process for these entitlements at the time of the assessment. This will not only assist the CSB with fee revenue collection, but also assist those being served to access needed supports.

Although not a directly funded CSB service, the potential loss of beds at the Northern Virginia Mental Health Institute will place further pressure on the CSB, especially in Emergency Services and Adult Community Services. The regional leadership along with CSB staff will continue to work to create alternatives, but this looms as a serious concern.

Finally, technology will play an increasingly important role in the provision of CSB services. Consumer information is available for important clinical decision making across all CSB sites through the CSB Electronic Health Record. Health Care Reform initiatives will be supported by advancements in technology that include ePrescribing, real time verification of eligibility status, and Health Information Exchange. In FY 2011, current and future business needs are being integrated with an electronic health record that readies and supports the CSB in moving forward with the nation.

**Intended Outcomes**

1) A fully integrated "front door" with the ability to continue to improve overall access to needed services both within the CSB and with partner agencies;
2) Service system outcomes that reflect both timely responsiveness and consumer satisfaction;
3) Continued improved access to primary care services for those served;
4) Continued improvements in access to housing and employment for those served; and
5) Increased numbers of services that provide intensive levels of care in the community with an emphasis on case coordination and management.

## Budget and Staff Resources

**Agency Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 852/ 849 | 846/ 843 | 897/ 893.75 | 917/ 909.25 |
| Grant | 138/ 136.5 | 138/ 136.5 | 153/ 151.5 | 153/ 151.5 |
| Expenditures: | | | | |
| Personnel Services | $85,298,846 | $87,660,435 | $89,546,948 | $90,217,568 |
| Operating Expenses | 55,446,641 | 54,224,496 | 64,067,741 | 55,820,312 |
| Capital Equipment | 0 | 0 | 131,115 | 0 |
| **Subtotal** | **$140,745,487** | **$141,884,931** | **$153,745,804** | **$146,037,880** |
| Less: | | | | |
| Recovered Costs | ($1,869,966) | ($1,326,511) | ($1,316,899) | ($1,056,899) |
| **Total Expenditures** | **$138,875,521** | **$140,558,420** | **$152,428,905** | **$144,980,981** |

# Fund 106
# Community Services Board (CSB) - Overview

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Summary by Program Area** | | | | |
| **CSB Program Area Expenditures** | | | | |
| CSB Administration | $4,494,933 | $3,676,841 | $5,476,049 | $5,214,427 |
| Mental Health Services | 63,866,264 | 64,188,827 | 71,469,717 | 66,507,068 |
| Intellectual Disability Services | 35,663,682 | 37,454,210 | 38,324,342 | 37,898,558 |
| Alcohol and Drug Services | 28,385,603 | 29,298,896 | 30,618,257 | 29,189,407 |
| Early Intervention Services | 6,465,039 | 5,939,646 | 6,540,540 | 6,171,521 |
| **Total Expenditures** | **$138,875,521** | **$140,558,420** | **$152,428,905** | **$144,980,981** |
| **Non-County Revenue by Source** | | | | |
| Fairfax City | $1,309,900 | $1,309,902 | $1,309,902 | $1,309,902 |
| Falls Church City | 593,720 | 593,720 | 593,720 | 593,720 |
| State DBHDS | 17,066,324 | 18,722,740 | 25,095,840 | 20,430,277 |
| State Other | 171,080 | 262,839 | 262,839 | 272,397 |
| Federal Block Grant | 4,739,995 | 4,563,073 | 4,573,821 | 4,609,327 |
| Federal Other | 2,103,299 | 1,670,205 | 1,888,809 | 1,810,093 |
| Federal ARRA | 836,048 | 0 | 839,184 | 0 |
| Medicaid Waiver | 2,547,458 | 2,176,359 | 2,215,793 | 2,260,214 |
| Medicaid Option | 9,657,950 | 11,005,310 | 11,352,727 | 12,791,939 |
| Program/Client Fees | 3,886,317 | 4,535,956 | 4,612,714 | 4,652,738 |
| CSA Pooled Funds | 997,054 | 2,224,745 | 2,224,745 | 1,616,020 |
| Miscellaneous | 164,825 | 155,624 | 167,584 | 56,124 |
| Fund Balance | 1,186,522 | 0 | 3,953,280 | 127,904 |
| **Total Revenue** | **$44,073,970** | **$47,220,473** | **$55,137,678** | **$50,402,751** |
| **County Transfer to CSB** | **$93,615,029** | **$93,337,947** | **$93,337,947** | **$94,450,326** |
| **County Transfer as a Percentage of Total CSB Expenditures:** | **67.4%** | **66.4%** | **61.2%** | **65.1%** |

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- **Intensive Community Treatment Teams** **$1,063,976**
  An increase of $1,063,976 is associated with the establishment of 20/15.5 SYE positions, within CSB Administration and MHS, used to create six Intensive Community Treatment Teams (ICT). The teams will assist persons with serious mental illness and/or serious substance abuse problems.

- **Fringe Benefits Requirement** **$715,250**
  A net increase of $715,250 is associated with the conversion of positions to a status that allows employees the option of receiving health benefits. The conversion offers employees the option of receiving benefits, and ensures that the County remains in compliance with recently altered federal health care regulations. Additional information regarding the conversion of positions to Merit Regular status is included in the Changes to FY 2011 Adopted Budget Plan section that follows.

- **Supplemental Pay Increase for Public Health Psychiatrists and Doctors** **$552,950**
  A net increase of $552,950 is associated with a supplemental pay increase necessary to attract, and retain, medical personnel essential to the missions of MHS and ADS, based on analysis conducted by the Department of Human Resources.

- **Miscellaneous Adjustments** **$1,436,206**
  An increase of $1,436,206 is associated with necessary grant and non-grant adjustments.

- **Contract Rate Adjustment** **$1,044,179**
  An increase of $1,044,179 in Operating Expenses is associated with a 3 percent contract rate adjustment for providers of contracted administration, mental health, intellectual disability, alcohol and drug, early intervention and CSB-wide services.

- **Carryover Adjustments – Financial Assessment and Screening Team** **$210,000**
  An increase of $210,000 in Personnel Services is due to recurring adjustments made as part of the *FY 2010 Carryover Review* to appropriate additional revenue from the Department of Behavioral Health and Development Services (DBHDS). The funding is associated with 4/4.0 SYE merit positions which support the implementation of a new Financial Assessment and Screening Team (FAST).

- **Reductions** **($600,000)**
  A decrease of $600,000 reflects reductions utilized to balance the FY 2012 budget. The following chart provides details on the specific reductions approved, including funding and associated positions.

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Reduce Operating Expenses | A decrease of $300,000 is associated with a reduction in local funds for psychotropic medication within ADS. | 0 | 0.0 | $300,000 |
| Reduce Operating Expenses | A decrease of $300,000 is associated with a reduction in the purchase of IDS contracted day support and supported employment services. | 0 | 0.0 | $300,000 |

## Changes to <u>FY 2011 Adopted Budget Plan</u>

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the <u>FY 2011 Adopted Budget Plan</u>. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$11,660,485**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $11,660,485, comprised of increases of $1,676,513 in Personnel Services, $9,843,245 in Operating Expenses and $131,115 in Capital Equipment, as well as a decrease of $9,612 in Recovered Costs. This includes $3,690,730 in adjustments to current grants, $2,928,614 in encumbered carryover, $2,274,399 in non-grant adjustments, $1,025,000 in various program adjustments primarily aligned with the Josiah H. Beeman Commission's implementation plan, $961,315 for new grant awards, and $780,427 in unexpended grant balances that carried forward.

- **Carryover Adjustments – Financial Assessment and Screening Team** **$210,000**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $210,000 in Personnel Services that is associated with 4/4.0 SYE merit positions which support the implementation of a new Financial Assessment and Screening Team (FAST). The FAST initiative will expand financial screening efforts by utilizing dedicated trained staff, and result in greater cost avoidance through pharmacy-related benefit programs, greater Medicaid enrollment, an increase in Community Health Care Network enrollment, reduction in outstanding consumer account balances, and improved completion of annual consumer financial updates. In addition, a critical feature of the initiative is the development of a simple automated tool that will incorporate screening for federal and state assistance programs and local primary health access, with the CSB fee-setting regulation, ability-to-pay scale and fees-for-services.

- **Redirection of Positions** **$0**
  The County Executive approved the redirection of 4/4.0 SYE positions to this department to primarily support overnight comprehensive psychiatric emergency services at Woodburn Center and oversight of clinical and administrative operations at the highly intensive mental health residential New Horizons Treatment Program.

- **Position Changes** **$0**
  As part of the FY 2011 review of County position categories, a conversion of 43/43.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review, a number of existing limited term positions have been converted to Merit Regular status.

# Fund 106
# Community Services Board (CSB) - Overview

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds**

**Fund 106, Fairfax-Falls Church Community Services Board**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$6,969,641** | **$557,522** | **$5,783,119** | **$500,000** |
| Revenue: | | | | |
| Local Jurisdictions: | | | | |
| Farifax City | $1,309,900 | $1,309,902 | $1,309,902 | $1,309,902 |
| Falls Church City | 593,720 | 593,720 | 593,720 | 593,720 |
| Subtotal - Local | $1,903,620 | $1,903,622 | $1,903,622 | $1,903,622 |
| State: | | | | |
| State DBHDS | $17,066,324 | $18,722,740 | $25,095,840 | $20,430,277 |
| State Other | 171,080 | 262,839 | 262,839 | 272,397 |
| Subtotal - State | $17,237,404 | $18,985,579 | $25,358,679 | $20,702,674 |
| Federal: | | | | |
| Block Grant | $4,739,995 | $4,563,073 | $4,573,821 | $4,609,327 |
| Direct/Other Federal | 2,103,299 | 1,670,205 | 1,888,809 | 1,810,093 |
| Federal ARRA | 836,048 | 0 | 839,184 | 0 |
| Subtotal - Federal | $7,679,342 | $6,233,278 | $7,301,814 | $6,419,420 |
| Fees: | | | | |
| Medicaid Waiver | $2,547,458 | $2,176,359 | $2,215,793 | $2,260,214 |
| Medicaid Option | 9,657,950 | 11,005,310 | 11,352,727 | 12,791,939 |
| Program/Client Fees | 3,886,317 | 4,535,956 | 4,612,714 | 4,652,738 |
| CSA Pooled Funds | 997,054 | 2,224,745 | 2,224,745 | 1,616,020 |
| Subtotal - Fees | $17,088,779 | $19,942,370 | $20,405,979 | $21,320,911 |
| Other: | | | | |
| Miscellaneous | $164,825 | $155,624 | $167,584 | $56,124 |
| Subtotal - Other | $164,825 | $155,624 | $167,584 | $56,124 |
| Total Revenue[1] | $44,073,970 | $47,220,473 | $55,137,678 | $50,402,751 |
| Transfers In: | | | | |
| General Fund (001) | $93,615,029 | $93,337,947 | $93,337,947 | $94,450,326 |
| Total Transfers In | $93,615,029 | $93,337,947 | $93,337,947 | $94,450,326 |
| **Total Available** | **$144,658,640** | **$141,115,942** | **$154,258,744** | **$145,353,077** |

# Fund 106
# Community Services Board (CSB) - Overview

## FUND STATEMENT

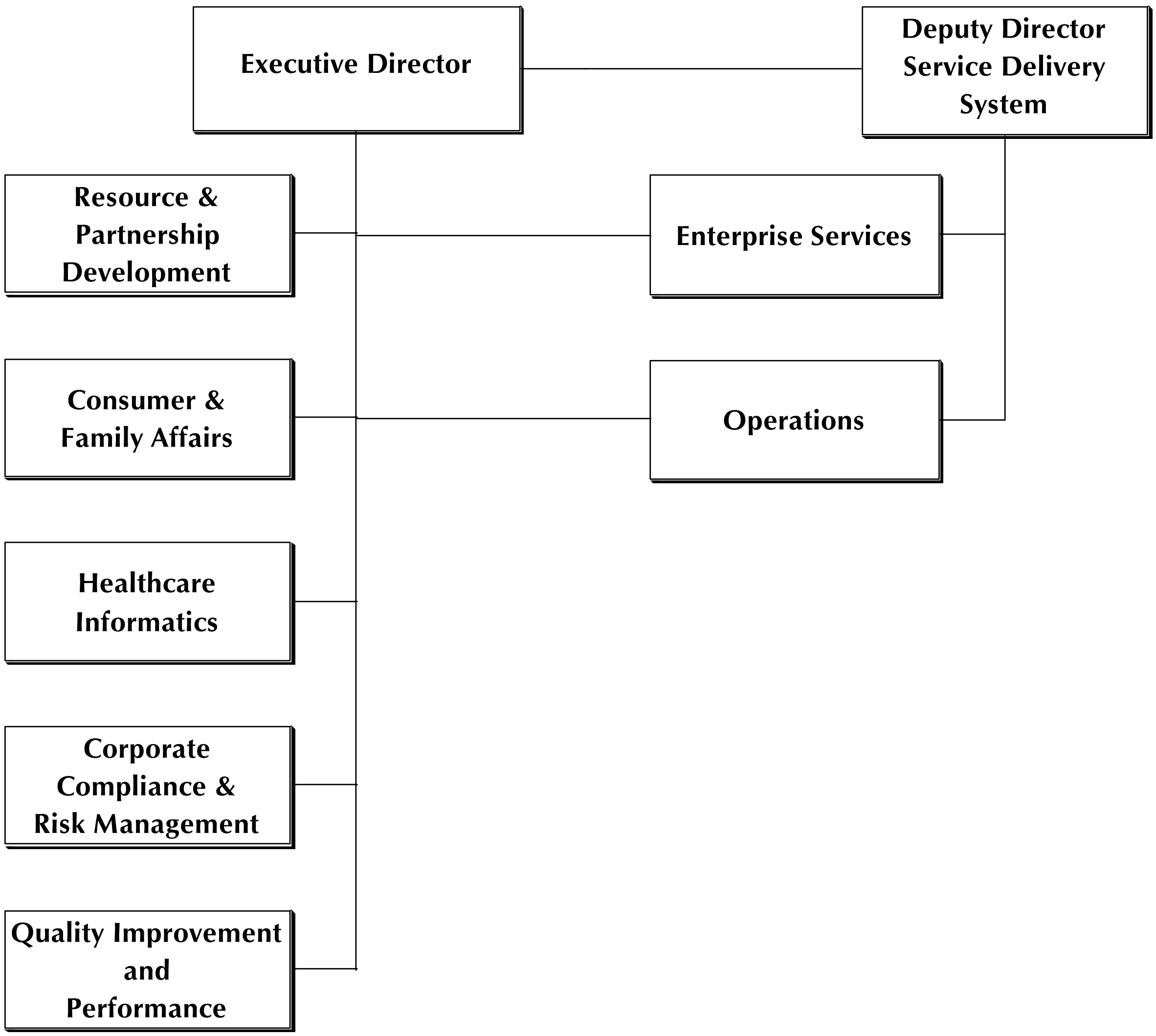
**Fund Type G10, Special Revenue Funds** | **Fund 106, Fairfax-Falls Church Community Services Board**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Expenditures: | | | | |
| CSB Administration | $4,494,933 | $3,676,841 | $5,476,049 | $5,214,427 |
| Mental Health Services | 63,866,264 | 64,188,827 | 71,469,717 | 66,507,068 |
| Intellectual Disability Services | 35,663,682 | 37,454,210 | 38,324,342 | 37,898,558 |
| Alcohol and Drug Services | 28,385,603 | 29,298,896 | 30,618,257 | 29,189,407 |
| Early Intervention Services | 6,465,039 | 5,939,646 | 6,540,540 | 6,171,521 |
| Total Expenditures | $138,875,521 | $140,558,420 | $152,428,905 | $144,980,981 |
| Transfers Out: | | | | |
| General Fund (001) | $0 | $0 | $1,329,839 | $0 |
| Total Transfers Out | $0 | $0 | $1,329,839 | $0 |
| **Total Disbursements** | **$138,875,521** | **$140,558,420** | **$153,758,744** | **$144,980,981** |
| | | | | |
| **Ending Balance[2]** | **$5,783,119** | **$557,522** | **$500,000** | **$372,096** |
| Josiah H. Beeman Commision Reserve | $500,000 | $500,000 | $500,000 | $372,096 |
| **Available Balance** | **$5,283,119** | **$57,522** | **$0** | **$0** |

[1] The FY 2012 Advertised Budget Plan includes an increase of $600,000 in revenue enhancements utilized to balance the FY 2012 budget.

[2] The *FY 2011 Revised Budget Plan* Ending Balance of $500,000 is a decrease of 91 percent and reflects utilization to offset FY 2011 program requirements. The FY 2012 Advertised Budget Plan Ending Balance of $372,096 is a decrease of 26 percent and reflects utilization to offset FY 2012 program requirements.

# Fund 106
# CSB - Administration



# Fund 106
# CSB - Administration

## Mission

To develop, maintain and implement a coordinated series of strategies that enables the CSB to maximize the use of internal and external resources in support of the CSB service mission.

## Focus

CSB Administration provides leadership, strategic management, and key administrative support for an internal service system and a large network of private providers that serve over 21,000 individuals and their families, living in Fairfax County and the cities of Fairfax and Falls Church. Administrative leadership and staff ensure that all federal, state, and County government stakeholders have access to the information they need to regulate, partner, advocate and support the service system.

Over the course of the last 18 months, the CSB has been implementing a series of organizational and service changes, endorsed by the Board of Supervisors as part of the Josiah H. Beeman Commission Implementation Plan, designed to enhance the CSB service mission and ensure that the CSB organization has effective strategies to support the growing needs of residents seeking services. The CSB Administration organizational changes can be outlined as follows:

1) **Executive Director Office**-Responsible for the overall functioning of the agency with specific emphasis on developing the CSB Administration into an enterprise driven unit that supports the service mission through maximizing resources and partnerships.

2) **Deputy Director Office**-Responsible for implementing a person-centered service delivery system, developed based on the requirements of those receiving services. The goal is to ensure a qualitative, effective and seamless system comprised of directly operated private sector services.

3) **Enterprise Services Office**-Incorporate budget and other financial information with strategies developed in the service system, and ensure that all strategies implemented have an integrated approach. Additionally, this office will provide oversight and direction for the budget, revenue management and accounting functions of the CSB.

4) **Resource and Partnership Development Office**-Enhance existing partnerships and develop new relationships to maximize the potential opportunities for new resources and service development. This office will provide five fundamental functions necessary to accomplish the overall goals of the system:

    a. Look for opportunities to enhance existing resources and develop new sites to address the needs of individuals who cannot access adequate housing;
    b. Develop and implement a system wide communication and market positioning plan that ensures a coherent and cohesive strategy for the CSB;
    c. Develop and implement community capacity building strategies to ensure that partnerships are well focused on mutual goals;
    d. Develop and implement strategies to work with the private sector to ensure that the functions and services provided are well coordinated with the CSB's overall strategies; and
    e. Analyze and determine the viability of new funding opportunities in conjunction with the Enterprise Services Office including grants, new contract opportunities and expanding services.

5) **Operations Office**-Provide oversight, direction and coordination to the many administrative functions that support the CSB service system, which are currently managed by various Fairfax County agencies and CSB service areas without effective overall coordination. While this office will manage everything from food services, administrative support, maintenance, lease contracts, security and more, its primary emphasis will be coordinating the various decision-making systems, and creating a system of CSB site management.

6) **Healthcare Informatics Office-**Provide oversight of all the CSB technology resources, devices, software and hardware functions that optimize obtaining, securely storing, strategically organizing, analyzing and presenting service information, connecting business processes with key hardware that ensures maximum mobility and access for the service system, while placing special emphasis on maintaining the existing Electronic Health Record (EHR) and leading the effort to find a new, more effective and efficient system.

7) **Consumer and Family Affairs Office**-Restructure the complaint and human rights system to maximize the ability to solve consumer issues in a timely, customer-friendly manner and develop the ability of the consumer community to assume a more active role in the provision of services and support. This includes developing independent viable service organizations that can provide services for consumers as an alternative, or complement to the CSB funded professional services.

8) **Corporate Compliance and Risk Management Office**-Update and manage the policies and procedures that help identify the high risk areas of an agency, and mitigate the exposure. Serve as the office's chief liaison to managed care companies that contract with the CSB for services which include credentialing, provider relations, and monitoring of contracts and act as a partner in Fairfax County's Emergency Operations system.

9) **Quality Improvement/Performance Office**-Coordinate the development of performance tools to assist with measuring all aspects of agency performance, with a special emphasis on service outcomes. This office will ensure effective use of existing sources of data as well as selectively develop new sources, designed to acquire information that will help improve overall performance.

**Intended Outcomes**

Upon completion of the implementation of this structure, Fairfax County can expect to see the following annual results reported:

1) Measures of revenue diversification with an emphasis on fees and other non-local stable funding;

2) Measures reflecting the increasing number and diversity of housing units available for those served by the CSB;

3) Measures that reflect increasing availability of consumer operated services;

4) Measures reflecting efficient use of existing resources to manage CSB operations using established national or regional benchmarks;

5) Measures that reflect assessed areas of CSB risk and key indicators that reflect the ability to manage those; and

6) Measures that will reflect the CSB's ability to recruit and retain qualified staff.

# Fund 106
# CSB - Administration

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| **Category** | **FY 2010 Actual** | **FY 2011 Adopted Budget Plan** | **FY 2011 Revised Budget Plan** | **FY 2012 Advertised Budget Plan** |
| Authorized Positions/Staff Years | | | | |
| Regular | 13/ 13 | 13/ 13 | 30/ 30 | 32/ 32 |
| Expenditures: | | | | |
| Personnel Services | $1,423,592 | $1,252,049 | $1,587,049 | $2,786,606 |
| Operating Expenses | 3,071,341 | 2,424,792 | 3,757,885 | 2,427,821 |
| Capital Equipment | 0 | 0 | 131,115 | 0 |
| **Subtotal** | **$4,494,933** | **$3,676,841** | **$5,476,049** | **$5,214,427** |
| Less: | | | | |
| Recovered Costs | $0 | $0 | $0 | $0 |
| **Total Expenditures** | **$4,494,933** | **$3,676,841** | **$5,476,049** | **$5,214,427** |
| Revenue: | | | | |
| Fairfax County | $3,676,212 | $3,363,359 | $3,363,359 | $4,690,945 |
| Fairfax City | 155,128 | 155,131 | 155,131 | 155,131 |
| Falls Church City | 71,356 | 71,356 | 71,356 | 71,356 |
| State DBHDS | 76,995 | 76,995 | 286,995 | 286,995 |
| Federal Block Grant | 10,000 | 10,000 | 10,000 | 10,000 |
| Fund Balance | 505,242 | 0 | 1,589,208 | 0 |
| **Total Revenue** | **$4,494,933** | **$3,676,841** | **$5,476,049** | **$5,214,427** |

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- **Intensive Community Treatment Teams** **$97,730**
  An increase of $97,730 is associated with the establishment of 2/2.0 SYE positions included as part of six Intensive Community Treatment Teams (ICT). The teams will assist persons with serious mental illness and/or serious substance abuse problems. Additional funding and positions are also included in CSB-Mental Health Services.

- **Fringe Benefits Requirement** **$6,019**
  A net increase of $6,019 is associated with the conversion of positions to a status that allows employees the option of receiving health benefits. The conversion offers employees the option of receiving benefits, and ensures that the County remains in compliance with recently altered federal health care regulations.

- **Miscellaneous Adjustments** **$1,220,808**
  An increase of $1,220,808 is associated with necessary grant and non-grant adjustments.

- **Carryover Adjustments – Financial Assessment and Screening Team** **$210,000**
  An increase of $210,000 in Personnel Services is due to recurring adjustments made as part of the *FY 2010 Carryover Review* to appropriate additional revenue from the Department of Behavioral Health and Development Services (DBHDS). The funding is associated with 4/4.0 SYE merit positions which support the implementation of a new Financial Assessment and Screening Team (FAST).

- **Contract Rate Adjustment** **$3,029**
  An increase of $3,029 in Operating Expenses is associated with a 3 percent contract rate adjustment for providers of contracted administration services.

- **Reductions** **$0**
  It should be noted that no reductions to balance the FY 2012 budget are included in this agency based on the limited ability to generate additional personnel savings.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$1,589,208**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $1,589,208, comprised of $125,000 in Personnel Services, $1,333,093 in Operating Expenses and $131,115 in Capital Equipment. This includes increases of $1,064,208 in encumbered carryover and $525,000 in various program adjustments primarily aligned with the Josiah H. Beeman Commission's implementation plan.

- **Carryover Adjustments – Financial Assessment and Screening Team** **$210,000**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $210,000 in Personnel Services to appropriate additional revenue from the Department of Behavioral Health and Development Services (DBHDS). The funding is associated with 4/4.0 SYE merit positions which support the implementation of a new Financial Assessment and Screening Team (FAST). The FAST initiative will expand financial screening efforts by utilizing dedicated trained staff, and result in greater cost avoidance through pharmacy-related benefit programs, greater Medicaid enrollment, an increase in Community Health Care Network enrollment, reduction in outstanding consumer account balances, and improved completion of annual consumer financial updates. In addition, a critical feature of the initiative is the development of a simple automated tool that will incorporate screening for federal and state assistance programs and local primary health access, with the CSB fee-setting regulation, ability-to-pay scale and fees-for-services.

# Fund 106
# CSB - Administration

## CSB Central Services Unit

### Funding Summary

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 13/ 13 | 13/ 13 | 30/ 30 | 32/ 32 |
| **Total Expenditures** | **$1,565,836** | **$1,613,697** | **$1,984,474** | **$3,148,254** |

### Position Summary

**Director's Office**
1 Executive Director
1 Deputy Director
1 Division Director
1 Administrative Assistant V
1 Administrative Assistants IV

**Consumer & Family Affairs**
1 Program Manager
1 Administrative Assistant III

**Health Informatics**
1 CSB Planning/Development Dir
1 Business Analyst IV
2 Business Analysts III
1 Business Analyst II
1 Mental Health Manager

**Resource & Partnership Development**
1 Substance Abuse Counselor V
1 Residential and Facilities Dev. Mgr
1 Information Officer III
1 Communication Specialist I

**Operations**
1 Management Analyst IV

**Quality Improvement/Performance**
1 Substance Abuse Counselor III

**Corporate Compliance and Risk Management**
1 CSB Planning/Development Dir
1 Business Analyst II
1 Medical Records Administrator

**Enterprise Services**
1 Management Analyst IV
1 Human Services Worker IV
7 Human Services Workers II
1 Administrative Assistant IV

**TOTAL POSITIONS**
**32 Positions / 32.0 Staff Years**

## Key Performance Measures

### Goal
To provide overall leadership, policy direction and oversight of all programs and services supported by Fund 106, Fairfax-Falls Church Community Services Board (CSB).

### Objectives
- To provide direction and management support to CSB programs so that 80 percent of service quality and outcome goals are achieved.

| Indicator | Prior Year Actuals: FY 2008 Actual | Prior Year Actuals: FY 2009 Actual | Prior Year Actuals: FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Outcome:** | | | | | |
| Percent of CSB service quality and outcome goals achieved | 81% | 74% | 80% / 80% | 80% | 80% |

## Performance Measurement Results

In FY 2010, CSB met 48 of 60, or 80 percent of the service quality and outcome performance goals through the CSB system, meeting the target of 80 percent. Continuing from last year, the overall consumer satisfaction with CSB services met or exceeded the target in nearly all areas. Of special note, Intellectual Disability Services achieved all of its targets, including the estimates for wages earned despite poor economic conditions.

Outcome and service quality targets were negatively impacted by several factors, among these are the changes in methodology for recording service information and satisfaction surveys in Mental Health Services, lack of affordable housing for consumers in Mental Health Services to facilitate movement from more intensive to less intensive services, and increased demand for services outpacing resources in Infant Toddler Connection. Staff vacancies throughout the year also reduced the number of consumers seen and services provided.

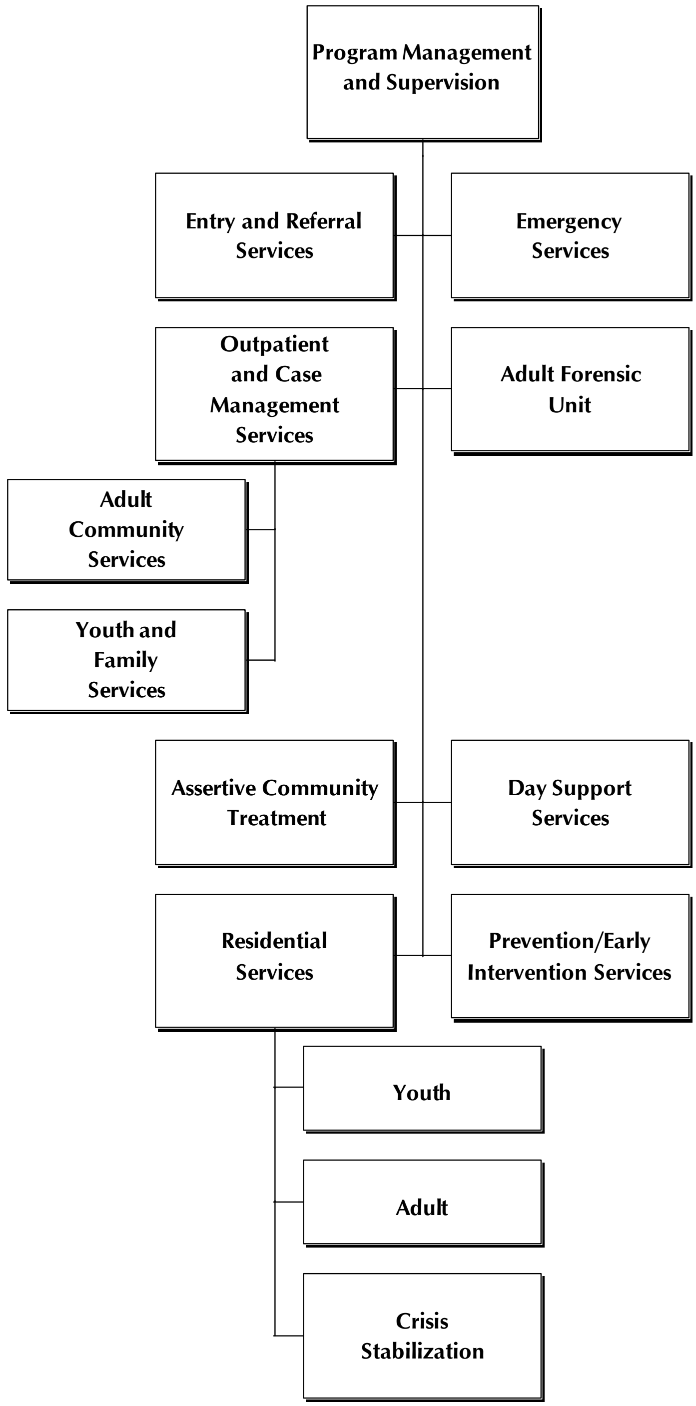
As service and organizational changes continue in the CSB, estimates for this coming fiscal year and beyond are likely to be impacted. This may be evident in projections of consumers served and services provided, as the structure is reconfigured and staff resources are rearranged. At this time there is not enough detail about the impact of the broader changes to the service delivery system to accurately predict the impacts.

## CSB Pooled Funds

**Funding Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Total Expenditures | $2,929,097 | $2,063,144 | $3,491,575 | $2,066,173 |

# Fund 106
# CSB - Mental Health Services



# Fund 106
# CSB - Mental Health Services

## Mission
To partner with residents and service providers of Fairfax County and the cities of Fairfax and Falls Church in establishing a network of integrated, accessible, and recovery oriented mental health services that ensure safety and promote wellness, compassion, respect and dignity for individuals and families. The goals of these services are to assist individuals to:

- Stabilize mental health crises and symptoms;
- Promote recovery in the community with the least restrictive setting;
- Prevent relapse of symptoms; and,
- Acquire adaptive living skills.

## Focus
Mental Health Services (MHS) provides leadership in the management, supervision, planning, evaluation and resource allocation of local, state, federal and grant funds to ensure that individuals and families of persons with serious mental illness and serious emotional disturbance receive quality clinical care and community support services. MHS manages service delivery at six directly-operated community outpatient mental health sites, more than ten 24-hour residential treatment facilities, and a 24-hour emergency services program. Additionally, in partnership with private vendors, MHS contracts for employment services, multicultural outpatient mental health services, residential support services and psychosocial rehabilitation services. Services are provided through seven cost centers: Program Management and Supervision, Regional Inpatient Services, Emergency, Day Support, Residential, Outpatient and Case Management, and Program for Assertive Community Treatment.

**Program Management and Supervision Services**
Program Management and Supervision Services provides clinical and administrative management, programming, financial monitoring, training and general support services.

**Regional Inpatient Services**
Regional Inpatient Services utilizes six local hospitals for state funding of Local Inpatient Purchase of Services (LIPOS). Staff from Emergency Services place at-risk individuals with no financial resources into beds at these local hospitals when beds are unavailable at the Northern Virginia Mental Health Institute (NVMHI). Aftercare Coordinators then monitor individuals' stays and facilitate transfers to NVMHI as needed.

**Emergency Services**
Emergency Services serves high-risk adults, adolescents and children who are in a psychiatric crisis. Through emergency walk-in sites and the Mobile Crisis Unit, Emergency Services takes crisis intervention into the community, working closely with individuals, families and public safety. The Mobile Crisis Unit includes a 24-hour-per-day rapid deployment team that responds to hostage/barricade incidents with the Special Weapons and Tactics (SWAT) team and police negotiators. The Adult Forensic Unit addresses the needs of Adult Detention Center inmates who have serious mental illnesses by providing forensic evaluations, risk screenings, crisis intervention, placement recommendations, medication and release planning. The Court Independent Evaluators program provides clinical psychologists to evaluate individuals who have been involuntarily hospitalized prior to a final commitment hearing, as required by the Code of Virginia. They assist the court in reaching decisions about the need and legal justification for longer-term involuntary hospitalization. The Entry and Referral Unit serves as the primary point of contact for individuals seeking services. Staff members gather information from callers, assess for immediate risk, connect persons with emergency needs to immediate care and make appointments for those requiring continuing services.

**Day Support Services**
Day Support Services provides an intensive, highly-structured stabilization, evaluation and treatment setting for adults with serious mental illness and adolescents with serious emotional disturbance, including individuals who are experiencing the co-occurring disorders of mental illness and addiction. In addition to a directly-operated Adult Partial Hospitalization Program and Community Readiness and Support Program, Day Support

includes contracted all-day rehabilitative programs and independent vocational vendors which place special emphasis on vocational preparation and placement.

**Residential Services**

Residential Services provides residential treatment and support services to adults with serious mental illness and youth with serious emotional disturbance. The level of support in these programs is provided along a continuum ranging from 24-hour care to drop-in support once a week. Services are provided in the community where the individual resides. An important part of residential services is that it works with homeless individuals. Adult Residential Services (ARS) has staff that provides services at each of the homeless shelters in Fairfax County. ARS also has a Project to Assist Transition from Homelessness (PATH) team and a Homeless Healthcare team that work with unsheltered homeless persons in Fairfax County. In addition to traditional residential services, Residential Services includes two acute care programs seeking to divert individuals from more restrictive and costly psychiatric hospitalization. These programs, Adult Crisis Care and Youth Crisis Care, provide short-term intensive crisis intervention and stabilization services in a residential setting.

**Outpatient and Case Management Services**

Outpatient and Case Management Services provides recovery-oriented individual and group treatment, case management and medication services to adults, children and their families. For those individuals with symptoms and impairments who, for reasons related to their mental illness, resist or avoid involvement with traditional office-based outpatient services, the **Program of Assertive Community Treatment (PACT)** team and the Intensive Case Management staff offer intensive outreach, mental health treatment and case-management services. These services are typically provided to individuals in their homes, work places or other environments of need. Additionally, active hospital discharge planning for jailed individuals who suffer from mental illnesses is available. Historically, many people with severe mental illness are arrested for offenses such as disorderly conduct or trespassing. The Jail Diversion program is helping to break the cycle of criminalizing these individuals and attempting to connect or re-connect them with intensive mental health services.

The CSB is serving an increased number of persons with co-occurring mental health and medical needs. One in every four individuals receiving mental health services is over the age of 55; this baby boom cohort brings along with it the kinds of physical health problems that are often part of aging. In 2009, a primary care initiative was successfully piloted at one of the CSB mental health sites in partnership with Community Health Network to begin addressing the primary care needs of adults with mental illness who have no medical home. The effort is designed to provide individuals with medical engagement, wellness education and to link them with community health resources. The CSB Outpatient and Case Management service unit is coordinating with the Community Health Network to provide this service at multiple mental health sites.

In the Youth and Family Services division, Intensive Care Coordination (ICC) services are under development for those youth most at risk for out of home placements and for youth who are transitioning from residential treatment back to the community. This will be an expanded service as adopted by the 2008 General Assembly session. Funding for these services will come from the Comprehensive Services Act (CSA) and has been approved by the Community Policy and Management Team (CPMT). As part of the expansion of services, the Intensive Care Coordinator position has been created. Intensive Care Coordinators provide intensive wraparound services to families approved through the CSA and Family Assessment and Planning Team (FAPT) process and 24/7 mobile crisis care services for families receiving ICC services.

The division has also continued partnering with other agencies and is developing specified youth and family groups to meet the needs identified by the other child serving agencies. These include social skills groups for younger youth and civil obedience groups for teens. All groups have corresponding parent groups.

# Fund 106
# CSB - Mental Health Services

**Trends in Mental Health Services**

MHS has been undergoing significant system transformations. Individual satisfaction surveys, feedback via focus groups, treatment surveys and workgroups will continue to provide input towards a blueprint for ongoing changes in treatment services that are both effective and responsive to the needs and preferences of those individuals receiving services.

A major factor in the mental health systems transformation is the concept of recovery and recovery-oriented services. Individuals with mental illness can build or restore a meaningful sense of belonging in the community and build a life that is positive and fulfilling despite or within the limitations imposed by mental illness. Some individuals can fully recover, others can achieve recovery with the assistance of ongoing medication and support, and still others can develop the skills they need to manage symptoms and define themselves beyond having a disability. All of these individuals can engage in meaningful activities, interests, and relationships. Mental health services are designed to support that recovery process with the individual as a collaborative partner in treatment. There is a strong emphasis on employment goals and the integration of peer supports into the workforce.

There is also a growing emphasis in behavioral health care based on the recognition of co-occurring disorders (mental illness and substance use disorders) and the provision of integrated treatment as an effective intervention for both disorders. System transformation requires that no matter where or when an individual with co-occurring disorders enters mental health or addiction treatment, they receive a competent assessment and treatment that addresses the full range of services needed.

Another trend involves an increased case management presence in the community; this is both the product of national best practice directions, and declining inpatient resources. As inpatient beds dwindle, case management becomes an even more essential service to assist individuals with serious mental illness residing in the community, and to ensure that they receive needed medical and psychiatric services as they begin their recovery process.

**Factors That May Impact How Business is Conducted**

♦ Related to the trends listed previously, following the recommendations of the Josiah H. Beeman Commission in 2008 and the implementation plan presented to the Board of Supervisors by the CSB in 2009, a CSB redesign work group was convened in August 2010 and is aiming to develop a wide-ranging reorganizational action plan with an implementation target of July 1, 2011. The goals of the group are to make the system more person-centered, recovery oriented, community based, creatively managed and financed, and to integrate the system across disability areas.

♦ Medication delivery is a changing landscape that will have an impact on the way the CSB conducts business. In response to the closing of the state pharmacy, the CSB issued a Request for Proposal for pharmaceutical services, and has subsequently contracted with Quality of Life meds (QoL) to create pharmacies at the Gartlan and Woodburn Centers and to install medication pick-up services at three other outpatient sites – Reston, Chantilly and Springfield.

♦ Caseloads are at or are exceeding the maximum standard in the Comprehensive Treatment and Recovery (CTR Adult Outpatient) service unit due to increased demand and decreased staff resources. Referrals continue to be triaged with an effort to minimize the wait time for high risk individuals needing ongoing mental health services. Adult caseloads average 40 to 45 persons per clinician, depending on worksite. From July 2009 to June 2010, 955 new cases were referred for ongoing adult mental health services. Activities related to documentation in the Electronic Health Record (EHR) have increased administrative time allotment for staff. Increased demand for community based case management services will continue to tax limited and diminishing staff resources.

- As a result of mental health law reform during the 2008 and 2009 sessions of the General Assembly, Fairfax County has experienced a 28 percent increase in the demand for beds to serve individuals referred to a hospital through the Commonwealth of Virginia's Civil Commitment process. In FY 2008, Fairfax County involuntarily hospitalized 715 persons under Temporary Detention Orders; by FY 2010, the total was 846, an 18 percent increase.

- In June 2010, Northern Virginia Mental Health Institute closed six acute admission beds and, effective no later than July 1, 2011, an additional 13 beds are scheduled to close. To address this decrease in "safety net" services for those at high risk of an acute psychiatric crisis, Woodburn Place (the Fairfax County Adult Crisis Stabilization Program) will be focusing on decreasing the average length of stay so that more individuals can be admitted. Emergency Services is in the process of redesigning service delivery so that non-crisis psychiatric services are provided elsewhere within the CSB, and Adult Partial Hospitalization is also in the redesign process with goals of decreasing the wait time for admission and decreasing the length of stay.

- The lack of affordable housing remains one of the most critical issues facing low-income consumers who have a serious mental illness. Currently there are 551 individuals on mental health residential waitlists. The length of wait ranges from six months to several years depending on the program. The level of support needed varies from 24-hour supported group homes, to intensive and partially supported and supervised apartments. The lack of affordable housing stock as well as the lack of indicated residential supports frequently interfere with discharge planning, disrupt individuals' ability to progress in their recovery and can put persons at risk of becoming homeless, incarcerated and/or hospitalized.

- The viability of Medicaid continues to remain critical to mental health service delivery. The CSB has scrutinized its business practices to ensure that Medicaid dollars can be brought in and maximized for funding clinical service delivery. In FY 2010, MHS finalized its business process for submitting authorizations to Medicaid. In the last half of FY 2010, MHS submitted more than 1,200 authorizations for Medicaid reimbursed services, with 90 percent being approved. MHS, with the rest of the CSB, continues to monitor changes in Medicaid requirements to help ensure that the delivery, billing and documentation of these services are in compliance with regulations. In addition to Medicaid, the CSB continues to maximize the utilization of Medicare Part D, Patient Assistance Programs through pharmaceutical companies, and of reduced price prescription programs through area pharmacies to reduce overall medication cost and provide savings to the County.

# Fund 106
# CSB - Mental Health Services

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 421/ 420 | 418/ 417 | 428/ 427 | 446/ 440.5 |
| Grant | 45/ 43.5 | 45/ 43.5 | 50/ 48.5 | 50/ 48.5 |
| Expenditures: | | | | |
| Personnel Services | $43,453,828 | $43,505,464 | $44,015,233 | $44,310,456 |
| Operating Expenses | 21,920,521 | 21,699,874 | 28,461,383 | 23,203,511 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Subtotal** | **$65,374,349** | **$65,205,338** | **$72,476,616** | **$67,513,967** |
| Less: | | | | |
| Recovered Costs | ($1,508,085) | ($1,016,511) | ($1,006,899) | ($1,006,899) |
| **Total Expenditures** | **$63,866,264** | **$64,188,827** | **$71,469,717** | **$66,507,068** |
| Revenue: | | | | |
| Fairfax County | $37,646,672 | $36,995,336 | $36,995,336 | $36,772,826 |
| Fairfax City | 481,160 | 481,159 | 481,159 | 481,159 |
| Falls Church City | 190,556 | 190,556 | 190,556 | 190,556 |
| State DBHDS | 12,567,826 | 14,508,012 | 20,626,916 | 15,964,003 |
| State Other | 48,750 | 65,000 | 65,000 | 65,000 |
| Federal Block Grant | 1,409,478 | 1,273,952 | 1,273,952 | 1,320,206 |
| Federal Other | 174,332 | 134,417 | 134,417 | 134,417 |
| Medicaid Option | 6,210,488 | 6,512,282 | 6,512,282 | 7,738,516 |
| Program/Client Fees | 2,091,043 | 2,043,028 | 2,043,028 | 2,040,337 |
| CSA Pooled Funds | 997,054 | 1,928,961 | 1,928,961 | 1,616,020 |
| Miscellaneous | 65,325 | 56,124 | 68,084 | 56,124 |
| Fund Balance | 1,983,580 | 0 | 1,150,026 | 127,904 |
| **Total Revenue** | **$63,866,264** | **$64,188,827** | **$71,469,717** | **$66,507,068** |

## FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- **Intensive Community Treatment Teams** **$966,246**
  An increase of $966,246 is associated with the establishment of 18/13.5 SYE positions used to create six Intensive Community Treatment Teams (ICT). The teams will assist persons with serious mental illness and/or serious substance abuse problems. Additional funding and positions are also included in CSB-Administration.

- **Supplemental Pay Increase for Public Health Psychiatrists and Doctors** **$521,340**
  A net increase of $521,340 is associated with a supplemental pay increase necessary to attract and retain medical personnel essential to the mission of MHS, based on analysis conducted by the Department of Human Resources.

- **Fringe Benefits Requirement** **$452,224**
  A net increase of $452,224 is associated with the conversion of positions to a status that allows employees the option of receiving health benefits. The conversion offers employees the option of receiving benefits, and ensures that the County remains in compliance with recently altered federal health care regulations. Additional information regarding the conversion of positions to Merit Regular status is included in the Changes to FY 2011 Adopted Budget Plan section that follows.

- **Contract Rate Adjustment** **$353,250**
  An increase of $353,250 in Operating Expenses is associated with a 3 percent contract rate adjustment for providers of contracted mental health services.

- **Miscellaneous Adjustments** **$325,181**
  An increase of $325,181 is associated with necessary grant and non-grant adjustments.

- **Reduction** **($300,000)**
  A decrease of $300,000 reflects the following reduction utilized to balance the FY 2012 budget:

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Reduce Operating Expenses | A decrease of $300,000 is associated with a reduction in local funds for psychotropic medication. | 0 | 0.0 | $300,000 |

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$7,280,890**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $7,280,890, comprised of increases of $509,769 in Personnel Services and $6,761,509 in Operating Expenses, as well as a decrease of $9,612 in Recovered Costs. This includes $3,869,862 in adjustments to current grants; $2,261,001 in non-grant adjustments, including $1,455,990 to appropriate additional revenue from the Department of Behavioral Health and Development Services (DBHDS), $793,051 to appropriate an increase for the state medication allocation, and $11,960 to appropriate deferred unspent revenue for the Mental Health Physician's Institute contract for tobacco cessation; $650,027 in encumbered carryover; and $500,000 in various program adjustments aligned with the Josiah H. Beeman Commission's implementation plan.

- **Redirection of Positions** **$0**
  The County Executive approved the redirection of 4/4.0 SYE positions to this agency to primarily support overnight comprehensive psychiatric emergency services at Woodburn Center and oversight of clinical and administrative operations at the highly intensive mental health residential New Horizons Treatment Program.

- **Position Changes** **$0**
  As part of the FY 2011 review of County position categories, a conversion of 18/18.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review, a number of existing limited term positions have been converted to Merit Regular status.

# Fund 106
# CSB - Mental Health Services

## Program Management and Supervision

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 68/ 68 | 68/ 68 | 59/ 59 | 59/ 59 |
| Grant | 5/ 5 | 5/ 5 | 5/ 5 | 5/ 5 |
| **Total Expenditures** | **$6,722,811** | **$4,676,319** | **$4,772,503** | **$3,948,517** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| 1 | Director - Mental Health Programs | 1 | Business Analyst II | 6 | Administrative Assistants III |
| 6 | Mental Health Division Directors | 1 | Volunteer Services Coordinator II | 31 | Administrative Assistants II |
| 1 | Director of Clinical Operations | 2 | Administrative Assistants V | 1 | Administrative Associate |
| 3 | Mental Health Managers | 6 | Administrative Assistants IV | | |
| | | | **Grant Positions** | | |
| 1 | Mental Health Division Director | 1 | Financial Specialist II | 1 | Administrative Assistant III |
| 1 | Senior Clinician | 1 | Mental Health Supv./Specialist | | |

**TOTAL POSITIONS**
**59 Positions / 59.0 Staff Years**
**5 Grant Positions / 5.0 Staff Years**

## Key Performance Measures

### Goal

To provide management, programming, financial monitoring, training, and general support services to ensure that treatment interventions are delivered in an efficient and effective manner throughout Mental Health Services.

### Objectives

- To provide direction and management support to Mental Health programs so that 70 percent of service quality and outcome goals are achieved.

| Indicator | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| | FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | FY 2011 | FY 2012 |
| **Outcome:** | | | | | |
| Percent of mental health performance indicators (service quality and outcome) achieved | 67% | 55% | 70% / 73% | 70% | 70% |

## Performance Measurement Results

In FY 2010, 8 out of 11, or 73 percent of service quality and outcome goals were met or exceeded by Mental Health programs. The three measures that were not met were the outcome measures for Residential Services and Outpatient and Case Management Services.

Over this past year MHS has changed the way in which service delivery data is collected. This change in methodology, whereby a more concise list of service codes to collect activity is utilized, has reduced the service hours provided in certain programs. There are recommended changes in estimates for next year in response to these changes.

# Fund 106
# CSB - Mental Health Services

## Regional Inpatient Services

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| **Total Expenditures** | **$1,327,003** | **$2,169,025** | **$3,495,356** | **$2,207,685** |

Regional Inpatient Services utilizes six local hospitals for state funding of Local Inpatient Purchase of Services (LIPOS). Staff from Emergency Services place at-risk consumers with no financial resources into beds at these local hospitals when beds are unavailable at the Northern Virginia Mental Health Institute (NVMHI). Discharge planners then monitor consumers' stays and facilitate transfers to NVMHI as needed.

## Emergency Services

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 45/ 45 | 45/ 45 | 51/ 50.5 | 51/ 50.5 |
| Grant | 3/ 3 | 3/ 3 | 5/ 5 | 5/ 5 |
| **Total Expenditures** | **$7,104,603** | **$5,936,445** | **$6,688,731** | **$6,172,449** |

**Position Summary**

**General Emergency**

1 Mental Health Manager
2 Emergency/Mobile Crisis Supervisors
15 Mental Health Supervisors/Specialists, 1 PT
8 Psychiatrists

**Forensic Services**

2 Mental Health Managers
4 Senior Clinicians
1 Mental Health Supervisor/Specialist
2 Clinical Psychologists
3 Psychiatrists
1 Public Health Nurse III

**Mobile Crisis Unit**

1 Mental Health Manager
2 Emergency/Mobile Crisis Supervisors
4 Mental Health Supervisors/Specialists

**Entry Services**

1 Mental Health Manager
3 Mental Health Therapists
1 Mental Health Counselor

**Grant Positions**

3 Mental Health Supervisor/Specialists
1 Food Service Supervisor
1 MH/ID/ADS Aide

**TOTAL POSITIONS**
**51 Positions / 50.5 Staff Years**
**5 Grant Positions / 5.0 Staff Years**

## Key Performance Measures

### Objectives

- To provide stabilization services outside of the hospital to 85 percent of clients seen in General Emergency Services.

- To conduct 80 percent of evaluations within 24 hours after initial contact.

# Fund 106
# CSB - Mental Health Services

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| General Emergency - Service hours provided | 28,980 | 22,925 | 26,000 / 23,114 | 23,000 | 23,000 |
| General Emergency - Persons seen | 4,828 | 4,795 | 4,750 / 5,081 | 4,750 | 4,750 |
| Independent Evaluators - Persons seen | 688 | 710 | 650 / 660 | 128 | NA |
| Independent Evaluators - Service hours provided | 1,850 | 2,073 | 1,850 / 1,920 | 330 | NA |
| **Efficiency:** | | | | | |
| General Emergency - Annual cost per client | $767 | $725 | $641 / $696 | $599 | $599 |
| Independent Evaluators - Annual cost per client | $586 | $377 | $324 / $400 | $238 | NA |
| **Outcome:** | | | | | |
| General Emergency - Percent of consumers who receive stabilization services without admission to a psychiatric hospital | 97% | 84% | 85% / 87% | 85% | 85% |
| Independent Evaluators - Percent of evaluations conducted within 24 hours of contact | 87% | 82% | 80% / 84% | 80% | NA |

Note: In FY 2011, as result of budget reductions, contracted evaluator services are eliminated. As such, an "NA" is displayed to reflect that this indicator is no longer a needed measure.

## Performance Measurement Results

General Emergency Services provided 23,114 hours of service to 5,081 individuals in FY 2010. The hours of service estimate was not met but the persons seen estimate was exceeded. In FY 2010 Mental Health completed a full year of utilizing a concise list of service codes to capture staff service hours more accurately. This impacted the number of service hours across all Mental Health areas, and in response a new target of 23,000 hours is recommended for FY 2011 and FY 2012.

Independent Evaluators are licensed Clinical Psychologists who evaluate persons temporarily detained at a hospital because they have been judged by staff to be a danger to themselves or others due to their mental illness. Independent Evaluators make recommendations to a Special Justice at Commitment Hearings as to whether or not individuals should be committed to a hospital (against their will) for treatment of their mental illness. In FY 2010 independent evaluators provided 1,920 hours of service to 660 individuals, exceeding both estimates. To address the projected FY 2011 budget shortfall, contracted evaluator services was eliminated and this service will not be reported in FY 2012.

With regard to outcomes in FY 2010, General Emergency Services did meet the estimate of 85 percent of all people receiving stabilization services not requiring admission to a psychiatric hospital and the Independent Evaluators surpassed the estimate of 80 percent of all evaluations being completed within 24 hours.

# Fund 106
# CSB - Mental Health Services

## Day Support Services

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 19/ 19 | 19/ 19 | 19/ 19 | 19/ 19 |
| Grant | 1/ 1 | 1/ 1 | 1/ 1 | 1/ 1 |
| **Total Expenditures** | **$4,657,020** | **$5,232,936** | **$5,238,864** | **$4,986,047** |

| Position Summary | | | |
|---|---|---|---|
| | **Adult Day Treatment** | | **Adolescent Day Treatment** |
| 1 | Mental Health Manager | 1 | Mental Health Manager |
| 2 | Mental Health Supervisors/Specialists | 2 | Senior Clinicians |
| 5 | Senior Clinicians | 1 | Mental Health Supervisor/Specialist |
| 1 | Mobile Clinic Driver | 1 | Mental Health Therapist |
| 2 | Nurse Practitioners | 1 | BHN Clinician/Case Manager |
| 1 | Mental Health Therapist | 1 | MH/ID/ADS Aide |
| | **Grant Position** | | |
| 1 | Mental Health Therapist | | |
| | **TOTAL POSITIONS**<br>**19 Positions / 19.0 Staff Years**<br>**1 Grant Position / 1.0 Staff Year** | | |

## Key Performance Measures

### Objectives

- To demonstrate that 90 percent of individuals and families are satisfied with the services provided by Adolescent Day Treatment.

- To enable 90 percent of consumers in adult day treatment services for more than 30 days to avoid hospitalization for at least 6 months.

- To improve functioning of 85 percent of consumers served by the Adolescent Day Treatment Program.

| Indicator | Prior Year Actuals: FY 2008 Actual | Prior Year Actuals: FY 2009 Actual | Prior Year Actuals: FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Adult Day Treatment - Consumers served | 170 | 197 | 190 / 170 | 170 | 170 |
| Adult Day Treatment - Service hours provided | 31,517 | 28,491 | 31,500 / 16,503 | 30,000 | 30,000 |
| Adolescent Day Treatment - Consumers served | 46 | 31 | 38 / 24 | 30 | 30 |
| Adolescent Day Treatment - Service hours provided | 14,883 | 13,522 | 14,500 / 14,867 | 14,500 | 14,500 |

# Fund 106
# CSB - Mental Health Services

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Efficiency:** | | | | | |
| Adult Day Treatment - Annual cost per consumer | $6,533 | $6,008 | $7,490 / $6,657 | $8,372 | $8,372 |
| Adolescent Day Treatment - Annual cost per consumer | $11,811 | $16,197 | $20,909 / $23,374 | $26,485 | $26,485 |
| **Service Quality:** | | | | | |
| Adolescent Day Treatment - Percent of clients and family members satisfied with services | 89% | 90% | 90% / 91% | 90% | 90% |
| **Outcome:** | | | | | |
| Adult Day Treatment - Percent of consumers not hospitalized within 6 months of receiving more than 30 days of treatment. | 87% | 97% | 80% / 100% | 90% | 90% |
| Adolescent Day Treatment - Percent of consumers that demonstrate improvements in school, family and community behaviors. | 81% | 100% | 70% / 90% | 85% | 85% |

## Performance Measurement Results

In FY 2010, the Adult Day Treatment Program provided 16,503 hours of service to 170 people. Both of these indicators failed to meet their service estimate because of several factors. One of the programs that previously reported in this result no longer qualifies (this change is reflected in the estimates for FY 2011 and FY 2012). Two vacant positions reduced the capacity of staff to serve clients and inclement weather over the winter months created transportation issues for clients.

The Adolescent Day Treatment Program met the service hours estimate but did not meet the individuals served estimates, providing 14,867 hours of service to 24 individuals. This reflects changes made in the program which resulted in decreased turnover and reduced the number of people served. The change in the estimates for persons served in FY 2011 and FY 2012 reflect this change.

In FY 2010 Adult Day Treatment exceeded the outcome estimate of individuals who receive more than 30 days of treatment and are not hospitalized within the following 6 months; 100 percent of clients were not hospitalized in the 6 month period following treatment. Adolescent Day Treatment exceeded the service quality and outcome estimates. Ninety-one percent of clients and their families were satisfied with the services provided and 90 percent of clients demonstrated improvement.

# Fund 106
# CSB - Mental Health Services

## Residential Services

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 128/ 127.5 | 127/ 126.5 | 138/ 137.5 | 146/ 142.5 |
| Grant | 15/ 14 | 15/ 14 | 15/ 14 | 15/ 14 |
| **Total Expenditures** | **$23,097,199** | **$23,509,287** | **$25,064,353** | **$24,428,560** |

### Position Summary

**Supervised Apartments**
1 Mental Health Manager
4 Mental Health Supv./Specialists
9 Mental Health Therapists
1 Mental Health Counselor

**Res. Treatment Center - Adult Crisis Care**
1 Mental Health Manager
3 Mental Health Supv./Specialists
18 Mental Health Therapists
5 Mental Health Counselors
1 Cook
1 Nurse Practitioner

**New Horizons**
3 Mental Health Supv./Specialists
1 Mental Health Manager
4 Mental Health Therapists
6 Mental Health Counselors
1 BHN Clinician/Case Manager
1 Cook
1 Food Service Supervisor

**Community Living**
1 Mental Health Supv./Specialist
1 Mental Health Therapist
1 Mental Health Counselor

**Group Home - Sojourn House**
1 Mental Health Supv./Specialist
1 Mental Health Therapist
3 Senior Clinicians
4 Mental Health Counselors

**Homeless Services - Shelter**
1 Mental Health Manager
3 Mental Health Supv./Specialists
10 Mental Health Therapists
1 Psychiatrist
1 Nurse Practitioner
3 BHN Clinical Nurse Specialists, 3 PT
3 Peer Specialists, 3 PT
2 Senior Clinicians

**Transitional Group Home - Patrick Street**
1 Mental Health Manager
1 Mental Health Supv./Specialist
2 Mental Health Therapists
3 Mental Health Counselors
1 BHN Clinician/Case Manager

**Transitional Group Home - Beacon Hill**
2 Mental Health Therapists
2 Mental Health Counselors
1 Mental Health Supv./Specialist
1 BHN Clinician/Case Manager
1 Substitute Relief Counselor

**Cornerstones Dual Diagnosis Facility**
1 Mental Health Supv./Specialist
1 Mental Health Therapist
3 Mental Health Counselors
1 Nurse Practitioner

**Residential Intensive Care**
1 Mental Health Manager
4 Mental Health Supv./Specialists
3 Mental Health Therapists
7 Mental Health Counselors, 1 PT
1 BHN Supervisor
1 Licensed Practical Nurse
3 Substitute Relief Counselors

**PACT Residential Assistance**
1 Mental Health Counselor

**Supportive Services**
1 Mental Health Supv./Specialist
3 Mental Health Therapists

**Extension Apartments**
3 Mental Health Therapists

**Grant Positions**

**Supportive Services**
2 Mental Health Therapists
1 MH Counselor, PT

**Adult Crisis Care**
3 Mental Health Therapists

**Residential Intensive Care**
3 Mental Health Counselors, 1 PT
3 Mental Health Therapists

**PATH/Homeless Services - Outreach**
3 Mental Health Therapists

**TOTAL POSITIONS**
**146 Positions / 142.5 Staff Years**
**15 Grant Positions / 14.0 Staff Years**

**PT Denotes Part-Time Positions**

## Key Performance Measures

### Goal
To provide treatment and support to adults with serious mental illness residing in group homes, apartments, domiciliary care and homeless shelters and to assist them with community living.

## Objectives

- ♦ To serve 50 new individuals in the Supervised Apartments program during the year.
- ♦ To enable 6 percent of consumers served in the Supervised Apartments program to move to a more independent residential setting within one year.

| Indicator | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| | FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | FY 2011 | FY 2012 |
| **Output:** | | | | | |
| Supervised Apartments - Consumers served | 633 | 398 | 600 / 392 | 390 | 390 |
| Supervised Apartments - Service days provided | 100,314 | 93,808 | 95,000 / 94,055 | 95,000 | 95,000 |
| **Efficiency:** | | | | | |
| Supervised Apartments - Annual cost per consumer | $2,545 | $4,361 | $2,992 / $4,377 | $4,603 | $4,603 |
| **Service Quality:** | | | | | |
| Supervised Apartments - Number of new consumers receiving services | 72 | 163 | 50 / 56 | 50 | 50 |
| **Outcome:** | | | | | |
| Supervised Apartments - Percent of consumers able to move to a more independent residential setting within one year | 41% | 9% | 35% / 4% | 6% | 6% |

## Performance Measurement Results

A major goal for individuals with serious mental illness is to have their own home and live in the community with the appropriate clinical and residential supports. Supervised Services provides residential treatment in a stable, supportive, therapeutic setting in which individuals with a serious mental illness learn and practice the life skills needed for successful community living. The ultimate goal is for these individuals to transition into the most manageable independent living environment. Supportive Services provides services that support and help individuals acquire their own long-term permanent housing and maintain their independent long-term permanent residential arrangement.

The Supervised Apartments program, in FY 2010, provided 94,055 hours of service to 392 individuals, not meeting the individuals served or service hours estimates. Fairfax County has an ongoing challenge with maintaining and creating new affordable housing for persons with disabilities. An estimated 25 percent of individuals in ARS supervised apartment programs are ready to move on to more independent living, but cannot afford to do so. An estimated 20 affordable units are expected to come online during this next fiscal year, but this is a smaller number than previous performance estimates. In response to these challenges the estimate for individuals served has been reduced to an estimate of 390 people.

## Outpatient and Case Management Services

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 150/ 149.5 | 148/ 147.5 | 150/ 149.5 | 160/ 158 |
| Grant | 21/ 20.5 | 21/ 20.5 | 24/ 23.5 | 24/ 23.5 |
| **Total Expenditures** | **$19,827,804** | **$21,703,335** | **$25,248,430** | **$23,800,758** |

**Position Summary**

**Adult Community Services**
4 Mental Health Managers
15 Mental Health Supv./Specialists
35 Senior Clinicians
22 Mental Health Therapists
2 Licensed Practical Nurses
2 Nurse Practitioners
8 Psychiatrists
5 BHN Supervisors
3 BHN Clinicians/Case Managers
4 BHN Clinical Nurse Specialists

**Youth and Family Services**
4 Mental Health Managers
6 Mental Health Supv./Specialists
21 Senior Clinicians, 1 PT
11 Mental Health Therapists
2 Psychiatrists
4 Clinical Psychologists
2 BHN Clinical Nurse Specialists, 1 PT
2 Peer Specialists, 2 PT

**Intensive Care Coordination**
1 Emergency/Mobile Crisis Unit Supervisor
5 Mental Health Supv./Specialists
2 MH/ID/ADS Aides

**Grant Positions**

**Adult Outpatient & Case Management Access Team**
4 Mental Health Therapists
6 Senior Clinicians

**Jail Diversion**
1 Mental Health Manager
4 Senior Clinicians
8 Mental Health Therapists, 1 PT
1 MH/ID/ADS Aide

**TOTAL POSITIONS**
**160 Positions / 158.0 Staff Years**
**24 Grant Positions / 23.5 Staff Years**

**PT Denotes Part-Time Position**

## Key Performance Measures

### Goals

**Adults:** To stabilize mental health crises and symptoms, facilitate optimal community integration, assist in managing reoccurrence of symptoms and building resilience, and promote self-management, self-advocacy and wellness.

**Youth and Family:** To provide assessment, evaluation, multi-modal treatment, case management, psycho-educational and pharmacological services to the children, youth and families (ages 0 to 18) of Fairfax County. These services will be provided though interagency collaboration and practice as mandated by the Comprehensive Services Act.

### Objectives

- To enable 85 percent of individuals served to be satisfied with services.

- To schedule 90 percent of consumers referred for an assessment within 7 days of discharge from the hospital.

# Fund 106
# CSB - Mental Health Services

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Consumers served | 2,988 | 2,677 | 3,100 / 2,677 | 3,500 | 3,500 |
| Service hours provided | 30,153 | 22,018 | 36,000 / 22,018 | 52,000 | 52,000 |
| **Efficiency:** | | | | | |
| Annual cost per consumer | $4,620 | $5,247 | $5,629 / $5,216 | $4,312 | $4,312 |
| **Service Quality:** | | | | | |
| Percent of consumers satisfied with services | 85% | 80% | 85% / NA | 85% | 85% |
| **Outcome:** | | | | | |
| Percent of consumers scheduled for an assessment within 7 days of discharge | 51% | 89% | 100% / 90% | 90% | 90% |

## Performance Measurement Results

In FY 2010 Outpatient and Case Management Services provided 22,018 hours of service to 2,677 individuals, falling short of the consumer served estimate and the service hours provided estimate. This shortfall is caused by the changes made by MHS in how the services provided are recorded and by changes in the services provided based on the needs of the people served. The numbers reported in this document have historically been a subset of the total adults served, but that subset no longer represents the services provided. To rectify this, starting in FY 2011, Mental Health will report the total number or people served and service hours provided in Adult and Youth Outpatient and Case Management Services.

Outpatient Services utilizes a state-mandated consumer satisfaction instrument in addition to focus groups to solicit information from individuals about their experiences. The overall satisfaction results are not applicable in FY 2010 due to a change in methodology made by the State, that resulted in significantly fewer surveys being completed. The CSB is reviewing options to improve response rates and the overall use of this national survey. It has also recommended that Mental Health add an outcome of "percent of adults seen within 10 business days of the initial call for services," with a goal of 95 percent. Ninety percent of the individuals discharged from state hospital beds were seen within 7 days of discharge, not meeting the estimate. The pattern of actuals over the years suggested that a more realistic estimate for this measure is 90 percent and the goal is changed to reflect that.

## Program of Assertive Community Treatment (PACT)

**Funding Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 11/ 11 | 11/ 11 | 11/ 11 | 11/ 11 |
| **Total Expenditures** | **$1,129,824** | **$961,480** | **$961,480** | **$963,052** |

# Fund 106
# CSB - Mental Health Services

| Position Summary | | |
|---|---|---|
| 1 Mental Health Manager | 3 Mental Health Therapists | 1 Administrative Assistant III |
| 1 MH/ID/ADS Senior Clinician | 3 Public Health Nurses III | |
| 2 Mental Health Supervisors/Specialists | | |
| **TOTAL POSITIONS**<br>**11 Positions / 11.0 Staff Years** | | |

## Key Performance Measures

### Objectives

♦ To demonstrate that 90 percent of individuals are satisfied with services.

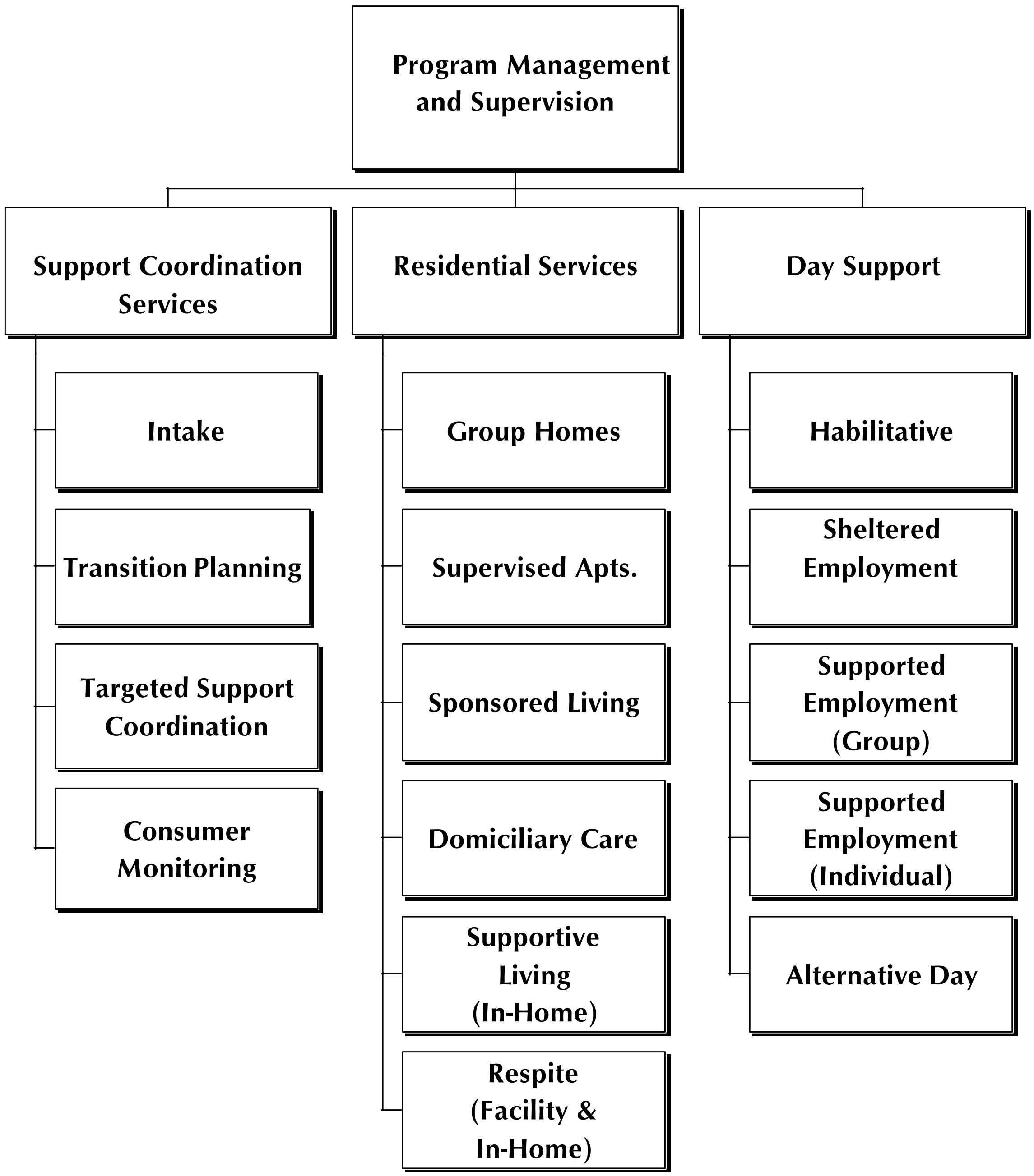
♦ To improve community tenure for PACT consumers so that 90 percent reside outside of the jail or hospital for at least 330 days in a year.

| Indicator | Prior Year Actuals<br>FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate<br>FY 2011 | Future Estimate<br>FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Consumers served | 112 | 118 | 100 / 98 | 100 | 100 |
| Service hours provided | 16,552 | 12,410 | 15,779 / 6,435 | 15,779 | 15,779 |
| **Efficiency:** | | | | | |
| Annual cost per consumer | $10,094 | $10,626 | $9,599 / $11,528 | $9,615 | $9,615 |
| **Service Quality:** | | | | | |
| Percent of consumers satisfied with services | 98% | 95% | 90% / 95% | 90% | 90% |
| **Outcome:** | | | | | |
| Percent of consumers who remain out of jail or the hospital for at least 330 days in a year | 91% | 87% | 90% / 97% | 90% | 90% |

## Performance Measurement Results

In FY 2010 the PACT program provided 6,435 hours of service to 98 individuals, not meeting the individuals served estimate or the service hours provided estimate. This is due to the combination of two staff vacancies for most of the year and changes made in how services provided are now recorded in Mental Health. It is recommended that the estimates not be adjusted until FY 2012 when there is more data available to make the change. The PACT program exceeded the Service Quality and Outcome estimates. It bears noting that the PACT program kept 97 percent of the people they served out of the hospital or jail for at least 330 days.

# Fund 106
# CSB – Intellectual Disability Services



# Fund 106
# CSB – Intellectual Disability Services

## Mission

It is the mission of Intellectual Disability Services (IDS) to promote services and supports which enable people with intellectual disabilities and their families to attain a personally desired and valued quality of life. IDS will achieve its mission by directly providing individualized services and by building community capacity to provide services that are effective and efficient.

It is the vision of IDS that all people with intellectual disabilities and their families in Fairfax County and the Cities of Fairfax and Falls Church, have access to quality, individualized services, offered locally and are empowered to participate in developing and evolving those ideas.

IDS values services and supports that:

- Empower individuals/families to maximize their independence and quality of life (with a minimum, but necessary degree of structure to achieve their desired independence and quality of life);
- Are flexible and diverse to meet existing and changing individual/family needs and preferences;
- Protect individual/family health, safety, and confidentiality;
- Are provided in an integrated, community-based setting; and,
- Are of quality in nature valuing excellence and professionalism in services, supports and workforce.

## Focus

IDS provides direct services to individuals with intellectual disabilities and oversees services provided by private vendors. Services are provided through four cost centers: Program Management and Supervision, Support Coordination Services (formerly known as Case Management), Residential Services and Day Support.

**Program Management and Supervision**
Program Management and Supervision is provided to all intellectual disability programs, whether directly-operated, under contract, or operating through the Medicaid Waiver program, to ensure service quality, customer satisfaction, sound fiscal management and the appropriate allocation of resources. The agency participates in numerous collaborative efforts throughout the region and State, including public policy formulation; program planning and development; interagency collaboration (including efforts to expand the number of Medicaid ID and Day Support Waiver slots); statewide systems transformation and services expansion; transportation services planning for persons with disabilities; long-term care coordination; regional emergency preparedness planning for individuals with special needs; human rights and ethics; and mental health services access, coordination, and quality for individuals who are dually-diagnosed with intellectual disabilities and mental illness. Leadership in these activities demonstrate and ensure that IDS continues to have significant influence and impact on the provision of services to individuals with disabilities throughout the Northern Virginia region and the entire Commonwealth of Virginia.

Of particular current concern for IDS is the potential for future reductions in Federal Medicaid Assistance Percentage (FMAP) payments for individuals receiving intellectual disability services. FMAP is the federal government's percentage share of each state's medical assistance expenditures for Medicaid-covered individuals. An increase in the required federal portion provides additional federal funding towards each state's Medicaid program expenditures and because Medicaid is a federal/state partnership, the increased federal match rate provides savings to states by reducing the state's Medicaid match rate. In August 2010, the U.S. Congress passed an extension of the increased FMAP provision and as a result, the Governor approved restoration of the following Medicaid services statewide from October 1, 2010 through June 30, 2011, that had previously been cut at the state level:

- Add 250 new ID Waiver slots for individuals on the waiting list. The Fairfax-Falls Church CSB received 25 of this 250 statewide total.

- Restore funding for Medicaid Home and Community-Based Services (HCBS) Waiver payment rates (a 5 percent reduction was applied July 1st).

- Restore funding for environmental modifications and assistive technology, keeping the limit at $5,000 per person/per year, instead of the proposed $3,000 per person/per year.

- Restore funding for respite services, keeping the limit at 720 hours/year instead of the proposed 240 hours/year.

As these restorations only address the first year of Virginia's biennium budget, in FY 2012 there will likely be reductions in Medicaid revenue, with potentially significant negative impacts on IDS consumers, families, contractors/private partners, and the CSB impending at that time.

**Support Coordination Services (formerly called Case Management)**
Support Coordination Services is the intervention which ensures that service systems and community supports are responsive to the specific, multiple, and changing needs of individuals and families. Support Coordination Services ensures that individuals are properly connected to, and involved in, the appropriate services and supports in order to maximize opportunities for successful community living. Support Coordinators assist in gaining access to needed homes, jobs, social service benefits and entitlement programs, therapeutic supports, social and educational resources, and other supports essential to meeting basic needs. Through face to face contacts, phone contacts, and review of various reports, the Support Coordinator helps assess the needs of the individual and develops a person-centered plan, links the individual to services and supports, coordinates and monitors services, provides technical assistance, and advocates for the individual.

Department of Behavioral Health and Development Services (DBHDS) regulations require that Support Coordination Services be provided to all individuals who are enrolled in Medicaid and who request support coordination. Individuals who are recipients of Medicaid benefits receive a full cadre of support coordination services, including interdisciplinary team planning, coordination of services, intake and assessments, advocacy, and resource planning. Individuals who do not have Medicaid may also receive the same or similar service coordination based on need. In addition, the State mandates Support Coordination Services to those who are in need of emergency assistance pursuant to §37.1-194 of the Code of Virginia. Pre-admission screening and pre-discharge planning from state training centers or hospitals is also required under the Community Services Performance Contract 5.3.1 and 5.3.3 pursuant to the Code of Virginia.

Adults or children age six or older must have a confirmed diagnosis of intellectual disability to be determined eligible for Support Coordination Services. For a child age three to six years old, there must be confirmation of a cognitive developmental delay. Individuals served may be as young as three years of age and range through individuals over the age of 70. People with intellectual disabilities are now living longer and as a result, many individuals served by IDS experience the same health and aging related issues as the general population. People may be brittle diabetics, on oxygen, or require gastrointestinal tubes for feeding. In addition to medical issues, individuals with more challenging behaviors are also served. The CSB continues to use behavior specialists and the mental health system to assist these individuals, but there seems to be a growing need for crisis stabilization. Support Coordinators are required to monitor the medications the individual takes and the possible side effects. In addition, the community has become increasingly multi-cultural and multi-linguistic, requiring specialized training for IDS Support Coordinators.

Support Coordination Services were provided to 1,739 persons with intellectual disabilities in FY 2010. Of that total, 1,322 individuals received targeted support coordination, and 417 people received consumer monitoring. Support Coordinators continue to coordinate not only County-funded services, but also approximately $44.7 million in Medicaid-funded services paid directly to private providers providing covered services to residents of Fairfax County, Fairfax City, and the City of Falls Church. For all Support Coordination

Services, Medicaid reimbursed the CSB $3.3 million in FY 2010, an average increase of 1.9 percent over the FY 2009 and FY 2008 totals of $3.2 million.

Transition of youth from schools continues to be a priority activity for Support Coordination Services. A continuing trend is the increasing number of students who are medically fragile or require extensive physical and behavioral supports, and/or one-to-one personal care. In addition to the anticipated transition of these youth, there is an extensive support coordination intake demand from people moving into the County requiring Support Coordination Services. Since Support Coordination Services is the "gate-keeper" for all other ID services, this intake process is a very significant activity. There is a move for the CSB to have one door of access to all services provided, which should benefit those seeking services and streamline the process for those in need.

Finally, there is a trend toward increasing external documentation requirements necessitating increased quality assurance, training, and specialized administrative and managerial supports. Some of these requirements involve additional assessment activities that must be performed in person by the Support Coordinator. In order to meet the external requirements imposed by licensure, DBHDS State Performance Contract, DBHDS Performance and Outcome Measurement System, and Medicaid, there is an increased emphasis on monitoring documentation and utilization review.

The Supports Intensity Scale (SIS) is a new assessment and evaluation tool developed specifically to measure the supports necessary for an individual to achieve their desired life outcome. Person-centered plans are developed to support an individual in meeting the outcomes defined and identified in the SIS. Beginning in FY 2010, all persons with intellectual disabilities who receive Medicaid services are required to be supported using the SIS and person-centered planning, to ensure that services focus an individual's gifts, talents, hopes, and dreams, and to assist in identifying the supports that are important to each individual. Utilization of the SIS is being phased-in for all individuals served by IDS and funded by Medicaid over a 3-year period. Between March 2009 and September 2010, Support Coordination Services completed 224 SIS assessments. By the end of March 2011, approximately 360-380 SIS assessments will be completed. Support Coordination Services is also implementing a children's SIS and will have completed 32 assessments for children age five to 15 years old by March 31, 2011. Support Coordination Services is also participating in a pilot of the children's SIS, so that the American Association on Intellectual and Developmental Disabilities (AAIDD) can gather enough data to ensure the assessment's reliability. In addition, throughout FY 2011, all individuals assigned to a Support Coordinator will be transitioned to having a formal, person-centered plan as their ongoing service plans are updated.

**Residential Services**
Residential Services provides housing and residential support services in the community for individuals with intellectual disabilities. These services provide an array of residential supports designed around individual needs and desires, with an emphasis on providing opportunities for full inclusion in community life. The majority of residential services are provided through CSB partnerships with approved private providers. Contract management oversight is provided by the CSB for all of the residential programs, public or private, through onsite observations, clinical consultations with Support Coordinators and other professionals in the community, review of outcome measures and coordination with quality assurance activities.

- Group Homes provide small-group living arrangements for individuals located in homes that are integrated in surrounding neighborhoods. These programs may be directly operated by the CSB, operated by private providers under contract with the CSB, or by private providers not under contract with the CSB but funded through Medicaid. Approximately 75 percent of group home services are privatized. Staff support services are available on a 24-hour basis and concentrate on developing supportive relationships, independent living skills, and a network of friends and opportunities in the community.

- Intermediate Care Facilities (ICF-MR's) provide group living arrangements for four to 12 individuals located in homes that are integrated in surrounding neighborhoods. These programs are operated by private providers under contract with the CSB and are funded by Medicaid. Staff support services are available on a 24-hour basis and concentrate on developing supportive relationships, independent living skills, and a network of friends and opportunities in the community. Due to the active treatment required in these programs, support services such as doctors, nurses, pharmacists and social workers are required.

- Residential Supported Living provides services to individuals living in their own homes or in shared living arrangements (e.g., apartments and town homes). These services may be provided by the CSB or by private providers. The extent of support provided ranges from daily to drop-in, is based on individual needs, and takes into account individual preference, choice, and independence. Staff support includes individual and group counseling, training and assistance in community living and personal skills, and linkage with other more natural support networks in the community. Support services to individuals living in their own homes are all privatized, and over 90 percent of the drop-in support services for people living in program-leased apartments and town homes are privatized.

- Respite Services provides trained respite care providers (short-term relief), available by telephone referral, who are scheduled for hourly or overnight assistance to families needing time away from caring for their family members with intellectual disabilities. Services are also available at a licensed 24-hour home for longer-term respite and emergency services. Respite services are provided through private providers.

In FY 2010, Residential Services provided housing and residential support to 587 individuals, with 318 of those individuals being served through directly-operated and contracted group homes. A serious challenge confronting Residential Services is the number of individuals who are aging and require more physically-accessible, barrier-free living environments. During FY 2010, one group home relocated into a renovated barrier-free home using Universal Design concepts (and is equipped with an interior elevator) through a partnership with and joint funding from RPJ Housing, the CSB, and the City of Falls Church. This home replaced two existing homes and includes an individual who transferred in from a community ICF. Since moving in, this individual has become much more active with people and engaged in the community. These same needs exist for many individuals residing in other settings, but there is a notable shortage of available, affordable, and accessible housing in Fairfax County. Residential Services continues to explore opportunities to create barrier-free group homes and/or more accessible apartments, which provide better residential options for individuals requiring such living arrangements.

Effective October 1, 2010, eight providers were awarded new contracts procured through a new Request-for-Proposals for Residential Services. Six of these providers utilize a combination of Medicaid and local funding to provide congregate residential services; two of these providers continue to provide congregate residential services under a cost share program. The cost share formula has been adjusted so that providers must collect 15 percent of their total operating expenses through user fees, collected from both residents and their families. In addition, fund raising by providers must now be used for program enhancements, program expansions and to assist consumers who cannot meet their financial fee obligations. These obligations also include the CSB transportation fee, meaning that residents of these cost share providers may not qualify for a reduced transportation fee. Additionally, cost share programs may no longer serve Medicaid Waiver recipients with local funding. Effective April 1, 2011, cost share providers must either become an approved Medicaid Waiver provider (and draw down Medicaid dollars to get reimbursed for services provided to these eligible individuals), or current residents with Medicaid Waiver slots will no longer be funded by the CSB. All these changes maximize recovery and leveraging of non-County revenue sources for Residential Services. In addition, residential supportive living arrangements are now available for locally funded individuals through three new providers.

Finally, for the past several years, Residential Services has benefited from a full-time nurse to help monitor the increasing medical needs of individuals in its residential programs, interface with primary care physicians to ensure standards of care are being met, train staff on medical procedures required to maintain individuals in their home, and facilitate administering the H1N1 vaccine to individuals in directly-operated residential and private provider programs. Beginning in FY 2011, IDS is exploring the possibility of billing Medicaid for appropriate nursing services under the ID Waiver for services provided to covered individuals.

**Day Support**

Day Support provides assistance and training to improve individual independence and self-sufficiency, and/or to obtain vocational training and support to enter and remain in the workforce. Vocational and day support services for individuals with intellectual disabilities are provided primarily through contracts with private, non-profit agencies.

- Developmental Services provides self-maintenance training and nursing care for individuals who are the most severely disabled in areas such as: intensive medical care, behavioral interventions, socialization, communication, fine and gross motor skills, daily living and community living skills, and possibly limited remunerative employment.

- Sheltered Employment provides individuals full-time, salaried employment in a supervised setting with support services for habilitative development.

- Group Supported Employment provides individuals intensive job placement assistance for off-site, supervised contract work and competitive employment in the community. Job retention services are also provided.

- Individualized Supported Employment provides salaried employment with necessary support services. This service primarily serves persons with less severe disabilities and stresses social integration with non-disabled workers.

- The Cooperative Employment Program (CEP) provides supported competitive employment services to eligible individuals with developmental disabilities. The CEP is jointly funded and operated by the Department of Rehabilitative Services (DRS) and the CSB. Using an individualized approach, program staff assesses skills, analyze job requirements, and provide on-the-job training for disabled individuals and disability awareness training for employers. Extensive follow-up services are provided to ensure the success of the job placement. In addition to the job-training component, the CEP offers mobility training to enhance individuals' abilities in the use of public transportation.

Transportation for day support services is contracted by the CSB through FASTRAN, providing morning and evening transportation for individuals' to-and-from employment and vocational training sites throughout the Fairfax-Falls Church service area. Alternative transportation services may be available from other qualified providers, including providers who have been approved by the Virginia Department of Medical Assistance Services as eligible for Medicaid reimbursement. The CSB has a fee policy in effect requiring a monthly flat fee collection for non-Medicaid-funded transportation services.

In FY 2010, day support and employment services were provided to 1,190 individuals with intellectual disabilities. The average annual earnings for the people surveyed in FY 2010 that received community-based group supported employment services were $6,190. The average annual earnings for the people surveyed in FY 2010 that received individual supported employment services were $16,772. In the directly-operated Cooperative Employment Program (CEP), a total of 145 persons were served and 20 new job placements occurred during FY 2010. Average hourly wages for 129 of these individuals was $11.08/hour, and total wages earned increased to over $2.2 million. The average number of hours worked by these individuals was 28 hours per week. In addition, over 53 percent of the employed individuals served by CEP received full or partial benefits as part of the compensation package offered by their employers.

As directed by the Board of Supervisors in FY 2006, CSB staff (along with representatives from the Office of the County Executive, Office of the County Attorney, Department of Management and Budget, and Department of Administration for Human Services) recommended implementation of Self-Directed (SD) Services. SD services, offer an alternative model to traditional day support and employment services and provide adults with intellectual disabilities and their families (including recent graduates from local public and private school special education programs) the opportunity to self-direct day support or employment services to maximize self-determination, enhance personalized service delivery, promote greater community involvement, and reduce service costs. Initiation of SD Services began in FY 2008 via the Individualized Purchase of Service contracts for two consumers.

In FY 2010, five families contracted to receive SD services funding, purchasing 3,542 service hours. The annualized savings for these five contracts was $23,516, a 23 percent increase in savings from the contracts in effect in FY 2009. Since the SD Services program began three years ago, a total savings to the County of $50,038 has been realized. The second SD services program evaluation was conducted in July 2010. At that time, families responded to questions about program information, contract development and renewal, contract management, financial management, service management, quality of life, and overall satisfaction with the SD services program. Responses were very positive and suggestions were utilized to improve the program. One hundred percent of survey respondents strongly agreed that "Self-Directed Services enables our family member, and our family, to attain or maintain a personally desired and valued quality of life." Consequently, the continued availability of SD services in FY 2012 and beyond is anticipated.

Working with Fairfax County Public Schools, IDS has determined that there will be 92 special education students with intellectual disabilities in June 2011 who will require day support or employment services. IDS will continue to maximize the provision of services through a combination of new Medicaid Waiver slot allocations, program attrition, efficient use of existing funding, maximization of CEP and SD services, and continuation of management initiatives.

## Budget and Staff Resources

**Agency Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 104/ 104 | 104/ 104 | 122/ 122 | 122/ 122 |
| Grant | 50/ 50 | 50/ 50 | 51/ 51 | 51/ 51 |
| Expenditures: | | | | |
| Personnel Services | $12,890,583 | $13,372,415 | $13,484,923 | $13,617,120 |
| Operating Expenses | 22,773,099 | 24,081,795 | 24,839,419 | 24,281,438 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Subtotal** | **$35,663,682** | **$37,454,210** | **$38,324,342** | **$37,898,558** |
| Less: | | | | |
| Recovered Costs | $0 | $0 | $0 | $0 |
| **Total Expenditures** | **$35,663,682** | **$37,454,210** | **$38,324,342** | **$37,898,558** |
| Revenue: | | | | |
| Fairfax County | $29,039,949 | $30,296,630 | $30,296,630 | $30,657,123 |
| Fairfax City | 509,234 | 509,234 | 509,234 | 509,234 |
| Falls Church City | 194,817 | 194,817 | 194,817 | 194,817 |
| State DBHDS | 531 | 0 | 0 | 0 |
| Medicaid Waiver | 2,547,458 | 2,176,359 | 2,215,793 | 2,260,214 |
| Medicaid Option | 2,984,097 | 3,677,934 | 3,751,008 | 3,677,934 |
| Program/Client Fees | 629,596 | 599,236 | 599,236 | 599,236 |
| Fund Balance | (242,000) | 0 | 757,624 | 0 |
| **Total Revenue** | **$35,663,682** | **$37,454,210** | **$38,324,342** | **$37,898,558** |

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.
- **Fringe Benefit Requirement** **$160,850**
  A net increase of $160,850 is associated with the conversion of positions to a status that allows employees the option of receiving health benefits. The conversion offers employees the option of receiving benefits, and ensures that the County remains in compliance with recently altered federal health care regulations. Additional information regarding the conversion of positions to Merit Regular status is included in the Changes to FY 2011 Adopted Budget Plan section that follows.

- **Contract Rate Adjustment** **$617,318**
  An increase of $617,318 in Operating Expenses is associated with a 3 percent contract rate adjustment for providers of contracted intellectual disability services.

- **Miscellaneous Adjustments** **($33,820)**
  A decrease of $33,820 is associated with necessary grant and non-grant adjustments.

- **Reduction** **($300,000)**
  A decrease of $300,000 reflects the following reduction utilized to balance the FY 2012 budget:

| Title | Impact | Posn | SYE | Reduction |
|---|---|---|---|---|
| Reduce Operating Expenses | A decrease of $300,000 is associated with a reduction in the purchase of IDS contracted day support and supported employment services. | 0 | 0.0 | $300,000 |

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$870,132**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $870,132, comprised of $112,508 in Personnel Services and $757,624 in Operating Expenses. This includes $757,624 in encumbered carryover, $73,074 for a new grant award for Medicaid case management, and $39,434 in adjustments to current grants.

- **Position Changes** **$0**
  As part of the FY 2011 review of County position categories, a conversion of 18/18.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review, a number of existing limited term positions have been converted to Merit Regular status.

# Fund 106
# CSB – Intellectual Disability Services

## Program Management and Supervision

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 15/ 15 | 15/ 15 | 15/ 15 | 15/ 15 |
| **Total Expenditures** | **$1,630,805** | **$1,778,186** | **$1,778,687** | **$1,778,612** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| 1 | Director of ID Programs | 1 | ID Specialist III | 1 | Behavioral Nurse Clinician/Case Manager |
| 3 | ID Specialists V | 2 | ID Specialists II | 1 | Administrative Assistant IV |
| 1 | ID Specialist IV | 1 | Management Analyst III | 4 | Administrative Assistants II |
| **TOTAL POSITIONS**<br>**15 Positions / 15.0 Staff Years** | | | | | |

## Key Performance Measures

### Objectives

- To provide direction and management support to Intellectual Disability programs so that 88 percent of service quality and outcome goals are achieved.

| Indicator | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| | FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | FY 2011 | FY 2012 |
| **Outcome:** | | | | | |
| Percent of intellectual disability program performance indicators (service quality and outcome) achieved | 100% | 75% | 88% / 100% | 88% | 88% |

## Performance Measurement Results

In FY 2010, nine out of nine or 100 percent of Intellectual Disability Services' (IDS) service quality and outcome goals were met or exceeded. Overall, these results indicate that ID services are operating effectively and meeting the needs of people receiving services.

## Support Coordination Services

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 11/ 11 | 11/ 11 | 11/ 11 | 11/ 11 |
| Grant | 44/ 44 | 44/ 44 | 45/ 45 | 45/ 45 |
| **Total Expenditures** | **$4,122,916** | **$4,557,152** | **$4,630,316** | **$4,568,286** |

# Fund 106
# CSB – Intellectual Disability Services

| Position Summary | | | |
|---|---|---|---|
| 1 | ID Specialist V | 1 | Management Analyst I |
| 2 | ID Specialists IV | 1 | Business Analyst II |
| 6 | ID Specialists III | | |
| | **Grant Positions** | | |
| 2 | ID Specialists III | 2 | ID Specialists I |
| 41 | ID Specialists II | | |

**TOTAL POSITIONS**
**11 Positions / 11.0 Staff Years**
**45 Grant Positions / 45.0 Staff Years**

## Key Performance Measures

### Goal

To provide service coordination and behavior management consultations to individuals with intellectual disabilities to maximize their independence in the community.

### Objectives

- To support individuals' self-sufficiency in the community by ensuring that clients receiving Targeted Support Coordination Services meet at least 95 percent of their individual service plan objectives.

| Indicator | Prior Year Actuals: FY 2008 Actual | Prior Year Actuals: FY 2009 Actual | Prior Year Actuals: FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Targeted Support Coordination - Individuals served | 1,273 | 1,298 | 1,300 / 1,322 | 1,300 | 1,300 |
| **Efficiency:** | | | | | |
| Targeted Support Coordination - Cost per individual served | $2,920 | $3,071 | $3,203 / $3,119 | $3,223 | $3,332 |
| **Service Quality:** | | | | | |
| Targeted Support Coordination - Percent of individuals satisfied with services | 92% | 93% | 90% / 92% | 90% | 90% |
| **Outcome:** | | | | | |
| Targeted Support Coordination - Percent of individual case management service plan objectives met | 98% | 97% | 95% / 98% | 95% | 95% |

## Performance Measurement Results

In FY 2010, 92 percent of individuals surveyed were satisfied with Support Coordination Services, as compared to a goal of 90 percent. While a slight decrease from the previous year, this result is continued evidence that Support Coordinators consistently exceed targeted satisfaction levels despite more complicated and increased caseloads. Ninety-eight percent of individual service plan objectives were achieved versus a goal of 95 percent. In addition, the FY 2010 number of individuals receiving targeted Support Coordination Services increased by almost 2 percent above FY 2009 levels. These results, coupled with consistently strong performance on Medicaid audit reviews, reflect strong training and adherence to best practices among the IDS Support Coordination staff.

The annual cost per individual served was $3,119, 2.6 percent lower than the originally projected amount of $3,203. This change also represents a 1.6 percent increase above the $3,071 annual cost per individual receiving targeted Support Coordination amount incurred in FY 2009, and is reflective of increased spending for assistive technology and environmental modification purchases for Medicaid recipients. These purchases are coordinated by Support Coordination Services, and the associated costs are offset by increased Medicaid Waiver revenue collections.

## Residential Services

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 71/ 71 | 71/ 71 | 88/ 88 | 88/ 88 |
| Grant | 6/ 6 | 6/ 6 | 6/ 6 | 6/ 6 |
| **Total Expenditures** | **$15,174,808** | **$15,818,158** | **$15,881,958** | **$16,280,723** |

**Position Summary**

| | Group Homes | | Supervised Apartments |
|---|---|---|---|
| 1 | ID Specialist IV | 3 | ID Specialists I |
| 3 | ID Specialists III | 1 | ID Specialist II |
| 11 | ID Specialists II | | |
| 56 | ID Specialists I | | |
| 6 | Overnight Residential Attendants | | |
| 7 | Substitute Relief Counselors | | |
| | **Grant Positions** | | |
| 6 | ID Specialists I | | |

**TOTAL POSITIONS**
**88 Positions / 88.0 Staff Years**
**6 Grant Positions / 6.0 Staff Years**

## Key Performance Measures

### Goal

To provide residential services to individuals with intellectual disabilities to maximize their independence in the community.

### Objectives

- To achieve a level of at least 92 percent of individuals who are able to remain living in group homes rather than more restrictive settings.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Group Homes - Individuals served | 314 | 317 | 305 / 318 | 305 | 305 |
| **Efficiency:** | | | | | |
| Group Homes - Cost per client served | $35,749 | $37,603 | $39,585 / $37,431 | $38,317 | $39,223 |
| **Service Quality:** | | | | | |
| Group Homes - Percent of individuals who are satisfied with support services | 92% | 98% | 90% / 99% | 90% | 90% |

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Outcome:** | | | | | |
| Group Homes - Percent of individuals living in group homes who maintain their current level of service | 99% | 100% | 92% / 100% | 92% | 92% |

## Performance Measurement Results

In a survey of individuals receiving residential services, 99 percent reported satisfaction with support services, exceeding the FY 2010 goal of 90 percent and the FY 2009 actual of 98 percent. In FY 2010, 100 percent of individuals living in group homes were able to maintain their current level of service despite the fact that those served were more medically and behaviorally challenging. Efforts also continue to occur that support individuals living in their own homes.

Overall, 318 individuals were served in group homes in FY 2010 which is 4.3 percent more than the projected total of 305 individuals. The average FY 2010 cost to the County per client served in group homes actually decreased by 0.5 percent to $37,431, reflecting efficiencies implemented in recent years in both directly-operated and contracted residential settings and higher than anticipated numbers of individuals served.

## Day Support

**Funding Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 7/ 7 | 7/ 7 | 8/ 8 | 8/ 8 |
| **Total Expenditures** | **$14,735,153** | **$15,300,714** | **$16,033,381** | **$15,270,937** |

**Position Summary**

| | |
|---|---|
| 1 | ID Specialist IV |
| 7 | ID Specialists II |

**TOTAL POSITIONS**
**8 Positions / 8.0 Staff Years**

## Key Performance Measures

### Goal

To maximize self-sufficiency and independence for individuals with intellectual disabilities.

### Objectives

- To achieve an annual increase of at least 1 percent in average wage earnings reported for individuals in Supported Employment services (both individual and group-based programs).

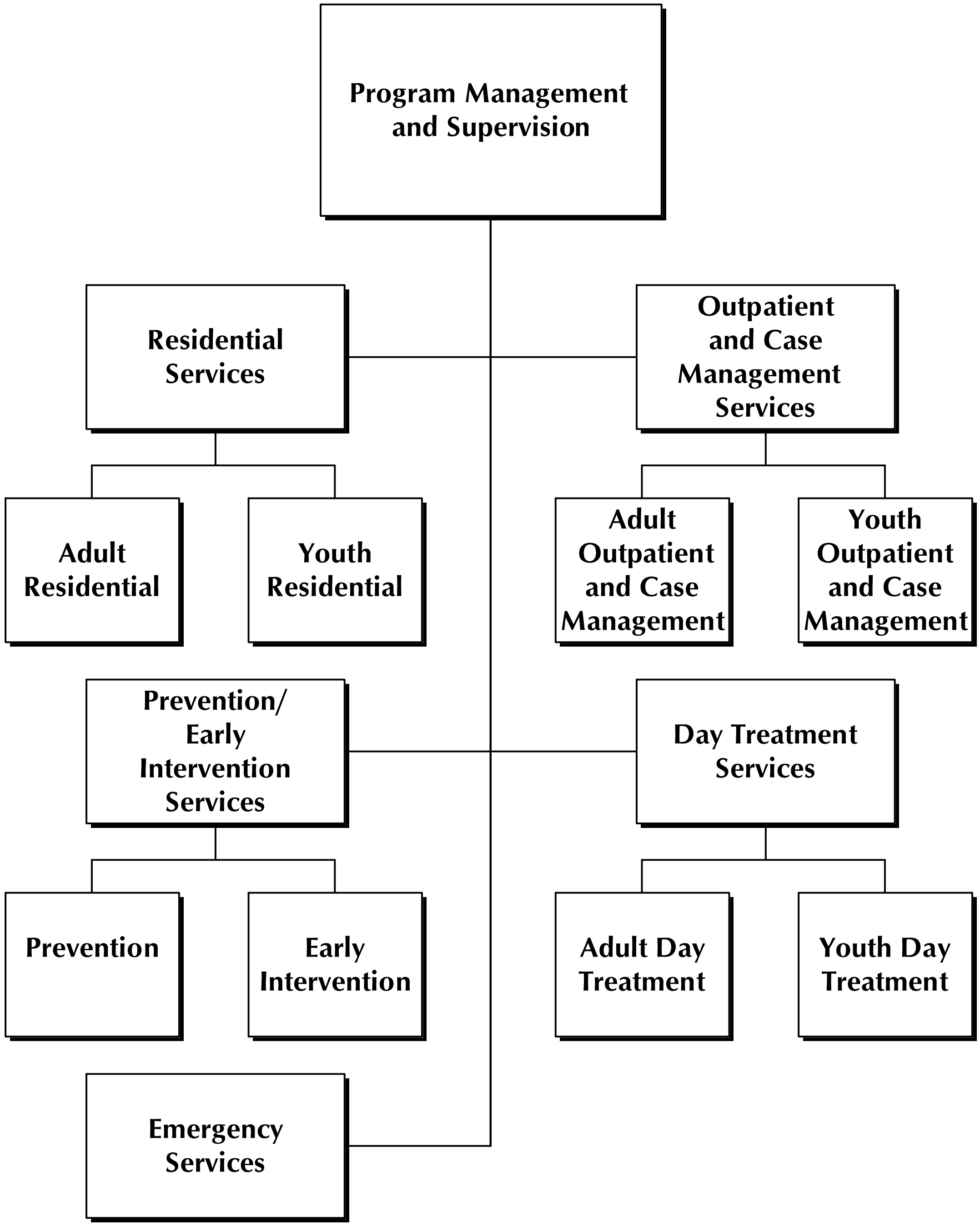
| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Day Support - Total individuals served | 1,218 | 1,251 | 1,333 / 1,190 | 1,280 | 1,350 |
| Day Support - Non-Medicaid eligible individuals served | 687 | 703 | 700 / 641 | 681 | 720 |
| Supported Employment - Non-Medicaid eligible individuals served | 479 | 494 | 504 / 577 | 637 | 682 |
| **Efficiency:** | | | | | |
| Day Support - Cost per individual served with local funds | $18,703 | $17,536 | $18,143 / $19,426 | $19,866 | $20,317 |
| Supported Employment - Cost per individual served with local funds | $11,394 | $11,394 | $11,583 / $9,466 | $11,394 | $11,394 |
| **Service Quality:** | | | | | |
| Day Support - Percent of individuals satisfied with services | 94% | 96% | 90% / 97% | 90% | 90% |
| **Outcome:** | | | | | |
| Supported Employment - Average wages reported by individuals in group-based programs | $6,012 | $5,742 | $5,742 / $6,190 | $6,252 | $6,314 |
| Supported Employment - Average wages reported by individuals in individual-based programs | $16,519 | $15,925 | $15,925 / $16,772 | $16,940 | $17,109 |
| Supported Employment - Percent change in average wages reported by individuals in all programs | 8.40% | (1.90%) | 1.00% / 6.50% | 1.00% | 1.00% |

## Performance Measurement Results

According to an annual survey, in FY 2010, 97 percent of individuals receiving day support services reported satisfaction, exceeding the goal of 90 percent. Total wages earned by the 374 people surveyed who received group supported employment services in FY 2010 was $2,314,911, for average annual earnings of $6,190. This FY 2010 average wage total was $448 or 7.8 percent higher than this group's FY 2009 average wage total of $5,742. Total wages earned by the 201 people surveyed who received individual employment services in FY 2010 were $3,371,075, for average annual wage earnings of $16,772. This group's average annual wage earnings were $847 or 5.3 percent higher than their FY 2009 average earnings of $15,925. Overall, in FY 2010, the percentage change in average wages reported by individuals in all contracted supported employment programs was an increase of 6.5 percent versus a target of a 1.0 percent increase. This positive result is outstanding within the context of a struggling national economy, and illustrates the strength of IDS' supported employment programs, private partners, and supported employment participants.

The FY 2010 total of 641 non-Medicaid individuals receiving day support is a significant decrease from the FY 2009 total of 703. It is also lower than the originally projected number of 700 due to higher than anticipated attrition rates and continued prioritization of Medicaid-funded services in FY 2010. The cost per individual served with local funds for Day Support was $19,426 in FY 2010, a 10.8 percent increase from the FY 2009 amount and 7.1 percent above the FY 2010 estimate. This increase is attributable to the contract rate adjustments in FY 2010, and higher expenses for private providers in the following areas: direct-care personnel; increased medical, behavioral and accessibility needs for aging consumers; higher insurance premiums; and, necessary provisions for severe weather and emergency management. The cost per individual served with local funds for Supported Employment was $9,466 in FY 2010, which represents a 16.9 percent decrease from the FY 2009 cost, and is 18.3 percent below the FY 2010 estimate of $11,583. These estimates are determined up to two years ahead of time based on estimated state and local funding, number of projected consumers, expected program attrition, and foreseeable contract rate adjustments. Since these variables are continuously changing, the cost per individual for IDS Day Support services is difficult to accurately project.

# Fund 106
# CSB - Alcohol and Drug Services



# Fund 106
# CSB - Alcohol and Drug Services

## Mission
To reduce the incidence and prevalence of alcohol and drug abuse in Fairfax County and in the cities of Fairfax and Falls Church by providing prevention, treatment and rehabilitation services to individuals and their families who abuse and/or are addicted to alcohol and drugs.

## Focus
Alcohol and Drug Services (ADS) provides substance abuse prevention, early intervention and treatment services to residents of Fairfax County and the cities of Fairfax and Falls Church. Services are provided through directly-operated programs and contractual providers through six cost centers: Program Management and Supervision, Residential, Outpatient and Case Management, Prevention/Early Intervention, Day Treatment and Emergency Services.

**Program Management and Supervision**
Program Management and Supervision provides leadership in the management of services and staff; planning and development of programs; evaluation; quality assurance; and resource allocation of local, state, federal and grant funds. This cost center also provides volunteer support services and administrative support.

**Residential Services**
Residential Services provides comprehensive services to include individual, group and family therapy; medication management and case management. Residential treatment settings are matched to the level of care needed by adolescent and adult clients. Treatment services include detoxification, intermediate and long term treatment, supervised apartment programming, supported living services and aftercare services. Specialized care is provided for clients with co-occurring substance use disorders, mental illness, pregnant and post-partum women, persons whose primary language is Spanish and persons who are homeless.

**Outpatient and Case Management Services**
Outpatient and Case Management Services provides case management and individual, group and family counseling for adult and adolescent clients, with specialized care for the dually diagnosed, pregnant and post-partum women, those whose primary language is Spanish and those with HIV/AIDS. Psychiatric consultations to assist in treatment, planning and case management are provided. The Fairfax Adult Detention Center provides services that include court-ordered assessments, evaluations, referral to community treatment, and direct services within the jail. Services are provided through the Intensive Addictions Program and the True Freedom Program, which are designed for persons who have a co-occurring disorder. Education groups are also provided in English and Spanish. Psychiatric treatment and medication management are provided as needed through the psychiatrist assigned to the jail. The Juvenile Forensics Program provides evaluation and intervention services to youth in the Juvenile Detention Center.

In FY 2007, Adult Outpatient Services established a 16 session treatment track for both English and Spanish speaking consumers. Consumers who are assessed as appropriate for this treatment component have the option of attending once a week for 16 weeks or twice a week for eight weeks, which allows individuals to receive treatment with minimal disruptions to personal and professional obligations. In Youth Outpatient Services, after a data analysis of consumer choices and needs, a "10 week-twice a week," treatment program was established with additional services available for a longer time period. The intake process was streamlined and work was done with referral sources to facilitate appropriate referrals to services.

**Prevention/Early Intervention Services**
Prevention/Early Intervention Services seeks to reduce the incidence of substance abuse and other risky behaviors before they become more serious issues. Services include education, consultation, training, screening and referral services, as well as specialized programming to at-risk and high-risk populations. Services are usually offered in community settings and reach those that would not usually seek or access services in traditional manners. Prevention/Early Intervention staff plays a vital role in increasing public knowledge about substance abuse awareness and available resources.

Prevention Services directly implements and trains community partners in the facilitation of the Parents Raising Safe Kids (PRSK) program. PRSK is a violence prevention project that focuses on adults who raise, care for, and teach children ages 0 to 8 years. It is designed to prevent violence by providing young children with positive role models and environments that teach nonviolent problem-solving. In FY 2011, Prevention/Early Intervention Services is also continuing to implement the Substance Abuse and Mental Health Administration (SAMHSA) model program, Too Good For Drugs (TGFD). This multi-week program uses interactive teaching methods and is designed to develop five essential life skills: (1) goal setting; (2) decision making; (3) bonding with pro-social others; (4) identifying and managing emotions; and (5) communicating effectively.

Prevention Services continues to facilitate the Girl Power (GP) program directly. Prevention Services also builds capacity by providing GP training to community partners and ongoing technical assistance for replication and expansion of this service. Prevention/Early Intervention staff plays a vital role in increasing public awareness of evidenced-based practices, substance abuse prevention and mental health promotion.

Prevention/Early Intervention Services provides the Leadership and Resiliency Program (LRP) and the Student Assistance Program (SAP), which are intensive, school-based programs. LRP is a CSB-developed SAMHSA model substance abuse and violence prevention program for high school students. Nearly 100 jurisdictions nationally and in Canada have purchased LRP licenses, materials, and training to replicate the program. Revenue is used to help fund local prevention services. SAP is an alcohol and drug screening, assessment, and early intervention program serving adolescents and their families. This year, the SAP intervention model was adjusted to facilitate more direct and timely interventions at schools. It is planned that this will result in more referrals for those who desire treatment services. The plan approved by the Board of Supervisors is for both programs to be implemented in all 28 Fairfax County public high schools in the future, as funding permits. In FY 2011, LRP is in 11 high schools and SAP is in 15 high schools.

**Day Treatment Services**
Day Treatment Services provides daily intensive case management, individual, group and family counseling to substance abusing adults and adolescents who need more intensive services than the standard outpatient treatment services. Psychiatric consultation to assist in treatment planning and case management is provided. Adolescents served in the Day Treatment Program and Juvenile Detention Center also receive their school services from Fairfax County Public Schools on-site at their treatment program.

In FY 2012, Adult Day Treatment Services will continue a contract with the Virginia Department of Corrections, Department of Parole and Probation to provide relapse prevention services for offenders in need of such specialized service.

**Emergency Services**
Emergency Services provide crisis intervention, assessment, evaluation, case management and emergency substance abuse services for all adult Alcohol and Drug Services programs, and provides referrals to private treatment programs when needed. Specialized services are offered to those whose primary language is Spanish and those clients with co-occurring substance use disorders and mental illness.

The individuals served throughout these programs include pregnant women, those diagnosed with HIV/AIDS, individuals needing intensive residential treatment services and high-risk youth. These services help the individuals recover from abuse and addiction, increase positive outcomes in pregnancy, reduce homelessness, increase work/school/social productivity, reduce criminal justice involvement and reunite families.

Service provision begins in the call center where Fairfax/Falls Church residents contact the call center for assistance with alcohol and drug, mental health and intellectual disability issues. Staff conducts a comprehensive screening and makes an assessment appointment or provides linkage to a community provider for the caller.

# Fund 106
# CSB - Alcohol and Drug Services

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 294/ 292 | 291/ 289 | 296/ 294.25 | 296/ 294.25 |
| Grant | 14/ 14 | 14/ 14 | 15/ 15 | 15/ 15 |
| Expenditures: | | | | |
| Personnel Services | $23,699,980 | $25,034,754 | $25,458,357 | $24,462,818 |
| Operating Expenses | 5,047,504 | 4,314,142 | 5,209,900 | 4,776,589 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Subtotal** | **$28,747,484** | **$29,348,896** | **$30,668,257** | **$29,239,407** |
| Less: | | | | |
| Recovered Costs | ($361,881) | ($50,000) | ($50,000) | ($50,000) |
| **Total Expenditures** | **$28,385,603** | **$29,298,896** | **$30,618,257** | **$29,189,407** |
| Revenue: | | | | |
| Fairfax County | $20,655,731 | $20,057,450 | $20,057,450 | $19,783,687 |
| Fairfax City | 123,261 | 123,261 | 123,261 | 123,261 |
| Falls Church City | 118,355 | 118,355 | 118,355 | 118,355 |
| State DBHDS | 3,572,214 | 3,566,914 | 3,569,564 | 3,566,914 |
| State Other | 122,330 | 197,839 | 197,839 | 207,397 |
| Federal Block Grant | 3,320,517 | 3,279,121 | 3,289,869 | 3,279,121 |
| Federal Other | 827,918 | 434,739 | 913,455 | 834,739 |
| Federal ARRA | 246,648 | 0 | 302,044 | 0 |
| Medicaid Option | 32,673 | 112,000 | 192,497 | 112,000 |
| Program/Client Fees | 603,678 | 1,013,933 | 1,013,933 | 1,163,933 |
| CSA Pooled Funds | 0 | 295,784 | 295,784 | 0 |
| Miscellaneous | 99,500 | 99,500 | 99,500 | 0 |
| Fund Balance | (1,337,222) | 0 | 444,706 | 0 |
| **Total Revenue** | **$28,385,603** | **$29,298,896** | **$30,618,257** | **$29,189,407** |

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

♦ **Employee Compensation** **$0**
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

♦ **Fringe Benefits Requirement** **$73,507**
A net increase of $73,507 is associated with the conversion of positions to a status that allows employees the option of receiving health benefits. The conversion offers employees the option of receiving benefits, and ensures that the County remains in compliance with recently altered federal health care regulations. Additional information regarding the conversion of positions to Merit Regular status is included in the Changes to FY 2011 Adopted Budget Plan section that follows.

- **Supplemental Pay Increase for Public Health Psychiatrists and Doctors** **$31,610**
  A net increase of $31,610 is associated with a supplemental pay increase necessary to attract, and retain, medical personnel essential to the mission of ADS, based on analysis conducted by the Department of Human Resources.

- **Contract Rate Adjustment** **$22,659**
  An increase of $22,659 in Operating Expenses is associated with a 3 percent contract rate adjustment for providers of contracted alcohol and drug services.

- **Reductions** **$0**
  It should be noted that no reductions to balance the FY 2012 budget are included in this agency based on the limited ability to generate additional personnel savings.

- **Miscellaneous Adjustments** **($237,265)**
  A decrease of $237,265 is associated with necessary grant and non-grant adjustments.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$1,319,361**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $1,319,361, comprised of $423,603 in Personnel Services and $895,758 in Operating Expenses. This includes $780,427 in unexpended grant balances that carried forward; $445,039 in encumbered carryover; $80,497 for a new grant award associated with the Cornerstones residential treatment program; and $13,398 in non-grant adjustments of which $10,748 remained unspent from a federal Co-Occurring Residential federal block grant project and $2,650 remained unspent from Project Link authorized by the State.

- **Position Changes** **$0**
  As part of the FY 2011 review of County position categories, a conversion of 6/6.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review, a number of existing limited term positions have been converted to Merit Regular status.

## Program Management and Supervision

**Funding Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 31/ 31 | 31/ 31 | 31/ 31 | 31/ 31 |
| **Total Expenditures** | **$2,846,173** | **$2,990,112** | **$3,025,265** | **$3,237,659** |

# Fund 106
# CSB - Alcohol and Drug Services

| Position Summary | | | |
|---|---|---|---|
| | **Program Management & Supervision** | | **Office Support** |
| 1 | Director, Alcohol and Drug Programs | 1 | Administrative Associate |
| 5 | Substance Abuse Counselors V | 5 | Administrative Assistants IV |
| 1 | Substance Abuse Counselor IV | 14 | Administrative Assistants III |
| 1 | Business Analyst II | 2 | SAS Aides |
| 1 | Volunteer Services Program Manager | | |

**TOTAL POSITIONS**
**31 Positions / 31.0 Staff Years**

## Key Performance Measures

### Goal

To provide program management, quality assurance, evaluation, administrative support and volunteer support services for the agency's alcohol and substance abuse treatment programs.

### Objectives

- ♦ To provide direction and management support to Alcohol and Drug Services (ADS) programs so that 80 percent of service quality and outcome goals are achieved.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Outcome:** | | | | | |
| Percent of ADS program performance indicators (service quality and outcome) achieved | 88.0% | 93.7% | 80.0% / 85.7% | 80.0% | 80.0% |

## Performance Measurement Results

In FY 2010, 12 out of 14 or 85.7 percent of service quality and outcome measures were met or exceeded by Alcohol and Drug Services (ADS) thereby exceeding the target of 80 percent. The performance measures are designed to measure service satisfaction, access to services, consumer service delivery, consumer productivity in school and/or work, and reduction of illegal substance use. ADS will use the results of the FY 2010 performance measures to engage in continuous quality improvement activities throughout FY 2011.

## Residential Services

| Funding Summary | | | | |
|---|---|---|---|---|
| **Category** | **FY 2010 Actual** | **FY 2011 Adopted Budget Plan** | **FY 2011 Revised Budget Plan** | **FY 2012 Advertised Budget Plan** |
| Authorized Positions/Staff Years | | | | |
| Regular | 131/ 130 | 131/ 130 | 136/ 135 | 136/ 135 |
| Grant | 7/ 7 | 7/ 7 | 8/ 8 | 8/ 8 |
| **Total Expenditures** | **$12,516,997** | **$12,353,332** | **$13,323,047** | **$12,536,322** |

## Position Summary

**Social Detoxification**

| | |
|---|---|
| 1 | Public Health Doctor, PT |
| 1 | Behavioral Nurse Supervisor |
| 4 | Behavioral Nurse Clinicians/Case Managers |
| 1 | Nurse Practitioner/Physician Assistant |
| 1 | Substance Abuse Counselor IV |
| 2 | Substance Abuse Counselors III |
| 7 | Substance Abuse Counselors II |
| 7 | Substance Abuse Counselors I |
| 1 | SAS Aide |
| 1 | Psychiatrist |

**Steps to Recovery**

| | |
|---|---|
| 1 | Substance Abuse Counselor III |
| 3 | Substance Abuse Counselors II |
| 1 | Substance Abuse Counselor I |

**Dual Diagnosis Facility - Cornerstones**

| | |
|---|---|
| 1 | Substance Abuse Counselor IV |
| 1 | Substance Abuse Counselor III |
| 3 | Substance Abuse Counselors II |
| 1 | Substance Abuse Counselor I |
| 1 | Food Service Supervisor |
| 1 | Cook |
| 1 | SAS Aide |
| 1 | Licensed Practical Nurse |
| 1 | Assistant Residential Counselor |

**Long-Term Rehabilitation - Crossroads**

| | |
|---|---|
| 1 | Substance Abuse Counselor IV |
| 3 | Substance Abuse Counselors III |
| 10 | Substance Abuse Counselors II |
| 3 | Substance Abuse Counselors I |
| 1 | Assistant Residential Counselor |
| 1 | Behavioral Nurse Clinician/Case Manager |
| 2 | Licensed Practical Nurses |
| 1 | Administrative Assistant V |
| 1 | Food Service Supervisor |
| 2 | SAS Aides |

**Supported Living**

| | |
|---|---|
| 1 | Substance Abuse Counselor IV |
| 2 | Substance Abuse Counselors III |
| 6 | Substance Abuse Counselors II |

**Long-Term Rehabilitation - New Generations**

| | |
|---|---|
| 1 | Behavioral Nurse Supervisor |
| 1 | Substance Abuse Counselor IV |
| 1 | Substance Abuse Counselor III |
| 1 | Substance Abuse Counselor II |
| 4 | Substance Abuse Counselors I |
| 2 | Day Care Center Teachers I, 1 PT |
| 1 | SAS Aide |

**Intermediate Rehabilitation - A New Beginning**

| | |
|---|---|
| 1 | Behavioral Nurse Clinician/Case Manager |
| 1 | Substance Abuse Counselor IV |
| 3 | Substance Abuse Counselors III |
| 6 | Substance Abuse Counselors II |
| 6 | Substance Abuse Counselors I |
| 1 | Food Service Supervisor |
| 4 | Cooks |
| 1 | Administrative Assistant V |
| 1 | SAS Aide |

**Long-Term Rehabilitation - Crossroads Youth**

| | |
|---|---|
| 1 | Substance Abuse Counselor IV |
| 3 | Substance Abuse Counselors III |
| 9 | Substance Abuse Counselors II |
| 7 | Substance Abuse Counselors I |
| 2 | Assistant Residential Counselors |
| 2 | Licensed Practical Nurses |
| 1 | Exempt Psychiatrist |

**Grant Positions**

**Crossroads-HIDTA**

| | |
|---|---|
| 1 | Substance Abuse Counselor III |
| 2 | Substance Abuse Counselors II |

**Steps to Recovery – HUD**

| | |
|---|---|
| 1 | Substance Abuse Counselor II |
| 2 | Substance Abuse Counselors I |

**New Generations – HUD**

| | |
|---|---|
| 1 | Substance Abuse Counselor II |

**Dual Diagnosis Facility - Cornerstones**

| | |
|---|---|
| 1 | Senior Clinician |

**TOTAL POSITIONS**
**136 Positions/ 135.0 Staff Years**
**8 Grant Positions / 8.0 Staff Years**

**PT Denotes Part-Time Position**

## Key Performance Measures

### Goal

To provide detoxification services, intermediate and long-term residential substance abuse treatment services for adults, adolescents, pregnant women and mothers with infant children in order to improve their overall functioning in the community.

### Objectives

- To provide substance abuse treatment to clients in the Crossroads program so that 90 percent of client receiving at least 90 days of treatment have increased functioning in the community as evidenced by reduction in use of illegal drugs.

- To provide substance abuse treatment to clients in the Crossroads program so that 80 percent of client receiving at least 90 days or more of treatment will have no new criminal convictions at follow-up after leaving treatment.

- To provide substance abuse treatment to clients in the Crossroads program so that 80 percent of clients receiving at least 90 days of treatment are either employed or in school upon leaving the program.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Crossroads - Clients served | 120 | 135 | 125 / 125 | 156 | 156 |
| **Efficiency:** | | | | | |
| Crossroads - Cost per client | $8,757 | $9,368 | $12,425 / $9,135 | $10,770 | $9,841 |
| **Service Quality:** | | | | | |
| Crossroads - Percent of clients satisfied with services | 95% | 98% | 90% / 96% | 90% | 90% |
| **Outcome:** | | | | | |
| Crossroads - Percent of clients showing reduction in drug use when leaving the program. | NA | NA | NA | 90% | 90% |
| Crossroads - Percent of clients showing reduction in criminal behavior | NA | NA | NA | 80% | 80% |
| Crossroads - Percent of clients participating in at least 90 days of treatment who are either employed or in school upon leaving the program | 76% | 82% | 80% / 80% | NA | NA |

## Performance Measurement Results

In FY 2010, the targets for Crossroads' service quality and outcome measures were met or exceeded. During this period, the CSB researched ways to reduce the length of stay while maintaining the appropriate amount of treatment needed for recovery.

## Outpatient and Case Management Services

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 56/ 56 | 56/ 56 | 57/ 57 | 57/ 57 |
| Grant | 4/ 4 | 4/ 4 | 4/ 4 | 4/ 4 |
| **Total Expenditures** | **$6,524,005** | **$6,440,815** | **$6,472,525** | **$6,444,861** |

# Fund 106
# CSB - Alcohol and Drug Services

## Position Summary

| | Adult Outpatient | | Youth Outpatient | | Community Corrections |
|---|---|---|---|---|---|
| 3 | Senior Clinicians | 6 | Senior Clinicians | 1 | Substance Abuse Counselor V |
| 3 | Substance Abuse Counselors IV | 2 | Substance Abuse Counselors IV | 1 | Substance Abuse Counselor III |
| 4 | Substance Abuse Counselors III | 4 | Substance Abuse Counselors III | 3 | Substance Abuse Counselors II |
| 18 | Substance Abuse Counselors II | 11 | Substance Abuse Counselors II | | |
| 1 | Cook | | | | |

**Grant Positions**

| | Community Connections |
|---|---|
| 2 | Substance Abuse Counselors II |
| 1 | Mental Health Therapist |
| 1 | Mental Health Supervisor/Specialist |

**TOTAL POSITIONS**
**57 Positions / 57.0 Staff Years**
**4 Grant Positions / 4.0 Staff Years**

## Key Performance Measures

### Goal
To provide outpatient and case management services that allow people to continue functioning and being productive in their homes, workplace, schools and neighborhoods while receiving treatment.

### Objectives
- To improve the employment and/or school status for 80 percent of adults who participate in at least 30 days of outpatient treatment.
- To improve the employment and/or school status for 90 percent of youth who participate in at least 30 days of outpatient treatment.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Adult Outpatient - Clients served | 1,605 | 1,842 | 1,630 / 1,649 | 1,630 | 1,700 |
| Youth Outpatient - Clients served | 674 | 478 | 665 / 461 | 499 | 499 |
| **Efficiency:** | | | | | |
| Adult Outpatient - Cost per client | $1,660 | $1,467 | $1,613 / $1,492 | $1,613 | $1,294 |
| Youth Outpatient - Cost per client | $2,944 | $4,236 | $3,082 / $4,264 | $4,166 | $3,992 |
| **Service Quality:** | | | | | |
| Adult Outpatient - Percent of clients satisfied with services | 93% | 90% | 90% / 90% | 90% | 90% |
| Youth Outpatient - Percent of clients satisfied with services | 90% | 93% | 90% / 90% | 90% | 90% |

# Fund 106
# CSB - Alcohol and Drug Services

| Indicator | Prior Year Actuals<br>FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate<br>FY 2011 | Future Estimate<br>FY 2012 |
|---|---|---|---|---|---|
| **Outcome:** | | | | | |
| Adult Outpatient - Percent of clients showing improvement in their employment and/or school status after 30 days of treatment | 83% | 80% | 80% / 80% | 80% | 80% |
| Youth Outpatient - Percent of clients showing improvement in their employment and/or school status after 30 days of treatment | 90% | 91% | 85% / 85% | 90% | 90% |

## Performance Measurement Results

FY 2010 Adult Outpatient served 1,649 individuals, exceeding the goal by 19 additional clients, and met the efficiency goal, resulting in decreased costs per client. The outcome measures for client satisfaction and goals for obtaining employment or entering school after 30 days of treatment were also met.

In FY 2010, Youth Outpatient served 461 consumers or 69 percent of the target projection. As noted in previous reports, youth required more treatment days than anticipated, which caused a decrease in the number served. Projections for future years have been adjusted accordingly.

## Prevention/Early Intervention Services

**Funding Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 33/ 33 | 33/ 33 | 32/ 32 | 32/ 32 |
| **Total Expenditures** | **$2,709,818** | **$3,370,140** | **$3,407,183** | **$3,038,456** |

**Position Summary**

| | Alcohol & Drug Prevention | | Early Intervention |
|---|---|---|---|
| 1 | Substance Abuse Counselor IV | 2 | Substance Abuse Counselors IV |
| 3 | Substance Abuse Counselors III | 1 | Substance Abuse Counselor III |
| 11 | Substance Abuse Counselors II | 14 | Substance Abuse Counselors II |

**TOTAL POSITIONS**
**32 Positions / 32.0 Staff Years**

## Key Performance Measures

### Goal

To reduce the incidence of substance abuse, as well as provide community prevention, education, consultation, training and information to business, schools, service providers and residents in order to prevent subsequent alcohol and/or drug abuse.

### Objectives

- To increase knowledge of healthy lifestyles, substance abuse warning signs and available alcohol and drug abuse resources among 90 percent of participants in prevention education programs.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Units of service for prevention education services | 3,487 | 4,970 | 3,700 / 3,560 | 3,800 | 3,800 |
| **Service Quality:** | | | | | |
| Percent of clients satisfied with services | 91% | 92% | 90% / 90% | 90% | 90% |
| **Outcome:** | | | | | |
| Percent of participants with higher post-test scores after completion of prevention education programs | 85% | 87% | 90% / 88% | 90% | 90% |

## Performance Measurement Results

In FY 2010, Prevention Services provided 3,560 units of prevention education service, 140 less than the projected 3,700. This reduction was the result of two Substance Abuse Counselor positions being held vacant for six months of the fiscal year. Satisfaction results met the target of 90 percent, but those with higher post-test scores after completion of prevention education failed to meet the estimate by 2 percentage points.

## Day Treatment Services

**Funding Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 25/ 24.5 | 22/ 21.5 | 22/ 21.75 | 22/ 21.75 |
| Grant | 3/ 3 | 3/ 3 | 3/ 3 | 3/ 3 |
| **Total Expenditures** | **$2,227,973** | **$2,569,932** | **$2,795,022** | **$2,329,544** |

**Position Summary**

| Adult Day Treatment | Youth Day Treatment | Women's Day Treatment |
|---|---|---|
| 1 Substance Abuse Counselor III | 3 Senior Clinicians | 1 Substance Abuse Counselor III |
| 4 Substance Abuse Counselors II | 1 Substance Abuse Counselor III | 4 Substance Abuse Counselors II |
| | 6 Substance Abuse Counselors II | 1 Day Care Center Teacher I, PT |
| | 1 Clinical Psychologist | |

**Grant Positions**

1 Substance Abuse Counselor III
2 Substance Abuse Counselors II

**TOTAL POSITIONS**
**22 Positions 21.75 Staff Years**
**3 Grant Positions / 3.0 Staff Years**

**PT Denotes Part-Time Position**

## Key Performance Measures

### Goal

To provide intensive alcohol and drug day treatment services five days a week to keep people functional and productive in their homes, workplaces, schools and neighborhoods while receiving treatment.

## Objectives

- To improve the employment and/or school status for 80 percent of adults who participate in at least 90 days of day treatment services.

- To improve the employment and/or school status for 80 percent of youth who participate in at least 90 days of day treatment services.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Adult Day Treatment - Clients served | 150 | 147 | 140 / 120 | 140 | 145 |
| Youth Day Treatment - Clients served | 118 | 129 | 130 / 110 | 130 | 130 |
| **Efficiency:** | | | | | |
| Adult Day Treatment - Cost per client | $3,395 | $3,295 | $4,036 / $4,034 | $3,435 | $2,868 |
| Youth Day Treatment - Cost per client | $6,095 | $5,962 | $7,258 / $6,040 | $5,306 | $4,308 |
| **Service Quality:** | | | | | |
| Adult Day Treatment - Percent of clients satisfied with services | 90% | 80% | 80% / 80% | 80% | 80% |
| Youth Day Treatment - Percent of clients satisfied with services | 92% | 93% | 80% / 80% | 80% | 80% |
| **Outcome:** | | | | | |
| Adult Day Treatment - Percent of adults showing improvement in employment and/or school status after 90 days of treatment | 83% | 80% | 80% / 80% | 80% | 80% |
| Youth Day Treatment - Percent of youth showing improvement in employment and/or school status after 90 days of treatment | 85% | 89% | 85% / 85% | 80% | 80% |

## Performance Measurement Results

The FY 2010 performance measures for Adult Day Treatment were all met with the exception of the number of clients served which missed its estimate by 20 clients, but the cost to serve those clients was better than the estimate by $2. Client satisfaction and improvement of employment and/or school status, both met the 80 percent projection.

The FY 2010 performance measures for Youth Day Treatment were all met with the exception of the number served, which missed the projection by 20 clients, but the cost to serve those clients was better than the estimate by $1,218. Client satisfaction and improvement of employment and/or school status targets were met.

# Fund 106
# CSB - Alcohol and Drug Services

## Emergency Services

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 18/ 17.5 | 18/ 17.5 | 18/ 17.5 | 18/ 17.5 |
| **Total Expenditures** | **$1,560,637** | **$1,574,565** | **$1,595,215** | **$1,602,565** |

| Position Summary | | | |
|---|---|---|---|
| 2 | Senior Clinicians | 4 | Substance Abuse Counselors III |
| 1 | Substance Abuse Counselor IV | 11 | Substance Abuse Counselors II , 1 PT |

**TOTAL POSITIONS**
**18 Positions / 17.5 Staff Years** **PT Denotes Part-Time Position**

## Key Performance Measures

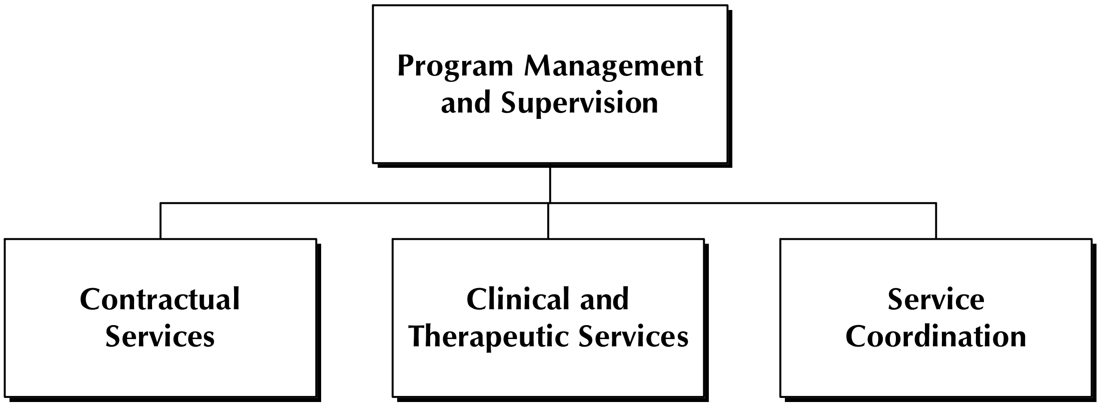
### Goal
To provide prompt responses to adult clients seeking crisis intervention, assessment, evaluation and/or emergency substance abuse services and provide centralized entry to all Alcohol and Drug Services programs, as well as referrals to private treatment programs when needed.

### Objectives
- To improve emergency crisis intervention and assessment services so that 85 percent of assessed clients receive the appropriate level of care based on American Society of Addiction Medicines (ASAM) criteria.

| | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| Indicator | FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | FY 2011 | FY 2012 |
| **Output:** | | | | | |
| Clients served | 2,265 | 2,020 | 2,100 / 2,010 | 2,150 | 2,150 |
| **Efficiency:** | | | | | |
| Cost per client | $359 | $395 | $391 / $444 | $428 | $430 |
| **Service Quality:** | | | | | |
| Percent of clients satisfied with services | 98% | 97% | 95% / 92% | 95% | 95% |
| **Outcome:** | | | | | |
| Percent of clients who access the appropriate level of care based on ASAM criteria | 85% | 85% | 85% / 85% | 85% | 85% |

## Performance Measurement Results
In FY 2010, 2,010 consumers were served or 4.3 percent less than the projected target. Fewer consumers were served due to the number of positions held vacant. The outcome measure of client satisfaction was 92 percent, slightly less than the projection of 95 percent satisfaction, but the outcome measure indicating the percent of clients who access the appropriate level of care was met at 85 percent.

# Fund 106
# CSB - Early Intervention Services




## Mission

To support and serve eligible children and their families in order to enhance their day to day activities, facilitate community integration, and promote their overall development. Early Intervention Services (also known as Infant and Toddler Connection or ITC) collaborates with community stakeholders to identify every infant and toddler having a developmental delay, a diagnosis with a high probability of delay, and/or atypical development in a timely manner. ITC staff has the expertise to incorporate and advance best practices in the provision of federally-mandated early intervention services and support.

## Focus

Early Intervention Services supports Infant and Toddler Connection (ITC), a statewide program that provides federally-mandated early intervention services to infants and toddlers as outlined in Part C of the Individuals with Disabilities Education Act (IDEA). ITC provides family-centered intervention to children ages birth to 3 years who need strategies to assist them in acquiring basic developmental skills such as sitting, crawling, walking and/or talking. Families may receive a multidisciplinary evaluation to determine eligibility and service coordination, and to develop an Individual Family Service Plan (IFSP) free of charge. Through public/private partnerships, ITC provides services including, but not limited to: physical, occupational and speech therapy; developmental services; medical, health and nursing services; hearing and vision services; assistive technology (e.g., hearing aids, adapted toys and mobility aids); family training and counseling; service coordination; and transportation. A local coordinating council, known as the Fairfax Interagency Coordinating Council, serves to advise and assist the local lead agency, while the Fairfax-Falls Church Community Services Board (CSB) serves as the fiscal agent and local lead agency.

There has been significant growth in the demand for early intervention services over the last several years, and this growth is expected to continue and even accelerate in the near future. Over the ten-year period between FY 2000 through FY 2010, the number of kids and families served annually by ITC has grown at an average rate of 11.3 percent per year. During the most recent three-year period, the number of kids and families requiring services annually by ITC has increased at an average rate of 13.4 percent per year and from August 1, 2009 to August 1, 2010, the State Part C office reported a 25 percent increase in the number of children served by ITC of Fairfax-Falls Church.

Coupled with this significant growth, on October 1, 2009, a new Medicaid State Plan amendment for early intervention services took effect and expanded the types of services covered by Medicaid under Part C of the federal plan. In response the State Part C office updated the Part C System of Payments Study (completed in 2003), which identified several issues related to the Commonwealth's implementation of Federal Part C requirements regarding fiscal matters. Changes made as a result of the update standardized reimbursement for all early intervention services across Virginia, and increased access to early intervention services. As a result, the distribution of children covered by Medicaid across all of ITC's contracted vendors has evened out. Previously, vendors were hesitant to accept referrals for children covered by Medicaid because of insufficient payment rates, and ITC was the sole provider of Part C early intervention services for children with Medicaid.

The amendment has also further enabled ITC to fill more Medicaid-funded, grant service coordinator positions. The addition of these positions has allowed for caseloads to be moved toward levels where ITC is better able to meet federal compliance requirements at no additional cost to County taxpayers, while maximizing recovery of state and federal Medicaid dollars.

This system transformation posed significant operational challenges for ITC during FY 2010. In preparation for the statewide transformation of Part C services, ITC embarked on a number of system enhancements to ensure that the transition would run smoothly. Beginning in FY 2009 and continuing into FY 2010, ITC moved educational records to a digital database. ITC's Comprehensive Online Date Entry (CODE) system was developed to meet the performance requirements of Part C and to ensure compliance with FERPA regulations. CODE is internet-based and allows providers access to children's educational records in the field, enabling real time communication between therapists and service coordinators. Phase II enhancements to CODE are currently being discussed with anticipated implementation by the end of FY 2011. In addition, ITC secured a private billing contractor to centralize billing for all early intervention service providers and contractors. It is anticipated that centralizing billing will streamline the processes, increase claim appeal filing, facilitate insurance company in-network credentialing to ensure that all children will be served regardless of pay source and ultimately lead to increased insurance company reimbursements.

In anticipation of its continuing growth, ITC also set some business process improvements in motion during FY 2010. The first of these improvements was the change from a centralized program, with all service coordination based out of ITC's Fairfax City office, to a regionalized service coordination delivery model. This model places a service coordination team, an eligibility team and the capacity to assess clients in each of the County's human services regions. It is anticipated that moving staff teams to the communities where families live and providing services in families' home will not only save staff time and travel expenses, but will also enable staff to benefit from networking and educational opportunities that arise from being co-located with other child-serving agencies. The time gained from reduced travel will also allow more children to be served and greater awareness of and access to ITC services will ultimately be better for families. Currently, ITC satellite sites have opened in Human Services Regions 1 and 2, with movement into Region 4 in progress. It is hoped that space in Region 3 can be identified expeditiously and full implementation of ITC satellites sites can be completed by the end of FY 2012.

The second process improvement implemented by ITC in FY 2010 was the expansion of mobile technology. During FY 2010, ITC of Fairfax-Falls Church was a sub-recipient of federal stimulus funds made available as a result of the American Recovery and Reinvestment Act (ARRA) of 2009. The availability of these ARRA funds enabled the purchase of net books, which allow clinical staff and providers to access and use CODE in the field. This technology allows for documentation of early intervention services to occur in the homes of families while the service is being provided. Not only is documentation done with the family a billable and reimbursable expense (depending on the child's insurance coverage), it also frees up approximately one hour of billable time; time previously used for daily documentation that is now available to serve additional children.

Finally, ITC issued a new Request for Proposal for clinical and therapeutic services in 2009 with hopes of increasing the current contractor pool of early intervention services providers. The resulting new contracts awarded to five contractors in September 2009 helped ITC develop a larger pool of private providers to address provider shortages. The anticipated impact of these new contracts is coming to fruition. ITC has managed to keep pace with its program population growth with minimal wait times, in part, due to this increased contractor pool. In FY 2010 contractors were evaluated for contract performance through a collaborative process involving contractors' staff, ITC management, ITC staff, and Department of Administration for Human Services (DAHS) contracts management staff. The evaluation process resulted in the acknowledgement of those contractors going above and beyond contract expectations and one contractor requiring a compliance plan to fully meet the expectations of the contract. The process was very educational for all involved, from the contractors' self assessments, through to the post review discussions, and will hopefully contribute to improved outcomes for all service recipients in the future.

ITC also continues to strengthen outreach and support efforts by expanding collaborations with the Fairfax County Health Department, INOVA Fairfax Hospital, and Fairfax County Public Schools (FCPS) to ensure that infants and toddlers get appropriate services as soon as delays are detected. Given the rising incidence of autism in Fairfax County, ITC maintains ongoing relationships with the Virginia Autism Research Center and FCPS to address the early identification of children who might need specialized preschool services for this particular disability. ITC continues to be a leader in the Autism Communities of Practice. In September 2010, ITC provided a two-day training course attended by approximately 200 participants on Pivotal Response Treatment (PRT), a naturalistic intervention model for children with autism. PRT is used to teach language, decrease disruptive/self-stimulatory behaviors, and increase social, communication, and academic skills. PRT targets pivotal areas of a child's development such as motivation, responsiveness to multiple cues, self-management and social initiations, which results in widespread collateral improvements in other social, communicative and behavioral areas in children with autism. In addition, the growing cultural diversity needs of families requiring ITC services across the County is addressed by a list of 43 interpreters maintained by ITC. These interpreters are fluent in 10 languages, including Spanish, Urdu, Mandarin Chinese, Korean and American Sign Language.

## Budget and Staff Resources

**Agency Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 20/ 20 | 20/ 20 | 21/ 21 | 21/ 21 |
| Grant | 29/ 29 | 29/ 29 | 37/ 37 | 37/ 37 |
| Expenditures: | | | | |
| Personnel Services | $3,830,863 | $4,495,753 | $5,001,386 | $5,040,568 |
| Operating Expenses | 2,634,176 | 1,703,893 | 1,799,154 | 1,130,953 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Subtotal** | **$6,465,039** | **$6,199,646** | **$6,800,540** | **$6,171,521** |
| Less: | | | | |
| Recovered Costs | $0 | ($260,000) | ($260,000) | $0 |
| **Total Expenditures:** | **$6,465,039** | **$5,939,646** | **$6,540,540** | **$6,171,521** |
| Revenue: | | | | |
| Fairfax County | $2,596,465 | $2,625,172 | $2,625,172 | $2,545,745 |
| Fairfax City | 41,117 | 41,117 | 41,117 | 41,117 |
| Falls Church City | 18,636 | 18,636 | 18,636 | 18,636 |
| State DBHDS | 848,758 | 570,819 | 612,365 | 612,365 |
| Federal Other | 1,101,049 | 1,101,049 | 840,937 | 840,937 |
| Federal ARRA | 589,400 | 0 | 537,140 | 0 |
| Medicaid Option | 430,692 | 703,094 | 896,940 | 1,263,489 |
| Program/Client Fees | 562,000 | 879,759 | 956,517 | 849,232 |
| Fund Balance | 276,922 | 0 | 11,716 | 0 |
| **Total Revenue** | **$6,465,039** | **$5,939,646** | **$6,540,540** | **$6,171,521** |

# Fund 106
# CSB - Early Intervention Services

## Position Summary

| | Program Management | | Daytime Development Center | | Service Coordination |
|---|---|---|---|---|---|
| 1 | ID Specialist V | 1 | ID Specialist IV | 2 | ID Specialists III |
| 1 | ID Specialist IV | 1 | ID Specialist III | 3 | ID Specialists II |
| 1 | ID Specialist II | 3 | ID Specialists II | 1 | Administrative Assistant II |
| 1 | Administrative Assistant IV | 2 | Physical Therapists II | | |
| | | 2 | Occupational Therapists II | | |
| | | 2 | Speech Pathologists II | | |

**Grant Positions**

| | Program Management | | Daytime Development Center | | Service Coordination |
|---|---|---|---|---|---|
| 1 | Administrative Assistant III | 3 | Physical Therapists II | 2 | ID Specialists III |
| | | 4 | Speech Pathologists II | 26 | ID Specialists II |
| | | 1 | Occupational Therapist II | | |

**TOTAL POSITIONS**
**21 Positions / 21.0 Staff Years**
**37 Grant Positions / 37.0 Staff Years**

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Employee Compensation** **$0**
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- **Fringe Benefits Requirement** **$22,650**
A net increase of $22,650 is associated with the conversion of positions to a status that allows employees the option of receiving health benefits. The conversion offers employees the option of receiving benefits, and ensures that the County remains in compliance with recently altered federal health care regulations. Additional information regarding the conversion of positions to Merit Regular status is included in the Changes to FY 2011 Adopted Budget Plan section that follows.

- **Miscellaneous Adjustments** **$161,302**
An increase of $161,302 is associated with necessary grant and non-grant adjustments.

- **Contract Rate Adjustment** **$47,923**
An increase of $47,923 in Operating Expenses is associated with a 3 percent contract rate adjustment for providers of contracted early intervention services.

- **Reductions** **$0**
It should be noted that no reductions to balance the FY 2012 budget are included in this agency based on the limited ability to generate additional personnel savings.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$600,894**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $600,894, comprised of $505,633 in Personnel Services and $95,261 in Operating Expenses. This includes $807,744 for new grant awards, including $537,140 for the federal stimulus American Recovery and Reinvestment Act of 2009 (ARRA) allocation for the Part C grant for children with developmental delays and $270,604 in partial year funding for the Infant and Toddler Connection program; $11,716 in encumbered carryover; offset by a decrease of $218,566 in adjustments to current grants.

- **Position Change** **$0**
  As part of the FY 2011 review of County position categories, a conversion of 1/1.0 SYE position has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review, a number of existing limited term positions have been converted to Merit Regular status.

## Key Performance Measures

### Goal

To provide early intervention services to infants and toddlers with disabilities and their families to reduce or eliminate the effects of disabling conditions.

### Objectives

- To complete evaluations and develop an Individualized Family Service Plan (IFSP) for 100 percent of families within 45 days from intake call.

| | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| **Indicator** | **FY 2008 Actual** | **FY 2009 Actual** | **FY 2010 Estimate/Actual** | **FY 2011** | **FY 2012** |
| **Output:** | | | | | |
| Individuals served | 2,044 | 2,374 | 2,536 / 2,697 | 3,098 | 3,559 |
| **Efficiency:** | | | | | |
| Annual cost per individual served | $1,590 | $1,356 | $1,416 / $1,336 | $1,336 | $1,336 |
| **Service Quality:** | | | | | |
| Percent of families who agreed that early intervention services made them feel more confident in meeting their child's needs | 96% | NA | 95% / NA | 95% | 95% |
| **Outcome:** | | | | | |
| Percent of families who received completed IFSP within 45 days of intake call | 81% | 100% | 100% / 100% | 100% | 100% |
| Average number of days from referral to completion of IFSP | 34 | 34 | 32 / 40 | 32 | 32 |

## Performance Measurement Results

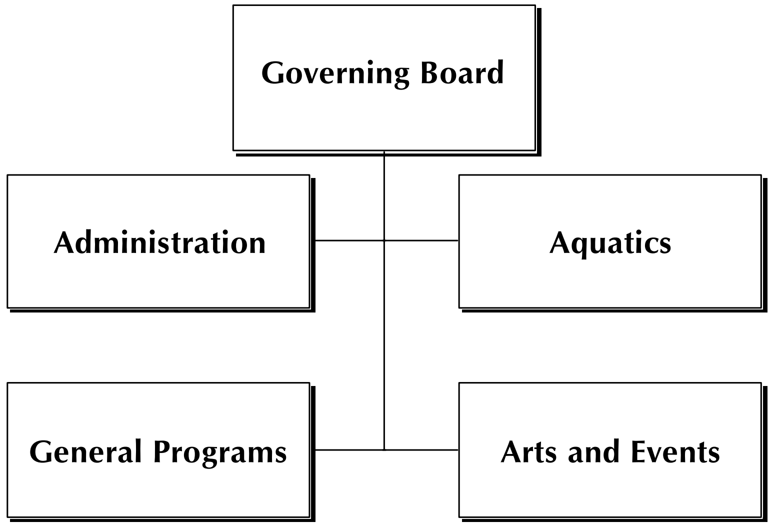
In FY 2010, one of three of ITC's service quality and outcome goals was met or exceeded. The FY 2010 survey results indicating the percentage of families who agreed that early intervention services made them feel more confident in meeting their child's needs, will be available in calendar year 2011.

ITC served 2,697 infants and toddlers in FY 2010, a 13.6 percent increase over the FY 2009 level of 2,374. This continued increase in the number of children served is reflective of the very large and rapid growth in demand for early intervention services consistently seen over the past several years. This upward trend is expected to continue for the foreseeable future. Consequently, the average number of days from referral to completion of an Individualized Family Service Plan (IFSP) was 40 days in FY 2010, versus a goal of 32 days. The rapidly increasing demand for early intervention services continues to contribute significantly to this shortfall. The new Medicaid State Plan amendment for Early Intervention Services has created new opportunities for provider growth necessary to meet this increase in demand; however, it is still not yet sufficient to meet the ambitious goal of 32 days. Coupled with the recently implemented increased business process improvements, ITC anticipates being better able to meet this target in the near future.

For FY 2010, the percentage of families receiving a completed IFSP within 45 days of intake call was 100 percent, which is compliant with a federally-mandated goal of 100 percent on this measure. Prior difficulties in complying with this mandated requirement were seen in the early part of FY 2008, however, since February 2008, ITC has been in 100 percent compliance with this requirement.

The actual annual cost per individual served with local dollars in FY 2010 was $1,336, $80 or 5.6 percent lower than the target of $1,416. This variance was due primarily to ITC receiving additional federal stimulus (ARRA) and Part C grant funds, greater than anticipated collection of non-County revenues from Medicaid and other third-party insurance carriers, and economies of scale accomplished by serving a significantly higher number of kids (i.e., distributing ITC's fixed costs among more kids). Unfortunately, the availability of both local and non-County revenue sources are expected to remain limited for the foreseeable future, particularly with the anticipated loss of federal stimulus (ARRA) funds in FY 2012.

# Fund 111
# Reston Community Center




## Mission

To create positive leisure experiences which enhance the quality of life for all people living and working in Reston by providing a broad range of programs in arts, aquatics, enrichment, recreation and life-long learning, and creating and sustaining community traditions through special events, outreach activities, and facility rentals.

## Focus

Reston Community Center (RCC) is a community leader, bringing the community together through enriching leisure time experiences that reach out to all and contribute to Reston's *sense of place*.

RCC provides four 'lines of programming' to the Reston community:  Arts and Events, Aquatics, General Programs (i.e., programming designed by age cohort), and Facility Rentals.

RCC operations are supported by revenues from a special property tax collected on all residential and commercial properties within Small District 5.  As part of their deliberations on the FY 2007 Adopted Budget Plan, the Board of Supervisors reduced the Small District 5 tax rate for FY 2007 to $0.047 per $100 of assessed property value, a decrease of $0.005 from the FY 2006 rate of $0.052 per $100 of assessed value. In addition, the Board passed a resolution in March 2006 that changed the boundaries of Small District 5, resulting in a reduction of 274 parcels.  Since these adjustments, the Small District 5 tax rate has remained constant at $0.047 per $100 of assessed property value within the revised boundaries.  In FY 2012, total property assessments in Small District 5 remain at the same level as FY 2011 pending final assessment evaluations from the Department of Tax Administration.

RCC also collects internal revenues generated by program registration fees, theatre box office receipts, gate admissions and facility rental fees.  These activity fees are set at a level substantially below the actual costs of programming and operations since Small District 5 property owners have already contributed tax revenues to fund RCC.  Consequently, Small District 5 residents and employees enjoy RCC programs at reduced rates.

Beginning in 2002, the RCC Board of Governors adopted a managed reserve structure to provide long-term fiscal security and stability for the fund. The available fund balance is divided now into four reserve accounts designated to provide funds for unforeseen catastrophic facility repairs, feasibility studies for future programming, future capital projects and economic and program contingencies.

In anticipation of both increased demand and resources, the RCC Board of Governors has embarked on in-depth exploration of community needs and best approaches in anticipation of this long-term growth.

# Fund 111
# Reston Community Center

To support the Board in strategic planning, the RCC staff team has completed balanced scorecard projects that include increased service delivery in electronic formats, outreach to the community's significant organizations and institutions, collaboration with other entities to support improved communication and services to residents and the business communities, and improving RCC internal processes to continue to deliver outstanding quality services and programs. In FY 2011 RCC will expand its Lake Anne facility by approximately 4,500 square feet to accommodate demand for more fitness/wellness and fine arts offerings. The expansion will be completed and operational by July 1, 2011.

Although the current economic climate presents challenges to maintaining revenues from taxes and fees, the Small District 5 financial outlook is stable. Furthermore, anticipated growth that is predicted to come from revisions to the Reston Master Plan and Metro extension to Dulles International Airport indicate the potential for significant residential population and property value growth and commercial property value growth in the years ahead.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| **Category** | **FY 2010 Actual** | **FY 2011 Adopted Budget Plan** | **FY 2011 Revised Budget Plan** | **FY 2012 Advertised Budget Plan** |
| Authorized Positions/Staff Years | | | | |
| Regular | 37/ 37 | 37/ 37 | 46/ 46 | 49/ 49 |
| Exempt | 1/ 1 | 1/ 1 | 1/ 1 | 1/ 1 |
| Expenditures: | | | | |
| Personnel Services | $3,958,839 | $4,379,896 | $4,379,896 | $4,583,446 |
| Operating Expenses | 2,621,646 | 2,867,245 | 2,882,767 | 3,066,906 |
| Capital Equipment | 0 | 9,000 | 9,000 | 0 |
| **Subtotal** | **$6,580,485** | **$7,256,141** | **$7,271,663** | **$7,650,352** |
| Capital Projects | $393,123 | $750,000 | $2,578,444 | $98,000 |
| **Total Expenditures** | **$6,973,608** | **$8,006,141** | **$9,850,107** | **$7,748,352** |

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

♦ **Employee Compensation** **$0**
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

♦ **Other Post Employment Benefits** **$9,107**
An increase of $9,107 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Advertised Budget Plan.

♦ **Lake Anne Facility Expansion** **$394,104**
An increase of $394,104 primarily for the Lake Anne facility expansion including $194,443 for three additional merit positions, 1/1.0 SYE Park/Recreation Specialist II (fitness instructor), 1/1.0 SYE Administrative Assistant II (customer service), and 1/1.0 SYE Facility Attendant I (maintenance) and seasonal employees to alleviate waiting lists for existing programs and to provide classroom instruction at the expanded facility; and $199,661 in Operating Expenses based on expanded lease and program requirements. The expansion provides RCC Lake Anne facility with an additional 4,471 square feet or

52.7 percent more space, bringing the entire lease premises at the Lake Anne facility to 12,959 square feet. The expansion will be complete and operational early in FY 2012.

- **Capital Projects** **$98,000**
  Funding of $98,000 is required to seal the Reston Community Center Hunters' Woods facility roof to create a watertight coating and enhance the environmental "go green" impact allowing roof surface reflectivity.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$1,843,966**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase $1,843,966 due to encumbered carryover of $15,522, unexpended project balances of $889,388, and additional project funding of $939,056 for requested Lake Anne facility upgrades which is supported from an appropriation from Fund Balance.

- **Position Changes** **$0**
  As part of the FY 2011 review of County position categories, a conversion of 9/9.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

## Cost Centers

The four cost centers in Fund 111, Reston Community Center, are Administration (which includes facility rentals), Arts and Events, Aquatics, and General Programs. These distinct program areas work to fulfill the mission and carry out the key initiatives of the Reston Community Center.

## Administration

**Funding Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 20/ 20 | 20/ 20 | 26/ 26 | 26/ 26 |
| Exempt | 1/ 1 | 1/ 1 | 1/ 1 | 1/ 1 |
| **Total Expenditures** | **$3,889,320** | **$4,729,169** | **$6,635,228** | **$4,383,465** |

**Position Summary**

| | | | | | |
|---|---|---|---|---|---|
| 1 | Executive Director, E | 1 | Management Analyst I | 1 | Administrative Assistant V |
| 1 | Deputy Director | 1 | Public Information Officer | 3 | Administrative Assistants IV |
| 1 | Financial Specialist II | 1 | Chief, Bldg. Maintenance Section | 1 | Administrative Assistant III |
| 1 | Financial Specialist I | 2 | Senior Bldg. Maintenance Workers | 5 | Administrative Assistants II |
| 1 | Network Telecom Analyst I | 4 | Maintenance Workers | 1 | Graphic Artist III |
| 1 | Communications Specialist II | 1 | Facility Attendant II | | |

**TOTAL POSITIONS**
**27 Positions / 27.0 Staff Years** — **E Denotes Exempt Position**

## Key Performance Measures

### Goal
To provide effective leadership, supervision and administrative support for Center programs in order to maintain and prepare the facilities of the Reston Community Center for residents of Small Tax District 5.

### Objectives
- Achieve 95 percent public awareness and at least 90 percent patron satisfaction with RCC programs and facilities in Small District 5 of the Reston Community Center and its mission.

| Indicator | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| | FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | FY 2011 | FY 2012 |
| **Outcome:** | | | | | |
| Public awareness of the Reston Community Center | 95.00% | NA | 95.00% / 96.80% | 0.00% | 95.00% |
| Patron satisfaction with RCC programs and facilities. | 95.00% | NA | 90.00% / 94.20% | 0.00% | 90.00% |

## Performance Measurement Results
The University of Virginia Center for Survey Research conducted a survey of Small District 5 in FY 2010. In FY 2012, RCC will again undertake a survey of Small District 5 to gauge community response to issues that are identified by the Board of Governors.

Efforts in Administration in FY 2010 were also directed toward implementation of the agency's Balanced Scorecard and the related projects therein. The RCC Strategic Plan is scheduled to be reviewed and updated during FY 2011 and will be adjusted based upon issues identified by the Board of Governors, the results of the feasibility study of adaptations to the RCC Hunters Woods Community Room and the RCC Lake Anne facility, and public input through the Community Survey conducted in fall 2009. Further strategic planning will be impacted by the results of Balanced Scorecard project implementation; specifically, migration of processes to electronic environments, enhanced community partnership efforts, and communications strategies on both internal and external bases.

## Arts and Events

**Funding Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 7/ 7 | 7/ 7 | 7/ 7 | 7/ 7 |
| **Total Expenditures** | **$1,282,919** | **$1,355,247** | **$1,357,695** | **$1,392,721** |

**Position Summary**

| | | |
|---|---|---|
| 1 Theatrical Arts Director | 2 Asst. Theatre Technical Directors | 1 Administrative Assistant IV |
| 2 Park/Recreation Specialists II | 1 Theatre Technical Director | |

**TOTAL POSITIONS**
**7 Positions / 7.0 Staff Years**

## Key Performance Measures

### Goal

To provide Performing Arts, Arts Education and Community Event presentations to the residents of Small Tax District 5 in order to increase the cultural awareness of the community in disciplines of dance, theatre, music and related arts as well as to create and sustain community traditions through community events.

### Objectives

- To achieve attendance for Professional Touring Artist Series performances that averages 60 percent or better of capacity.
- To achieve enrollment in arts education offerings that averages 85 percent or better of capacity.
- To support community arts organization patrons by providing rental of the CenterStage and related art space as measured by audience attendance of 65 percent of capacity or better at these organizations' presentations.
- To provide artist residency and similar outreach activities in Small District 5 schools and related settings as measured by offerings that reach targeted school age populations (elementary, middle and high school). Outreach activity and performance or other artistic/cultural residency efforts will be provided to 75 percent of eight Small District 5 elementary schools, and 100 percent of the two Small District 5 middle and high schools annually. Attendance totals will vary depending on the nature of the artist residency/outreach activity.
- To enhance community identity and build community traditions with community events by offering an enhanced number of significant events that averages 12 events per year that feature collaboration and partnership with other community entities with attendance at significant events achieving 92 percent of capacity.

| | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| Indicator | FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | FY 2011 | FY 2012 |
| **Output:** | | | | | |
| Professional Artist patrons served | 3,746 | 4,237 | 3,654 / 3,511 | 3,306 | 3,480 |
| Arts' patrons served | 1,498 | 1,574 | 1,636 / 1,602 | 1,636 | 1,885 |
| CenterStage and related art space rental patrons served | NA | 9,791 | 10,420 / 9,275 | 10,368 | 10,368 |
| Small District # 5 number of Elementary Schools | NA | 0 | 8 / 5 | 8 | 8 |
| Small District # 5 number of Middle and High Schools | NA | 2 | 2 / 2 | 2 | 2 |
| Participation in artist residency and outreach activities | NA | 800 | 3,317 / 3,736 | 3,500 | 3,500 |
| Number of partnered events offered | NA | 11 | 11 / 13 | 12 | 12 |
| **Efficiency:** | | | | | |
| Cost per Professional Artist patron | $101.90 | $68.18 | $69.95 / $107.45 | $102.74 | $95.96 |
| Professional Artist events capacity | 7,250 | 7,740 | 6,090 / 6,090 | 5,510 | 5,800 |

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Efficiency:** | | | | | |
| Cost per Arts' patron | $77.87 | $81.14 | $126.55 / $97.66 | $117.61 | $91.69 |
| Arts' offerings enrollment capacity | 1,698 | 1,660 | 1,925 / 1,925 | 1,925 | 2,218 |
| CenterStage and related arts space capacity | NA | 13,340 | 15,950 / 13,630 | 15,950 | 15,950 |
| **Outcome:** | | | | | |
| Professional Artist events attendance as percent of capacity | 52.00% | 56.00% | 60.00% / 57.65% | 60.00% | 60.00% |
| Arts' offerings enrollment as percent of capacity | 88.2% | 95.0% | 85.0% / 83.2% | 85.0% | 85.0% |
| Attendance as percent of capacity | NA | 73.0% | 65.0% / 68.0% | 65.0% | 65.0% |
| Percent of elementary schools participating | NA | 0% | 50% / 63% | 75% | 75% |
| Percent of Middle/High Schools participating | NA | 100% | 100% / 100% | 100% | 100% |
| Attendance at significant events as a percent of capacity. | NA | 90% | 92% / 95% | 92% | 92% |

## Performance Measurement Results

**Performing Arts**

During FY 2010, the Arts and Events Department restructured the offerings in the Professional Touring Artist Series by decreasing the number of shows in the season to focus its marketing efforts and increase Arts Education Outreach by visiting artists in Small District 5 schools for master classes and workshops. Cost per patron accordingly increased.  Costs include artist fees that provide for Arts Education Outreach activities in addition to Professional Touring Artist Series performances. This also decreased overall capacity and attendance as a percentage of capacity. Snow related closings resulted in rescheduling of two shows. Standardization of ticket pricing at lower Reston prices resulted in a shortfall in projected income for FY 2010.

**Arts Education Enrollment**

Winter weather decreased enrollment in offerings between December and April in FY 2010.

**Rental Capacity**

The decrease in rental patrons served and rental capacity is due to the loss of a long time renter and the cancellation of several performances because of winter snowfalls. Rental income targets were missed due to the above and to a significant renter payment delinquency.

**Arts Education Outreach**

Outreach to area schools was significantly increased by contracting through the Professional Touring Artist Series.

**Community Events**

Partnered events in the community increased with the addition of the Lake Anne Jazz and Blues Festival and Reston Town Center Holliday Performances.

## Aquatics

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 5/ 5 | 5/ 5 | 6/ 6 | 6/ 6 |
| **Total Expenditures** | **$686,695** | **$721,627** | **$713,761** | **$715,549** |

| Position Summary | | | |
|---|---|---|---|
| 1 | Park/Recreation Specialist II | 1 | Administrative Assistant III |
| 1 | Park/Recreation Specialist I | 2 | Administrative Assistants II |
| 1 | Park/Recreation Assistant | | |
| **TOTAL POSITIONS** 6 Positions / 6.0 Staff Years | | | |

## Key Performance Measures

### Goal
To provide a safe and healthy professional pool environment and balanced Aquatic program year round for all age groups in Small Tax District 5.

### Objectives
- To achieve 90 percent enrollment/participation for Instructional, Recreational, and Lap Swimming/Competitive lines of programming.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Patrons served | 43,163 | 59,290 | 69,300 / 68,115 | 69,300 | 69,300 |
| **Efficiency:** | | | | | |
| Cost per patron | $7.12 | $6.79 | $5.46 / $5.79 | $5.11 | $5.09 |
| Enrollment capacity | 77,000 | 77,000 | 77,000 / 76,003 | 77,000 | 77,000 |
| **Outcome:** | | | | | |
| Participation enrollment as percent of capacity | 56.05% | 77.00% | 90.00% / 88.46% | 90.00% | 90.00% |

## Performance Measurement Results
The total cost increased in FY 2010 although the cost per participant decreased due to a 14.9 percent increase the number of patrons served. The increased costs are associated with: (1) increased scheduling of Head Lifeguards to provide required department supervision; (2) new personnel filling 63 percent of the Lifeguard positions during FY 2010 resulting in a significant increase for training hours and related costs; (3) new programming costs associated with DEAP (Drowning Education and Awareness Program); and (4) updated American Red Cross course content for the Learn To Swim classes requiring all Water Safety Instructors to attend orientations to upgrade their certifications. In addition snow storm and other weather-related closures decreased gate sales and use of RCC's Fee Waiver program increased by 50 percent resulting in 323 new patrons participating in the program.

# Fund 111
# Reston Community Center

## General Programs

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 5/ 5 | 5/ 5 | 7/ 7 | 10/ 10 |
| **Total Expenditures** | **$1,114,674** | **$1,200,098** | **$1,143,423** | **$1,256,617** |

| Position Summary | | |
|---|---|---|
| 1 Park/Recreation Specialist III | 2 Park/Recreation Assistant | 1 Administrative Assistant II (1) |
| 5 Park/Recreation Specialists II (1) | 1 Facility Attendant I (1) | |
| **TOTAL POSITIONS**<br>**10 Positions (3) /10.0 Staff Years (3.0)** | | **( ) Denotes New position** |

## Key Performance Measures

### Goal
To provide recreational, educational, and social activities to all age groups in order to provide community-wide, positive, and meaningful experiences in Small Tax District 5.

### Objectives
- To achieve participation rates of 83 percent of maximum enrollment in the Youth, Teen, Adult and Senior registered program offerings.

| Indicator | Prior Year Actuals: FY 2008 Actual | Prior Year Actuals: FY 2009 Actual | Prior Year Actuals: FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Enrollment capacity | 10,755 | 8,461 | 9,360 / 9,943 | 10,300 | 11,000 |
| Patrons served | 7,173 | 7,846 | 7,800 / 8,589 | 8,549 | 9,130 |
| **Efficiency:** | | | | | |
| Cost per participant | $63.15 | $80.65 | $79.29 / $73.57 | $79.95 | $73.04 |
| **Outcome:** | | | | | |
| Participation enrollment as percent of capacity | 79.54% | 80.00% | 83.00% / 86.38% | 83.00% | 83.00% |

## Performance Measurement Results
Patron enrollment increased by 743 filled seats and the revenue earned was $23,352 higher than the projected revenue of $334,888 due to the refinement and expansion of existing offerings to help alleviate waitlists, as well as the implementation of several new programs. Enrollment capacity will increase in FY 2012 due to the planned expansion in FY 2011 of RCC Lake Anne to accommodate additional fitness and wellness programming. Drop-In program attendance in FY 2010 increased despite multiple inclement weather events that occurred during the winter season. The increase was due to two very successful Teen Lock-In summer events cosponsored by RCC at the Reston Teen Center, as well as very robust and regular attendance for International Mah Jongg, Singles Mingle Book Club, Drop-In Chess, Seniorcize Punch Pass, and the Open Woodshop labs.

# Fund 111
# Reston Community Center

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** **Fund 111, Reston Community Center**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$8,145,369** | **$7,312,506** | **$8,746,168** | **$6,551,648** |
| Revenue: | | | | |
| Taxes | $6,581,937 | $6,639,319 | $6,639,319 | $6,639,319 |
| Interest | 62,398 | 146,250 | 146,250 | 69,644 |
| Vending[1] | 0 | 0 | 0 | 1,800 |
| Aquatics | 266,115 | 288,000 | 288,000 | 284,127 |
| General Programs | 354,850 | 328,920 | 328,920 | 421,259 |
| Rental | 118,270 | 75,000 | 75,000 | 99,000 |
| Arts and Events | 190,837 | 178,098 | 178,098 | 185,206 |
| Total Revenue | $7,574,407 | $7,655,587 | $7,655,587 | $7,700,355 |
| **Total Available** | **$15,719,776** | **$14,968,093** | **$16,401,755** | **$14,252,003** |
| Expenditures: | | | | |
| Personnel Services | $3,958,839 | $4,379,896 | $4,379,896 | $4,583,446 |
| Operating Expenses | 2,621,646 | 2,867,245 | 2,882,767 | 3,066,906 |
| Capital Equipment | 0 | 9,000 | 9,000 | 0 |
| Subtotal | $6,580,485 | $7,256,141 | $7,271,663 | $7,650,352 |
| Capital Projects | $393,123 | $750,000 | $2,578,444 | $98,000 |
| Total Expenditures | $6,973,608 | $8,006,141 | $9,850,107 | $7,748,352 |
| **Total Disbursements** | **$6,973,608** | **$8,006,141** | **$9,850,107** | **$7,748,352** |
| | | | | |
| **Ending Balance[2]** | **$8,746,168** | **$6,961,952** | **$6,551,648** | **$6,503,651** |
| Maintenance Reserve | $909,504 | $765,559 | $909,687 | $924,043 |
| Feasibility Study Reserve | 151,584 | 153,112 | 151,615 | 154,006 |
| Capital Project Reserve[3,4] | 3,000,000 | 3,000,000 | 3,000,000 | 3,000,000 |
| Economic and Program Reserve[4] | 3,500,000 | 3,043,281 | 2,389,446 | 2,325,602 |
| **Unreserved Balance** | **$1,185,080** | **$0** | **$100,900** | **$100,000** |
| | | | | |
| **Tax Rate per $100 of Assessed Value** | **$0.047** | **$0.047** | **$0.047** | **$0.047** |

[1]New category to identify sales of vending products.

[2] The fund balance in Fund 111, Reston Community Center, is maintained at adequate levels relative to projected personnel and operating requirements. Available fund balance is divided into four reserve accounts designated to provide funds for unforeseen catastrophic facility repairs, feasibility studies for future programming, funds for future capital projects and funds for economic and program contingencies.

[3] Funds reserved for capital projects are not encumbered based on normal accounting practices; however, they are allocated for future capital projects.

[4] The Reston Community Center Board of Governors approved the increase of the Capital Project Reserve from $1,000,000 to a maximum of $3,000,000 and the creation of an Economic and Program Contingency Reserve on March 2, 2009.

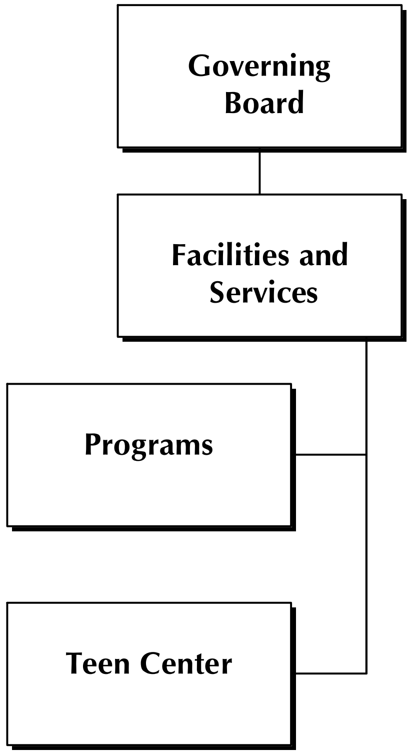
# Fund 111
# Reston Community Center

## FY 2012 Summary of Capital Projects

### Fund: 111 Reston Community Center

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 003716 | Reston Community Center Improvements | $1,451,636 | $122,861.00 | $475,034 | $0 |
| 003717 | RCC HW Facility Renovations | 7,107,462 | 270,261.95 | 2,103,410 | 98,000 |
| **Total** | | **$8,559,098** | **$393,122.95** | **$2,578,444** | **$98,000** |

# Fund 113
# McLean Community Center




## Mission

The mission of the McLean Community Center is to provide a sense of community by undertaking programs; assisting community organizations; and furnishing facilities for civic, cultural, educational, recreational, and social activities apportioned fairly to all residents of Small District 1, Dranesville.

## Focus

McLean Community Center (MCC or the Center) fulfills its mission by offering a wide variety of civic, social and cultural activities to its residents including families, local civic organizations, and businesses.

MCC offers classes and activities for all ages at nominal fees such as aerobics, computers, acting and tours. Special events and seasonal activities such as McLean Day, Fourth of July, Summer Camp, and a Craft Show are held at MCC, schools and parks. The Alden Theatre presents professional shows, travel films and entertainment for children. The Old Firehouse is a popular teenage social and recreation center in downtown McLean, operated by the Center. Drop-in activities sponsored by MCC are available such as open bridge games and children's cooperative play.

Facilities and operations of the MCC are supported primarily by revenues from a special property tax collected from all residential and commercial properties within Small District 1, Dranesville. The Small District 1 real estate tax rate for FY 2012 is $0.023 per $100 of assessed property value which is a decrease of $0.001 from the FY 2011 tax rate of $0.024. Other revenue sources include program fees and interest on investments. In FY 2012, total property tax receipts in Small District 1 remain at the same level as FY 2011 pending final assessment evaluations from the Department of Tax Administration.

# Fund 113
# McLean Community Center

Financial and operational oversight of the Center is provided by the MCC Governing Board, elected annually. MCC receives its expenditure authority from the Fairfax County Board of Supervisors each fiscal year.

The MCC Governing Board and staff have developed and refined a strategic business plan which directs the expansion of the agency's functions for the next three years. MCC will renovate the theatre as part of the continuing improvements. MCC will train staff to provide information to enhance the Center's capability as a "one-stop shop" for printed and online information on community activities. MCC also seeks to develop programs that increase community involvement of all age groups. Residents and businesses will be included in identifying McLean's community needs and MCC staff will analyze those needs to determine potential areas of expanded programming facilities.

Over the last several years, MCC's Governing Board and staff members have been considering a possible expansion of the main facility, and a relocation or renovation of the Teen Center, a satellite program of MCC that provides after school programs, activities, events and a summer camp program for middle-school-age students. In FY 2007, a survey of Small District 1 residents and users was conducted to provide information concerning their experiences taking classes, attending performances and special events and renting meeting rooms at the Center. A follow up survey is scheduled for FY 2010-11 to gather additional residents and users' opinions about the Center's plans to expand its facilities and programs.

Creating greater awareness of and participation in community activities was also a part of MCC's strategic business plan. MCC will continue to support outreach and marketing and community activities.

MCC will maintain fiscal integrity and expand community support by increasing business and neighborhood partnerships, and by obtaining sponsorships for MCC programs and activities.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| **Category** | **FY 2010 Actual** | **FY 2011 Adopted Budget Plan** | **FY 2011 Revised Budget Plan** | **FY 2012 Advertised Budget Plan** |
| Authorized Positions/Staff Years | | | | |
| Regular | 31/ 27.45 | 31/ 27.45 | 31/ 27.95 | 31/ 27.95 |
| Expenditures: | | | | |
| Personnel Services | $2,357,005 | $2,553,632 | $2,553,632 | $2,561,062 |
| Operating Expenses | 1,832,056 | 2,490,908 | 2,588,173 | 2,390,795 |
| Capital Equipment | 14,259 | 0 | 37,633 | 52,500 |
| **Subtotal** | **$4,203,320** | **$5,044,540** | **$5,179,438** | **$5,004,357** |
| Capital Projects | $176,738 | $263,500 | $789,359 | $575,000 |
| **Total Expenditures** | **$4,380,058** | **$5,308,040** | **$5,968,797** | **$5,579,357** |

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- **Other Post Employment Benefits** **$7,430**
  An increase of $7,430 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Advertised Budget Plan.

- **Operating Expenses** **($100,113)**
  A decrease of $100,113 primarily associated with reductions in professional consulting and services, utilities and repairs and maintenance based on prior year actual expenses.

- **Capital Equipment** **$52,500**
  Funding of $52,500 is required for the acquisition of a portable sound system and the replacement of an air compressor.

- **Capital Projects** **$575,000**
  Funding of $575,000 is required for capital improvements of $215,000 for the Scene Shop ladder and office, and the heating, ventilation and air conditioning (HVAC) in the theatre balcony; and capital replacements of $360,000 for MCC carpeting, parking lot paving, theatre seats and HVAC in the sound and lights box office booths.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$660,757**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $660,757 due to encumbered carryover of $269,697 and unexpended Capital Project balances of $391,060.

## Cost Centers

The cost center previously entitled Facilities and Services was revised to recognize the component areas included within the cost center. The new title of the cost center is changed to Administration, Facilities and Public Information.

## Administration, Facilities and Public Information

**Funding Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 18/ 14.95 | 18/ 14.95 | 17/ 14.45 | 17/ 14.45 |
| **Total Expenditures** | **$2,068,424** | **$2,292,520** | **$2,914,790** | **$2,633,927** |

# Fund 113
# McLean Community Center

| Position Summary | | | | | |
|---|---|---|---|---|---|
| 1 | Executive Director | 1 | Administrative Assistant V | 1 | Administrative Assistant IV |
| 1 | Deputy Community Center Director | 1 | Communications Specialist II | 1 | Administrative Assistant III |
| 1 | Chief Building Maintenance Section | 1 | Facility Attendant II | 2 | Administrative Assistants II |
| 1 | Accountant II | 6 | Facility Attendants I, 6 PT | | |
| **TOTAL POSITIONS**<br>**17 Positions / 14.45 Staff Years** | | | | | **PT Denotes Part-Time Positions** |

Note: In the *FY 2011 Revised Budget Plan* 1/1.0 SYE was transferred to the Programs Cost Center and the Administrative Assistant V position was converted from a 1/0.5 SYE to 1/1.0 SYE

## Key Performance Measures

### Goal
To administer the facilities and programs of the McLean Community Center, to assist residents and local public groups' planning activities and to provide information to citizens in order to facilitate their integration in the life of the community.

### Objectives
- ♦ To maintain the number of patrons attending events, activities and classes at or above 87,030.

| Indicator | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| | FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | FY 2011 | FY 2012 |
| **Output:** | | | | | |
| Patrons served | 180,578 | 179,035 | 186,519 / 85,340 | 87,550 | 87,030 |
| **Efficiency:** | | | | | |
| Cost per patron | $9.73 | $9.79 | $10.42 / $22.17 | $23.85 | $23.05 |
| **Service Quality:** | | | | | |
| Percent satisfied with service | 99% | 99% | 99% / 99% | 99% | 99% |
| **Outcome:** | | | | | |
| Percent change in patrons using the Center | 4.4% | (0.9%) | 4.2% / (52.0%) | 2.6% | (0.6%) |

## Performance Measurement Results
Data in the section entitled "Patrons served" captures a different count structure for FY 2010, FY 2011 and FY 2012 when compared to previous fiscal years. The new registration system records data on a per patron registered basis rather than a per patron per class basis. This change from attendance numbers to patron was implemented in FY 2010 with the acquisition of a new registration system (Activenet) which does not calculate attendance. The increase in cost per patron and the decrease in the pro-rated patrons served was due to program cancellations due to inclement weather conditions

# Fund 113
# McLean Community Center

## Programs

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 10/ 9.5 | 10/ 9.5 | 12/ 11.5 | 12/ 11.5 |
| **Total Expenditures** | **$1,968,896** | **$2,584,072** | **$2,613,484** | **$2,513,982** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| | **Instruction & Senior Adult Activities** | | **Performing Arts** | | **Youth Activities** |
| 1 | Park/Recreation Specialist III | 1 | Theatrical Arts Director | 1 | Park/Recreation Specialist II |
| | | 1 | Theatre Technical Director | | |
| | **Special Events** | 1 | Asst. Theatre Technical Director | | |
| 1 | Park/Recreation Specialist II | 2 | Park/Recreation Specialists I | | |
| 1 | Park/Recreation Assistant | 1 | Administrative Assistant IV | | |
| | | 1 | Facility Attendant II | | |
| | | 1 | Facility Attendant I, PT | | |
| **TOTAL POSITIONS** | | | | | |
| **12 Positions / 11.5 Staff Years** | | | | | **PT Denotes Part-Time Position** |

Note: In the *FY 2011 Revised Budget Plan* 1/1.0 SYE was transferred from the Teen Center Cost Center and 1/1.0 SYE was transferred from the Administration, Facilities and Public Information Cost Center.

## Key Performance Measures

### Goal
To provide programs and classes to McLean Community Center district residents of all ages in order to promote personal growth and a sense of community involvement.

### Objectives
- To maintain the number of patrons participating in classes and activities at 5,550.
- To increase the number of patrons attending major community Special Events by 3.1 percent to 33,000, while improving the participant satisfaction level.
- To decrease the number of patrons served by Performing Arts activities by 6.3 percent to 29,980 consistent with prior years' actual numbers.
- To increase the number of patrons participating in Youth Activities to 18,500.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Patrons participating in classes and Senior Adult activities. (1) | 35,365 | 37,210 | 40,425 / 5,530 | 5,550 | 5,550 |
| Patrons attending Special Events | 28,358 | 30,145 | 30,145 / 32,000 | 32,000 | 33,000 |
| Patrons at Performing Arts activities | 29,358 | 30,420 | 31,000 / 29,640 | 32,000 | 29,980 |
| Youth Activity patrons | 7,232 | 8,480 | 10,000 / 18,170 | 18,000 | 18,500 |
| **Efficiency:** | | | | | |
| Cost per patron in classes and Senior Adult activities | $15.42 | $14.01 | $15.69 / $13.28 | $16.13 | $16.57 |
| Cost per patron at Special Events | $11.22 | $9.88 | $12.35 / $10.31 | $16.09 | $12.69 |
| Cost per patron at Performing Arts activities | $23.25 | $24.25 | $32.22 / $26.40 | $29.76 | $32.17 |
| Cost per patron at Youth Activities | $53.52 | $35.23 | $49.95 / $18.84 | $27.22 | $25.26 |
| **Service Quality:** | | | | | |
| Percent satisfied with classes and Senior Adult activities | 95% | 95% | 95% / 95% | 95% | 95% |
| Percent satisfied with Special Events | 99% | 99% | 95% / 98% | 99% | 98% |
| Percent satisfied with Performing Arts activities | 99% | 99% | 99% / 99% | 99% | 99% |
| Percent satisfied with Youth Activities | 90% | 85% | 85% / 90% | 90% | 93% |
| **Outcome:** | | | | | |
| Percent change in participation in classes and Senior Adult activities | (8.1%) | 5.2% | 8.6% / NA | 0.1% | 0.0% |
| Percent change in participation at Special Events | 3.6% | 6.3% | 0.0% / 2.8% | 0.0% | 3.1% |
| Percent change in participation at Performing Arts activities | (6.8%) | 3.6% | 1.9% / (2.6%) | 8.0% | (6.3%) |
| Percent change in participation at Youth Activities | (36.8%) | 17.3% | 17.9% / 114.3% | (0.9%) | 2.8% |

(1) Data in the section entitled "Patrons participating in classes and Senior Adult activities" captures a different count structure for FY 2010, FY 2011 and FY 2012 when compared to previous fiscal years. The new registration system records data on a per patron registered basis rather than a per patron per class basis. This change from attendance numbers to patron was implemented in FY 2010 with the acquisition of a new registration system (Activenet) which does not calculate attendance.

## Performance Measurement Results

The number of patrons attending events at MCC, including classes, special events and youth programs, continued to increase in FY 2010. This can be credited to a combination of efforts, including increased marketing, program re-design, and facility improvements. Another contributing factor was the economy as many residents found themselves enjoying their leisure time closer to home and participated in more MCC events. The FY 2010 decrease in patrons at performing arts activities is due to the lack of indoor alternatives to large weather-dependent, outdoor events. Staff members are working to find acceptable auxiliary sites.

Service Quality is measured by customer satisfaction surveys. These are conducted at the conclusion of the classes and other activities, and on-site at special events. A high level of approval has been noted in every aspect of the operation.

## Teen Center

**Funding Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 3/ 3 | 3/ 3 | 2/ 2 | 2/ 2 |
| **Total Expenditures** | **$342,738** | **$431,448** | **$440,523** | **$431,448** |

**Position Summary**

| | |
|---|---|
| 1 Park/Recreation Specialist I | 1 Park/Recreation Assistant |
| **TOTAL POSITIONS**<br>**2 Positions / 2.0 Staff Years** | |

Note: In the *FY 2011 Revised Budget Plan* 1/1.0 SYE was transferred to the Programs Cost Center.

## Key Performance Measures

### Goal

To provide a facility for local youth in grades 7 through 12 in order to promote personal growth and provide a safe recreational and productive environment.

### Objectives

- ♦ To maintain the yearly number of weekend patrons at 2,500.
- ♦ To increase the yearly number of weekday participants by 500 or 4.2 percent from 12,000 to 12,500, with a future year goal of 13,000.

# Fund 113
# McLean Community Center

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Weekend patrons at Teen Center | 4,341 | 5,850 | 6,233 / 2,571 | 2,500 | 2,500 |
| Weekday patrons at Teen Center | 10,000 | 10,325 | 11,000 / 11,860 | 12,000 | 12,500 |
| **Efficiency:** | | | | | |
| Cost per patron (including weekend and weekday) | $26.58 | $19.11 | $26.23 / $23.75 | $29.76 | $28.76 |
| **Service Quality:** | | | | | |
| Percent of satisfied weekend patrons | 90% | 95% | 95% / 90% | 90% | 93% |
| Percent of satisfied weekday patrons | 90% | 90% | 85% / 90% | 90% | 93% |
| **Outcome:** | | | | | |
| Percent change in weekend patrons | 0.3% | 34.8% | 6.5% / (56.1%) | (2.8%) | 0.0% |
| Percent change in weekday patrons | 1.0% | 3.3% | 6.5% / 14.9% | 1.2% | 4.2% |

## Performance Measurement Results

In FY 2010 the number of patrons participating in Teen Center weekend and weekday activities decreased by 1,744 or 10.8 percent due to program revisions. The projected future year increase in weekday patrons is attributable to program restructuring as well as the addition of new Teen Center programs.

# Fund 113
# McLean Community Center

## FUND STATEMENT

**Fund G10, Special Revenue Funds** **Fund 113, McLean Community Center**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$11,745,157** | **$11,736,776** | **$12,551,599** | **$12,186,757** |
| Revenue: | | | | |
| Taxes | $4,076,108 | $4,041,395 | $4,041,395 | $4,041,395 |
| Interest | 79,501 | 225,160 | 225,160 | 80,000 |
| Rental Income | 44,441 | 70,900 | 70,900 | 30,233 |
| Instructional Fees | 491,808 | 613,752 | 613,752 | 548,772 |
| Performing Arts | 128,257 | 225,440 | 225,440 | 168,375 |
| Vending | 380 | 975 | 975 | 300 |
| Special Events | 100,104 | 116,400 | 116,400 | 164,820 |
| Theatre Rentals | 6,038 | 26,200 | 26,200 | 6,000 |
| Intergenerational Programs | 138,010 | 145,800 | 145,800 | 143,150 |
| Miscellaneous Income | 25,462 | 49,233 | 49,233 | 15,087 |
| Teen Center Income | 96,391 | 70,700 | 70,700 | 72,300 |
| Visual Arts | 0 | 18,000 | 18,000 | 20,000 |
| Total Revenue | $5,186,500 | $5,603,955 | $5,603,955 | $5,290,432 |
| **Total Available** | **$16,931,657** | **$17,340,731** | **$18,155,554** | **$17,477,189** |
| Expenditures: | | | | |
| Personnel Services | $2,357,005 | $2,553,632 | $2,553,632 | $2,561,062 |
| Operating Expenses | 1,832,056 | 2,490,908 | 2,588,173 | 2,390,795 |
| Capital Equipment | 14,259 | 0 | 37,633 | 52,500 |
| Capital Projects | 176,738 | 263,500 | 789,359 | 575,000 |
| Total Expenditures | $4,380,058 | $5,308,040 | $5,968,797 | $5,579,357 |
| **Total Disbursements** | **$4,380,058** | **$5,308,040** | **$5,968,797** | **$5,579,357** |
| **Ending Balance[1]** | **$12,551,599** | **$12,032,691** | **$12,186,757** | **$11,897,832** |
| Equipment Replacement Reserve[2] | $1,007,426 | $1,007,426 | $1,007,426 | $1,007,426 |
| Capital Project Reserve[3] | 8,574,193 | 8,574,193 | 8,574,193 | 8,574,193 |
| Technology Improvement Fund | 200,000 | 200,000 | 200,000 | 200,000 |
| **Unreserved Balance** | **$2,769,980** | **$2,251,072** | **$2,405,138** | **$2,116,213** |
| **Tax Rate per $100 of Assessed Value[4]** | **$0.024** | **$0.024** | **$0.024** | **$0.023** |

[1] The ending balance is being set aside to fund a future expansion of the main facility and potentially a relocation or renovation of the Old Firehouse Teen Center, a satellite program of McLean Community Center, providing after school programs, activities, events and a summer camp program for middle-school-age students. It is anticipated that the funding in the Capital Project Reserve will be directed to the expansion and relocation plans. By building up this reserve, the amount of bond funding required will be reduced accordingly.

[2] Funds reserved for equipment replacement are not encumbered based on normal accounting practices; however, they are allocated for future equipment replacement purchases.

[3] Funds reserved for capital projects are not encumbered based on normal accounting practices; however, they are allocated for future capital projects.

[4] Effective in FY 2012, the tax rate was reduced to $0.023 from $0.024 per $100 of Assessed Value.

# Fund 113
# McLean Community Center

## FY 2012 Summary of Capital Projects

**Fund: 113 McLean Community Center**

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 003601 | McLean Community Center Improvements | $3,649,159 | $176,737.71 | $789,359.29 | $575,000 |
| **Total** | | **$3,649,159** | **$176,737.71** | **$789,359.29** | **$575,000** |

# Fund 115
# Burgundy Village Community Center

## Mission
To provide and maintain a facility for the citizens of the Burgundy Village district so they may have an opportunity to plan, organize, and implement recreational, social, and civic activities.

## Focus
Fund 115, Burgundy Village Community Center, was established in 1970, along with a special tax district, to finance the operations and maintenance of the Burgundy Village Community Center for use by residents of the Burgundy Community. Residents of this district currently pay an additional $0.02 per $100 of assessed value on their real estate taxes to fund the Center. The subdivisions of Burgundy Village, Somerville Hill, and Burgundy Manor are included in the special tax district. Funding for Center operations and maintenance is derived from the tax district receipts, interest on Center funds invested by the County, and rentals.

The Burgundy Village Community Center is used for meetings, public service affairs, and private parties. Residents of the Burgundy Community rent the facility for $50 per event; non-residents are charged $250 per event. There is no charge for community activities or meetings such as the Burgundy Civic Association, Neighborhood Watch, and community events sponsored by the Operations Board.

The Center is currently governed by a five-member Operations Board elected by the Burgundy Village Community residents.

## Budget and Staff Resources

**Agency Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 0/0 | 0/0 | 0/0 | 0/0 |
| Expenditures: | | | | |
| Personnel Services | $15,585 | $18,419 | $18,419 | $18,419 |
| Operating Expenses | 9,933 | 25,646 | 25,646 | 25,646 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Total Expenditures** | **$25,518** | **$44,065** | **$44,065** | **$44,065** |

## FY 2012 Funding Adjustments
*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- FY 2012 funding remains at the same level as the FY 2011 Adopted Budget Plan.

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

## Changes to FY 2011 Adopted Budget Plan
*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- There have been no adjustments to this fund since approval of the FY 2011 Adopted Budget Plan.

## Key Performance Measures

### Objectives

- ♦ To increase the number of community center rentals at least 5 percent in FY 2012 in order to maintain a focal point in the community.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Rentals | 241 | 236 | 241 / 178 | 187 | 197 |
| **Efficiency:** | | | | | |
| Cost per rental | $2.09 | $0.00 | $0.00 / $49.98 | $56.97 | $56.58 |
| **Service Quality:** | | | | | |
| Percent of users satisfied with the use of the facility | 86% | 74% | 85% / 77% | 80% | 80% |
| **Outcome:** | | | | | |
| Percent change in facility use to create a community focal point | 21.1% | (2.1%) | 2.1% / (24.6%) | 5.1% | 5.3% |

## Performance Measurement Results

In FY 2010, rental revenues decreased as non-resident rentals experienced a sharp decline of 55 percent. This is directly attributed to the loss of a regularly scheduled rental. The Center's cost per rental significantly increased due to this decline in revenue. Although the non-residential bookings have declined, the center did increase their resident engagements by 33 percent which included a regularly scheduled weekly rental. In FY 2010, the customer satisfaction survey indicated an increase in satisfaction with the rental of the facility, which shows the Center is ensuring continued satisfaction to remain a focal point in the community. It is anticipated that rental totals will slightly increase in FY 2011 and FY 2012 as the governing Board has voted to secure paid advertising in local newspapers/magazines/periodicals/bulletins and then ascertain the feasibility of an online application/website to help facilitate application, booking, and payment.

# Fund 115
# Burgundy Village Community Center

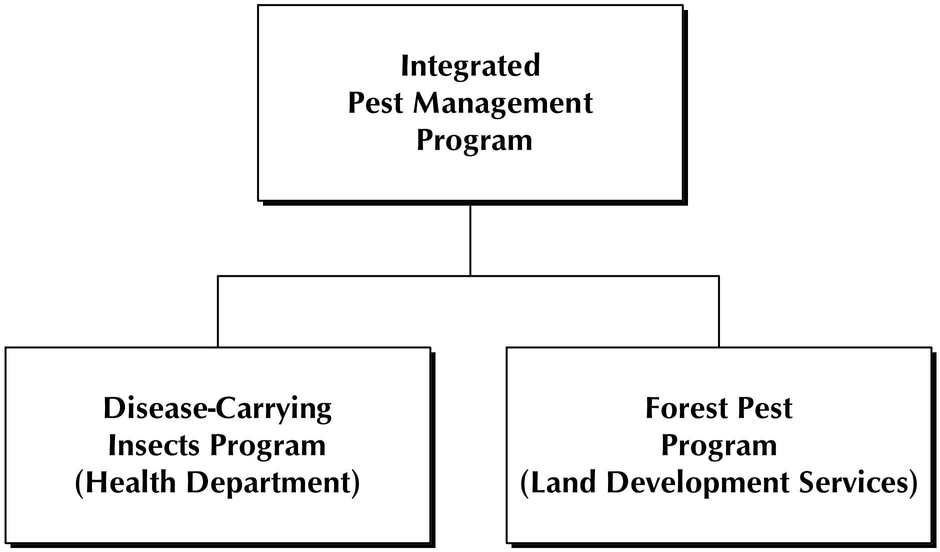
## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** | **Fund 115, Burgundy Village Community Center**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$241,842** | **$256,461** | **$258,254** | **$271,799** |
| Revenue: | | | | |
| Taxes | $24,691 | $23,775 | $23,775 | $23,775 |
| Interest | 1,529 | 4,500 | 4,500 | 2,000 |
| Rent | 15,710 | 29,335 | 29,335 | 17,321 |
| Total Revenue | $41,930 | $57,610 | $57,610 | $43,096 |
| **Total Available** | **$283,772** | **$314,071** | **$315,864** | **$314,895** |
| Expenditures: | | | | |
| Personnel Services | $15,585 | $18,419 | $18,419 | $18,419 |
| Operating Expenses | 9,933 | 25,646 | 25,646 | 25,646 |
| Total Expenditures | $25,518 | $44,065 | $44,065 | $44,065 |
| **Total Disbursements** | **$25,518** | **$44,065** | **$44,065** | **$44,065** |
| **Ending Balance[1]** | **$258,254** | **$270,006** | **$271,799** | **$270,830** |
| **Tax Rate per $100 of Assessed Value** | **$0.02** | **$0.02** | **$0.02** | **$0.02** |

[1] The Burgundy Village Community Center maintains fund balances at adequate levels relative to projected operation and maintenance requirements. These costs change annually; therefore, funding is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 116
# Integrated Pest Management Program




## Mission
To suppress forest pest infestation and insect transmitted human disease throughout the County through surveillance, pest and insect control, and public information and education, so that zero percent of the County tree cover is defoliated and human morbidity and mortality are minimized while protecting the environment.

## Focus
Fund 116, Integrated Pest Management Program, includes two separate programs – the Forest Pest Program managed by Land Development Services (Department of Public Works and Environmental Services) and the Disease-Carrying Insects Program managed by the Health Department. The Forest Pest Program currently focuses on preventing the spread of gypsy moth caterpillars, cankerworms, emerald ash borers and hemlock woolly adelgid in the County. The Disease-Carrying Insects Program focuses on controlling the spread of the West Nile virus and Lyme disease, as the prevention of epidemics and the spread of disease is one of the core functions of the Health Department.

A countywide tax levy financially supports Fund 116 activities and this levy is subject to change annually due to funding requirements based on the level of infestation. Since FY 2001, the Board of Supervisors-approved tax rate has been $0.001 per $100 assessed value and has provided support for both the Forest Pest and the Disease-Carrying Insects Programs. In FY 2012, the same tax rate, along with the existing fund balance, will continue to support both programs.

**Forest Pest Program**

The Forest Pest Program is a cooperative program with the United States Department of Agriculture (USDA) Forest Service and the Virginia Department of Agriculture and Consumer Services (VDACS). VDACS maintains a list of insects that are eligible for control by this program. Currently, four insects are listed – the gypsy moth, cankerworm, emerald ash borer, and hemlock woolly adelgid.

The gypsy moth program and the cankerworm program investigate tree damage due to both pests by conducting annual monitoring surveys. The surveys check egg masses and larval densities, have an approximate 20 foot radius, are conducted every 2,000 feet throughout the County and are Forest Service approved. Forested areas with high gypsy moth and cankerworm populations are identified for possible treatment the following spring. The proposed treatment plan and resource requirements for these pests are submitted annually to the Board of Supervisors for approval in February; the County may also be eligible for partial reimbursement for aerial treatment costs from the federal government assuming funding is available.

Treatment is conducted in late April through early May before the gypsy moth and cankerworm can damage trees. Throughout the year, staff conducts public hearings, displays information at fairs and exhibits, and distributes brochures, educating Fairfax County communities about pest suppression methods and measures that they may take to alleviate potential forest pest population infestations.

It is noted that the size of pest populations for gypsy moths and cankerworms is cyclical. Populations will be high for a period of years, and then drop for a period, only to rise again. For example, in the early to mid 1990s, annual treatment requirements for the gypsy moth fluctuated from 3,000 to 45,000 acres.

In recent years, gypsy moth populations have moderated. Since FY 2001, treatment acreage has fluctuated between zero acres and 5,500 acres annually, with the average being 2,100 acres. Based on field surveys conducted in the fall of 2010, staff estimates that no acres will require treatment in FY 2011. Cankerworm populations also have moderated in recent years. Treatment has not been necessary since 2003, and none is anticipated in FY 2011. The FY 2012 budget provides capacity to treat 500 acres for gypsy moths and 500 acres for cankerworms, should egg mass surveys conducted between August and January of that fiscal year indicate the need.

The emergence of the emerald ash borer in Fairfax County was identified by VDACS in late 2003. In an effort to ensure that the insects did not spread any further, guidance was given by the USDA Animal Plant Health Inspection Service (APHIS) regarding eradication. Eradication efforts took place in spring 2004 before the adult borers emerged. Those efforts were coordinated among Fairfax County Forest Pest Program staff, APHIS, and VDACS; and, federal funding for eradication efforts was provided to the State. Forest Pest Program staff continued to assist in eradication efforts through monitoring and surveying the treated area; however, County financial support for these efforts is not expected to be significant due to the relatively low emerald ash borer populations found in recent years, and due to potential financial assistance from the Commonwealth of Virginia. In July 2008, staff identified three new infestations in the Newington, Herndon and Bailey's Crossroads areas and one in the Fair Oaks area in July 2009. As a result of these infestations, USDA and VDACS have an established quarantine in northern Virginia which prohibits ash wood material from leaving the area. Fairfax County staff has also implemented an outreach program to inform public and private entities of the state and federal regulations.

***On average, County staff annually treats 2,100 acres to combat the gypsy moth infestations.***

Hemlock woolly adelgid is a recent addition to the VDACS list of insects that can be controlled by the Forest Pest Program. Staff is considering various control options for this pest.

**<u>Disease-Carrying Insects Program</u>**

The West Nile virus (WNV) is transmitted from birds to humans through the bite of infected mosquitoes and it continues to be a public health concern. The first sign of the virus in Fairfax County was in 2000 when a positive bird was detected; subsequently, the disease was found in mosquitoes, horses and eventually in humans. To date there have been 24 human cases detected in the County (13 in FY 2003, three in FY 2004, one in FY 2005, zero in 2006, three in FY 2007, one in FY 2008, one in FY 2009, one in FY 2010, and one to date in FY 2011), with two fatalities, one occurring in FY 2003 and one in FY 2005.

In order to address the presence of emerging diseases, the County established a multi-agency mosquito surveillance and management committee, and the Health Department secured contract services in 2002 to carry out specialized activities in avian (bird) and mosquito surveillance and mosquito control. In FY 2003, the County hired a medical entomologist to further develop and guide the Disease-Carrying Insects Program (DCIP). Currently the program consists of four major components: surveillance, control, outreach/education and emergency preparedness. Inter-jurisdictional cooperation is also a key component of the program, allowing for coordination of surveillance and management activities on public lands and with surrounding jurisdictions.

Since the 2004 WNV season (May to October), avian and mosquito surveillance activities have been performed by County staff in lieu of contracted services. However, contracted services have been retained for the more labor-intensive preemptive control activities that require a significant fleet of vehicles and specialized equipment. A comprehensive larval surveillance program was carried out in FY 2005 and FY 2006 to evaluate the actual extent of breeding sites in the County. The County continues to proactively treat the stormwater catch basins in an effort to reduce the population of *Culex* mosquitoes that transmit WNV. Catch basins are treated in several six-week cycles from May through October. Treatment cycles totaling 105,000 catch basins are planned to ensure the aggressive suppression of the disease. Weather conditions are the principal factors that determine the number of catch basins that will be treated any given year. Inspection and larviciding activities are carried out in targeted areas of the County identified as significant mosquito breeding areas.

The outreach and education component of the WNV program is aimed at increasing residents' awareness of actions that can be taken for self protection against mosquitoes and ticks, and reduction of potential mosquito and tick breeding areas on private property. The program continues to produce and distribute outreach material in English, Chinese, Farsi, Korean, Spanish, Urdu and Vietnamese. In FY 2011, the program produced and printed a seventh edition 18-month calendar with complementary captions, facts, figures, important dates, and helpful reminders of things for readers to do around the home to manage mosquitoes and ticks. In addition, the calendar provides helpful hints to protect residents from mosquito- and tick-borne diseases. General facts, local figures and brief descriptions of the County's efforts were included to educate the public about basic mosquito and tick biology and inform them specifically about mosquitoes, ticks, West Nile virus and Lyme disease in Fairfax County. In FY 2010, the program prepared, printed and mass mailed to every residence in the County the second edition of a 20 page "Reference Guide to Mosquitoes, Ticks, West Nile virus and Lyme Disease." All the handouts are available on the County Web site.

In the past few years, public health awareness has increased in relation to Lyme disease as well as other tick-borne illnesses. The majority of these illnesses is bacterial infections transmitted to man by the bite of an infected tick and is an increasing problem in Fairfax County as the endemic area of the diseases continues to expand. A total of 189 human cases were recorded in calendar year 2008, and 257 cases in 2009 (the most recent year for which statistics are available). A tick surveillance program was implemented in FY 2005 to determine the distribution and infection rate of the bacterium (*Borerleia burgdorferi*) that causes Lyme disease. In FY 2009, the program expanded to include the sampling of white-footed mice (*Peromyscus leucopus*), the reservoir for the bacterium that causes Lyme disease, to determine its infection rate. Also in FY 2009, the program initiated a tick identification service for County residents to inform them of the type of tick that had bitten them. These programs and services will continue in FY 2012. In the spring of FY 2010, DCIP began collaborating on a deer four poster tick control effort with other agencies in the County. The four poster is a bait station that applies an insecticide to the deer that will kill the ticks that are on the deer, therefore, controlling the tick population. In FY 2011, the tick surveillance system was able to detect the apparent establishment of a non-native species of tick in the County (the Gulf Coast tick, *Amblymomma maculatum*) that is the vector for a bacterium (*Rickettsia Parkeri*) that causes a spotted fever disease. Efforts were initiated to try to eliminate this established population.

# Fund 116
# Integrated Pest Management Program

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 10/ 10 | 10/ 10 | 12/ 12 | 12/ 12 |
| Expenditures: | | | | |
| Personnel Services | $1,206,830 | $1,195,505 | $1,195,505 | $1,195,505 |
| Operating Expenses | 969,807 | 1,707,847 | 2,086,967 | 1,707,847 |
| **Total Expenditures** | **$2,176,637** | **$2,903,352** | **$3,282,472** | **$2,903,352** |

| Summary by Program | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| **Forest Pest Program** | | | | |
| Authorized Positions/Staff Years | | | | |
| Regular | 7/ 7 | 7/ 7 | 7/ 7 | 7/ 7 |
| **Expenditures** | **$851,168** | **$1,061,937** | **$1,061,937** | **$1,061,937** |
| **Disease-Carrying Insects Program** | | | | |
| Authorized Positions/Staff Years | | | | |
| Regular | 3/ 3 | 3/ 3 | 5/ 5 | 5/ 5 |
| **Expenditures** | **$1,325,469** | **$1,841,415** | **$2,220,535** | **$1,841,415** |

| Position Summary | | | |
|---|---|---|---|
| | FOREST PEST PROGRAM | | DISEASE-CARRYING INSECTS PROGRAM |
| 1 | Urban Forester III | 1 | Environmental Health Supervisor |
| 4 | Urban Foresters II | 1 | Environmental Health Specialist III |
| 1 | Information Technology Technician III | 2 | Environmental Health Specialists II |
| 1 | Administrative Assistant II | 1 | Administrative Assistant III |
| **TOTAL POSITIONS** | | | |
| **12 Positions / 12.0 Staff Years** | | | |

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- FY 2012 funding remains at the same level as the FY 2011 Adopted Budget Plan.

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$379,120**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved encumbered funding of $379,120 in Operating Expenses for contractual agreements.

- **Position Changes** **$0**
  As part of the FY 2011 review of County position categories, a conversion of 2/2.0 SYE position has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

## Key Performance Measures

### Objectives

- To control the infestation of gypsy moths, cankerworms, and emerald ash borers through detection and abatement programs so that no more than 1 percent of the County tree cover is defoliated in a given year.

- To suppress the transmission of West Nile virus from infected mosquitoes to the human population, holding the number of human infections to no more than three.

| | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| Indicator | FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | FY 2011 | FY 2012 |
| **Output:** | | | | | |
| Gypsy moth/cankerworm field surveys completed annually in areas known or suspected to be infested | 4,000 | 3,200 | 3,200 / 3,200 | 3,200 | 3,200 |
| Mosquito larvicide treatments of catch basins to control West Nile virus | 101,416 | 105,099 | 105,000 / 109,898 | 105,000 | 109,500 |
| **Efficiency:** | | | | | |
| Gypsy moth/cankerworm field surveys conducted per staff | 800 | 800 | 800 / 800 | 800 | 800 |
| Disease-carrying insects program cost per capita | $1.25 | $1.28 | $2.02 / $1.20 | $2.01 | $2.01 |
| **Service Quality:** | | | | | |
| Percent of County households in gypsy moth and cankerworm treatment areas notified of abatement efforts | 100% | 100% | 100% / 100% | 100% | 100% |
| Percent of targeted catch basin areas treated with mosquito larvicide within the scheduled timeframe | 96% | 90% | 100% / 100% | 100% | 100% |
| **Outcome:** | | | | | |
| Percent of County tree defoliation resulting from gypsy moth and cankerworm infestation | 0% | 0% | 0% / 0% | 0% | 0% |
| Confirmed human cases of West Nile virus in Fairfax County, Fairfax City and Falls Church City as reported by the Virginia Department of Health | 1 | 1 | 1 / 1 | 1 | 1 |

## Performance Measurement Results

**Forest Pest Program:** There was no aerial treatment for the gypsy moth in the spring of FY 2010. Based on field surveys of the gypsy moth population in the fall of 2010, staff estimates no acres will require treatment in the spring of FY 2011. Based on surveys for the cankerworm, no treatment was necessary in the spring of FY 2010, and none is required during the spring of FY 2011. Defoliation surveys for both insects conducted in the summer of 2010 indicated that there were no acres of defoliation in Fairfax County during FY 2010, totaling zero percent.

**Disease-Carrying Insects Program (DCIP):** The continuing goal of DCIP in FY 2012 is to continue to hold the number of human cases of West Nile virus (WNV) as reported by the Virginia Department of Health to no more than one case, the same goal as in the last fiscal year. In FY 2010, there was only one human case of WNV.

DCIP costs are based on the number of treatment rounds in a given year, as well as education, outreach and surveillance activities carried out in-house. Treatment rounds, although dependent on weather conditions, remain relatively constant throughout the years, maintaining a relatively stable program cost. The total DCIP cost per capita was $1.20 in FY 2010 and this was lower than the budgeted estimate of $2.02 per capita due to fewer treatments needed than originally budgeted. The estimated cost for FY 2012 provides the capacity for a higher cost per capita; actual spending will depend on environmental factors, insecticide treatments resulting from larval inspections and surveillance activities, as well as follow-up studies for the evaluation of the outreach program.

The tick surveillance program, began in FY 2005 and continues in FY 2012. This program will increase the understanding of the magnitude of tick-borne diseases in the County and will define the regions of greatest risk. DCIP has contracted the Johns Hopkins School of Public Health to test the ticks for pathogens they may transmit. The increased testing for the presence of pathogens may also impact DCIP cost per capita in future years.

# Fund 116
# Integrated Pest Management Program

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** **Fund 116, Integrated Pest Management Program**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$3,275,153** | **$2,021,965** | **$3,250,878** | **$1,782,594** |
| Revenue: | | | | |
| General Property Taxes | $2,040,864 | $1,765,515 | $1,765,515 | $1,747,860 |
| Interest on Investments | 21,498 | 48,673 | 48,673 | 4,456 |
| State Reimbursement | 90,000 | 0 | 0 | 0 |
| Total Revenue | $2,152,362 | $1,814,188 | $1,814,188 | $1,752,316 |
| **Total Available** | **$5,427,515** | **$3,836,153** | **$5,065,066** | **$3,534,910** |
| Expenditures: | | | | |
| Forest Pest Program | $851,168 | $1,061,937 | $1,061,937 | $1,061,937 |
| Disease-Carrying Insects Program | 1,325,469 | 1,841,415 | 2,220,535 | 1,841,415 |
| Total Expenditures | $2,176,637 | $2,903,352 | $3,282,472 | $2,903,352 |
| **Total Disbursements** | **$2,176,637** | **$2,903,352** | **$3,282,472** | **$2,903,352** |
| **Ending Balance[1]** | **$3,250,878** | **$932,801** | **$1,782,594** | **$631,558** |
| **Tax Rate Per $100 of Assessed Value** | **$0.001** | **$0.001** | **$0.001** | **$0.001** |

[1] Due to the cyclical nature of pest populations, the treatment requirements supported by this fund may fluctuate from year to year. Therefore, Ending Balances may also fluctuate depending on the level of treatment necessary to suppress gypsy moth, cankerworm, emerald ash borer or West Nile Virus - carrying mosquito populations in a given year.

# Fund 118
# Consolidated Community Funding Pool

## Mission

To provide a pool of funds to be awarded on a competitive basis for human service programs offered by community-based agencies. The Department of Administration for Human Services (DAHS) has oversight responsibility for this funding pool.

## Focus

The formation of the Consolidated Community Funding Pool (CCFP) began in FY 1997, when the Board of Supervisors approved the development and the implementation of a competitive funding process to fund services best provided by community-based agencies and organizations. These organizations were formerly funded through a contribution or through a contract with an individual County agency. In accordance with the Board's direction, this process was operational in FY 1998 and was guided by the following goals:

- Provide support for services that are an integral part of the County's vision and strategic plan for human services;

- Serve as a catalyst to community-based agencies, both large and small, to provide services and leverage resources;

- Strengthen the community's capacity to provide human services to individuals and families in need through effective and efficient use of resources; and

- Help build public/private partnerships and improve coordination, especially within the human services regions of the County.

Fund 118 was established in FY 1998 to provide a budget mechanism for this funding process. In FY 2000, Community Development Block Grant (CDBG) funding for community-based organizations was incorporated to form the CCFP.

Prior to FY 2000, the CCFP grant process and the CDBG process were similar activities that operated under different time frames, with separate application requirements and different evaluation criteria. With the December 1997 approval of the Board of Supervisors, these two processes were merged under the title of Consolidated Community Funding Pool. The CCFP is funded from federal CDBG funds for Targeted Public Services and Affordable Housing; federal Community Services Block Grant (CSBG) funds; and local Fairfax County General Funds. Although the process for setting priorities and awarding funds has been consolidated, Fund 118 contains only the local Fairfax County General Fund and CSBG portion of the funds. The federal CDBG funds remain in Fund 142, Community Development Block Grant, for grant accounting purposes. It should also be noted that the CSBG funding is not detailed separately from the General Fund Transfer.

The CCFP process reflects significant strides to improve services to County residents and to usher in a new era of strengthened relations between the County and community nonprofit and faith-based organizations. First, all programs funded through this process are required to develop and track program outcome measures. To aid agencies in meeting this requirement, the County has provided several performance measurement training opportunities for staff and volunteers from all interested community-based agencies. Second, the criteria used to evaluate the proposals explicitly encourage agencies to leverage County funding through strategies such as cash match from other non-County sources, in-kind services from volunteers or contributions from the business community and others. Third, the criteria encourage agencies to develop approaches which build community capacity and involve residents and the individuals and families in the neighborhoods being served. Fourth, the County has implemented a nonprofit organizational development initiative to strengthen current and potential CCFP applicant organizations.

A Request for Proposal (RFP) was issued in the fall of 2009, utilizing these funding criteria as approved by the Board of Supervisors. Funds were awarded for a two-year period on a competitive basis after a citizen Selection Advisory Committee reviewed responses to the RFP. The citizen Selection Advisory Committee recommended two-year funding awards to the Board of Supervisors on April 27, 2010.

# Fund 118
# Consolidated Community Funding Pool

Continued efforts have been made to streamline the funding process for both County and community-based agencies. FY 2012 will be the thirteenth year of a consolidated process for setting priorities and awarding funds from both the CCFP and CDBG processes.

FY 2012 initiatives:

- Continue utilization of the two-year contract awards cycle for agencies receiving funds through the CCFP.

- Continue provision and coordination of relevant training and technical assistance to build organizational capacity and expand service delivery to meet the county's human services needs.

- Continue provision of contract oversight which includes program activities, service delivery, contractual compliance and financial management to nonprofit recipients of CCFP funds.

- Promote approaches which build community capacity and leadership and the involvement of residents and where feasible, the population being served in targeted communities.

- Review documented service needs and demographic trends and continue to gather relevant information from public meetings, reports and studies, and data from County and nonprofit human service agencies.

FY 2012 is the second year of a two-year funding cycle. The Consolidated Community Funding Advisory Committee (CCFAC) has organized the FY 2011/FY 2012 funding priorities according to four areas, and adopted corresponding outcome statements. The CCFAC also recommended, and the Board approved, target percentage ranges for each priority area for FY 2011/FY 2012, which are intended to be used as guidelines for applicants and for the citizen Selection Advisory Committee.

| Priority Area | Outcome Statement | Target |
|---|---|---|
| Prevention | Families and individuals get help to remain independent and have the tools and resources to prevent future or ongoing dependence. Communities increase their ability to develop and provide preventive services. | 10 – 20% |
| Crisis Intervention | Individuals, families, or communities in crisis overcome short-term problems (generally less than three months) and quickly move back to independence, if appropriate. | 15 – 25% |
| Self-Sufficiency | Families, individuals, neighborhoods, and communities get comprehensive services addressing many facets and needs so that they can attain self-sufficiency over a period of three months to three years. | 45 – 55% |
| Ongoing Assistance | People, neighborhoods, and communities that have continuing and long-term needs achieve or maintain healthy, safe and independent lives to the maximum extent possible. | 10 – 20% |

The Department of Administration for Human Services has administrative oversight responsibility for the CCFP. Together with the Fairfax County Department of Housing and Community Development, the Department of Family Services, the Department of Neighborhood and Community Services and the Office to Prevent and End Homelessness, they are responsible for planning, implementing and oversight of all facets of the CCFP process.

# Fund 118
# Consolidated Community Funding Pool

Recognizing the need for the critical services provided by CCFP contractors to the community, families, and individuals, particularly in the current economic downturn, the County Executive proposed to keep the FY 2012 General Fund transfer at the same level as FY 2011. FY 2012 CDBG funding is projected to increase by $72,124, thus increasing the total CCFP FY 2012 funding level to $11,053,601. A breakdown of this funding is shown in the following table:

| Funding Source | FY 2012 Advertised Budget |
|---|---|
| General Fund Transfer<br>(includes estimated CSBG revenue to General Fund) | $8,970,687 |
| CDBG<br>(shown in Fund 142, CDBG)[1] | $2,082,914 |
| **Total CCFP** | **$11,053,601** |

[1] The Fund 142, CDBG award is currently an estimate and allocation of funding will be made as part of the *FY 2011 Carryover Review*.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Expenditures: | | | | |
| Operating Expenses | $9,082,779 | $8,970,687 | $9,154,331 | $8,970,687 |
| **Total Expenditures** | **$9,082,779** | **$8,970,687** | **$9,154,331** | **$8,970,687** |

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- FY 2012 funding remains at the same level as the FY 2011 Adopted Budget Plan.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$183,644**

  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved encumbered funding of $183,644 in Operating Expenses to complete and settle all FY 2010 contracts.

# Fund 118
# Consolidated Community Funding Pool

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** | **Fund 118, Consolidated Community Funding Pool**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$295,736** | **$0** | **$183,644** | **$0** |
| Transfer In: | | | | |
| General Fund (001) | $8,970,687 | $8,970,687 | $8,970,687 | $8,970,687 |
| Total Transfer In | $8,970,687 | $8,970,687 | $8,970,687 | $8,970,687 |
| **Total Available** | **$9,266,423** | **$8,970,687** | **$9,154,331** | **$8,970,687** |
| Expenditures: | | | | |
| Operating Expenses | $9,082,779 | $8,970,687 | $9,154,331 | $8,970,687 |
| Total Expenditures | $9,082,779 | $8,970,687 | $9,154,331 | $8,970,687 |
| **Total Disbursements** | **$9,082,779** | **$8,970,687** | **$9,154,331** | **$8,970,687** |
| **Ending Balance**[1] | **$183,644** | **$0** | **$0** | **$0** |

[1] The FY 2011 Ending Balance decreases by more than 10 percent due to the projected expenditure of carryover funds to complete and settle all Consolidated Community Funding Pool (CCFP) contracts.

# Fund 119
# Contributory Fund

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Expenditures: | | | | |
| Operating Expenses | $12,854,128 | $12,038,305 | $12,038,305 | $12,212,942 |
| **Total Expenditures** | **$12,854,128** | **$12,038,305** | **$12,038,305** | **$12,212,942** |

## Contributory Overview

Fund 119, Contributory Fund, was established in FY 2001 to reflect General Fund support for agencies or organizations that receive County contributions. FY 2012 funding totals $12,212,942 and reflects an increase of $174,637 or 1.45 percent over the FY 2011 Adopted Budget Plan funding level of $12,038,305. The required Transfer In from the General Fund is $12,162,942. Individual contributions are described in detail on the following pages.

Contributory funding is in compliance with the Board of Supervisors' policy to make General Fund appropriations of specified amounts to various nonsectarian, nonprofit or quasi-governmental entities for the purpose of promoting the general health and welfare of the community. Contributory agency positions are not part of the County merit system and funding for all contributory agencies is reviewed annually. Each request is reviewed on the basis of the benefit to Fairfax County citizens, contractual or regional commitments, the responsibilities of state agencies, and a prior County commitment of funding. When appropriate, a nonprofit agency that provides specific contractual partnership services may be referred to Fund 118, Consolidated Community Funding Pool, for funding consideration by the Consolidated Community Funding Advisory Committee.

Since public funds are being appropriated, disbursements provided to designated agencies are currently made contingent upon submission and review of quarterly, semiannual and/or annual reports. This oversight activity includes reporting requirements prescribed by the County Executive, requiring designated agencies to accurately describe the level and quality of services provided to County residents, as well as the overall financial strength and stability of the County's contributory agencies. Various County agencies may be tasked with oversight of program reporting requirements. Contributory agencies that do not file reports as requested, may, at the discretion of the County Executive, have payments withheld until appropriate reports are filed and reviewed.

It should be noted that population is used by several of the organizations as the basis for their requests for FY 2012 funding from Fairfax County. The population figures cited by the individual organizations for Fairfax County may differ somewhat from one another due to the particular projection service utilized.

The chart on the following pages summarizes the FY 2012 funding for the various contributory organizations.

# Fund 119
# Contributory Fund

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Legislative-Executive Functions/Central Service Agencies:** | | | | |
| Alliance for Innovation | $6,000 | $6,000 | $6,000 | $6,000 |
| Dulles Area Transportation Association | 9,000 | 9,000 | 9,000 | 9,000 |
| Metropolitan Washington Council of Governments | 889,662 | 883,745 | 883,745 | 889,890 |
| National Association of Counties | 19,049 | 19,049 | 19,049 | 19,049 |
| Northern Virginia Regional Commission | 557,111 | 564,382 | 564,382 | 568,534 |
| Northern Virginia Transportation Commission | 179,609 | 186,288 | 186,288 | 174,499 |
| Virginia Association of Counties | 223,810 | 227,208 | 227,208 | 227,208 |
| Virginia Institute of Government | 20,000 | 20,000 | 20,000 | 20,000 |
| Washington Airports Task Force | 34,425 | 32,704 | 32,704 | 50,000 |
| **Subtotal Legislative-Executive** | **$1,938,666** | **$1,948,376** | **$1,948,376** | **$1,964,180** |
| | | | | |
| **Public Safety:** | | | | |
| NOVARIS | $10,118 | $9,577 | $9,577 | $14,677 |
| Partnership For Youth | 42,500 | 40,375 | 40,375 | 40,375 |
| **Subtotal Public Safety** | **$52,618** | **$49,952** | **$49,952** | **$55,052** |
| | | | | |
| **Health and Welfare:** | | | | |
| GMU Law and Mental Illness Clinic | $51,678 | $51,678 | $51,678 | $0 |
| Health Systems Agency of Northern Virginia | 86,750 | 86,750 | 86,750 | 86,750 |
| Medical Care for Children | 166,000 | 237,000 | 237,000 | 237,000 |
| Northern Virginia Healthcare Center/Birmingham Green Adult Care Residence | 1,753,592 | 1,847,761 | 1,847,761 | 2,165,918 |
| Volunteer Fairfax | 305,247 | 305,247 | 305,247 | 305,247 |
| **Subtotal Health and Welfare** | **$2,363,267** | **$2,528,436** | **$2,528,436** | **$2,794,915** |
| | | | | |
| **Parks, Recreation and Cultural:** | | | | |
| Arts Council of Fairfax County | $191,257 | $181,694 | $181,694 | $231,694 |
| Arts Council of Fairfax County - Arts Groups Grants | 102,000 | 96,900 | 96,900 | 96,900 |
| Challenge Grant Funding Pool for the Arts | 467,500 | 444,125 | 444,125 | 444,125 |
| Dulles Air and Space Museum | 150,000 | 100,000 | 100,000 | 100,000 |
| Fairfax Symphony Orchestra | 248,455 | 236,032 | 236,032 | 236,032 |
| Fort Belvoir Army Museum | 150,000 | 100,000 | 100,000 | 100,000 |
| Lorton Arts Foundation | 1,000,000 | 1,000,000 | 1,000,000 | 750,000 |
| Northern Virginia Regional Park Authority | 2,083,723 | 1,979,537 | 1,979,537 | 1,979,537 |
| Reston Historic Trust | 17,000 | 16,150 | 16,150 | 16,150 |
| Herndon Dulles Visitors Center | 0 | 0 | 0 | 40,000 |
| Town of Vienna Teen Center | 34,000 | 32,300 | 32,300 | 32,300 |
| Wolf Trap Foundation for the Performing Arts | 106,250 | 100,938 | 100,938 | 100,938 |
| **Subtotal Parks, Recreation & Cultural** | **$4,550,185** | **$4,287,676** | **$4,287,676** | **$4,127,676** |

# Fund 119
# Contributory Fund

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Community Development:** | | | | |
| Architectural Review Board | $2,975 | $2,826 | $2,826 | $2,826 |
| Center for Chesapeake Communities | 30,600 | 29,070 | 29,070 | 29,070 |
| Commission for Women | 6,916 | 6,916 | 6,916 | 6,916 |
| Convention and Visitors Corporation | 2,538,837 | 2,378,965 | 2,378,965 | 2,426,544 |
| Earth Sangha | 17,000 | 16,150 | 16,150 | 16,150 |
| Fairfax County History Commission | 22,119 | 21,013 | 21,013 | 21,013 |
| Fairfax ReLeaf | 44,200 | 41,990 | 41,990 | 41,990 |
| Greater Reston Incubator | 25,500 | 24,225 | 24,225 | 24,225 |
| Northern Virginia Community College | 91,110 | 90,181 | 90,181 | 89,856 |
| Northern Virginia Conservation Trust | 239,740 | 227,753 | 227,753 | 227,753 |
| Northern Virginia Soil and Water Conservation District | 421,990 | 0 | 0 | 0 |
| Occoquan Watershed Monitoring Program | 112,559 | 0 | 0 | 0 |
| OpenDoor Housing Fund | 31,776 | 31,776 | 31,776 | 31,776 |
| Southeast Fairfax Development Corporation | 192,968 | 183,320 | 183,320 | 183,320 |
| VPI/UVA Education Center | 50,000 | 50,000 | 50,000 | 50,000 |
| Women's Center of Northern Virginia | 28,445 | 27,023 | 27,023 | 27,023 |
| **Subtotal Community Development** | **$3,856,735** | **$3,131,208** | **$3,131,208** | **$3,178,462** |
| | | | | |
| **Nondepartmental:** | | | | |
| Fairfax Public Law Library | $92,657 | $92,657 | $92,657 | $92,657 |
| **Subtotal Nondepartmental** | **$92,657** | **$92,657** | **$92,657** | **$92,657** |
| | | | | |
| **Total County Contributions** | **$12,854,128** | **$12,038,305** | **$12,038,305** | **$12,212,942** |

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

♦ **FY 2012 Baseline Adjustments** **$174,637**

A net increase of $174,637 reflects adjustments associated with contributions based on legal requirements, per capita calculations, contractual or regional commitments or based on membership dues. The following summaries describe these adjustments in more detail by program area.

The **Legislative-Executive Functions/Central Service Agencies** program area increases $15,804 for several organizations based on per capita requirements and adjusted County population figures for which population is cited and used in the calculation. This increase includes $6,145 or 0.7 percent for Metropolitan Washington Council of Governments (MWCOG) due to an increase in the Water Resources and the Regional Environmental Fund assessment, $4,152 or 0.7 percent for the Northern Virginia Regional Commission due to a slight increase in the County population estimate, and $17,296 or 52.9 percent for the Washington Airports Task Force. This increase is partially offset by a decrease of $11,789 or 6.3 percent for the Northern Virginia Transportation Commission (NVTC) based on the share of revenue to be received by NVTC on behalf of the County (calculation based on state statute). It should be noted that population, as determined by the County's Department of Systems Management for Human Services, may differ from other particular projection services, e.g., Weldon Cooper Center for Public Service, used by various contributory agencies as the basis for their contributions.

The **Public Safety** program area increases $5,100 or 10.2 percent due to an increase in the County's share for the Northern Virginia Regional Identification System (NOVARIS) associated with additional telecommunications costs.

The **Health and Welfare** program area increases $266,479 due to an increase of $318,157 or 17.2 percent for the Northern Virginia Healthcare Center/Birmingham Green Adult Care Residence, known collectively as Birmingham Green. The increase for Birmingham Green is based on actual costs and utilization rates at the facility. This increase is partially offset by a decrease of $51,678 due to transferring of the services currently provided by the George Mason University Law and Mental Illness Clinic to the Fairfax County's Office of the County Attorney.

The **Parks, Recreation and Cultural** program area decreases $160,000 due to a decrease of $250,000 or 25.0 percent for the Lorton Arts Foundation (LAF) based on amended agreement between Fairfax County and LAF. This decrease is partially offset by an increase of $50,000 or 27.5 percent for the Arts Council of Fairfax County to fund the planning and implementation of a Master Arts Plan of Fairfax County and funding of $40,000 for the Town of Herndon Visitors Center.

The **Community Development** program area increases $47,254 due to an increase of $47,579 or 2.0 percent for the Convention and Visitors Corporation based on projected Transient Occupancy Tax revenue in FY 2012, partially offset by a slight decrease of $325 or 0.4 percent for the Northern Virginia Community College based on shifts in population among contributing jurisdictions.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- There have been no adjustments to this fund since approval of the FY 2011 Adopted Budget Plan.

The following pages provide background information and summary budget data for organizations receiving FY 2012 contributory funding.

## FY 2012 Advertised Budget Plan Contributions

### Legislative-Executive Functions/Central Service Agencies:

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Alliance for Innovation | $6,000 | $6,000 | $6,000 | $6,000 |

The Alliance for Innovation – formerly known as the Virginia Innovation Group – is part of the Innovation Groups (IG), a network of local government professionals seeking innovation and governmental excellence. IG, now in its 30th year of service, provides a national forum for those seeking to innovate and learn new approaches to providing public service. IG's purpose is to assist local governments to build and sustain the capacity to be innovative. It provides an 'organizational' membership, meaning that everyone at every level in member jurisdictions can utilize its services. These include an online document library, research inquiry service, national and regional networking opportunities, training and other learning events, two annual conferences, research and publications. The International City/County Management Association, IG and Arizona State University founded the Alliance for Innovation to assist local governments across the country by identifying the major forces that will drive local government in the future; responding to those forces by identifying and accelerating innovations; identifying and documenting best practices; and reducing the time from when an innovation is identified to when it becomes practice.

For FY 2012, the membership dues to the Alliance for Innovation for Fairfax County's share of costs based on population are $6,000, which is consistent with the FY 2011 Adopted Budget Plan.

# Fund 119
# Contributory Fund

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Dulles Area Transportation Association | $9,000 | $9,000 | $9,000 | $9,000 |

The Dulles Area Transportation Association (DATA) is a public-private, nonprofit, 501(c)(3) tax exempt transportation management association dedicated to improving transportation in a 150-square mile area around Dulles Airport including the Route 28, Route 50, Route 7 and Dulles Corridor (the Greater Dulles Area). Its membership is comprised of elected officials of the Commonwealth of Virginia, Fairfax County, Loudoun County, and the towns of Herndon and Leesburg; senior executives of the Metropolitan Washington Area Airports (MWAA) and the Washington Metropolitan Area Transit Authority (WMATA); and other employer firms, property owners and business professionals, with membership open to all. The Board of Supervisors approved the first contribution for DATA in the FY 1993 budget.

DATA currently has over 130 members; 50 are dues-paying individual corporations and businesses, 10 are dues-paying governmental or quasi-governmental organizations, and the remainder are non-paying local representatives to the General Assembly, representatives of citizen associations, and affiliate members (e.g., Fairfax County Chamber of Commerce), none of whom are obligated to pay dues but allow similar memberships in their organizations.

DATA provides a neutral public forum for identifying transportation needs within the Greater Dulles Area, as well as generating solutions to meet them. DATA plans and conducts transportation seminars in support of efforts to improve transportation in the greater Dulles area in conjunction with regional members of the Commonwealth Transportation Board and other local governing bodies. Other programs emphasize congestion management and mobility approaches including heavy and light rail, bus rapid transit, and highway improvements and the effects of green house gases and climate change will be explored. DATA staff also works with the County's Department of Transportation to execute targeted projects aimed at raising employer and citizen awareness of the challenges and possible solutions to traffic congestion in the region.

The FY 2012 Fairfax County funding amount for the Dulles Areas Transportation Association is $9,000, which is the same level as the FY 2011 Adopted Budget Plan contribution amount.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Metropolitan Washington Council of Governments | $889,662 | $883,745 | $883,745 | $889,890 |

The Metropolitan Washington Council of Governments (COG) is the regional planning organization of the Washington, D.C. area's local governments. COG works toward solutions to regional problems such as transportation, affordable housing, emergency preparedness and environmental issues. Currently, 21 area jurisdictions are members, including Fairfax County. Funding for COG is provided through federal and state grants, contributed services, special contributions (fees for services) and local government contributions.

Annual COG contributions are based on the per capita rate multiplied by the population estimates provided by member jurisdictions. The FY 2012 per capita rate is $0.65721, which is consistent with the FY 2011 rate for member contributions.

The FY 2012 Administrative Contribution totals $720,514 and is consistent with the FY 2011 Adopted Budget Plan amount. COG calculates each jurisdiction's share based on the region's estimated population. In addition to the Administrative Contribution of $720,514 and Special Contributions of $169,376 ($134,127 for the Regional Environmental Fund and $35,249 for Water Resources), for a total Fund 119 contribution of $889,890, an amount of $13,997 is budgeted in Fund 114, I-95 Refuse Disposal, and $249,628 ($216,533 for Water Resource Planning and $33,095 for Blue Plains Users) is budgeted in Fund 401, Sewer Operation Maintenance Fund - Wastewater Management. The total FY 2012 County contribution to COG is $1,153,515.

# Fund 119
# Contributory Fund

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| National Association of Counties | $19,049 | $19,049 | $19,049 | $19,049 |

The National Association of Counties (NACo) is an organization that represents and informs participating governments of current developments and policies that affect services and operations. NACo acts as a liaison with other levels of government, works to improve public understanding of counties, serves as a national advocate for counties and provides them with resources to find innovative methods to meet the challenges they face. NACo is involved in a number of special projects that deal with issues such as homeland security, energy, environment, housing and land use, among others.

An amount of $19,049 is included for FY 2012 dues, which is consistent with the FY 2011 Adopted Budget Plan.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Northern Virginia Regional Commission | $557,111 | $564,382 | $564,382 | $568,534 |

The Northern Virginia Regional Commission (NVRC) is a regional council of local governments in Northern Virginia created in 1969 pursuant to the Virginia Area Development Act and a regionally-executed charter. In 1995, the Virginia Area Development Act was amended and renamed the Regional Cooperation Act. It sets forth the purpose of planning district commissions as follows: "...to encourage and facilitate local government cooperation in addressing, on a regional basis, problems of greater than local significance. The cooperation resulting from this Act is intended to assist local governments in meeting their own problems by enhancing their abilities to recognize and analyze regional opportunities and take account of regional influences in planning and implementing their public policies and services."

NVRC's policies and programs are established by a 25-member Board of Commissioners composed entirely of elected council and board members of NVRC's 14 member localities. The work of the Commission is supported in part by contributions from the member local governments and by appropriations from the Virginia General Assembly.

NVRC serves as a neutral forum for decision-making; provides member governments with the information and analyses necessary to make sound local and regionally beneficial decisions; provides professional and technical services to enable member governments to plan for their future individually and as a region; and carries out programs and functions at the request of member governments to supplement their own capacities or to achieve economies of scale through regional approaches. NVRC's services are divided into regional policy programs such as the legislative program; demographics and information services; environmental and land use; and human services programs.

The total FY 2012 Fairfax County contribution of $568,534 is an increase of $4,152 or 0.7 percent over the FY 2011 Adopted Budget Plan of $564,382. This amount provides for the annual contribution of $499,340, as well as special contributions of $42,072 to support the Occoquan Watershed Management Program, $8,085 for the Northern Virginia Waste Management Program and $19,037 for the Four-Mile Run Watershed Management Program. Consistent with the last several fiscal years, NVRC is holding the per capita rate at $0.50 for FY 2012. As a result, the increase is attributable to a slight population increase based on the population estimates generated by the Weldon Cooper Center for Public Service.

# Fund 119
# Contributory Fund

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Northern Virginia Transportation Commission | $179,609 | $186,288 | $186,288 | $174,499 |

The Northern Virginia Transportation Commission (NVTC) is the executive agency of the Northern Virginia Transportation District. It was established by state statute as a political subdivision of the Commonwealth of Virginia. The principal business activity of the Commission is to manage and control the functions, affairs and property of the Northern Virginia Transportation District, as defined in the Transportation Act of 1964. It represents its constituent jurisdictions (Alexandria, Falls Church, Fairfax City, Arlington County, Fairfax County and Loudoun County) on the Metro Board.

Each NVTC jurisdiction is assigned a percentage of the local portion of NVTC's administrative budget based on the jurisdiction's share of state aid received by NVTC in the previous year. This is determined by the application of a subsidy allocation model that projects the total amount of state aid received by the region and local jurisdictions. This model contains seven formulas including such variables as Metro construction costs, Metrorail service costs, ridership volume and population. These calculated percentages for each jurisdiction are applied to NVTC's remaining administrative budget after other revenue sources such as state aid, interest earned and project chargebacks have been applied.

The NVTC projected expenditure base for FY 2012 is $1,195,410, which is a decrease of 2.2 percent from the FY 2011 budget; Fairfax County's contribution will decrease by $11,789 based on its share of revenue received by NVTC on behalf of the County. The total FY 2012 Fairfax County funding is $174,499, a decrease of $11,789 or 6.3 percent from the FY 2011 Adopted Budget Plan of $186,288.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Virginia Association of Counties | $223,810 | $227,208 | $227,208 | $227,208 |

The Virginia Association of Counties (VACo) is an organization dedicated to improving county government in the Commonwealth of Virginia. To accomplish this goal, the association represents Virginia counties regarding state legislation that would have an impact on them. The association also provides conferences, publications and programs designed to improve county government and to keep county officials informed about recent developments in the state, as well as across the nation.

The FY 2012 Fairfax County contribution to VACo is $227,208, which is consistent with the FY 2011 Adopted Budget Plan amount. The per capita rate is projected to remain at $0.22 for member contributions for FY 2012, which is the same rate as FY 2011 and is subject to final FY 2012 budget approval by VACo's governing board.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Virginia Institute of Government | $20,000 | $20,000 | $20,000 | $20,000 |

The Virginia Institute of Government was created by an act of the General Assembly in 1994, and is a nonprofit organization funded half by the Commonwealth of Virginia and half by local government membership contributions. It is part of the University of Virginia and its Weldon Cooper Center for Public Service. Local governments that join the Institute make annual contributions based on membership. While the Institute began with 60 members, it now has a roster of more than 200 Virginia member localities. The Institute operates with an advisory board of 18 members, each appointed for a single two-year term. It is made up of an equal number of members from the state's legislative and executive branches, as well as local governments.

The Institute is an ongoing informal gathering of organizational development staff from Virginia localities established to exchange ideas and strategies for developing high-performance governments and to help the Institute identify areas of needed assistance. Work products of the Virginia Institute of Government encompass four main areas: training, technical assistance, electronic information services, and select research projects. The Institute also provides staff support to certain state legislative and study committees.

The total Fairfax County FY 2012 funding is $20,000, which is consistent with the FY 2011 Adopted Budget Plan.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Washington Airports Task Force | $34,425 | $32,704 | $32,704 | $50,000 |

The Commonwealth of Virginia, Fairfax County and the private sector support the Washington Airports Task Force. Its purpose is to develop markets, as well as promote domestic and foreign usage of the Metropolitan Washington Airports. It has yielded hundreds of millions of dollars in economic return for the Washington region and the Commonwealth of Virginia, including investment, tourism income, trade opportunities and jobs. Both Dulles and National Airports continue their significant impact on Fairfax County's economy.

The FY 2012 Fairfax County funding is $50,000, an increase of $17,296 or 52.9 percent over the FY 2011 Adopted Budget Plan contribution of $32,704. The contribution will be used to maintain a comprehensive, proactive marketing and sales program to promote the region's air service opportunities to the world's airlines and other air service providers; encourage improvement of airport access; ensure adequate Air Traffic Control, Homeland Security and Customs support services from the federal government; and support the Metropolitan Washington Airports Authority's Capital Development.

| | | | | |
|---|---|---|---|---|
| **Subtotal Legislative-Executive** | **$1,938,666** | **$1,948,376** | **$1,948,376** | **$1,964,180** |

## Public Safety:

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| NOVARIS | $10,118 | $9,577 | $9,577 | $14,677 |

The Northern Virginia Regional Identification System (NOVARIS) utilizes state-of-the-art computer equipment to identify criminals by categorizing and matching fingerprints. It enables police to match a fingerprint found at the scene of a crime with any individual who has been arrested in the Washington Metropolitan area by comparing the print or partial print with all prints in the database. An Automated Fingerprint Identification System (AFIS) was installed in FY 2007 that enhanced technologies, including palm print and biometric recognition capabilities. Funding of $8.65 million was secured through an Urban Areas Security Initiative grant to cover the cost of AFIS system replacements for the National Capital Region, including NOVARIS, the District of Columbia, as well as Prince George's County and Montgomery County.

Participating Washington metropolitan area jurisdictions share costs associated with NOVARIS based on the sworn police population of each jurisdiction as approved by the NOVARIS Advisory Board on July 30, 1997. As of FY 2008, Montgomery and Prince George's counties no longer participate in NOVARIS as those jurisdictions have joined a Maryland regional fingerprint system. However, Loudoun County and the Virginia State Police joined NOVARIS in FY 2008. The system is housed in Fairfax County and is staffed by personnel contributed by the participating jurisdictions. Fairfax County exercises a fiduciary responsibility for the financial management and operation of NOVARIS, with the County contribution made through the Contributory Fund.

# Fund 119
# Contributory Fund

The total Fairfax County FY 2012 contribution of $14,677 is an increase of $5,100 or 53.3 percent over the FY 2011 Adopted Budget Plan amount of $9,577. The contribution consists of the County's annual share of costs associated with operations and upgrades of NOVARIS. The increase is associated with additional telecommunications costs.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Partnership For Youth | $42,500 | $40,375 | $40,375 | $40,375 |

The Fairfax Partnership on Youth was created in 1997 as an outgrowth of the Community Initiative to Reduce Youth Violence (CIRYV). Its mission is to bring the community together to reduce youth violence and promote positive youth development. This agency seeks to reduce youth violence by facilitating a forum for public and private providers to collaborate, evaluate and create programs, activities and services to better integrate activities, fill gaps and avoid duplication of efforts in the provision of services to youth in the community.

Among the types of initiatives undertaken by the Partnership for Youth are coordination of the Fairfax Mentoring Partnership; provision of the Support on Suspension (S.O.S.) effort, a voluntary community-based program designed to provide students in grades 6-12 with an opportunity to stay abreast of academic work while out of school due to suspension; the Fairfax County After-School Network for middle school-aged youth to minimize involvement in violence or other risky behaviors; assistance to the County on youth survey analysis; youth services information to provide the community with needed resources; advocacy on youth issues; and the Youth Suicide and Depression Prevention Task Force to study and reduce risk factors for young people.

The Fairfax County contribution for FY 2012 of $40,375 is consistent with the FY 2011 Adopted Budget Plan. The FY 2012 contribution will be used to supplement funds received from the Commonwealth of Virginia, as well as corporate and other private funding sources.

| | | | | |
|---|---|---|---|---|
| **Subtotal Public Safety** | **$52,618** | **$49,952** | **$49,952** | **$55,052** |

## Health and Welfare:

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| GMU Law and Mental Illness Clinic | $51,678 | $51,678 | $51,678 | $0 |

Currently, the George Mason University (GMU) Law and Mental Illness Clinic provides legal services to individuals who are petitioning the court for the commitment of a family member in severe mental stress. In commitment proceedings, the individual against whom the commitment proceeding is brought is commonly represented by appointed counsel, while the family petitioning is rarely represented and is generally not familiar with the rules of evidence. Legal services by the Law Clinic have been provided by law students with an assigned supervising attorney.

In FY 2012, these services are recommended to be transferred to Fairfax County's Office of the County Attorney. As a result, no funding is included for the GMU Law and Mental Illness Clinic in the Contributory Fund. Funding of $51,678 is included in the Office of the County Attorney to provide for counsel required at hearings concerning individuals who have been recommended for commitment for mental health care by the Fairfax-Falls Church Community Services Board. Funding will support an attorney to represent the County's interests on a more consistent daily and year-round basis.

# Fund 119
# Contributory Fund

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Health Systems Agency of Northern Virginia | $86,750 | $86,750 | $86,750 | $86,750 |

The Health Systems Agency (HSA) of Northern Virginia is a regional body charged with coordinating and improving the health care system for Northern Virginia. To accomplish this, the agency establishes short-term objectives and long-range goals, as well as prepares annual implementation plans. In addition, HSA promotes and assists in community-oriented planning among and within local health care systems, documents and evaluates the need for new services in the region, and reviews health service and facility capital expenditure proposals subject to certificate of public need regulation filed by health service provider organizations in the region. Member jurisdictions include the counties of Fairfax, Arlington, Loudoun and Prince William, as well as the cities of Fairfax, Alexandria, Manassas and Falls Church. Funding contributions to HSA from local jurisdictions are encouraged but are not required.

The Health Systems Agency established a per capita contribution standard of $0.10 over ten years ago. Although Fairfax County has grown significantly in population since that time, the Health Systems Agency's local jurisdiction contribution requests have remained constant due to contributions from other sources. In FY 2012, revenue of $324,711 is projected to be received from four sources: grants and contracts, $128,100 or 39.4 percent; local government contributions, $161,700 or 49.8 percent; fees, $33,000 or 10.2; and interest earnings and miscellaneous income of $1,911 or 0.6 percent. Fairfax County is the largest local government contributor in FY 2012, providing $86,750 or 53.6 percent of the support received from the local government units.

The FY 2012 Fairfax County funding amount for the Health Systems Agency is $86,750, which is the same level as the FY 2011 Adopted Budget Plan contribution amount.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Medical Care for Children | $166,000 | $237,000 | $237,000 | $237,000 |

The Medical Care for Children Partnership (MCCP) program provides medical and dental care to children of the working poor in Fairfax County. In January 2009, members of the Medical Care for Children Advisory Council and private citizens concerned about health care for children in Fairfax County formed the Medical Care for Children Partnership which is dedicated to conducting fundraising support on behalf of the County for the care of uninsured children in Fairfax County.

MCCP receives funding from Fairfax County as its sole local government source. The Fairfax County FY 2012 funding amount is $237,000, which is the same level as the FY 2011 Adopted Budget Plan.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Northern Virginia Healthcare Center/Birmingham Green Adult Care Residence | $1,753,592 | $1,847,761 | $1,847,761 | $2,165,918 |

Birmingham Green, a collective name, was founded in 1927 as a District Home under legislation passed in 1918 by the General Assembly. The District Home legislation encouraged jurisdictions to join together to establish facilities for indigent persons who need a permanent home and also require assistance with daily living activities. Fairfax was one of five jurisdictions that agreed to participate in the District Home in Manassas.

The property, which is located on 54 acres, includes an original building from 1927, a 180-bed nursing facility, and two joint apartment-type buildings for 92 assisted living residents. The counties of Fairfax, Fauquier, Loudoun and Prince William, as well as the City of Alexandria established the Northern Virginia Healthcare Center Commission in 1987. Each jurisdiction is represented by a member on the Commission.

The present nursing home, Birmingham Green Healthcare Facility, opened in May 1991. The nursing facility accepts residents who are eligible for long-term care Medicaid and who are referred by the five participating jurisdictions. In Fairfax, social workers from the Department of Family Services screen and refer eligible individuals. A few persons are admitted for only rehabilitation and their care is paid for by Medicare or private insurance. For diversification of funding, but in keeping with the mission of serving indigent persons, a limited number of persons who pay privately are admitted.

The old District Home, a licensed assisted living facility, adjacent to the nursing facility, now accepts private pay residents with moderate incomes. The District Home continues to operate under the auspices of the Commission. This facility provides room and board, along with assistance in activities of daily living for older adults and adults with disabilities.

Willow Oaks, a 92-unit licensed assisted living facility replaced the original 64-bed District Home in 2008. Funding for the new facility was primarily provided through the U.S. Department of Housing and Urban Development. As with the nursing facility, individuals are referred by the five participating jurisdictions. To be admitted, individuals must be eligible for auxiliary grants, which supplement the individuals' incomes. Medicaid provides for needed medical care.

Operating costs for Birmingham Green are partially covered through the Medicaid and General Relief programs at the maximum rates established by the state. To the degree that these funds, along with some additional funds from Medicare, other insurance, and private pay, are inadequate to cover the full costs of the operation of the facility, the sponsoring jurisdictions then subsidize Birmingham Green on a user formula basis. Each jurisdiction pays for Personnel Services and Operating Expenses at a level proportionate to the number of the jurisdiction's residents.

The total FY 2012 Fairfax County recommended funding for these facilities is $2,165,918, an increase of $318,157 or 17.2 percent over the FY 2011 Adopted Budget Plan based on actual costs and utilization rates at the facility.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Volunteer Fairfax | $305,247 | $305,247 | $305,247 | $305,247 |

Volunteer Fairfax is a private, nonprofit corporation created in 1975 and incorporated in the Commonwealth of Virginia. The center promotes volunteerism through a network of over 700 nonprofit agencies by mobilizing people and other resources to improve the community. Its primary goals are: to assist private nonprofit and public agencies in developing strong, efficiently managed organizations and volunteer programs; to increase corporate and citizens' direct involvement in the community; to provide programs and services through partnerships that contribute to the resolution of community issues; and to increase the public's awareness of both the need for and the benefits of volunteer service to the community. The scope of the center's work also includes active participation in emergency preparedness activities and coordination through its support of the Citizen Corps, the County's Emergency Management Coordinating Council and Emergency Operations Center, the Northern Virginia Voluntary Organizations Active in Disaster, and the Metro Coalition of Volunteer Centers.

The center receives funding from Fairfax County as its sole local government source. In addition to the annual contribution, Fairfax County provides in-kind office space to the center at 10530 Page Avenue, the value of which is estimated to be $53,000. The Fairfax County FY 2012 funding amount is $305,247, which is consistent with the FY 2011 Adopted Budget Plan.

| | | | | |
|---|---|---|---|---|
| **Subtotal Health and Welfare** | **$2,363,267** | **$2,528,436** | **$2,528,436** | **$2,794,915** |

# Fund 119
# Contributory Fund

## Parks, Recreation and Cultural:

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Arts Council of Fairfax County | $191,257 | $181,694 | $181,694 | $231,694 |

Established in 1964, the Arts Council of Fairfax County is a private, nonprofit organization whose goals are to encourage, coordinate, develop and meet the needs of County residents and organizations for cultural programs. It develops and maintains a broad range of visual and performing arts programs designed to contribute to the growth of an integrated area-wide cultural community. It also supports and encourages the development of local artists and organizations by providing opportunities to reach new audiences through participation in Arts Council-sponsored activities.

The FY 2012 Fairfax County contribution is $231,694, an increase of $50,000 or 27.5 percent over the FY 2011 Adopted Budget Plan of $181,694. The additional funds will be used for the planning and implementation of a Master Arts Plan for Fairfax County spearheaded by the Arts Council. The County's contribution represents 15.9 percent of the total projected revenue of $1,459,936. In addition, as noted later, funding of $444,125 for a Challenge Arts Grant program is included for FY 2012.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Arts Council of Fairfax County - Arts Groups Grants | $102,000 | $96,900 | $96,900 | $96,900 |

In 1980, the Arts Council Advisory Panel was established to institute a grant system for County arts organizations. The Advisory Panel is the official entity established by the Arts Council for evaluating and ranking all art requests for funds, support services and facilities support from the Fairfax County government. This panel reviews all applications from local arts organizations, and based on eligibility and evaluating criteria, makes recommendations to the County Board of Supervisors for approving grants. It also encourages County arts organizations to seek contributions from a wide range of sources.

The total FY 2012 funding included for the Arts Council of Fairfax County - Arts Groups Grants is $96,900, which is consistent with the FY 2011 Adopted Budget Plan.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Challenge Grant Funding Pool for the Arts | $467,500 | $444,125 | $444,125 | $444,125 |

The Challenge Grant Funding Pool for the Arts was established in FY 2007 by the Board of Supervisors and is administered by the Council on the Arts. Funds are to be used on a competitive basis by community arts organizations, with no more than $50,000 to support administrative costs of the Arts Council of Fairfax County.

The Challenge Grant Funding Pool is intended as a means to further leverage private funding and enable the arts to continue to flourish in the County. The grants are intended to leverage private funds by requiring a 2:1 dollar match. Funding is intended to support both arts in public spaces and the performing arts.

The total FY 2012 funding included for the Challenge Grant Funding Pool for the Arts is $444,125, which is consistent with the FY 2011 Adopted Budget Plan.

# Fund 119
# Contributory Fund

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Dulles Air and Space Museum | $150,000 | $100,000 | $100,000 | $100,000 |

Fairfax County made its first contribution to the Udvar-Hazy Center of the Smithsonian Institute's Dulles Air and Space Museum in FY 2000 and has provided a total of $2,730,000 through FY 2010. Since the museum opened in December 2003, over 7.1 million people have visited the center, which generates tourism income to the area. A sample showed that nearly 9 percent of visitors to this facility come from abroad, while 46 percent of the domestic audience drove over 100 miles to visit the center. This translates into overnight stays in the region, with the increased likelihood of visits to other nearby attractions.

Education is a vital part of the mission of the Center. There are classrooms and expanded programs for educators and students, particularly those from Fairfax County. The goal is to teach young people about America's aviation and space heritage, and emphasize the importance of technology.

The FY 2012 funding included for the Dulles Air and Space Museum is $100,000, which is consistent with the FY 2011 Adopted Budget Plan. The County's FY 2012 contribution will continue to support the construction of Phase II of the Center, which will include the Restoration Hangar, the Archives and Collections Processing Center, and the Collections Storage area. To date, over $74.0 million has been secured from various sources to complete Phase II.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Fairfax Symphony Orchestra | $248,455 | $236,032 | $236,032 | $236,032 |

The Fairfax Symphony Orchestra (FSO) is a nonprofit organization chartered by the Virginia State Commission in 1966. A mixture of public and private contributions supports the orchestra. The FSO provides County residents with the opportunity to hear and learn about symphonic and ensemble music. The orchestra sponsors a variety of programs, including its own concert series, programs in the public schools, master classes for young music students, chamber orchestra for young adults, and the special music collection in the Fairfax County Public Library.

The County's contribution to the FSO supports all facets of the orchestra – Masterworks concerts, educational outreach and special concerts. In addition, County support will allow the orchestra to continue its valuable partnership with the Fairfax County Public Schools and the Fairfax County Park Authority to provide music literacy and outreach programs in FY 2012.

FY 2012 funding of $236,032 is included for the FSO, which is consistent with the FY 2011 Adopted Budget Plan.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Fort Belvoir Army Museum | $150,000 | $100,000 | $100,000 | $100,000 |

Since FY 2005, the Board of Supervisors has provided funding to support construction of the U.S. Army Museum at Fort Belvoir in the southeastern part of Fairfax County. The capital campaign to raise $200 million in private funds has been underway, managed by the Army Historical Foundation, a nonprofit organization dedicated to preserving the Army's heritage. The museum is expected to draw approximately 740,000 visitors annually when it opens. The museum will feature unique educational programs and resources in the areas of technology, history, geography, political science, engineering and civics for students of all ages. The opening date is tentatively set for 2015.

# Fund 119
# Contributory Fund

All of the branches of the military either already have a centralized museum, or are in the process of building one. The Air Force Museum is at Wright-Patterson Air Force Base, Ohio; the Navy Museum is at the Washington Navy Yard; and the U.S. Marine Corps opened its National Heritage Center at Quantico Marine Base, less than 20 miles south of Fort Belvoir in Prince William County in November 2006. A County contribution of $100,000 has been included for the U.S. Army Museum for FY 2012, which is consistent with the FY 2011 Adopted Budget Plan.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Lorton Arts Foundation | $1,000,000 | $1,000,000 | $1,000,000 | $750,000 |

As part of the *FY 2005 Carryover Review*, the Board of Supervisors approved funding to support the Lorton Arts Foundation (LAF) financing and capital renewal plan for operation of a center for the arts at the former Lorton Prison site. The Board had previously approved the negotiation of a lease of the former prison site with the Foundation, which proposed to use funds generated by leasing the various facilities to individual artists and performing arts groups. The Board agreed to provide a dollar for dollar match of donations and contributions received through private fundraising, up to $1,000,000 per year through FY 2011, for maintenance support. The County also agreed to lease back a portion of the rental space if other tenants were not available, for a timeframe and lease rate to be negotiated between the County and LAF. The lease provides for reducing or eliminating the County's cash support commensurate with the Foundation's ability to become self-sustaining.

Phase I of the Foundation's plan is now complete and has been in operation since September 2008. It consists of the Workhouse Arts Center, including artists' studios, art gallery, exhibition space, administrative offices, and performing arts studios. Phase II of the improvements will include artists' residences, theater, restaurants, visitor and community heritage center, a museum, music barn, and performing arts center.

In March 2010, an amendment to the financing documents between the County and LAF was negotiated. The County agreed to provide, subject to annual appropriation, contingent annual operating deficit support to LAF not to exceed $750,000 in any given year through 2025.

Funding of $750,000 is included for FY 2012, which is a decrease of 25.0 percent from the FY 2011 Adopted Budget Plan.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Northern Virginia Regional Park Authority | $2,083,723 | $1,979,537 | $1,979,537 | $1,979,537 |

The Northern Virginia Regional Park Authority (NVRPA) is a multi-jurisdictional, special-purpose agency established to provide a system of regional parks for the Northern Virginia area. The NVRPA currently operates 21 regional parks and owns over 11,000 acres of land, of which more than 7,700 acres are in Fairfax County. Parklands within the system include: Bull Run, Bull Run Marina, Fountainhead, Sandy Run, Pohick Bay, Carlyle House Historic Park, Potomac Overlook, Upton Hill, Algonkian, Red Rock, the W&OD Trail, Occoquan, Hemlock Overlook, Cameron Run, Gateway, Meadowlark Gardens, Ball's Bluff, Temple Hall, Brambleton, Aldie Mill and Blue Ridge Park. In addition, the NVRPA administers extensive regional historic and conservation properties throughout Northern Virginia. These community resources are supported primarily from the annual contributions of its six member jurisdictions: the counties of Fairfax, Loudoun and Arlington, and the cities of Fairfax, Alexandria and Falls Church. Each member jurisdiction's contribution is in direct proportion to its share of the region's population. In the past decade, the entire population served by the NVRPA grew to 1.7 million residents and is expected to approach 2.0 million by 2020.

# Fund 119
# Contributory Fund

Current projections indicate that $4,350,964 will be expended from the NVRPA's General Fund and $14,821,475 will be expended from the NVRPA's Enterprise Fund for a total of $19,172,439 in FY 2012. The NVRPA is asking member jurisdictions for $3,440,267, which is consistent with FY 2011. For FY 2012, NVRPA projects that 82.1 percent of operating costs will be funded with park revenues, with the remaining 17.9 percent coming from member jurisdictions. Fairfax County's contribution to the Northern Virginia Regional Park Authority in FY 2012 is $1,979,537, which is consistent with the FY 2011 Adopted Budget Plan contribution amount.

It should be noted that in addition to the operating contribution, an amount of $3,000,000 has been included in Fund 306, Northern Virginia Regional Park Authority, as the FY 2012 annual capital contribution.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Reston Historic Trust | $17,000 | $16,150 | $16,150 | $16,150 |

The Reston Historic Trust is a community-based 501(c) (3) organization located in the heart of the Lake Anne Revitalization District. It was founded in 1996 as an educational institution to promote the social and economic vitality of Reston through a program of history-based educational activities. Since FY 2000, Fairfax County has provided annual funding to the Reston Historic Trust to assist in the operational costs of the Reston Museum, located at Lake Anne Plaza. The museum has evolved as a focal point in the community, hosting special events, weekend programs and lectures, and providing exhibits that depict Reston's past and future.

In FY 2012, the organization will continue its efforts on education, community outreach, and cultural development, including through collaborative programming and training with other area organizations. The County's FY 2012 contribution to the Reston Historic Trust is $16,150, which is consistent with the FY 2011 Adopted Budget Plan contribution.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Herndon Dulles Visitors Center | $0 | $0 | $0 | $40,000 |

The Herndon Dulles Visitors Center was founded in 2001 as a 501(c) (3) nonprofit corporation. It is located in the old train depot in Historic Downtown Herndon, which also houses a local history museum operated by the Herndon Historical Society. The center provides visitors with information about local lodging, dining, shopping, recreation, attractions, and events and its mission is to promote the area as a tourism destination.

Funding for the organization in the amount of $40,000 is included in FY 2012.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Town of Vienna Teen Center | $34,000 | $32,300 | $32,300 | $32,300 |

The Vienna Teen Center is operated by the Town of Vienna Parks and Recreation Department. The Center, known as Club Phoenix, provides local teenagers with positive, supervised recreational and educational programs and activities. The County's contribution assists the Town of Vienna in the operation and improvement of the Center, and helps provide funding for programs, staffing and the purchase of materials and other supplies.

The Board of Supervisors first approved funding for the Teen Center in FY 2001. The FY 2012 contribution of $32,300 is consistent with the FY 2011 Adopted Budget Plan contribution.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Wolf Trap Foundation for the Performing Arts | $106,250 | $100,938 | $100,938 | $100,938 |

A private/public partnership was established in 1968 between the Wolf Trap Foundation and the National Park Service for the operation of the Wolf Trap Farm Park for the Performing Arts in Vienna, Virginia. The partnership was founded through a gift of land to the United States Government. The National Park Service maintains the property and conducts parking and audience management.

The Foundation, with a $29.0 million budget, is responsible for all other aspects of running the facility, including the presentation of a wide variety of performances and educational programs. Foundation programs reach approximately 600,000 people in Fairfax County each year at two sites: the Filene Center, a 7,000-seat outdoor amphitheater in a park-like setting, and the Barns of Wolf Trap, two 18th Century barns reconstructed at Wolf Trap using original building materials and techniques.

In FY 1999, Fairfax County began to contribute funding to Wolf Trap to support the Foundation's efforts to provide Fairfax County citizens with access to the best possible performing arts, as well as position Fairfax County nationally as a leader in the arts and arts-in-education. Educational programs focusing on Fairfax County's young children and their teachers, parents and caregivers include development workshops for teachers, family involvement workshops, and field trip performances. The FY 2012 contribution is $100,938, which is the same level as the FY 2011 Adopted Budget Plan.

| | | | | |
|---|---|---|---|---|
| **Subtotal Parks, Recreation & Cultural** | **$4,550,185** | **$4,287,676** | **$4,287,676** | **$4,127,676** |

## Community Development:

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Architectural Review Board | $2,975 | $2,826 | $2,826 | $2,826 |

The Architectural Review Board (ARB) administers the Historic Overlay District provisions in the County's Zoning Ordinance and advises the Board of Supervisors (BOS) on other properties that warrant historic preservation through historic district zoning, proffers or easements. There are currently 13 Historic Overlay Districts, with the potential for several more. The BOS frequently requests advice on the preservation of historic structures as part of the County's development review process and the Open Space and Historic Preservation Easement program.

The ARB is comprised of 11 members who have demonstrated knowledge and interest in the preservation of historical and architectural landmarks. The amount funded for FY 2012 is $2,826, which is consistent with the FY 2011 Adopted Budget Plan contribution.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Center for Chesapeake Communities | $30,600 | $29,070 | $29,070 | $29,070 |

In September 2007, the Board of Supervisors designated three non-profit organizations as contributory agencies in order to further assist the County in achieving a tree canopy goal of 45 percent, requiring the community to plant millions of trees over the next 30 years. In accordance with this effort, funding was approved for the Center for Chesapeake Communities, a nonprofit organization that helps provide local governments with tools to protect their own natural resources and the Chesapeake Bay, with the recognition that actions at the local level, from land use planning to stream protection, greatly affect the Bay.

The Center's goal is to provide localities with the information, education and training that supports sustainable development practices that protect the Bay. The central principle of the Center is that economic, social and environmental goals can be achieved simultaneously if systems, policies and procedures are designed to work interdependently. To help achieve that, the Center maintains a clearinghouse of models, tools and strategies pertaining to stormwater management, site planning, and pollution prevention that local governments are successfully implementing. The Center also holds topic-specific training sessions where local government officials can hear about the latest environmental protection techniques. The Center also helps to put local government experts in touch with each other to share expertise and experiences on resource protection, planning, and management.

The FY 2012 Fairfax County funding is $29,070, which is consistent with the FY 2011 funding level. County funding will assist the Center in developing a tree canopy tracking mechanism that will be used as a regional model to report tree planning for the regional Air Quality Management Plan.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Commission for Women | $6,916 | $6,916 | $6,916 | $6,916 |

The Commission for Women was created by the Board of Supervisors in 1971 to promote the equality of women and girls in Fairfax County, to advise the Board on the concerns of Fairfax County's women and girls; to present possible solutions; and to effect long-term change through public education, policy reform and building community partnerships. The Commission is comprised of 11 members, nine of whom are appointed by members of the Board of Supervisors and two at-large members appointed by the Board's Chairman. There is also a student representative from a local college or university who is a non-voting member.

The total FY 2012 Fairfax County contribution to the Commission for Women is $6,916, which is consistent with the FY 2011 Adopted Budget Plan.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Convention and Visitors Corporation | $2,538,837 | $2,378,965 | $2,378,965 | $2,426,544 |

As a result of enabling legislation approved by the 2004 General Assembly, the County was granted the authority to impose an additional 2 percent Transient Occupancy tax beginning July 1, 2004. As required by the legislation, no less than 25 percent of the additional revenue is to be designated for and appropriated to a nonprofit Convention and Visitors Corporation located in Fairfax County.

The mission of the Convention and Visitors Corporation, known as Visit Fairfax, is "to create and effectively market exciting products, programs and activities that will distinguish Fairfax County as a premier tourism destination." Visit Fairfax is a 503(c) (3) organization with 25 board members appointed by the Board of Supervisors and the tourism industry.

Based on a projected increase in Transient Occupancy tax revenue in FY 2012, funding of $2,426,544 is included for the Convention and Visitors Corporation, which is an increase of $47,579 or 2.0 percent over the FY 2011 Adopted Budget Plan.

# Fund 119
# Contributory Fund

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Earth Sangha | $17,000 | $16,150 | $16,150 | $16,150 |

In September 2007, the Board of Supervisors designated three non-profit organizations as contributory agencies in order to further assist the County in achieving a tree canopy goal of 45 percent, requiring the community to plant millions of trees over the next 30 years. In accordance with this effort, funding was approved for Earth Sangha, an environmental nonprofit organization committed to helping people become better stewards of the planet by providing numerous volunteer opportunities involving environmental work.

Notably, Earth Sangha supports a native forest gardener network which produces, conserves and restores native plants of the Washington, DC metropolitan area. This program aims to produce batches of seedlings that are genetically diverse, locally adapted and representative of the native forest flora. Volunteer opportunities involve planting the native seedlings, shrubs, wild flowers, grasses and trees in local parks, fields, and forests. Earth Sangha partners with the Fairfax County Department of Public Works and Environmental Services and the Fairfax County Park Authority on several planting events throughout the County, including at Wilburdale Park in Annandale, Waverly Park in Vienna, Frying Pan Park in Herndon, and Sully Historic Park in Chantilly, among others.

The FY 2012 Fairfax County funding is $16,150, which is consistent with the FY 2011 funding level.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Fairfax County History Commission | $22,119 | $21,013 | $21,013 | $21,013 |

The History Commission was created by the Board of Supervisors in 1969 to advise County government and generally promote the public interest in matters concerning the history of Fairfax County. There are 20 members who are appointed by the Board of Supervisors for three-year terms and who may be reappointed. The Commission advises the Board and County on matters involving the County's history; maintains an inventory of historic sites in the County; proposes and monitors historic districts and provides to local groups on matters of historic preservation. Major programs include: educational activities, cooperative ventures with local universities in local history activities, liaison functions with state/national historic preservation organizations, historic record indexing projects, archaeology programs and expansion of photographic archives.

The FY 2012 Fairfax County funding is $21,013, which is consistent with the FY 2011 Adopted Budget Plan amount.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Fairfax ReLeaf | $44,200 | $41,990 | $41,990 | $41,990 |

In September 2007, the Board of Supervisors designated three non-profit organizations as contributory agencies in order to further assist the County in achieving a tree canopy goal of 45 percent, requiring the community to plant millions of trees over the next 30 years. In accordance with this effort, funding was approved for Fairfax ReLeaf, a nonprofit organization of volunteers who plant and preserve trees and restore forest cover on public and common lands in Northern Virginia. Fairfax ReLeaf activities are aimed at preserving trees and offsetting tree loss by planting thousands of trees each year in order to improve air and water quality, reduce noise, preserve wildlife habitats, and reduce surface runoff. Since its founding in 1992, Fairfax ReLeaf has planted over 100,000 trees in the Counties of Fairfax, Loudon, and Prince William.

The FY 2012 Fairfax County funding is $41,990, which is the same as the FY 2011 funding level.

# Fund 119
# Contributory Fund

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Greater Reston Incubator | $25,500 | $24,225 | $24,225 | $24,225 |

The FY 2012 Fairfax County funding for the Greater Reston Chamber of Commerce's (GRCC) Incubator Program is $24,225, which is the same as the FY 2011 Adopted Budget Plan contribution. The GRCC's Incubator Program assists entrepreneurs in developing high-growth businesses in various sectors of the regional economy including technology, government services and supporting industries. The program provides business services, technical support, and physical space to help emerging businesses grow. Job creation and increased regional prosperity are the program's primary goals. This volunteer-driven program has helped 47 companies over the past 10 years, created over 450 jobs in the region, attracted over $45 million in investment, and occupied in excess of 80,000 square feet of commercial space in Fairfax County.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Northern Virginia Community College | $91,110 | $90,181 | $90,181 | $89,856 |

Northern Virginia Community College (NVCC) is a comprehensive institution of higher education offering programs of instruction generally extending not more than two years beyond the high school level. The College currently has six campuses (Alexandria, Annandale, Loudoun, Manassas and Springfield for medical education and Woodbridge) with permanent facilities constructed on each site. Each year, the College serves more than 60,000 students in credit-earning courses and more than 25,000 students in continuing education and training activities.

NVCC projects FY 2011 expenditures of $246,968 for base operating requirements to be funded with $187,429 from local jurisdictions. This amount includes $214,792 for General Administration (President's Office, College Board travel and memberships, student scholarships, loans, and grants), $18,000 for Community Services (community information), and $14,176 for a contingency reserve. The base, which is funded by the governing bodies of the local jurisdictions served by the College, as well as any fund balances supports additional services that cannot be provided under the College's annual state fiscal appropriations. For example, local funding provides for increased matching loan funds and support of community service activities. This local funding is for Operating Expenses only and is not applied toward Personnel Services. The local jurisdictions served by the College are requested to contribute their share of the College's base expenditure, which is calculated on a per capita basis as reported by the College using population figures from the Weldon Cooper Center for Public Service.

The FY 2012 Fairfax County contribution to this agency for operations and maintenance is $89,856, a decrease of $325 or 0.4 percent from the FY 2011 Adopted Budget Plan, due primarily to shifts in population among the contributing jurisdictions. This amount reflects the County's share of the services provided to Fairfax County residents as reported by the College and is 47.9 percent of the local jurisdictions' contributions totaling $187,429 for FY 2012.

In addition, County funding of $1,554,710 is included in Fund 303, County Construction, for an annual capital contribution to the College based on a $1.50 per capita population figure provided by the Weldon Cooper Center. Funding provides for the continued construction and maintenance of various capital projects on college campuses within the NVCC system.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Northern Virginia Conservation Trust | $239,740 | $227,753 | $227,753 | $227,753 |

The primary purpose of the public/private partnership between the Northern Virginia Conservation Trust (NVCT) and Fairfax County is for NVCT to assist the County in the preservation of natural areas and historic properties through the use of conservation/open space easements, land gifts and acquisition of open space. The Trust is also tasked with educating the public on the importance of conservation and the County's abundant natural resources through outreach programs. Through this partnership, NVCT has been able to permanently conserve over 650 acres in Fairfax County. Some of the conserved land serves as a habitat for a variety of rare species and different vegetation communities.

The Trust also operates an "Adventures in Conservation" outreach program to bring hands-on volunteerism and environmental education opportunities to the public. Some of the activities include planting thousands of native trees, removing invasive plants, and conducting birding trips and guided hikes. FY 2012 funding of $227,753 is included, which is the same as the FY 2011 Adopted Budget Plan contribution.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Northern Virginia Soil and Water Conservation District | $421,990 | $0 | $0 | $0 |

The Northern Virginia Soil and Water Conservation District (NVSWCD) is an independent subdivision of the Commonwealth of Virginia to provide leadership in the conservation and protection of Fairfax County's soil and water resources. It is governed by a five-member Board of Directors, three of whom are elected every four years by the voters of Fairfax County and two who are appointed by the Virginia Soil and Water Conservation Board. Accordingly, the work of NVSWCD supports many of the environmental efforts set forth in the Board of Supervisors' Environmental Excellence 20-year Vision Plan. Technical assistance and information are provided to state and local government agencies as well as private citizens. The goal of the NVSWCD is to continue to improve the quality of the environment and general welfare of the citizens of Fairfax County by providing them with a means of dealing with soil, water conservation and related natural resource problems. It provides County agencies with comprehensive environmental evaluations for proposed land use changes with particular attention to the properties of soils, erosion potential, drainage and the impact on the surrounding environment.

Beginning in FY 2011, funding of the County share of the base operating requirements for NVSWCD is being provided in the newly created Fund 125, Stormwater Services. The FY 2012 contribution included in Fund 125 is $429,293.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Occoquan Watershed Monitoring Program | $112,559 | $0 | $0 | $0 |

The Occoquan Watershed Monitoring Program (OWMP) and the Occoquan Watershed Monitoring Laboratory (OWML) were established to ensure that water quality is monitored and protected in the Occoquan Watershed. Given the many diverse uses of the land and water resources in the Occoquan Watershed (agriculture, silviculture, urban residential development, commercial and industrial activity, water supply, and wastewater disposal), the OWMP provides a critical role as the unbiased interpreter of basin water quality information. The cost of the OWMP is equally divided between water supply and sewage users. As a result, 50 percent of Operating Expenses is supported by the Fairfax County Water Authority and 50 percent by the participants: Fairfax, Fauquier, Loudoun and Prince William counties, and the cities of Manassas and Manassas Park. The Watershed Monitoring Program Funding Agreement of 1988 requires that Fairfax County provide 12.5 percent of the direct costs.

Beginning in FY 2011, funding of the County share for OWMP is being provided in the newly created Fund 125, Stormwater Services. The total amount included in Fund 125, Stormwater Services for Fairfax County's FY 2012 share is $112,559.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| OpenDoor Housing Fund | $31,776 | $31,776 | $31,776 | $31,776 |

The OpenDoor Housing Fund's mission is to provide flexible capital from a variety of sources including government, philanthropic and corporate entities to increase the supply of affordable and workforce housing for low and moderate income households and housing for various special needs populations in the Washington metropolitan area; to positively impact the delivery of affordable housing finance in this region; and provide technical assistance to help potential borrowers obtain financing and successfully complete affordable housing development.

In FY 2012, Fairfax County's share for the OpenDoor Housing Fund is $31,776, which is consistent with the FY 2011 Adopted Budget Plan.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Southeast Fairfax Development Corporation | $192,968 | $183,320 | $183,320 | $183,320 |

The Southeast Fairfax Development Corporation (SFDC) is a private, nonprofit organization that operates under a Memorandum of Understanding between the Fairfax County Board of Supervisors and the SFDC. Over the years, the Corporation has promoted, encouraged, facilitated and guided economic development and revitalization on the 7.5 mile length of Richmond Highway from the Capital Beltway to Fort Belvoir, the largest of the County's seven designated revitalization areas. It provides marketing and promotion aimed at business attraction and retention; direct assistance to developers and businesses; and to a lesser degree, land use planning and coordination with the Richmond Highway community. It is this community consensus that makes revitalization/redevelopment possible. SFDC is committed to improving the quality of life, creation and retention of jobs, community appearance and increased tax base. Its 18-member volunteer Board of Directors is representative of the community.

SFDC continues to foster growth in existing businesses, while simultaneously promoting the physical renovation of the area through initiatives involving beautification, developmental planning, and ongoing market studies and needs assessments. SFDC sees an even greater need for its services as a result of the Department of Defense's (DoD) Base Realignment and Closure (BRAC) recommendations announced in May 2005. It is anticipated that over 19,000 DoD employees will be redeployed to Fort Belvoir and the Engineer Proving Grounds, with an additional 23,000 government contracting jobs also expected to relocate to the area. The total FY 2012 Fairfax County contribution for SFDC is $183,320, which is the same level as the FY 2011 Adopted Budget Plan contribution.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| VPI/UVA Education Center | $50,000 | $50,000 | $50,000 | $50,000 |

In FY 1995, Fairfax County entered into an agreement with the City of Falls Church, the Virginia Polytechnic Institute and State University (VPI), and the University of Virginia (UVA) to provide support for a new Education Center to be constructed in Falls Church, offering graduate and continuing professional education services. As part of this agreement, the Board of Supervisors agreed to waive all development/regulatory fees and costs, and provide review and inspection services necessary for the development of this center. In addition to one-time FY 1996 sewer availability and connection charges of $70,881, the County agreed to contribute an annual amount of $50,000 toward the facility, to be paid each year for 20 years, commencing in FY 1995. The total value of this 20-year contribution will be $1,000,000.

The total FY 2012 Fairfax County contribution for the VPI/UVA Education Center is $50,000, which is consistent with the FY 2011 Adopted Budget Plan.

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Women's Center of Northern Virginia | $28,445 | $27,023 | $27,023 | $27,023 |

The Women's Center is a private, nonprofit organization that provides personal and professional development services to women in Northern Virginia. Since FY 1978, the Board of Supervisors has contributed to this center in order to provide free or sliding-fee scale services to Fairfax County female residents who are unemployed, separated, abandoned or divorced, and the head of a household. Services include individual and group workshop sessions for women covering such areas as divorce, separation, financial planning and legal rights.

In FY 2012, the Center anticipates receiving approximately 50,000 requests for services from County residents to meet the interrelated psychological, practical, legal and financial needs of these County residents, many of whom are financially disadvantaged and require low-cost services. Access to these services enables community members to become self-sufficient and ultimately more productive community members.

The total FY 2012 Fairfax County contribution is $27,023, which is the same as the FY 2011 Adopted Budget Plan contribution.

| | | | | |
|---|---|---|---|---|
| **Subtotal Community Development** | **$3,856,735** | **$3,131,208** | **$3,131,208** | **$3,178,462** |

## Nondepartmental:

| Fairfax County | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Fairfax Public Law Library | $92,657 | $92,657 | $92,657 | $92,657 |

The mission of the Fairfax Public Law Library is to promote justice by providing all citizens with access to legal information. The legal resources available in the Law Library are not available to the public at any other single location within the County. In 2001, Fairfax County, the Clerk of the Court, and the Fairfax Bar Association (FBA) entered into an agreement with regard to the Law Library. The agreement provides that Fairfax County, through the Fairfax County Public Library (FCPL), shall have primary responsibility for the administration of the Law Library, while the FBA has primary responsibility for its management.

Currently located in the recently expanded Fairfax County Judicial Center, the Fairfax Public Law Library assists the public, as well as members of the legal community, with locating sources for legal information and provides bibliographic instruction. In addition to the collection, the Law Library has four work stations dedicated to providing general information on divorce, immigration, estate planning and employment for patrons, as well as five computer work stations where the public may access legal materials on CD-ROMs and online databases. In recent years, the Law Library has decreased its printed materials and increased subscriptions to online databases. The Fairfax Public Law Library anticipates to serve over 80,000 patrons in FY 2012. Many are in need of legal information because they are unable to afford legal representation but do not qualify for free legal services.

The total FY 2012 Fairfax County funding is $92,657, which is unchanged from the FY 2011 Adopted Budget Plan.

| | | | | |
|---|---|---|---|---|
| **Subtotal Nondepartmental** | **$92,657** | **$92,657** | **$92,657** | **$92,657** |
| **Total County Contributions** | **$12,854,128** | **$12,038,305** | **$12,038,305** | **$12,212,942** |

# Fund 119
# Contributory Fund

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** **Fund 119, Contributory Fund**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$210,569** | **$210,569** | **$291,881** | **$291,881** |
| Transfer In: | | | | |
| General Fund (001) | $12,935,440 | $12,038,305 | $12,038,305 | $12,162,942 |
| Total Transfer In | $12,935,440 | $12,038,305 | $12,038,305 | $12,162,942 |
| **Total Available** | **$13,146,009** | **$12,248,874** | **$12,330,186** | **$12,454,823** |
| Expenditures: | | | | |
| Legislative-Executive Functions/Central Services Agencies | $1,938,666 | $1,948,376 | $1,948,376 | $1,964,180 |
| Public Safety | 52,618 | 49,952 | 49,952 | 55,052 |
| Health and Welfare | 2,363,267 | 2,528,436 | 2,528,436 | 2,794,915 |
| Parks, Recreational and Cultural | 4,550,185 | 4,287,676 | 4,287,676 | 4,127,676 |
| Community Development | 3,856,735 | 3,131,208 | 3,131,208 | 3,178,462 |
| Nondepartmental | 92,657 | 92,657 | 92,657 | 92,657 |
| Total Expenditures | $12,854,128 | $12,038,305 | $12,038,305 | $12,212,942 |
| **Total Disbursements** | **$12,854,128** | **$12,038,305** | **$12,038,305** | **$12,212,942** |
| | | | | |
| **Ending Balance[1]** | **$291,881** | **$210,569** | **$291,881** | **$241,881** |

[1] For several contributory agencies where Fairfax County funding is based upon actual usage that can fluctuate, unused appropriation falls to fund balance, which is then reappropriated after leaving a nominal balance for flexibility.

## Non-Appropriated Funds

Fairfax County exercises a fiduciary responsibility for the financial management and operation of the Northern Virginia Regional Identification System (NOVARIS). Therefore, this fund is displayed here for information. Participating Washington Metropolitan Area jurisdictions share costs associated with NOVARIS based on the sworn police population of each jurisdiction as approved by the NOVARIS Advisory Board on July 30, 1997.

The Fairfax County contribution is made through the Contributory Fund. The total Fairfax County FY 2012 contribution is $14,677, which represents the County's annual share of costs associated with operations and upgrades of NOVARIS. The NOVARIS Fund Statement is shown on the next page.

NOVARIS utilizes state-of-the-art computer equipment to identify criminals by categorizing and matching fingerprints. It enables police to match a fingerprint found at the scene of a crime with any individual who has been arrested in the Washington metropolitan area by comparing the print or partial print with all prints in the database. In FY 2010, 546,000 fingerprint cards were maintained in the system and the database, which helped to make 609 latent fingerprint identifications.

Funding of $4.57 million was secured through an Urban Areas Security Initiative (UASI) grant to cover the cost of Automated Fingerprint Identification System (AFIS) system replacements and maintenance for the National Capital Region, including NOVARIS, the District of Columbia, as well as Prince George's County and Montgomery County. In FY 2010, NOVARIS was awarded additional Urban Areas Security Initiative (UASI) funding for the maintenance of the new system which reduced member jurisdictions' payments in FY 2011 and will continue to do so in FY 2012. Future grants will be explored to fund maintenance after FY 2012.

## FUND STATEMENT

**Fund Type G70, Trust and Agency Funds**

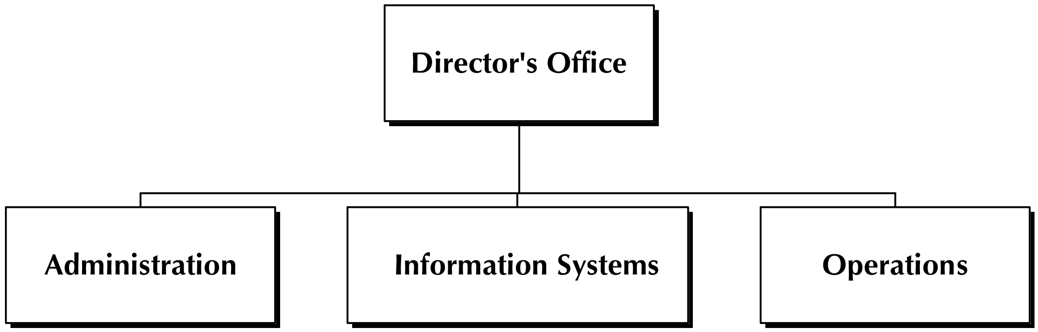
**Fund 703, Northern Virginia Regional Identification System (NOVARIS)**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$19,273** | **$19,984** | **$37,710** | **$38,421** |
| Revenue: | | | | |
| Interest on Investments | $177 | $529 | $529 | $529 |
| Fairfax County (Police and Sheriff) | 10,118 | 9,577 | 9,577 | 14,677 |
| Arlington County | 2,148 | 2,149 | 2,149 | 3,293 |
| Prince William County | 2,049 | 2,395 | 2,395 | 3,670 |
| City of Fairfax | 380 | 376 | 376 | 576 |
| City of Falls Church | 187 | 188 | 188 | 288 |
| City of Alexandria | 1,663 | 1,690 | 1,690 | 2,590 |
| Loudoun County | 2,049 | 2,218 | 2,218 | 3,399 |
| VA State Police/Bureau of Forensic Science | 0 | 188 | 188 | 288 |
| Total Revenue: | $18,771 | $19,310 | $19,310 | $29,310 |
| **Total Available** | **$38,044** | **$39,294** | **$57,020** | **$67,731** |
| Expenditures: | | | | |
| Operating Expenses[1] | $334 | $18,599 | $18,599 | $34,599 |
| Total Expenditures | $334 | $18,599 | $18,599 | $34,599 |
| **Total Disbursements** | **$334** | **$18,599** | **$18,599** | **$34,599** |
| **Ending Balance[2]** | **$37,710** | **$20,695** | **$38,421** | **$33,132** |

[1] The increase in Operating Expenses in FY 2012 is associated with additional telecommunications costs resulting from the move of NOVARIS to the McConnell Public Safety and Transportation Operations Center (MPSTOC).

[2] Ending balances fluctuate due to variable expenditure requirements and the carryover of unspent funds.

# Fund 120
# E-911




## Mission

To provide and maintain highly professional and responsive 9-1-1 emergency and non-emergency communication services to the citizens of Fairfax County, City of Fairfax, Town of Herndon, Town of Vienna, Fort Belvoir, citizens that work in and visit Fairfax County on a daily basis and to the Fairfax County Police, Fire & Rescue and Sheriff departments in a collaborative and supportive work environment that utilizes highly trained and qualified staff. To deliver emergency and non-emergency communications utilizing state-of-the-art technology through a variety of systems integrated to provide 9-1-1 telephone, computer aided dispatch, multi-channel trucked radio and wireless data networks in a cost effective, sustainable, reliable and technologically innovative manner. And, to utilize, industry accepted best policies, practices and standards in an efficient and cost effective manner.

## Focus

The activities and programs in Fund 120, E-911 provides support to the operations of the Department of Public Safety Communications (DPSC) and various other public safety information technology projects. The DPSC is designated as the primary 9-1-1 Public Safety Answering Point (PSAP) for all 9-1-1 calls originating within Fairfax County as well as the city and towns therein. The agency also provides Emergency Medical Dispatch (EMD)/Pre-Arrival Instruction (PAI), which is an emergency medical service (EMS) intervention program where DPSC call takers provide emergency medical instructions until fire-rescue-EMS units arrive on the scene of an emergency incident. Due to the vital, mission-critical, and time sensitive service provided by DPSC personnel, they are, in many ways, recognized as the *"First of the First Responders."* Additionally, DPSC receives all commercial and residential security, fire and medical alarm requests for service calls from private alarm service providers. Non-emergency services provided include responding to police non-emergency calls received; reporting of towed vehicles and private vehicle impounds; calls for Animal Control Unit services, a subsidiary of the Fairfax County Police Department (FCPD) resolution and non-emergency calls for service for fire and rescue assistance and information. DPSC also provides National Crime Information Center (NCIC) and Virginia Criminal Information Network (VCIN) teletype operations related to property (e.g., stolen guns and vehicles), people (e.g., protective orders and missing persons), events (e.g., fatal accidents and security matters), and queries (e.g., wanted persons/warrant confirmation). These operations ensure that criminal and investigative information is shared with the appropriate authorities within the County and on a regional, state and federal level. Additionally, DPSC serves as the official custodian of nearly 10,000 hours of audio recordings of all telephone calls and radio traffic pertaining to public safety as required by law. DPSC receives and responds to court subpoenas and Freedom of Information Act (FOIA) requests for audio transmissions. Audio recordings are also maintained per national standards for investigative, quality assurance and training purposes.

***Department of Public Safety Communications-History***

In FY 2005, the County identified several operational issues within the existing Public Safety Communication Center (PSCC). Issues reviewed and addressed included: organizational placement of the then PSCC as an independent agency within County government to ensure an effective representation of its broad public safety service role and broad client base; organizational leadership and management to reframe the role of the Director position from a sworn officer in the Police Department chain of command structure to that of a 9-1-1 public safety communications professional civilian position who will work with new additional management level staff positions to support and encourage innovation and improve efficiency and performance; establish/reconstruct operational and performance measurements to standardize the process for quality control and quality assurance, to monitor a complex budget from multiple funding streams and the allocation of funds to the attainment of performance objectives; and finally recruitment and retention issues. As a result of this internal review of existing operations, a change plan was developed to provide a framework for facilitating successful implementation of both current and future action steps to overhaul and improve the public safety communications center and its operations. The reorganization of the existing PSCC was a first step in the change plan.

In FY 2006, the PSCC was moved from a division within the Police Department to independent agency status, supported in Fund 120 as Agency 95, the Department of Public Safety Communications. This agency now reports directly to the Deputy County Executive for Public Safety, responsible for the other public safety agencies within the County. Early efforts of the new agency centered on reengineering the recruitment program; redesigning the new hire program; promoting programs to encourage retention; improved internal and external communications, enhancing the management structure to provide leadership in the areas of client services and 9-1-1 center operations; recognizing and rewarding employees; and developing business analyses to measure and monitor performance. The agency will continue to focus on these types of organizational issues into FY 2012 and beyond.

The changes underway within DPSC continue to have a positive impact on operations and agency leadership is focused on maintaining the momentum of positive change, with emphasis on improving performance standards and performance call statistics, recruitment and retention, training, and continued operational adjustments associated with DPSC's move to the McConnell Public Safety and Transportation Operations Center (MPSTOC) that occurred on October 4, 2009. As a critical operation in Fairfax County that affects the lives and safety of residents, the changes underway are intended to ensure that the DPSC is able to provide world-class public safety communication services.

***Public Safety Information Technology Projects***

With the migration to the new MPSTOC facility, the DPSC has had a complete technology refresh of the telephone system, CAD system and radio system in use for public safety operations. Expanded services have also been introduced into the public safety environment that include; combined center with the Virginia State Police and Virginia Department of Transportation; collocation with the Office of Emergency Management; a new expanded computer aided dispatch system; audio visual technology and traffic monitoring via VDOT traffic cameras; expanded County enterprise access for all employees; increased number of call taking and dispatch positions; and a host of other technology refresh items to make the center one of the country's premiere emergency operations centers. The CAD system is used to dispatch appropriate public safety equipment and personnel to events and emergencies and to communicate and track up-to-date information in a rapidly changing environment for public safety units disbursed throughout the entire County. The mobile data communications component of CAD allows the dispatch of resources without the need for voice radio communications, thus avoiding saturation of the voice radio frequencies which can then be used to handle priority transmissions and traffic. It also provides field units direct access to local, state, and national databases, access to remote records management databases, access to email and departmental processes and procedures and continuous contact with the DPSC independent of the voice radio system.

Installation of the sonnet radio network was completed and brought online in October 2000. Subsequent to the September 11, 2001 terrorist attacks, a reevaluation of the network determined that three additional tower sites were needed to be added to ensure proper coverage to areas of the County that had grown more populous since the original radio signal coverage propagation studies were completed. This expansion was funded through a Homeland Security grant and is now complete.

In FY 2012, IT Projects requirements remain at the same level as the FY 2011 Adopted Budget Plan level. Funding was included in FY 2011 to support year three of a five year upgrade to the County's Public Safety Radio System. In FY 2012, fourth year funding will be required to continue the upgrade to the County's Voice Public Radio System.

Additionally, the Mobile Data Communications System (MDCS) Mobile Computer Terminal (MCT) Replacement project will require FY 2012 funding to provide for the lifecycle replacement of field mobile data computers by replacing one-fifth of the fleet each year for five years. FY 2012 funding represents the fifth year of the five year replacement funding required. In FY 2013 a new cycle will begin with another five year replacement cycle.

***Revenues***
There are four main revenue categories in the E-911 Fund: Communication and Sales Use Tax Fees, State Wireless E-911 Revenue, Interest Income and Other Revenue (which reflects annual revenue from the City of Fairfax for dispatch services, FOIA fees, and reimbursement from Nextel to cover County expenses related to the Nextel 800 MHz rebanding initiative). Combined with the General Fund Transfer from the County, these revenues support the expenditure requirements of the E-911 Fund.

The Communication Sales and Use Tax Fee is a landline E-911 tax of $0.75 per landline appears as a line item on customers' telephone bills. Revenues from this source are collected and remitted monthly by communications services providers into a statewide fund, known as the Communications Sales and Use Tax Trust Fund. Revenue received into the fund is distributed to localities based on their share of the total local revenues received in FY 2006.

The Wireless E-911 Revenue category is derived from a monthly $0.75 surcharge on all wireless/cellular telephones and is distributed to localities as part of the Wireless E-911 State Reimbursement. It should be noted that the Commonwealth has transferred approximately $8 million from the Wireless E-911 fund to support non 9-1-1 matters in other state agencies. The FY 2012 estimate for this category has been decreased from $4.4 to $4.0 million, based on a revised methodology in the new contract that resulted in Fairfax County's costs increasing by approximately $400,000. These additional costs incurred by the new contract are likely going to be passed on to jurisdictions through lower Wireless E-911 reimbursements; therefore, the FY 2012 revenue estimate for this category has been revised to reflect this situation.

Overall, the FY 2012 revenue estimate for Fund 120, E-911 is $22,441,353, reflecting a decrease of $795,327, or 3.4 percent, from the FY 2011 Adopted Budget Plan total of $23,236,680. The decrease is due to the Wireless E-911 issue noted above, as well as slightly lower projections for Communications Sales and Use Tax Fees and Interest Income.

***General Fund Transfer***
The General Fund Transfer is funded at $14,058,303 in FY 2012, which reflects no change from the FY 2011 Adopted Budget Plan.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 204/ 204 | 204/ 204 | 205/ 205 | 205/ 205 |
| Expenditures: | | | | |
| Personnel Services | $19,681,336 | $20,879,510 | $20,879,510 | $20,879,510 |
| Operating Expenses | 9,748,001 | 11,736,777 | 14,639,452 | 11,736,777 |
| IT Projects | 3,191,177 | 4,629,000 | 11,549,970 | 4,629,000 |
| **Total Expenditures** | **$32,620,514** | **$37,245,287** | **$47,068,932** | **$37,245,287** |

# Fund 120
# E-911

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- FY 2012 funding remains at the same level as the FY 2011 Adopted Budget Plan.

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$9,823,645**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $9,823,645, including the carryover of Information Technology project balances of $6,920,970 and encumbered carryover of $2,902,675 in Operating Expenses.

- **Position Changes** **$0**
  As part of the FY 2011 review of County position categories, a conversion of 2/2.0 SYE position has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status. In addition, it should be noted that due to workload-related issues, a transfer of 1/1.0 SYE position from Fund 120 to the Fire and Rescue Department was approved in FY 2011.

## Department of Public Safety Communications[1]

**Funding Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 204/ 204 | 204/ 204 | 205/ 205 | 205/ 205 |
| **Total Expenditures** | **$29,429,337** | **$32,616,287** | **$35,518,962** | **$32,616,287** |

[1] It should be noted that the table above does not include funding of IT Projects-related funding. In FY 2012, this totals an amount of $4,629,000.

**Position Summary**

| | | | | | |
|---|---|---|---|---|---|
| 1 | Director | 1 | Business Analyst IV | 1 | Human Resources Generalist III |
| 2 | Assistant Directors | 1 | Business Analyst III | 1 | Human Resources Generalist I |
| 5 | PSC Squad Supervisors | 1 | Management Analyst III | 1 | Geog. Info. Spatial Analyst II |
| 20 | PSC Asst. Squad Supervisors | 1 | Management Analyst II | 1 | Geog. Info. Spatial Analyst I |
| 158 | PSCs III | 1 | Financial Specialist III | 2 | Administrative Assistants IV |
| 1 | Programmer Analyst III | 1 | Financial Specialist II | 3 | Administrative Assistants III |
| 1 | PSTOC General Manager | 1 | Financial Specialist I | 1 | Info. Tech. Program Manager I |

**TOTAL POSITIONS**
**205 Positions / 205.0 Staff Years**

# Fund 120
# E-911

## Key Performance Measures

### Goal

To provide the telecommunications necessary for the rapid dispatch of Police and Fire and Rescue units to the scene of citizen or other agency requests for assistance. To maintain effective command, control, communications, and information support for public safety field personnel required for the safe, orderly conduct of public safety activities 24 hours a day, 365 days a year.

### Objectives

- To meet the National Emergency Number Association (NENA) Call Taking Operational Standard/Model Recommendation of answering 95 percent of all 9-1-1 calls arriving at DPSC within 20 seconds.

- To exceed the National Emergency Number Association (NENA) Call Taking Operational Standard/Model Recommendation of answering 90 percent of all 9-1-1 calls arriving at DPSC within 10 seconds.

| | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| **Indicator** | **FY 2008 Actual** | **FY 2009 Actual** | **FY 2010 Estimate/Actual** | **FY 2011** | **FY 2012** |
| **Output:** | | | | | |
| Calls received on emergency lines | 610,180 | 575,644 | 581,400 / 589,178 | 595,070 | 601,020 |
| Calls received on non-emergency lines | 499,729 | 526,504 | 531,769 / 495,527 | 500,482 | 505,487 |
| **Efficiency:** | | | | | |
| Cost per call | $28.13 | $37.07 | $32.19 / $30.07 | $34.00 | $33.66 |
| **Service Quality:** | | | | | |
| Founded complaints per 100,000 calls | NA | 1.7 | 1.7 / 2.5 | 2.1 | 2.1 |
| **Outcome:** | | | | | |
| Percent 9-1-1 calls arriving at DPSC answered within 20 seconds | NA | 95% | 95% / 89% | 95% | 95% |
| Percent 9-1-1 calls arriving at DPSC answered within 10 seconds | NA | 93% | 93% / 85% | 90% | 90% |

## Performance Measurement Results

In FY 2010, DPSC did not meet the National Emergency Number Association (NENA) standard of 90 percent of 9-1-1 calls answered within 10 seconds by 5 percentage points in FY 2010 and did not meet the NENA standard of 95 percent of 9-1-1 calls answered within 20 seconds by 6 percentage points. The agency did not meet its objectives due to the relatively high amount of staff turnover it experienced in FY 2010 causing the number of public safety communicators qualified to answer calls to decrease. DPSC anticipates making progress in meeting the NENA standard in FY 2011 and FY 2012 due to its emphasis on filling staff vacancies and training new public safety communicators. It should be noted that in FY 2010, DPSC revised its performance measurement objectives to reflect NENA standards, and measures and estimates have been updated accordingly.

# Fund 120
# E-911

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** **Fund 120, E-911**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$11,037,477** | **$175,170** | **$12,062,616** | **$1,114,791** |
| Revenue: | | | | |
| Communications Sales and Use Tax Fees | $17,240,334 | $18,456,745 | $16,956,745 | $18,146,045 |
| State Reimbursement (Wireless E-911)[1] | 4,880,731 | 4,384,627 | 4,384,627 | 4,000,000 |
| Other Revenue[2] | 614,636 | 195,308 | 621,432 | 195,308 |
| Interest Income | 86,890 | 200,000 | 100,000 | 100,000 |
| Total Revenue | $22,822,591 | $23,236,680 | $22,062,804 | $22,441,353 |
| Transfer In: | | | | |
| General Fund (001) | $10,823,062 | $14,058,303 | $14,058,303 | $14,058,303 |
| Total Transfer In | $10,823,062 | $14,058,303 | $14,058,303 | $14,058,303 |
| **Total Available** | **$44,683,130** | **$37,470,153** | **$48,183,723** | **$37,614,447** |
| Expenditures: | | | | |
| Personnel Services | $19,681,336 | $20,879,510 | $20,879,510 | $20,879,510 |
| Operating Expenses | 9,748,001 | 11,736,777 | 14,639,452 | 11,736,777 |
| IT Projects | 3,191,177 | 4,629,000 | 11,549,970 | 4,629,000 |
| Total Expenditures | $32,620,514 | $37,245,287 | $47,068,932 | $37,245,287 |
| **Total Disbursements** | **$32,620,514** | **$37,245,287** | **$47,068,932** | **$37,245,287** |
| **Ending Balance[3]** | **$12,062,616** | **$224,866** | **$1,114,791** | **$369,160** |

[1] In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of $369,159.61 has been reflected as an increase to FY 2010 revenue to properly reflect the recording of revenue in this fund. This audit adjustment has been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2011 Third Quarter package.

[2] This revenue category includes annual revenue from the City of Fairfax for dispatch services, FOIA fees, and reimbursement from Nextel to cover County expenses related to the Nextel 800 MHz rebanding initiative.

[3] IT projects are budgeted based on the total project costs and most projects span multiple years. Therefore, funding for IT projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

| IT0001 | Public Safety Communications Network/Systems | | | | |
|---|---|---|---|---|---|
| **Total Project Estimate** | **Prior Expenditures** | **FY 2010 Expenditures** | **FY 2011 Revised Budget Plan** | **FY 2012 Advertised Budget Plan** | **Future Years** |
| TBD | $62,923,101 | $3,191,177 | $11,342,024 | $4,629,000 | TBD |

Project IT0001 was established in FY 1995 to replace and upgrade the County's critical Public Safety Communications Network (PSCN) and its various component systems. The network's component systems are vital for ensuring immediate and systematic response to emergencies, and replacement and enhancement is necessary to maintain performance, availability, reliability, and capacity for growth due to increases in County population and demand for public safety services. The PSCN supports emergency communications for the DPSC, Police, Fire and Rescue, and Sheriff's departments. This includes public safety call taking (E-911, Cellular E-911, non-emergency), dispatching, and all affiliated communications support for public safety agencies. Two of the major technologies utilized are a Computer Aided Dispatch (CAD) system with an integrated mobile data communications component and a wireless digital radio network for voice communications.

The CAD system is used to dispatch appropriate equipment and personnel to emergency and non-emergency events and to communicate and track up-to-date investigative, personnel and unit information in a rapidly changing public safety environment. The mobile data communications component of CAD allows the dispatch of resources with minimal voice communications, provides field units direct access to local, state, and national databases, records management systems and other business processes, and allows continuous contact with the Public Safety Communications Center (PSCC). This project provides funding for enhancements and upgrades to the CAD system and its mobile data communications component including hardware replacement cycles. The CAD enhancement funds and MCT replacement cycle was established in the late 1980s to replace old systems that were technologically obsolete, severely undersized, and at the end of their effective, supportable life cycle. Upgrades ensure continued reliable operation of these critical systems, incorporates software, hardware, and user functionality advances made since the last upgrade , and allow for future migration in capability as new technologies emerge.

Fairfax County migrated to the new digital radio network in FY 2006 to accommodate growing public safety voice communications requirements and to remedy performance, coverage, fragmentation, and reliability problems associated with an aging, technologically obsolete system at the very end of its sustainable life cycle. Deficiencies in the old system severely impeded critical communications and safety in emergency situations. The new trunked wireless digital voice communications system consolidates all County public safety voice communication and is designed to address coverage, reliability, and operational limitations of the old system used by public safety agencies in the County. It provides capacity for growth and enhancement for the next 20 years.

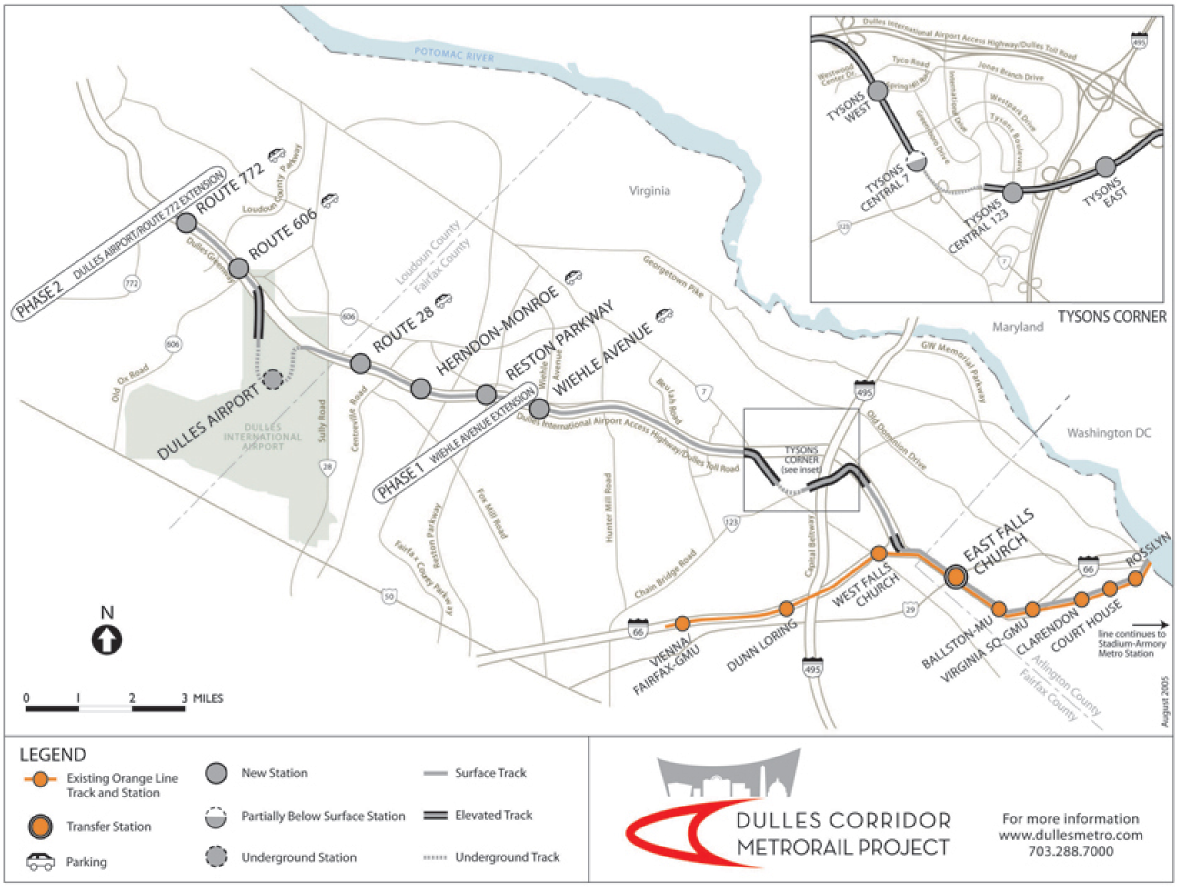
FY 2012 funding is included for the fifth year of a five-year replacement cycle for Mobile Computer Terminals (MCTs) ($1,200,000). Additionally, FY 2012 funding will support upgrading the County's Public Safety Radio System to a newer technology platform ($3,429,000), in conjunction with the activation of the MPSTOC facility. The FY 2010 projection represents project costs and year three of a lease-purchase agreement for the new network infrastructure.

**Return on Investment (ROI):** The return on investment for this project is realized by the performance, productivity, and effectiveness of public safety services in Fairfax County. Replaced and upgraded technology for these systems is critical to the safety of the public and the public safety personnel they support. Upgraded technology preserves the investments in technology that have been made and allow increased functionality, performance, and reliability to be achieved to facilitate responses to, and management of, emergencies. It mitigates the need for extraordinarily large additions of personnel that would be necessary to provide the same level of service and results without this technology. The increased access to important information, improvements to maintenance and reliability, increased capacity for growth, and enhanced functionality for users now and in the future builds upon past investments, responds to critical existing requirements, and sets the stage for the next generation of public safety communications technology.

# Fund 121
# Dulles Rail Phase I Transportation Improvement District

## Focus

Metrorail service is planned to be extended approximately 23 miles from an area east of West Falls Church station, along the median of the Dulles Connector Road (DCR) through Tysons Corner, then further out the Dulles International Airport Access Highway (DIAAH), through Dulles International Airport, to Route 772 in Loudoun County. The total cost of the Rail to Dulles Project currently is estimated to be between $5.8 billion to $6.5 billion. Due to financial constraints imposed by the federal government, the project is currently expected to be completed in two phases. The Phase I cost is approximately $2.64 billion for the segment from the Metrorail Orange line to Wiehle Avenue in Reston, including construction of five new stations.




The Phase I cost of $2.64 billion is being financed by the federal government, the Commonwealth of Virginia, Fairfax County, and revenue from the Dulles Toll Road (DTR). In March 2009, the Federal Transit Administration executed a Full funding Grant Agreement with Metropolitan Washington Airports Authority (MWAA) for $900 million for Phase 1 of the project. Fairfax County's share of Phase 1, $400 million is being financed from the Phase I Tax District; the remaining funding for Phase I is a combination of state and DTR funds.

The total project costs are expected to be shared by the federal government, the Commonwealth, Fairfax County, Loudoun County, MWAA, and operation of the Dulles Toll Road. It should be noted that the County's participation rate is determined on the basis of total project costs and sharing the cost of common features necessary to complete an operational line, such as soft costs, rail yards and power stations.

The primary source of revenue to support construction of the rail line is expected to be tolls from the Dulles Toll Road. Control and operation of the Dulles Toll Road was transferred to MWAA on November 1, 2008. The local funding partners, Fairfax County, Loudoun County, and MWAA have entered into an agreement which specifies the level of funding responsibility for each partner; the Fairfax share is approximately 16.1

percent of total costs and approximately $400.0 million for Phase I. This is also the maximum permitted under the terms of the Phase I Tax District. Additionally, landowners in Phase II submitted a petition to the Board of Supervisors to form a Phase II tax district which would commit $330 million to the County's share of Phase II funding.

On January 21, 2004, a petition was filed with the Clerk to the Board of Supervisors (the Petition) by owners of commercial and industrial property (the Petitioners) asking the Board of Supervisors to create a Phase I Dulles Rail Transportation Improvement District (the Phase I District), as provided by Chapter 15 of Title 33.1 of the Code of Virginia, as amended (the Act). The Act is similar although not identical to the law that empowered the Board and the Board of Supervisors of Loudoun County to create the Route 28 Highway Transportation Improvement District (the Route 28 District) in both counties in 1988.

The Phase I District was approved and established by the Board of Supervisors on February 23, 2004, following a public hearing. The Phase I District is governed by a District Commission, consisting of four Board members and the Chairman of the Commonwealth Transportation Board (CTB) or his/her designee. The District Commission is advised by a District Advisory Board, composed of landowner representatives. This is the same basic governance structure used for the Route 28 Tax District.

Commercial and industrial property within the district created pursuant to the Act can be taxed to raise funds for transportation improvements in the district. Such a district can be created upon the petition of the owners of at least 51 percent, measured by land area or assessed value, of the real property located within the proposed district that is zoned or used for commercial or industrial purposes. The properties listed on the signature pages of the Petition constituted over 64 percent of such property located within the Phase I District, measured by assessed value.

Per the Code of Virginia § 33.1-435, properties zoned to permit multi-unit residential use but not yet used for that purpose and multi-unit properties primarily leased or rented to residential tenants or other occupants by an owner who is engaged in such a business are deemed to be in commercial use for purposes of the Act. But no other residential properties are subject to any tax that may be levied on behalf of such a district, even if they are within the boundaries of such a district.

The boundaries, as proposed by the Petitioners, encompass most if not all of the Tysons Corner Urban Center, commercial and industrial properties near the proposed Metrorail station at Wiehle Avenue, and the necessary DAAR right-of-way.

The proposed transportation improvements include that portion in the Phase I District of the capital improvements described as the Locally Preferred Alternative (LPA) in a resolution of the Board of Directors of the Washington Metropolitan Area Transit Authority (WMATA), adopted on November 21, 2002.

The Petitioners will contribute up to $400.0 million of the County's share for Phase I through the imposition of a voluntary tax on commercial and industrial properties within the Phase I District. Included in the proposal is a provision for full coverage of the long-term financing costs for the County's net share of construction costs. At the maximum contribution, under the current plan, the total expected cost including interest costs over the life of the district to be provided by the tax on behalf of the Phase I District is approximately $882.5 million. As of December 2006, funds for the tax district are expected to fully fund the County's expected share of Phase I costs. Funding requirements in excess of the amount to be provided by the District are expected to be funded by other available revenue sources.

The plan as set forth in the Petition contains specific provisions regarding timing, tax rates, total costs, and percentage of costs to be paid with Phase I District revenue. The plan contemplates the establishment of a Revenue Stabilization Fund (RSF) with early collection of taxes commencing in FY 2005 to build reasonable reserves to help maintain the rate parameters in view of the cyclic pattern of changes in assessed value from year to year. It is anticipated that the RSF and perhaps other rate or coverage covenants will be required by rating agencies to achieve an investment grade rating on bonds issued that are supported by Phase I District tax revenues.

# Fund 121
# Dulles Rail Phase I Transportation Improvement District

Under the terms of the petition, before any Phase I District revenues are committed the tax rate is capped at 22 cents per $100 of assessed value, and taxes collected accumulate in the RSF. If a federal Full Funding Grant Agreement (FFGA) for Phase I is not executed, then the owners of 51 percent of the commercial and industrial property within the Phase I District may petition for its dissolution, and individual property owners can ask for the return of taxes previously paid and accumulated in the RSF. The Federal Transit Administration (FTA) received the Full Funding Grant Agreement application on October 22, 2008 and approved it and forwarded it to the Secretary of the United States Department of Transportation and the Office of Management and Budget on December 19, 2008 for their approval. Secretary Peters, after reviewing the FFGA application with OMB, approved the FFGA on January 7, 2009, and forwarded it to Congress for their approval. The FFGA between the FTA and the Metropolitan Washington Airports Authority (MWAA) was executed on March 10, 2009.

Before committing Phase I District tax revenues, the District Commission must determine that the District's actual share of the financing will not exceed $400 million of construction funds for Phase I costs, and that a tax rate of no more than 29 cents per $100 of assessed value will be sufficient to meet the Phase I District's obligations at an assumed rate of growth in assessed value of 1.5 percent. If at the time the District Commission expects either of those parameters to be exceeded, then they must seek approval from the owners of 51 percent of the commercial or industrial property within the Phase I District before proceeding to commit the revenues.

However, once Phase I District revenues have been committed, allowing the financing to be put into place for the sale of bonds supported by those revenues, there is no "hard" cap on the Phase I District tax rate other than the statutory cap of 40 cents per $100 of assessed value. Thus there would be full latitude to set the tax rate up to the statutory maximum, if necessary, to meet the obligations of the Phase I District, e.g., if necessary to meet debt service requirements in the event assessed value growth rates cannot be sustained at 1.5 percent or greater.

On June 22, 2009, the Board of Supervisors approved preliminary bond documents for Phase I Dulles Rail Tax District financing and authorization of judicial proceedings to validate the bonds. It was determined that prior to the issuance of bonds by the Economic Development Authority, there should be a judicial determination of the validity of the bonds to ensure broad financial market acceptance of the bonds. The initial judicial review was completed at the Circuit Court level on August 28, 2009, at which time the County received a favorable ruling. On November 4, 2010, the Virginia Supreme Court affirmed the lower court ruling. It is anticipated that by the Spring of 2010, the bonds for the project will be sold to provide the proportional share of project funding required in accordance with the funding agreement with the Metropolitan Washington Airports Authority and the County.

Prior to the execution of the Full funding Grant Agreement between the Federal Transit Administration (FTA) and the Metropolitan Washington Airports Authority (MWAA) on March 10, 2009, the only construction work occurring on the Dulles Corridor Metrorail Project (DCMP) concerned the relocation of utilities along Route 7 and Route 123 in Tysons Corner. As of January 2011, the following construction activities are underway:

- Three (3) rail bridges are under construction: 2 on the DCR and 1 on the Dulles International Airport Access Highway (DIAAH). The bridge-building truss is crossing from the Connector road across Route 123.

- Early preparation of the eastern portion of the 2,400-foot tunnel has begun at Route 123 and International Drive, including construction of a concrete plant. Other equipment and facilities essential to the tunnel construction are being put into place. Preliminary foundation work is taking place for the Tysons Central 123 station at Route 123 and Tysons Boulevard. Construction of the Tysons East station will begin ramping up in February.

- Construction has started on all five Phase I Stations.

- For additional cost information about the Dulles Rail project, please see Fund 122, Dulles Rail Phase II Transportation Improvement District contained in Volume 2, Capital Construction and Other Operating Funds.

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Debt Service Adjustments** **$11,650,000**
  An increase of $11,650,000 or 87.3 percent over the FY 2011 Adopted Budget Plan amount of $13,350,000 due to adjustments necessary to accommodate estimated debt service payments based on a projected Spring 2011 bond sale.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$36,650,000**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved increased expenditures of $36,650,000 in Operating Expenses, to allow for construction payments to MWAA.

# Fund 121
# Dulles Rail Phase I Transportation Improvement District

## FUND STATEMENT

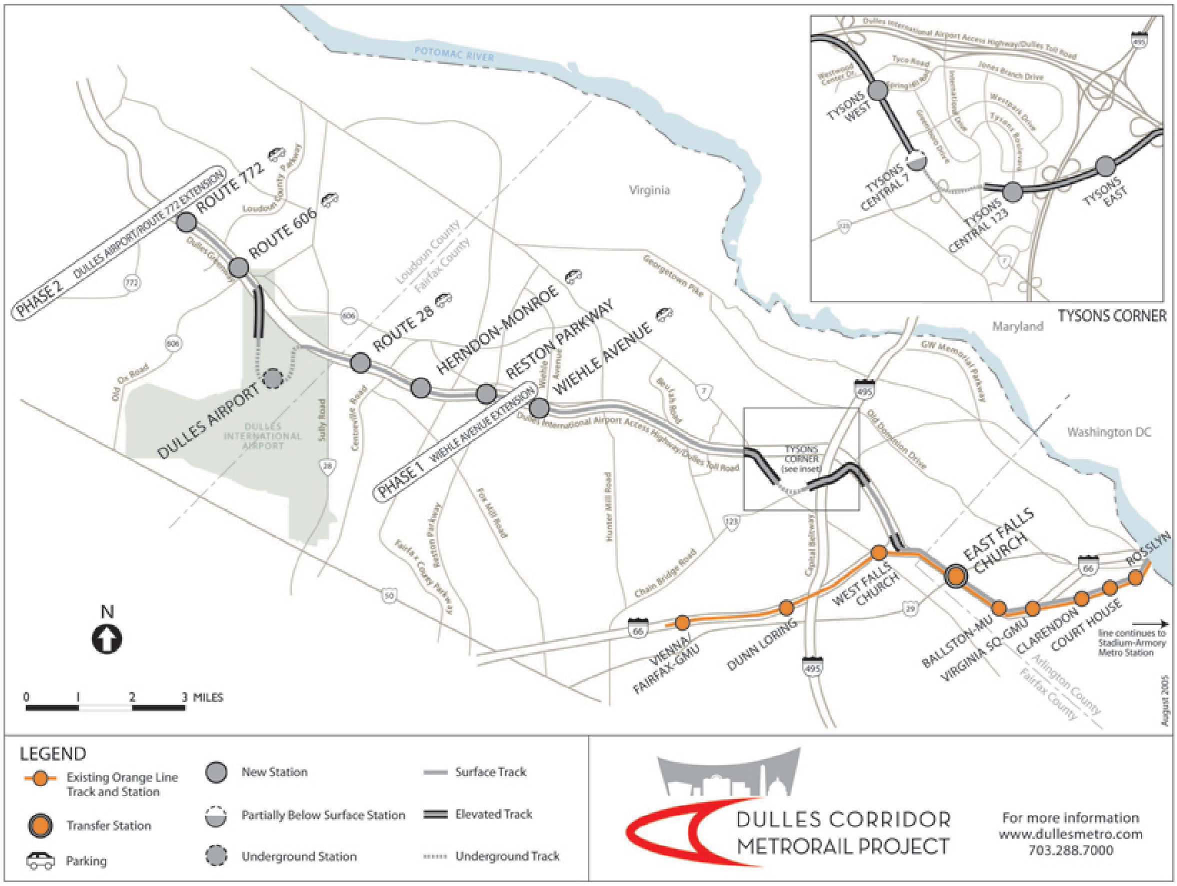
**Fund Type G10, Special Revenue Funds** | **Fund 121, Dulles Rail Phase I Transportation Improvement District**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$84,573,977** | **$60,120,525** | **$90,099,993** | **$63,868,264** |
| Revenue: | | | | |
| Real Estate Taxes-Current | $27,427,441 | $22,431,463 | $22,431,463 | $22,436,338 |
| Interest on Investments | 589,916 | 1,336,808 | 1,336,808 | 785,272 |
| Total Revenue | $28,017,357 | $23,768,271 | $23,768,271 | $23,221,610 |
| **Total Available** | **$112,591,334** | **$83,888,796** | **$113,868,264** | **$87,089,874** |
| Expenditures: | | | | |
| Debt Service | $0 | $13,350,000 | $13,350,000 | $25,000,000 |
| Construction Payments | 22,000,000 | 0 | 36,650,000 | 0 |
| District Expenses | 491,341 | 0 | 0 | 0 |
| Total Expenditures | $22,491,341 | $13,350,000 | $50,000,000 | $25,000,000 |
| **Total Disbursements** | **$22,491,341** | **$13,350,000** | **$50,000,000** | **$25,000,000** |
| **Ending Balance[1]** | **$90,099,993** | **$70,538,796** | **$63,868,264** | **$62,089,874** |
| **Tax rate/per $100 Assessed Value** | **$0.22** | **$0.22** | **$0.22** | **$0.22** |

[1] The ending balance has been accumulating in anticipation of the start of construction, which has now begun following approval of the Full Funding Grant Agreement on March 10, 2009.

## Focus

The purpose of Dulles Metrorail is to provide high-quality, high-capacity transit service in the Dulles Corridor. New Metrorail service in the corridor will result in travel time savings between the corridor and downtown D.C., expand the reach of the existing regional rail system, offer a viable alternative to automobile travel and support future transit-oriented development along the corridor.




On October 9, 2009 a petition (the "Petition") was filed with the Clerk to the Board of Supervisors to create the Phase II Dulles Rail Transportation Improvement District (the "Phase II District"). As required by Code of Virginia Ann. § 33.1-431, the petition was signed by owners of more than 51 percent of the commercial and industrial property within the proposed Phase II District, measured by assessed value, that would be subject to a special tax pursuant to Code of Virginia Ann. § 33.1-435 (a "District Tax"). Pursuant to that statute, following a public hearing on December 7, 2009, the Board created the Phase II District on December 21, 2009. It should be noted that on November 10, 2009, the Town of Herndon approved the creation of the Phase II District.

Phase II of the Dulles Metrorail project (the "Project") will run from just west of Wiehle Avenue to Ashburn in eastern Loudoun County. This extension will serve Reston Town Center, Herndon, Dulles Airport, Route 606, and Ashburn. Commercial and industrial properties in the Phase II District, which lie near the Project on either side of the right-of-way of the Dulles Airport Access and Toll Road ("DTR") within Fairfax County, will be taxed to help Fairfax County fund the County's share of the Project. Consistent with the Petition and the resolution adopted by the Board to create the Phase II District, a tax rate of $0.05 per hundred dollars of assessed value was proposed for FY 2011 for commercial and industrial properties within the Phase II District. According to the Petition, for FY 2012, this tax rate increases to $0.10 per hundred dollars of assessed value. It is expected to yield approximately $6.6 million in revenue for the fund. The Petition proposed annual tax increases of $0.05 cents per year until the rate reaches $0.20 cents per $100 of assessed value in FY 2014.

# Fund 122
# Dulles Rail Phase II Transportation Improvement District

The tax rate will be held at $0.20 until full revenue operations commence on Phase II, which is expected in late 2016. At that time, the rate may be set at the level necessary to support the District's debt obligations. For planning purposes the Phase II District may not enter into a financing agreement unless it is reasonably believed that it can be accomplished within the maximum rate established by the petition of $0.25 per $100 of assessed value.

The original funding plan was that the federal government (through grants from the FTA) would pay 50 percent of the entire Metrorail Project cost (i.e., both Phases I and II), the Commonwealth would pay 25 percent using DTR revenues, and local governments would pay 25 percent. That plan was based on an early cost estimate made a number of years ago and prior to preliminary engineering and environmental studies that resulted in an improved estimate for the total project cost.

However, the Full Funding Agreement later entered into with the federal government provides for a federal share for Phase I only (Interstate 66 to Wiehle Avenue) and caps that contribution at $900 million, which necessarily changes the percentages for the partners' shares. At this time, no federal funds have been committed to Phase II. The current absence of federal funds for Phase II has resulted in the DTR taking over the share of Phase II costs that the original plan had "assigned" to the federal government.

No funds may be expended until certain other conditions are met. Among these conditions is completion of the preliminary design and cost estimate for Phase II, acceptable to the Board or Supervisors, which is expected during 2011. Other key conditions include: 1) appropriate commitments from all sources contributing to Phase II are in place to assure completion of the Phase II Transportation Improvements; 2) the Phase II District's share of the aggregate capital cost does not exceed $330,000,000; 3) the County's share of aggregate costs remain reasonably consistent with currently anticipated contributions; and 4) there is no "Supplemental Tax" on the commercial and industrial real estate within in the Phase II District that exceeds $0.11 per $100 of assessed value unless a credit or other benefit is extended substantially equivalent to the Supplemental Tax.

As a result of increases in estimated project costs and the lack of a federal funding commitment for Phase II, the original funding plan was revised. The current funding structure for the full project including both Phase I and Phase II is as follows:

- Fairfax County, Loudoun County and Airports Authority contribution is 25 percent.
- Federal contribution is 17.1 percent, which is based upon a fixed FTA grant for Phase 1 of $900 million.
- The Commonwealth contribution is 5.2 percent, which is based upon a fixed contribution of $275 million consisting of non-toll road funding.
- The DTR contribution provides the remaining amount, and is 52.7 percent.

The total County share of the project cost is estimated to be 16.1 percent of the total project cost. Recent updates to preliminary engineering estimates indicate a cost range for Phase II from $3.0 to $3.8 billion, or a total project cost range of $5.8 billion to $6.5 billion. The total County share of the project is expected to range from $933 million to $998 million with $400.0 million from the Phase I tax district and $330.0 million from the Phase II tax district and the source for the remaining portion cost of $203 to $268 million to be determined.

# Fund 122
# Dulles Rail Phase II Transportation Improvement District

## FY 2012 Funding Adjustments
*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- FY 2012 funding remains at the same level as the FY 2011 Adopted Budget Plan.

## Changes to FY 2011 Adopted Budget Plan
*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- There have been no adjustments to this fund since approval of the FY 2011 Adopted Budget Plan.

# Fund 122
# Dulles Rail Phase II Transportation Improvement District

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds**

**Fund 122, Dulles Rail Phase II Transportation Improvement District**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$0** | **$0** | **$0** | **$3,097,035** |
| Revenue: | | | | |
| Real Estate Taxes | $0 | $3,582,035 | $3,582,035 | $6,654,110 |
| Interest on Investments | 0 | 15,000 | 15,000 | 65,210 |
| Total Revenue | $0 | $3,597,035 | $3,597,035 | $6,719,320 |
| **Total Available** | **$0** | **$3,597,035** | **$3,597,035** | **$9,816,355** |
| Expenditures: | | | | |
| Operating Expenses | $0 | $500,000 | $500,000 | $500,000 |
| Total Expenditures | $0 | $500,000 | $500,000 | $500,000 |
| **Total Disbursements** | **$0** | **$500,000** | **$500,000** | **$500,000** |
| **Ending Balance**[1] | **$0** | **$3,097,035** | **$3,097,035** | **$9,316,355** |
| **Tax rate/per $100 Assessed Value**[2] | **$0.00** | **$0.05** | **$0.05** | **$0.10** |

[1] The ending balance will be accumulating in anticipation of the sale of bonds to fund the district's share of the project.

[2] Per the Petition the annual tax rate will increase $0.05 cents per year until the rate reaches $0.20 cents per $100 of assessed value in FY 2014. The rate will be held at $0.20 until full revenue operations commence on Phase II, which is expected in late 2016.

# Fund 124
# County and Regional Transportation Projects

## Focus

Fund 124, County and Regional Transportation Projects supports the County's implementation of new transportation projects and is funded by the commercial and industrial real estate tax for transportation. This taxing authority was authorized under the Transportation Funding and Reform Act of 2007 (HB 3202), approved by the Virginia General Assembly on April 4, 2007, and implemented by the Board of Supervisors as part of the FY 2009 Adopted Budget Plan. This revenue helps accelerate the County's implementation of roadway, transit and pedestrian projects.

HB 3202 allows localities to assess a tax on the value of commercial and industrial real estate and to use the proceeds on new transportation improvements. In 2009, the Virginia General Assembly temporarily set the maximum rate localities could levy at 12.5 cents per $100 assessed value. After four years, the maximum rate will return to 25 cents per $100 assessed value. The County's current rate is set at 11 cents and is expected to remain unchanged in FY 2012. It is estimated that the current rate will generate approximately $42.0 million in revenue for FY 2012. This estimate is based on actual revenue received to date in FY 2011 and includes the projection of a slight increase in the commercial real estate market.

FY 2012 expenditures in Fund 124 are consistent with the project lists approved by the Board of Supervisors on July 13, 2009 and October 19, 2009. Many of the County's most critical transportation projects are on these lists. The types of projects include:

- roadway improvements;
- transit improvements;
- pedestrian, bike, and small intersection improvements;
- planning and design work for future projects; and
- advance right-of-way purchases for future projects.

FY 2012 disbursements include $18.9 million for capital projects, $3.6 million for operating and staff support for project implementation and a $19.5 million transfer to FAIRFAX CONNECTOR bus service (Fund 100, County Transit Systems). The transfer to Fund 100 funds continued support for West Ox Division rush hour and midday service, support for increased frequencies on overcrowded priority bus routes (Routes 171, 401/402, 950) which were expanded in FY 2010, and support of Transit Development Plan expansions of bus service hours at all three operating divisions. These transit services are in keeping with the legislative constraints for commercial and industrial tax funds which must be used to support new transportation initiatives. Continuing in FY 2012, Fund 124 supports 19/19.0 SYE staff positions in order to manage and advance critical transportation projects in the County.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 124
# County and Regional Transportation Projects

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 19/ 19 | 19/ 19 | 19/ 19 | 19/ 19 |
| Expenditures: | | | | |
| Personnel Services | $844,165 | $1,775,322 | $1,775,322 | $1,830,721 |
| Operating Expenses | 1,432,481 | 1,756,871 | 1,756,871 | 1,756,871 |
| Recovered Costs | (1,365) | 0 | 0 | 0 |
| Capital Equipment | 7,556,285 | 0 | 8,686,267 | 0 |
| Capital Projects | 11,884,861 | 24,066,145 | 130,447,586 | 18,952,936 |
| **Total Expenditures** | **$21,716,427** | **$27,598,338** | **$142,666,046** | **$22,540,528** |

| Position Summary | | | |
|---|---|---|---|
| 1 | Engineer V | 1 | Project Coordinator |
| 3 | Engineers IV | 2 | Management Analysts III |
| 3 | Transportation Planners III | 1 | Network Analyst I |
| 3 | Transportation Planners II | 1 | Administrative Assistant III |
| 1 | Assistant Supervisor Facilities Support | 1 | Administrative Assistant II |
| 2 | Planning Technicians II | | |
| **TOTAL POSITIONS** | | | |
| **19 Positions / 19.0 Staff Years** | | | |

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- **Other Post-Employment Benefits** **$55,399**
  An increase of $55,399 reflects the cost of providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. In prior years, costs related to these benefits were paid solely by the General Fund; however these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Advertised Budget Plan.

- **Capital Projects** **$18,952,936**
  Funding in the amount of $18,952,936 has been included for FY 2012 priority projects supported by the commercial and industrial tax revenue, consistent with the project lists approved by the Board of Supervisors on July 13, 2009 and October 19, 2009.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

♦ **Carryover Adjustments** **$115,067,708**

As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $115,067,708 due to the carryover of unexpended project balances of $109,042,991, and other adjustments of $6,024,717 to appropriate available balances. A net balance of $1,385,119 was available primarily due to position vacancies and operational savings. In addition, an amount of $4,639,598 was available based on prior year savings in Fund 100, County Transit Systems, resulting from the delayed implementation of expanded bus routes. These savings were applied to meet a portion of FY 2011 requirements in Fund 100 for the expanded bus routes, and therefore reduced the Fund 124 transfer requirement. The available savings of $6.0 million in Fund 124 were appropriated to the Construction Reserve Project and to other capital projects previously approved by the Board of Supervisors which required additional funds.

# Fund 124
# County and Regional Transportation Projects

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** | **Fund 124, County and Regional Transportation Projects**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$46,777,323** | **$0** | **$60,428,110** | **$0** |
| Revenue: | | | | |
| Commercial Real Estate Tax for Transportation[1] | $50,874,426 | $43,105,550 | $43,105,550 | $42,000,000 |
| EDA Bonds[2] | 0 | 0 | 50,000,000 | 0 |
| Total Revenue | $50,874,426 | $43,105,550 | $93,105,550 | $42,000,000 |
| **Total Available** | **$97,651,749** | **$43,105,550** | **$153,533,660** | **$42,000,000** |
| Expenditures: | | | | |
| Personnel Services | $844,165 | $1,775,322 | $1,775,322 | $1,830,721 |
| Operating Expenses | 1,432,481 | 1,756,871 | 1,756,871 | 1,756,871 |
| Recovered Costs | (1,365) | 0 | 0 | 0 |
| Capital Equipment[3] | 7,556,285 | 0 | 8,686,267 | 0 |
| Capital Projects[4] | 11,884,861 | 24,066,145 | 130,447,586 | 18,952,936 |
| Total Expenditures | $21,716,427 | $27,598,338 | $142,666,046 | $22,540,528 |
| Transfers Out: | | | | |
| County Transit (100)[5] | $15,507,212 | $15,507,212 | $10,867,614 | $19,459,472 |
| Total Transfers Out | $15,507,212 | $15,507,212 | $10,867,614 | $19,459,472 |
| **Total Disbursements** | **$37,223,639** | **$43,105,550** | **$153,533,660** | **$42,000,000** |
| **Ending Balance[6]** | **$60,428,110** | **$0** | **$0** | **$0** |
| **Tax Rate per $100 of Assessed Value** | **$0.11** | **$0.11** | **$0.11** | **$0.11** |

[1] The Board of Supervisors implemented this tax in FY 2009 at a rate of 11 cents per $100 of assessed value, and the rate remains constant in FY 2012. The Transportation Funding and Reform Act of 2007 (HB 3202) provided the enabling legislation for this new tax.

[2] Economic Development Authority (EDA) transportation contract revenue bonds of $50,000,000 are expected to provide additional support for transportation projects, as endorsed by the Board of Supervisors.

[3] Reflects Capital Equipment which provides support for CONNECTOR bus purchases. In FY 2010, 18 new CONNECTOR buses were purchased to expand service on overcrowded high priority routes (Routes 170, 401/402 and 950). In FY 2011, funding supports the purchase of 19 buses for the continuing expansion of CONNECTOR services as identified within the Transit Development Plan.

[4] Capital Projects include roadway, pedestrian and transit funding. A portion of funding is held in a reserve project and adjustments are made to reflect project funding for specific projects approved by the Board of Supervisors as projects approach implementation.

[5] The FY 2012 transfer of $19,459,472 to Fund 100, County Transit Systems is consistent with the use of commercial and industrial real estate tax for transit services approved by the Board of Supervisors on July 13, 2009. This amount will fund: the continuation of support for West Ox Division rush hour and midday service, continued support for increased frequencies on overcrowded priority bus routes (Routes 171,401/402 and 950) which were expanded in FY 2010, and support of Transit Development Plan expansions of bus service hours at all three operating divisions in FY 2012.

[6] Capital Projects are budgeted based on total project cost. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

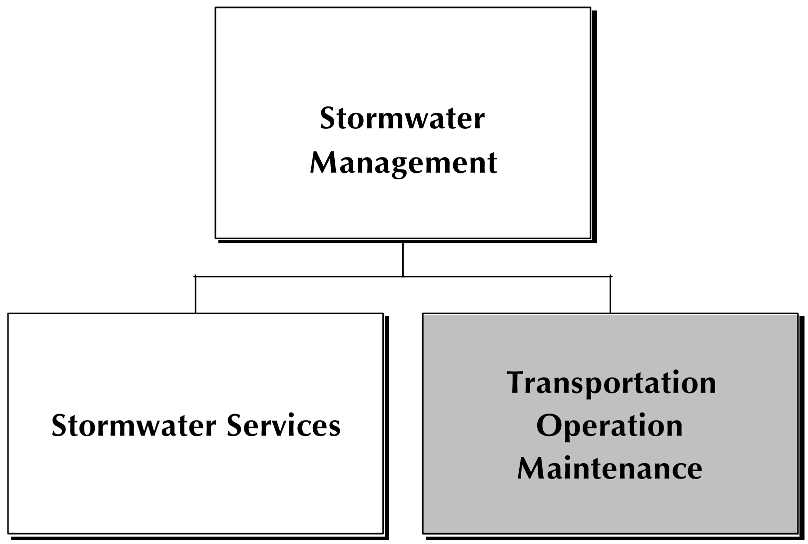
# Fund 124
# County and Regional Transportation Projects

### FY 2012 Summary of Capital Projects

### Fund: 124 County & Regional Transportation Projects

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 01240R | Project Construction Reserve | | $0.00 | $58,709,265.40 | $18,952,936 |
| BOSBRA | Braddock District Transportation Projects | 100,000 | 0.00 | 100,000.00 | 0 |
| BOSCHA | Chairman Transportation Projects | 100,000 | 22,500.00 | 77,500.00 | 0 |
| BOSDRA | Dranesville District Transportation Projects | 100,000 | 2,992.44 | 97,007.56 | 0 |
| BOSHUN | Hunter Mill District Transportation Projects | 100,000 | 22,500.00 | 77,500.00 | 0 |
| BOSLEE | Lee District Transportation Projects | 100,000 | 0.00 | 100,000.00 | 0 |
| BOSMAS | Mason District Transportation Projects | 100,000 | 0.00 | 100,000.00 | 0 |
| BOSMOU | Mount Vernon Transportation Projects | 100,000 | 0.00 | 100,000.00 | 0 |
| BOSPRO | Providence District Transportation Projects | 100,000 | 0.00 | 100,000.00 | 0 |
| BOSSPR | Springfield District Transportation Projects | 100,000 | 0.00 | 100,000.00 | 0 |
| BOSSUL | Sully District Transportation Projects | 100,000 | 0.00 | 100,000.00 | 0 |
| P0SR01 | Sidewalk Replacement/VDOT Participation | 600,000 | 0.00 | 600,000.00 | 0 |
| PBFP01 | Bicycle Facilities Program | 1,000,000 | 14,369.33 | 985,630.67 | 0 |
| PEMT01 | Emergency Maint. Existing Trails | | 17,566.95 | 33,039.07 | 0 |
| PPTF01 | Pedestrian Task Force Recommendations | 10,779,700 | 1,383,199.70 | 9,384,110.86 | 0 |
| R00101 | Route I Widening - Design | 3,000,000 | 103,714.75 | 2,896,285.25 | 0 |
| R00701 | Rt. 7 Widening-Rolling Holly to Reston Ave. | 8,000,000 | 0.00 | 8,000,000.00 | 0 |
| R08681 | Davis Drive Extension | 85,000 | 17,167.84 | 67,832.16 | 0 |
| R12301 | Rt. 123/Braddock Road Improvements | 3,000,000 | 55,437.24 | 2,944,562.76 | 0 |
| R123X1 | Braddock Rd/Route 123 Interchange Study | 952,000 | 171,515.38 | 375,621.27 | 0 |
| R19301 | Georgetown Pike/Walker Rd. RTL | 500,000 | 119,657.11 | 246,501.85 | 0 |
| R267X1 | Tysons Dulles Toll Road Connections | 108,544 | 108,544.00 | 0.00 | 0 |
| R29212 | Route 29 Widening - Centerville to Fairfax City | 2,000,000 | 0.00 | 2,000,000.00 | 0 |
| R5062X | Jones Branch Connector Preliminary Engineering | 212,000 | 113,184.48 | 98,815.52 | 0 |
| R61101 | Telegraph Rd Widening/S Van Dorn | 2,000,000 | 1,982.15 | 1,998,017.85 | 0 |
| R61113 | Lorton Rd.-Rt. 123/Silverbrook Rd. | 2,284,000 | 7,094.49 | 2,273,246.31 | 0 |
| R61901 | BRAC- Mulligan Road | 12,100,000 | 0.00 | 9,100,000.00 | 0 |
| R64501 | Stringfellow Road Widening | 6,000,000 | 0.00 | 6,000,000.00 | 0 |
| R65701 | Walney Rd. at Dallas St. | 1,100,000 | 0.00 | 1,100,000.00 | 0 |
| R75701 | Annandale R-O-W McWhorter Pl. | 3,000,000 | 1,663,856.63 | 1,318,961.27 | 0 |
| RRVP01 | Road Viewers Program | 100,000 | 0.00 | 100,000.00 | 0 |
| RSPI01 | Spot Improvements | 6,990,000 | 167,544.98 | 6,822,455.02 | 0 |
| RZ0001 | Eskridge Rd. Extension | 3,000,000 | 108,973.15 | 2,804,915.59 | 0 |
| TCLPK1 | Columbia Pike Streetcar Planning | 912,000 | 91,200.00 | 820,800.00 | 0 |
| TDULRL | Weihle Avenue Metrorail Facility | 8,600,000 | 3,167,905.30 | 5,425,821.70 | 0 |
| TSP001 | Springfield Park and Ride | 7,419,158 | 4,523,954.66 | 2,889,696.35 | 0 |
| TWTOX1 | West Ox Bus Facility - Parking Expansion | 2,500,000 | 0.00 | 2,500,000.00 | 0 |
| **Total** | | **$87,242,401** | **$11,884,860.58** | **$130,447,586.46** | **$18,952,936** |

# Fund 125
# Stormwater Services




Denotes functions that are included in both the General Fund, Agency 87, Unclassified Administrative Expenses and Fund 125, Stormwater Services.

## Mission

To develop and maintain a comprehensive watershed and infrastructure management program to protect property, health and safety; to enhance the quality of life; to preserve and improve the environment for the benefit of the public. To plan, design, construct, operate, maintain and inspect the infrastructure, and perform environmental assessments through coordinated stormwater and maintenance programs in compliance with all government regulations utilizing innovative techniques, customer feedback and program review; and to be responsive and sensitive to the needs of the residents, customers and public partners.

## Focus

As part of the FY 2010 Adopted Budget Plan, a special service district was created to support the Stormwater Management Program, as authorized by Va. Code Ann. Sections 15.2-2400. The service district levy was increased from $0.010 to $0.015 per $100 of assessed real estate value as part of the FY 2011 Adopted Budget Plan. Since FY 2006, the Board of Supervisors had dedicated the value of one penny of the real estate tax, or approximately $20 million annually to stormwater capital projects. In FY 2009, due to budget constraints, staffing and operating costs began to be charged to the stormwater penny fund, resulting in an approximate 50 percent reduction in funding for capital project support. The service district was created in FY 2010 to provide a dedicated funding source for both operating and capital project requirements. In FY 2011 the Board of Supervisors approved an increase in the levy from $0.010 to $0.015 based on increased enforcement by the Environmental Protection Agency (EPA) and the state.

Fund 125, Stormwater Services, is essential to protect public safety, preserve property values and support environmental mandates, such as those aimed at protecting the Chesapeake Bay and the water quality of other local jurisdictional waterways. Projects include: repairs to stormwater infrastructure, measures to improve water quality, such as stream stabilization, rehabilitation, safety upgrades of state regulated dams, repair and replacement of underground pipe systems, surface channels, structural flood proofing and best management practices (BMP) site retrofits and improvements. This funding also supports development of watershed master plans, increased public outreach efforts, and stormwater monitoring activities, as well as operational maintenance programs related to the existing storm drainage infrastructure as it pertains to stormwater conveyance and stormwater quality improvements. The FY 2012 levy of $0.015 will generate $28.8 million, supporting $11.8 million for staff and operational costs, and $17 million for capital project implementation and infrastructure reinvestment, regulatory requirements, dam safety, and contributory funding requirements. This dedicated capital funding support will allow the County to implement capital projects in a more efficient manner to meet state and EPA stormwater requirements.

The state mandated Municipal Separate Storm Sewer System (MS4) permit establishes regulatory requirements pertaining to stormwater management. The overarching guidelines for the MS4 permit are based on the National Pollutant Discharge Elimination Systems (NPDES) federal requirements. Compliance with these mandated regulations define the basis of the Stormwater Management Program. The County is currently operating under an extension of the existing MS4 discharge permit that expired in FY 2007. Negotiations between the Commonwealth of Virginia and Fairfax County, as well as negotiations between the state and many surrounding local communities, continue as several issues related to permit compliance are defined and established. The difficult and challenging permit negotiation process has spanned several years as the exact permit requirements are being developed and refined. It is anticipated that Fairfax County will soon be under new and increased regulatory requirements as a result of these permit negotiations. In addition, recent nutrient loading restrictions related to the Chesapeake Bay requirements are anticipated to impact the regulatory and operational programs within the Stormwater program.

There are several other program elements in the Stormwater Management Program. Services provided in all of the program areas are critical for compliance with the state and federal regulations pertaining to stormwater management. Details of the program elements for FY 2012 follow:

<u>Stormwater Regulatory Program</u>
All program elements within the Stormwater Management Program, including maintenance operations, are required components for compliance with the MS4 regulations. Increased MS4 requirements are expected to increase inspection cycles and monitoring efforts, and enhance restrictions for total maximum daily loads of harmful nutrients entering the streams and rivers within the County. Funding for this program is specific to permit administration, public outreach programs, stormwater facility inspections and assessment, and stormwater monitoring programs. The County's Stormwater regulatory program also includes the Fairfax County Public Schools (FCPS) MS4 permit requirements. Consolidation efforts continue to focus on updating the inventory of the School's stormwater management facilities, inspection of the facilities, and initiation of joint County/School programs for required permit compliance. Funding in the amount of $2,700,000 is included for the Stormwater Regulatory Program in FY 2012.

<u>Stormwater Management Facility Program</u>
The Stormwater Management Facility Program, a component of the Stormwater Regulatory Program, provides for annual inspections and assessments of the projected 1,510 publicly maintained stormwater management ponds and 3,750 privately maintained stormwater management ponds. Inspections and assessment work are required to remain in compliance with MS4 mandated stormwater facility inspection cycles. Additionally, the stormwater inspection program provides enhanced outreach efforts for owners of privately maintained stormwater facilities to provide useful facility operations and maintenance guidance for these facilities. Funding in the amount of $1,000,000 is included for the Stormwater Management Facility Program in FY 2012.

<u>Kingstowne Monitoring</u>
Kingstowne Monitoring is also a component of the Stormwater Regulatory Program. This project supports the Kingstowne environmental program, established by the Board of Supervisors in 1985. In FY 2002, the program was expanded to include the water quality monitoring requirements of the U.S. Army Corps of Engineers for the development of the South Van Dorn Street extension. Funding in the amount of $300,000 is included for the Kingstowne Monitoring Program in FY 2012. Other efforts specific to the stabilization of the failed Kingstowne Park Dam are funded under the Stormwater Infrastructure Reinvestment Program.

<u>Stormwater Dam Safety Program</u>
In FY 2012, the Dam Safety Program will continue to focus on obtaining and maintaining the six-year maintenance and operating certificates on all state regulated dams in the County. Based on recent revisions in federal and state dam safety standards, this program includes the oversight and funding of required critical upgrades of dams and emergency spillways to four of the six high hazard flood control facilities maintained

under the PL566 Dam Maintenance Program. The four dam upgrade projects include: Lake Royal which has been completed; Lake Woodglen which will be completed in FY 2011; Lake Barton which is anticipated to begin construction in FY 2011; and Lake Huntsman which is currently in the design phase with anticipated construction to begin in FY 2012. These are major, multi-million dollar projects, which to date, have received federal joint participation funds and approximately $3.5 million in American Reinvestment and Recovery Act funds. In addition, the electronic flood control signalization project for the County's largest most hazardous dam facilities and the flood prone areas in New Alexandria/Belleview continues to address other flood prone areas in the County. It is anticipated that operational upgrades and expansion to the system will continue into FY 2012. The signalization process will provide greater flood monitoring capabilities through instantaneous water level condition assessment. This public safety improvement is intended to provide an enhanced warning system that will link to an early notification system during flood response events. Funding in the amount of $2,700,000 is included for the Dam Safety Program in FY 2012.

Stormwater Infrastructure Reinvestment Program
The infrastructure reinvestment program provides inventory inspection and assessment services for repair and rehabilitation of the 1,586 miles of storm drainage conveyance systems and 42,800 stormwater drainage structures in the County. To date, approximately 44,819 linear feet or 8.5 miles of identified storm drainage systems deficiencies have been rehabilitated. Rehabilitation projects have a wide range in scope, varying from repairs of individual structures and single line segments to rehabilitation of entire drainage systems. It should be noted that this program funds emergency actions, stabilization, and initial engineering analysis of the Kingstowne Park Dam, which failed in October 2010. The stabilization measures will avoid further degradation of the dam system. The engineering analysis includes an options matrix and condition assessment of the Kingstowne Park site to identify alternative solutions for implementation once a project funding source has been identified. This program also funds the development of the digital Geographic Information System (GIS) layers related to the storm drainage network and the storm drainage easement layers. Currently, these layers are being updated to ensure a continuous network of pipes and streams for use in analysis related to the MS4 permit requirements and watershed modeling efforts. The digital storm drainage layer also provides emergency response support via instantaneous electronic imaging of storm drainage system connectivity for response issues such as hazardous material spills. The GIS database layer maintenance updates for new easement acquisitions and added drainage systems to the network will continue into FY 2012. Funding in the amount of $4,893,808 is included for the Stormwater Infrastructure Reinvestment Program in FY 2012.

Stormwater Project Implementation Program
While the primary driver of this program is the implementation of projects generated by the 30 watershed master plans in Fairfax County, the list of projects also includes flood control projects, citizen response projects, and other special project needs meeting established project implementation criteria. Project types include: the design and construction of watershed specific projects throughout the County; projects to correct unexpected emergency drainage problems; and engineering studies and construction to alleviate recurring flooding problems. The project implementation program ensures adherence to the most current design and construction standards and includes coordination with property owners, stakeholders, and regulators on project design and construction requirements. Funding in the amount of $4,893,808 is included for the Stormwater Project Implementation Program in FY 2012.

Stormwater Related Contributory Program
Beginning in FY 2011, funding has been provided for contributory agencies closely related to the Stormwater Program. Contributory funds are provided to the Northern Virginia Soil and Water Conservation District (NVSWCD), and the Occoquan Watershed Monitoring Program (OWMP). The NVSWCD is an independent subdivision of the Commonwealth of Virginia that provides leadership in the conservation and protection of Fairfax County's soil and water resources. It is governed by a five-member Board of Directors, three of whom are elected every four years by the voters of Fairfax County and two who are appointed by the Virginia Soil and Water Conservation Board. Accordingly, the work of NVSWCD supports many of the environmental efforts set forth in the Board of Supervisors' Environmental Excellence 20-year Vision Plan. The goal of the NVSWCD is to continue to improve the quality of the environment and general welfare of the citizens of Fairfax County by providing them with a means of dealing with soil, water conservation and related natural

resource problems. It provides County agencies with comprehensive environmental evaluations for proposed land use changes with particular attention to the properties of soils, erosion potential, drainage and the impact on the surrounding environment. NVSWCD has consistently been able to create partnerships and leverage state, federal and private resources to benefit natural resources protection in Fairfax County. FY 2012 funding of $429,293 is included in Fund 125 for the County contribution to the NVSWCD.

The OWMP and the Occoquan Watershed Monitoring Laboratory (OWML) were established to ensure that water quality is monitored and protected in the Occoquan Watershed. Given the many diverse uses of the land and water resources in the Occoquan Watershed (agriculture, urban residential development, commercial, and industrial activity, water supply, and wastewater disposal), the OWMP provides a critical role as the unbiased interpreter of basin water quality information. FY 2012 funding of $112,559 is included in Fund 125 for the County contribution to the OWMP.

Stormwater Watershed Planning Program
The County has fulfilled one of the original goals of the Watershed Planning Program by completing comprehensive master watershed plans for all 30 watersheds in Fairfax County in an effort to meet the County's commitment to the 2000 Chesapeake Bay agreement. Several program modifications were made following completion of the initial watershed master plans to help improve the quality and timeliness of the planning process by providing more focused community involvement and by standardizing reporting processes. The watershed master plans provide a strong basis for management and control of stormwater runoff related to the overall water quality and conveyance in Fairfax County. The Stormwater Watershed Planning Program provides support and assistance to the MS4 compliance program as well as stream condition assessments, and coordinates continuing education of stormwater issues through a multitude of public outreach opportunities. The Watershed Planning Program oversees and administers the pro rata share drainage program that generates stormwater funding through the County land development process as well as coordination with County property owners, developers and state and federal capital improvement projects in terms of stormwater quality and conveyance requirements in the County. All watersheds planning funding was obligated in previous fiscal years, therefore no additional capital funding is required in FY 2012.

Stormwater Services Operational Support
Fund 125 funds staff salaries, fringe benefits, and operating expenses for all stormwater operations. Maintenance operations are largely influenced by a multitude of citizen requests for service, and internal Storm Drainage (SD) and Stormwater Management (SWM) maintenance programs within the operations maintenance programs. In addition, Fund 125 also provides funding for 23/23.0 SYE positions related to transportation operations maintenance provided by the Maintenance and Stormwater Management Division. All funding of the transportation related salary expenses and equipment are recovered from General Fund Agency 87, Department of Public Works and Environmental Services (DPWES) Unclassified Administrative Expenses, as they do not qualify for expenses related to the stormwater service district. SD maintenance programs provide operational support for service response and investigation to citizen requests for various storm drainage system deficiencies to include removal of system blockages, overland relief deficiencies, and a significant amount of system structural repairs. The SWM maintenance programs provide operational support for various maintenance activities related to SWM facilities, including SWM facility mowing operations, dam repairs, rehabilitation, and routine maintenance. Both maintenance programs leverage the use of in-house forces and contracted services to support both pro-active and re-active maintenance operations. Funding in the amount of $11,770,532 is included for Stormwater Services Operational Support for FY 2012.

Recognizing the growth in the Stormwater Management Program, and the projected growth in the number of construction projects generated from the completion of watershed management plans, continued staffing and resource management needs require innovative project management between County staff and contracted services.

# Fund 125
# Stormwater Services

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 140/ 140 | 140/ 140 | 144/ 144 | 144/ 144 |
| Expenditures: | | | | |
| Personnel Services | $10,800,952 | $10,912,882 | $10,912,882 | $11,321,084 |
| Operating Expenses | 2,064,454 | 2,076,526 | 2,282,594 | 2,076,526 |
| Capital Equipment | 101,166 | 112,400 | 180,549 | 87,754 |
| Capital Projects | 1,026,663 | 16,613,024 | 20,207,998 | 17,029,468 |
| **Sub Total** | **$13,993,235** | **$29,714,832** | **$33,584,023** | **$30,514,832** |
| Less: | | | | |
| Recovered Costs | ($2,003,569) | ($1,714,832) | ($1,714,832) | ($1,714,832) |
| **Total Expenditures** | **$11,989,666** | **$28,000,000** | **$31,869,191** | **$28,800,000** |

### Position Summary

**Maintenance and Stormwater Management (MSMD)**

**MSMD Administration**
- 1 Assistant Director DPWES
- 1 Director Maintenance and SW
- 2 Engineers V
- 1 Safety Analyst
- 1 Management Analyst II
- 1 Communications Specialist II
- 1 Network/Telecom Analyst I
- 1 Administrative Assistant IV
- 3 Administrative Assistants III
- 2 Administrative Assistants II
- 1 Information Technician

**Contracting Services**
- 1 Management Analyst III
- 1 Engineering Technician III
- 1 Engineering Technician II

**Engineering/Technical Support**
- 1 Senior Engineer III
- 1 Engineer IV
- 3 Engineers III
- 3 Engineering Technicians III
- 1 Engineering Technician II
- 1 GIS Analyst III
- 1 GIS Analyst II
- 1 GIS Analyst I
- 1 GIS Technician
- 1 Ecologist III

**Field Operations**
- 1 Env. Services Manager
- 4 Env. Services Supervisors
- 3 Senior Maintenance Supervisors
- 2 Maintenance Supervisors
- 9 Maintenance Crew Chiefs
- 14 Senior Maintenance Workers
- 6 Maintenance Workers
- 8 Heavy Equipment Operators
- 9 Motor Equipment Operators
- 4 Masons I

**Maintenance Inspections**
- 1 Engineer IV
- 4 Engineering Technicians III
- 3 Engineering Technicians I

**Materials Support**
- 1 Warehouse Supervisor
- 1 Warehouse Specialist
- 1 Engineering Aide
- 1 Motor Equipment Operator

**Equipment/Specialty Trades**
- 1 Heavy Equipment Operator
- 1 Carpenter I
- 1 Equipment Repairer
- 1 Welder II

**Stormwater Planning Division**
- 1 Director
- 3 Engineers V
- 1 Engineer IV
- 2 Senior Engineers III
- 10 Engineers III
- 1 Project Coordinator
- 3 Ecologists III
- 7 Ecologists II
- 1 Accountant I
- 1 Management Analyst II
- 1 Administrative Assistant III
- 1 Landscape Architect III
- 1 Engineering Technician III
- 1 Engineering Technician I
- 1 Project Manager II
- 1 Project Manager I

**TOTAL POSITIONS**
**144 Positions / 144.0 Staff Years**

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- ♦ **Employee Compensation** **$0**

  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- **Other Post-Employment Benefits** **$408,202**
  An increase of $408,202 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on OPEB, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Advertised Budget Plan.

- **Capital Equipment** **$87,754**
  Capital Equipment funding of $87,754 is included for requirements associated with replacement equipment that has outlived its useful life. The equipment includes: $48,000 to replace a tool utility vehicle with attachments for lifting silt and sediment from detention ponds; $19,354 to replace two 11-year old backpack electro fishers which are experiencing frequent breakdowns and are used to complete annual sampling and biological monitoring required by the County's MS4 permit; $5,200 to replace skid loader sweeper attachment used to clear parking lots, trails and other paved surfaces of sand, salt and debris required by the County's MS4 permit; and $15,200 to replace two 16-year old large chemical spreaders used in snow removal operations, the cost of which is fully recovered from General Fund Agency 87, Department of Public Works and Environmental Services (DPWES) Unclassified Administrative Expenses.

- **Capital Projects** **$17,029,468**
  Funding in the amount of $17,029,468 has been included in FY 2012 for priority stormwater capital projects. This level of funding will allow for increased efforts to implement and fulfill regulatory requirements and move forward on capital project work.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$3,869,191**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved the carryover of unexpended project balances in the amount of $3,080,161, encumbered funding of $206,068 in Operating Expenses and $68,149 in Capital Equipment, and $514,813 in other adjustments. The $514,813 represented savings resulting from higher than anticipated position vacancies and operating expenses and was appropriated to the capital projects reserve to support capital projects including: regulatory compliance, dam safety, infrastructure reinvestment and project implementation.

- **Position Changes** **$0**
  As part of the FY 2011 review of County position categories, a conversion of 4/4.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular Status.

## Objectives

- To ensure zero violations in order to maintain compliance with the terms of the federally mandated Municipal Separate Storm Sewer System (MS4) Permit, as part of the comprehensive Stormwater Management Program.

- To ensure that 100 percent of Emergency Action plans are updated and operational to minimize impact to Fairfax County citizens, as well as protect property from weather events and other emergency situations.

- To ensure that 100 percent of the Commuter Rail, Park-and-Ride and Bus Transit facilities maintained by the County are functional 365 days per year in support of Fairfax County alternative transportation initiatives in order to reduce air pollution.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Annual private stormwater management facility inventory | 2,772 | 3,125 | 3,425 / 3,547 | 3,625 | 3,750 |
| Public stormwater management facilities inspected and maintained annually | 1,266 | 1,367 | 1,407 / 1,419 | 1,460 | 1,510 |
| Emergency Action plans updated | 16 | 18 | 20 / 20 | 20 | 20 |
| Average weekly private vehicle trips into maintained facilities | 23,470 | 23,212 | 30,177 / 23,210 | 23,793 | 24,507 |
| Average weekly commuter bus trips into maintained facilities | 9,520 | 9,435 | 9,529 / 9,680 | 9,777 | 9,875 |
| Average weekly train trips into maintained facilities | 265 | 265 | 265 / 265 | 265 | 265 |
| **Efficiency:** | | | | | |
| Annual cost per private stormwater management facility | $622 | $356 | $350 / $253 | $251 | $243 |
| Cost of inspection and maintenance per public stormwater management facility | $1,582 | $1,572 | $1,646 / $997 | $982 | $949 |
| Cost of Emergency Response program per 100,000 population | $57,244 | $74,699 | $79,789 / $177,954 | $176,074 | $171,781 |
| Cost per transit trip | $0.46 | $0.34 | $0.30 / $0.60 | $0.56 | $0.56 |
| **Service Quality:** | | | | | |
| Percent of private facilities inspected within the fiscal year | 20% | 17% | 23% / 22% | 20% | 21% |
| Percent of public facilities inspected and maintained within the fiscal year | 100% | 89% | 58% / 50% | 51% | 52% |
| Dollar loss per 100,000 population for claims paid as a result of annual emergency events | $1,970 | $2,103 | $2,865 / $392 | $1,482 | $861 |
| Annual commuter facilities complaints received | 18 | 32 | 48 / 20 | 29 | 32 |
| **Outcome:** | | | | | |
| MS4 permit violations received | 0 | 0 | 0 / 0 | 0 | 0 |
| Percent of Emergency Action Plans current | 88% | 100% | 100% / 100% | 100% | 100% |
| Percent of commuter facilities available 365 days per year | 100% | 100% | 100% / 100% | 100% | 100% |

## Performance Measurement Results

In FY 2010 the "Outcome" objective to receive no MS4 permit violations related to inspection and maintenance of public and private stormwater management facilities was met. Inventory growth was greater than anticipated, and maintenance costs per facility were less than anticipated. Inspection cycles were sufficient to meet current permit requirements

The "Outcome" objective to update 100 percent of the emergency action plans that Stormwater is responsible to update annually was met. The emergency program cost, per population, was greater than anticipated, primarily based on the large snow removal program costs in FY 2010. The estimated dollar loss based on claims paid out was less than estimated.

The "Outcome" objective to keep 100 percent of the commuter facilities operational for 365 days was met. Heavy snows limited service, on several occasions, but the facilities were operational for limited service during those events. Maintenance costs per vehicle trip were greater than anticipated, which is primarily attributed to the large cost for the excessive snow removal services provided. Patronage complaints were significantly lower than estimated.

# Fund 125
# Stormwater Services

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** **Fund 125, Stormwater Services**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$0** | **$0** | **$3,869,191** | **$0** |
| Revenue: | | | | |
| Stormwater Service District Levy | $10,170,890 | $28,000,000 | $28,000,000 | $28,800,000 |
| Total Revenue | $10,170,890 | $28,000,000 | $28,000,000 | $28,800,000 |
| Transfer In: | | | | |
| General Fund (001)[1] | $362,967 | $0 | $0 | $0 |
| Stormwater Management Fund (318)[2] | 5,325,000 | 0 | 0 | 0 |
| Total Transfers In | $5,687,967 | $0 | $0 | $0 |
| **Total Available** | **$15,858,857** | **$28,000,000** | **$31,869,191** | **$28,800,000** |
| **Expenditures:** | | | | |
| Personnel Services | $10,800,952 | $10,912,882 | $10,912,882 | $11,321,084 |
| Operating Expenses | 2,064,454 | 2,076,526 | 2,282,594 | 2,076,526 |
| Recovered Costs | (2,003,569) | (1,714,832) | (1,714,832) | (1,714,832) |
| Capital Equipment | 101,166 | 112,400 | 180,549 | 87,754 |
| Capital Projects | 1,026,663 | 16,613,024 | 20,207,998 | 17,029,468 |
| Total Expenditures | $11,989,666 | $28,000,000 | $31,869,191 | $28,800,000 |
| **Total Disbursements** | **$11,989,666** | **$28,000,000** | **$31,869,191** | **$28,800,000** |
| **Ending Balance[3]** | **$3,869,191** | **$0** | **$0** | **$0** |
| **Tax Rate Per $100 of Assessed Value** | **$0.010** | **$0.015** | **$0.015** | **$0.015** |

[1] Represents encumbrances associated with Agency 29, Stormwater Management which were required within Fund 125. Agency 29, Stormwater Management was eliminated based on the creation of the new Stormwater Service District.

[2] As part of the *FY 2009 Carryover Review* an amount of $5.325 million was transferred from Fund 318, Stormwater Management Program, in order to support capital projects. Capital projects include operations support, regulatory compliance, dam safety, infrastructure reinvestment, project implementation, and watershed planning.

[3] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 125
# Stormwater Services

## FY 2012 Summary of Capital Projects

**Fund: 125 Stormwater Services**

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| DC0800 | Kingstowne Monitoring Program | $600,000 | $0.00 | $300,000.00 | $300,000 |
| FX0000 | Stormwater Capital Projects Reserve | 514,813 | 0.00 | 514,813.00 | 0 |
| FX0100 | Project Implementation Program | 10,082,405 | 0.00 | 5,188,597.00 | 4,893,808 |
| FX0400 | Dam Safety Program | 5,400,000 | 0.00 | 2,700,000.00 | 2,700,000 |
| FX0500 | Stormwater Management Facility | 3,000,000 | 244,244.75 | 1,755,755.25 | 1,000,000 |
| FX0600 | Infrastructure Reinvestment Program | 12,189,229 | 482,046.81 | 6,813,374.19 | 4,893,808 |
| FX0700 | Stormwater Regulatory Program | 5,400,000 | 300,371.12 | 2,399,628.88 | 2,700,000 |
| SP0001 | NVSWCD Contributory | | 0.00 | 423,271.00 | 429,293 |
| SP0002 | Occoquan Monitoring Contributory | | 0.00 | 112,559.00 | 112,559 |
| **Total** | | **$37,186,447** | **$1,026,663** | **$20,207,998** | **$17,029,468** |

# Fund 191
# Public School Food and Nutrition Services

## Focus

Fund 191, Food and Nutrition Services, totals $87.8 million in FY 2012 for all Food and Nutrition Services' operational and administrative costs. This fund is entirely self-supporting and is operated under the federally-funded National School Lunch and Child Nutrition Acts.


The Food and Nutrition Services program:

- Procures, prepares and serves lunches, breakfasts, and a la carte items to over 140,000 customers daily;
- Offers breakfasts in 159 schools and centers;
- Contracts meal provision to day care centers, Family and Early Childhood Education Program (FECEP) centers and private schools, and snack provision to all School-Age Child Care (SACC) programs; and
- Provides meals and dietetic consultation at senior nutrition sites and Meals on Wheels programs.

Other responsibilities include nutrition education, enforcement of sanitary practices, specifications for food and equipment, and layout and design of kitchens in new schools.

No support from Fund 090, School Operating Fund, is required as sufficient revenues are derived from food sales and federal and state aid.

# Fund 191
# Public School Food and Nutrition Services

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** | **Fund 191, Public School Food and Nutrition Services**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan[1] | FY 2012 Superintendent's Proposed |
|---|---|---|---|---|
| **Beginning Balance[2]** | **$10,870,140** | **$11,281,198** | **$16,042,275** | **$13,591,947** |
| Revenue: | | | | |
| Food Sales | $47,207,125 | $49,038,246 | $49,038,246 | $47,422,168 |
| Federal Aid | 24,307,440 | 21,756,710 | 21,756,710 | 25,979,065 |
| State Aid | 776,918 | 805,500 | 805,500 | 791,612 |
| Other Revenue | 69,292 | 135,548 | 135,549 | 61,741 |
| Total Revenue | $72,360,775 | $71,736,004 | $71,736,005 | $74,254,586 |
| **Total Available** | **$83,230,915** | **$83,017,202** | **$87,778,280** | **$87,846,533** |
| Total Expenditures | $67,366,590 | $71,159,603 | $74,186,333 | $72,472,366 |
| Food and Nutrition Services General Reserve[2] | $0 | $11,857,599 | $13,591,947 | $15,374,167 |
| **Total Disbursements** | **$67,366,590** | **$83,017,202** | **$87,778,280** | **$87,846,533** |
| Inventory Change | $177,950 | $0 | $0 | $0 |
| **Ending Balance** | **$16,042,275** | **$0** | **$0** | **$0** |

[1] The *FY 2011 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on December 2, 2010 during their *FY 2011 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2011 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 12, 2011.

[2] Any unused portion of the allocated Food and Nutrition Services General Reserve carries forward into the subsequent budget year. Accordingly, the FY 2012 beginning balance is the projected ending balance for FY 2011 of $0 plus the estimated ending balance for the reserve of $13,591,947.

# Fund 192
# Public School Grants and Self-Supporting Programs

## Focus

Fund 192, Public School Grants and Self-Supporting Programs, totals $63.6 million for FY 2012 and consists of two subfunds: the Grants Subfund and the Summer School and Standards of Learning (SOL) Remediation Subfund. FY 2012 revenue reflects federal, state and private industry grants, summer school fees and transfers from Fund 090, School Operating, and Fund 105, Cable Communications.

# Fund 192
# Public School Grants and Self-Supporting Programs

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** | **Fund 192, Public School Grants and Self-Supporting Programs**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan[1] | FY 2012 Superintendent's Proposed |
|---|---|---|---|---|
| **Beginning Balance[2]** | **$5,837,182** | **$0** | **$13,216,096** | **$1,357,741** |
| Revenue: | | | | |
| State Aid | $14,881,367 | $10,005,768 | $9,950,030 | $9,713,520 |
| Federal Aid | 35,621,490 | 43,183,330 | 53,947,691 | 33,602,281 |
| Tuition | 1,928,325 | 793,868 | 2,034,042 | 2,040,294 |
| Industry, Foundation, Other | 1,447,726 | 26,421 | 534,023 | 26,421 |
| Total Revenue | $53,878,908 | $54,009,387 | $66,465,786 | $45,382,516 |
| Transfers In: | | | | |
| School Operating Fund Grants (090) | $8,865,954 | $8,865,952 | $8,865,952 | $8,865,952 |
| School Operating Fund Summer School (090) | 11,936,493 | 5,501,757 | 5,501,757 | 5,501,757 |
| Cable Communications Fund (105)[3] | 2,386,548 | 2,517,729 | 2,517,729 | 2,517,729 |
| County General Fund (001) | 0 | 0 | 0 | 0 |
| Total Transfers In | $23,188,995 | $16,885,438 | $16,885,438 | $16,885,438 |
| **Total Available** | **$82,905,085** | **$70,894,825** | **$96,567,320** | **$63,625,695** |
| Total Expenditures | $69,688,989 | $70,894,825 | $96,567,320 | $63,625,695 |
| **Total Disbursements** | **$69,688,989** | **$70,894,825** | **$96,567,320** | **$63,625,695** |
| **Ending Balance** | **$13,216,096** | **$0** | **$0** | **$0** |

[1] The *FY 2011 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on December 2, 2010 during their *FY 2011 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2011 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 12, 2011.

[2]The FY 2012 Beginning Balance reflects $1.4 million that will be identified in FY 2011 and carried over to fund FY 2012 requirements.

[3]The transfer from Fund 105, County Cable Communications Fund, as well as the corresponding expenditures which it supports, will be adjusted to reflect the final amount from the County, currently anticipated to be $3,726,203.

# Fund 193
# Public School Adult and Community Education

## Focus

Fund 193, Public School Adult and Community Education, provides lifelong literacy and education opportunities for all residents and students of Fairfax County through adult education programs such as basic skill education, high school completion and English for Speakers of Other Languages (ESOL). FY 2012 expenditures are estimated at $10.8 million.

The Fund also provides for pre-kindergarten through grade 12 support programs, including behind-the-wheel driver education, SAT preparation, summer school, before- and after-school enrichment activities and remediation support.

# Fund 193
# Public School Adult and Community Education

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds**

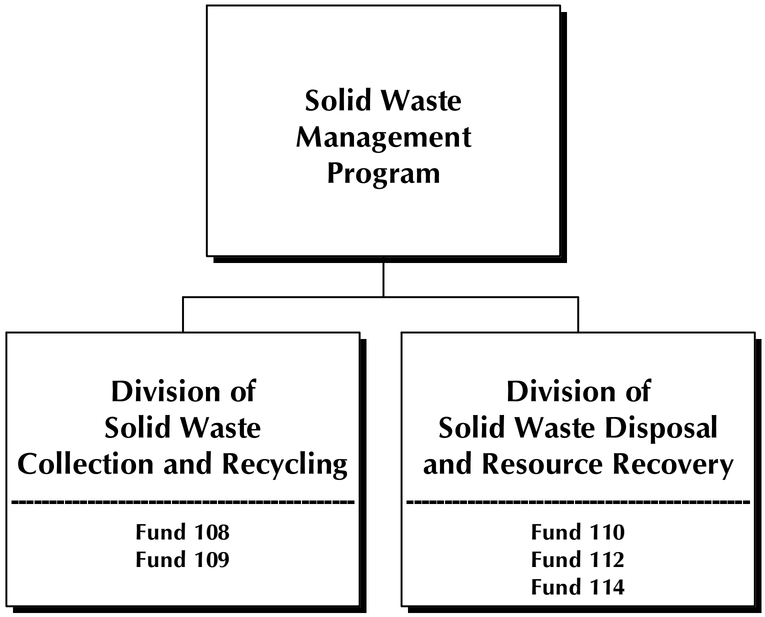
**Fund 193, Public School Adult and Community Education**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan[1] | FY 2012 Superintendent's Proposed |
|---|---|---|---|---|
| **Beginning Balance[2]** | **$904,751** | **$558,836** | **$797,797** | **$86,271** |
| Revenue: | | | | |
| State Aid | $673,719 | $691,778 | $691,778 | $685,243 |
| Federal Aid | 808,753 | 631,216 | 781,216 | 662,139 |
| Tuition | 6,693,913 | 8,338,012 | 8,403,073 | 8,628,087 |
| Industry, Foundation, Other | 412,310 | 332,552 | 395,552 | 378,969 |
| Total Revenue | $8,588,695 | $9,993,558 | $10,271,619 | $10,354,438 |
| Transfers In: | | | | |
| School Operating Fund (090) | $958,836 | $400,000 | $400,000 | $400,000 |
| Total Transfers In | $958,836 | $400,000 | $400,000 | $400,000 |
| **Total Available** | **$10,452,282** | **$10,952,394** | **$11,469,416** | **$10,840,709** |
| Total Expenditures | $9,654,485 | $10,952,394 | $11,469,416 | $10,840,709 |
| **Total Disbursements** | **$9,654,485** | **$10,952,394** | **$11,469,416** | **$10,840,709** |
| | | | | |
| **Ending Balance** | **$797,797** | **$0** | **$0** | **$0** |

[1] The *FY 2011 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on December 2, 2010 during their *FY 2011 Midyear Review*. The Fairfax County School Board adjustements will be officially reflected in the County's *FY 2011 Third Quarter Review,* which will be acted upon by the Board of Supervisors on April 12, 2011.

[2] The FY 2012 Beginning Balance reflects $0.1 million that will be identified in FY 2011 and carried over to fund FY 2012 requirements.

# Solid Waste Management Program




## Mission

To protect the public interest through solid waste management planning and regulatory oversight of the County's refuse ordinances by providing efficient and effective collection, recycling, and disposal of solid waste for customers in an environmentally responsible manner.

## Focus

The Solid Waste Management Program is responsible for the management and long-range planning for all refuse and recycling within the County. Operations include a County-owned and operated refuse transfer station, a privately owned and operated Energy/Resource Recovery Facility (E/RRF), two closed municipal solid waste landfills, a regional ash Ashfill operated by the County, two recycling disposal facilities, eight drop-off sites for recyclable materials, and equipment and facilities for refuse collection, disposal, and recycling operations. The operation of the Solid Waste Management Program is achieved through the Division of Solid Waste Collection and Recycling and the Division of Solid Waste Disposal and Resource Recovery in the Department of Public Works and Environmental Services.

**Division of Solid Waste Collection and Recycling**

The Division of Solid Waste Collection and Recycling (DSWCR) manages two funds including Fund 108, Leaf Collection, and Fund 109, Refuse Collection and Recycling Operations.

Fund 108, Leaf Collection, provides curbside vacuum leaf collection within Fairfax County's 37 approved leaf collection districts. For FY 2012, approximately 25,000 homes are included within these districts. Revenue for Fund 108 is derived from a levy charged to homeowners within leaf collection districts. The FY 2012 leaf collection levy will remain as it has for the past three years at a rate of $0.015 per $100 of assessed real estate value.

Fund 109, Refuse Collection and Recycling Operations, provides for collection of refuse and recycling from approximately 44,000 individual households within Fairfax County's approved sanitary districts. Revenue to support residential collection operations is derived from the refuse collection fee. In FY 2012, the annual collection fee of $345 for sanitary district customers is recommended to remain at the same level as it has for the past three years.

Fund 109 also supports collection of refuse and recycling from properties owned and occupied by Fairfax County. Revenue for this service is collected from County agencies to which the service is provided. Fund 109 also provides funds for management of the solid waste collection services for General Fund programs (DSW-GF) including Community Cleanup, Court/Board Directed Cleanups, Evictions and Health Department Referral operations.

The County's Recycling Program is also funded through Fund 109. This program consists of all outreach and education about the County's entire solid waste management program; operation of the eight County recycling drop off centers; opportunities to recycle items not collected at the curb such as computers, televisions, rechargeable batteries, and compact fluorescent lamps; document shredding opportunities for County residents; and participation in all major County events.

**Division of Solid Waste Disposal and Resource Recovery**

The Division of Solid Waste Disposal and Resource Recovery manages three funds. Fund 110, Refuse Disposal, provides for delivering refuse collected throughout Fairfax County to the Energy/Resource Recovery Facility (E/RRF), the Prince William County Facility or other appropriate landfill; transferring yard waste to compost facilities; coordinating the facility use agreement between Fairfax and Prince William Counties; operating the County's battery recycling program, white goods recycling program (i.e., refrigerators, dishwashers, washer and dryers, etc.) and Household Hazardous Waste (HHW) program; managing the Recycling and Disposal Centers; and brush mulching services. Fund 112, Energy/Resource Recovery Facility, provides for the disposal of refuse at the E/RRF. Fund 114, I-95 Refuse Disposal, provides management and operational control at the I-95 Ashfill for all regional participants.

In the last decade, the County's solid waste disposal program has faced financial pressures, primarily due to law changes that affected waste management practices. In the last year, the system experienced a decrease in waste tonnage, reflecting lower quantities of consumer waste associated with the downturn in the regional and national economy. Part of the decrease in waste disposed is attributable to increased recycling in the County, which has a positive impact on the environment. The revenue generated remains adequate to support operational requirements and necessary reserves.

Under the current industry environment, the County's competitive pricing system for Fund 110, Refuse Disposal, has proved to be sufficient to cover the current disposal operation costs as well as the cost of the non-revenue generating programs which, for several years, were partially supported through a General Fund Transfer. These non-revenue based programs continue to be fully funded through the current disposal rates and include the countywide recycling program; the Household Hazardous Waste program; maintenance and environmental monitoring of the closed I-66 landfill; and the Code Enforcement Program. In FY 2012, the system disposal charge and the Recycling and Disposal Center fee are set at $60 per ton, the same level as FY 2010 and FY 2011. A contractual disposal rate for FY 2012 will be negotiated with private waste haulers, but is anticipated to be $53 per ton, a decrease of $2 per ton from the rate of $55 per ton charged the last three years.

Fund 112, Energy/Resource Recovery Facility (E/RRF), funds the County's waste-to-energy facility which annually processes over 1 million tons of waste. This waste is used to generate electrical power in excess of 80 megawatts, enough to power 75,000 homes. The County charges a tip fee to all users of the E/RRF and subsequently pays the contractual disposal fee to Covanta Fairfax, Incorporated (CFI) from these revenues. The formula-driven contract between the County and CFI is based on support requirements for incinerator operations. The yearly estimate is calculated using expenses for plant operations and maintenance costs, bond retirement payments and other pass through costs. Other pass through costs such as landfilling incinerator ash, reagents and utilities are significantly offset by credits derived from the sale of electricity to Dominion Virginia Power and recovery of ferrous and non-ferrous metals from the ash. The FY 2012 budgeted tip fee will remain at the FY 2011 level of $29 per ton based on current operational costs.

Fund 114, I-95 Refuse Disposal, funds the County's I-95 Sanitary Ashfill which has served the solid waste disposal needs of the residents of the participating jurisdictions utilizing the facility. The municipal solid waste (MSW) section of the I-95 Sanitary Ashfill closed in December 1995, and since that time the facility has accepted only ash material for land burial. The I-95 Sanitary Ashfill continues to operate as a model facility - meeting permit requirements, inspection criteria, and availability requirements for the participating jurisdictions and customers of the facility. The I-95 Complex also serves as the focal point for the management of non-combustible material, which is redirected to debris landfills for final disposal. In FY 2012, the Refuse Disposal fee will increase to $15.50 per ton from the FY 2011 level of $13.50 per ton. It should be noted that the ash disposal rate at I-95 is anticipated to increase in future years to accommodate operational requirements and provide sufficient reserve funding for capital projects and post closure care.

Specific description, discussion, and funding requirements for each fund of the Solid Waste Management Program can be found in the subsequent pages.

## OPERATIONAL FEE STRUCTURE

**Solid Waste Operations Fee Structure[1]**

| | Fund 108, Leaf Collection | Fund 109, Refuse Collection and Recycling Operations | Fund 110, Refuse Disposal | Fund 112, E/RRF | Fund 114, I-95 Refuse Disposal |
|---|---|---|---|---|---|
| **FY 2012 Fee** | $0.015/$100 Assessed Property Value | $345 Curbside | $60/Ton, System Fee<br>$53 Estimated (to be negotiated) Contract/Discount<br><br>$60/Ton, Recycling and Disposal Center | $29/Ton | $15.50/Ton |
| **FY 2011 Fee** | $0.015/$100 Assessed Property Value | $345 Curbside | $60/Ton, System Fee<br>$55 Negotiated Contract/Discount<br><br>$60/Ton Recycling and Disposal Center | $29/Ton | $13.50/Ton |
| **Who Pays** | Leaf District Residents | Sanitary District Residents | Private Collectors, Residents and County Agencies through Fund 109 | The County through Fund 110 | E/RRF, Fund 110, and Participating Jurisdictions |

[1] There are numerous special rates that have been negotiated and implemented as needed which are not reflected in the structure above. Examples include varying miscellaneous charges for yard debris (brush, grass, and leaves), tires, and others.

## Key Performance Measures – Division of Solid Waste Collection and Recycling Operations

### Objectives

- To remove at least 95 percent of the leaves placed at the curb by citizens, within each leaf collection district, during the specified leaf collection period.

- To provide high quality refuse collection services ensuring the removal of trash in County sanitary districts while maintaining a customer service rating of good or better at 95 percent or above.

- To provide high quality refuse collection services to designated Fairfax County agencies while limiting program cost increases where possible.

- Within sanitary districts, continue to achieve the state-mandated recycling rate of at least 25 percent.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Tons of leaves collected | 8,704 | 6,586 | 8,879 / 6,533 | 8,500 | 8,300 |
| Tons of refuse collected from residential customers | 69,505 | 77,172 | 72,000 / 77,249 | 74,000 | 74,000 |
| Tons of refuse collected from County agencies | 10,244 | 9,237 | 9,300 / 9,235 | 9,300 | 9,500 |
| Total tons recycled | 488,240 | 491,113 | 489,000 / 445,625 | 489,000 | 450,000 |
| **Efficiency:** | | | | | |
| Net cost per home for leaf collection | $71.76 | $71.47 | $90.96 / $89.94 | $94.02 | $94.15 |
| Net cost per home per year for residential refuse collection | $267.72 | $274.81 | $302.86 / $264.62 | $272.16 | $287.50 |
| Net cost per ton for refuse collected from County agencies | $96.58 | $106.94 | $108.19 / $104.01 | $108.32 | $112.35 |
| Net cost per home per year for residential recycling collection | $42.87 | $36.43 | $41.92 / $39.44 | $43.11 | $38.64 |
| **Service Quality:** | | | | | |
| Percent of leaf customers rating service good or better | 90.0% | 93.0% | 88.0% / 92.0% | 88.0% | 88.0% |
| Percent of residential refuse customers rating service good or better | 98.6% | 94.9% | 95.0% / 90.0% | 95.0% | 95.0% |
| Percent of County agencies rating services good or better | 100.0% | 95.0% | 95.0% / 98.0% | 95.0% | 95.0% |
| Percent of residential recycling customers rating services good or better | 96.8% | 87.2% | 89.0% / 80.0% | 89.0% | 89.0% |
| **Outcome:** | | | | | |
| Percent of customers' leaves removed from curb | 95.0% | 97.0% | 95.0% / 96.0% | 95.0% | 95.0% |
| Percentage point change in residential refuse customers rating services good or better | 1.3% | (3.7%) | 0.1% / (4.9%) | 5.0% | 0.0% |
| Percent change in refuse cost per ton for County agencies | (5.00%) | 10.73% | 1.20% / (2.74%) | 4.14% | 3.72% |
| Total County recycling rate | 40.0% | 40.0% | 25.0% / 39.0% | 25.0% | 25.0% |

## Performance Measurement Results

The Division of Solid Waste Collection and Recycling continues to provide our residential collection customers with a very high level of service. Leaf collection customers continue to see at least 95 percent of all leaves that fall on their properties removed by our staff once raked to the curb. Customers receiving refuse collection from Fairfax County continually rate our service as good or excellent and have done so once again for FY 2010.

County agencies that receive refuse and recycling collection services from Fairfax County give the agency its highest rating with 98 percent of customers rating service good or excellent. Recycling collection service ratings from customers were reduced slightly over the past year primarily due to the lack of rolling containers for the collection of recyclables. The rolling containers provide a high degree of ease for the user since they roll rather than having to be carried to the curb, which can be a hardship for some residents. The rolling container allows all recyclables, including cans, bottles, paper, cardboard, and plastics to be placed in the same container without needing to separate them and have lids which prevent paper recyclables from becoming litter on windy days and also keep the materials dry. Introducing these containers may encourage more residents to participate in recycling and in recycling more items. DSWCR has developed a plan to purchase and distribute these containers over the next several succeeding years. DSWCR anticipates that this will significantly improve customer service ratings.

## Key Performance Measures – Division of Solid Waste Disposal and Resource Recovery

### Objectives

- To provide a sanitary facility for receiving, loading and transporting commercial and residential refuse by the most feasible and economical method available, while maintaining a 100 percent satisfactory rating from state inspections at the I-66 Transfer Station.

- To deliver no less than the Guaranteed Annual Tonnage (GAT) amount of 930,750 tons of municipal solid waste to the E/RRF as required under the contractual obligations of the Service Agreement between Covanta Fairfax, Inc. and Fairfax County.

- To manage the I-95 Ashfill in an efficient, environmentally safe manner, meeting 100 percent of the regulatory standards; and to provide a permitted site where ash resulting from the E/RRF and other participating jurisdictions can be properly disposed.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Tons of material processed at the I-66 Transfer Station | 840,666 | 742,136 | 772,436 / 682,717 | 772,436 | 713,822 |
| Tons of material delivered to the E/RRF | 1,017,855 | 991,953 | 1,020,000 / 966,703 | 1,020,000 | 1,020,000 |
| Tons of ash disposed at the I-95 Ashfill | 341,420 | 339,312 | 340,000 / 322,271 | 340,000 | 340,000 |
| **Efficiency:** | | | | | |
| Cost per ton of material processed at the I-66 Transfer Station | $19.04 | $21.97 | $22.22 / $22.71 | $22.30 | $23.23 |
| Cost per ton of material processed at the E/RRF | $33.01 | $34.07 | $37.32 / $35.43 | $33.10 | $17.84 |
| Cost per ton to dispose ash at the I-95 Ashfill | $11.50 | $11.50 | $13.50 / $13.50 | $13.50 | $15.50 |
| **Service Quality:** | | | | | |
| Number of satisfactory State DEQ ratings at the I-66 Transfer Station | 4 | 4 | 4 / 4 | 4 | 4 |
| Tons delivered to the E/RRF in excess of GAT | 87,105 | 61,203 | 89,250 / 35,953 | 89,250 | 89,250 |
| Number of satisfactory State DEQ ratings at the I-95 Ashfill | 6 | 4 | 4 / 4 | 4 | 4 |

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Outcome:** | | | | | |
| Percent satisfactory State DEQ inspection ratings at the I-66 Transfer Station | 100% | 100% | 100% / 100% | 100% | 100% |
| Percent of GAT met | 109.36% | 106.58% | 109.59% / 103.90% | 109.59% | 109.59% |
| Percent satisfactory State DEQ inspection ratings at the I-95 Ashfill | 100% | 100% | 100% / 100% | 100% | 100% |

## Performance Measurement Results

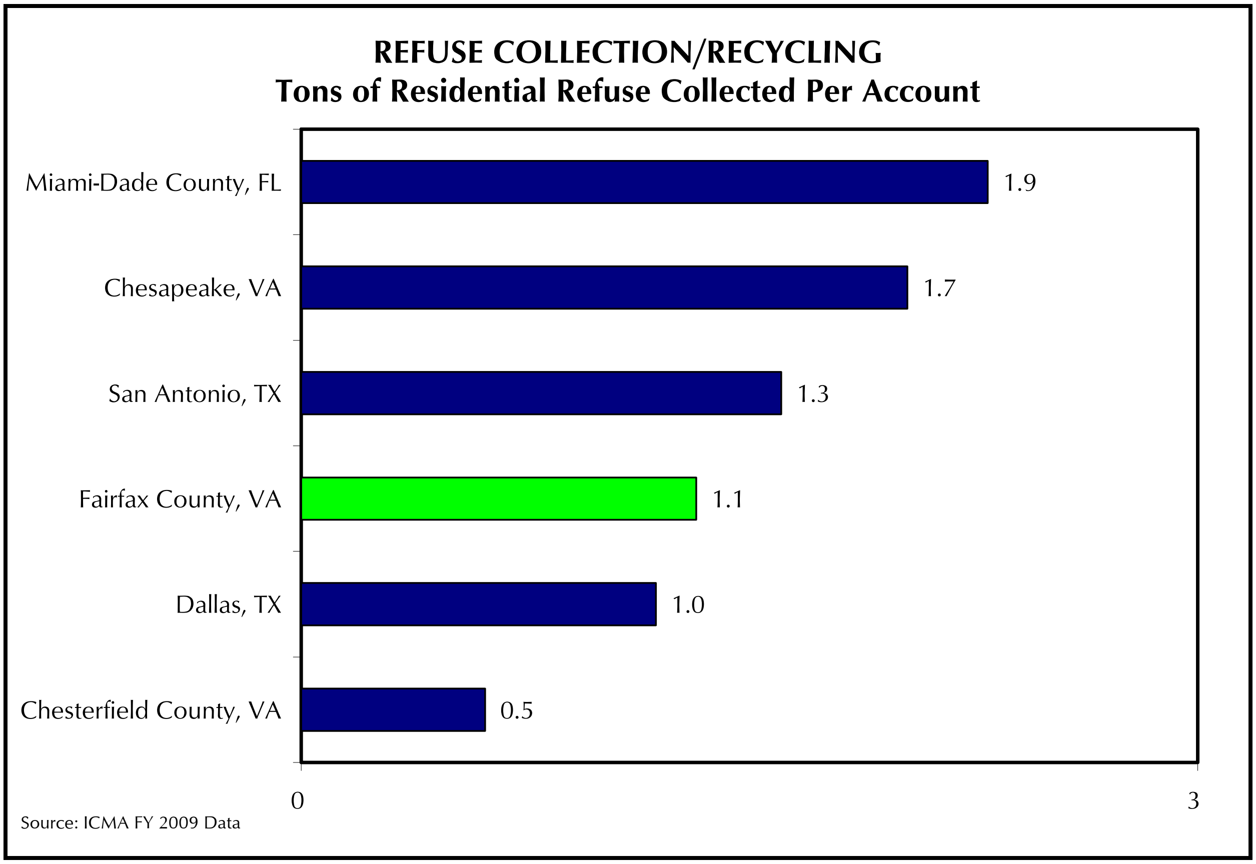
The I-66 Complex received satisfactory ratings, the highest possible, from the Virginia Department of Environmental Quality (DEQ) for all inspections conducted during FY 2010. The facility is in compliance with all provisions of the operating permits and the Virginia Solid Waste Management Regulations. The continuous decrease in tonnage processed through the I-66 Complex, in the last four years, reflects the nation and regional economic condition and has resulted in higher per ton costs as fixed costs are spread over fewer tons.

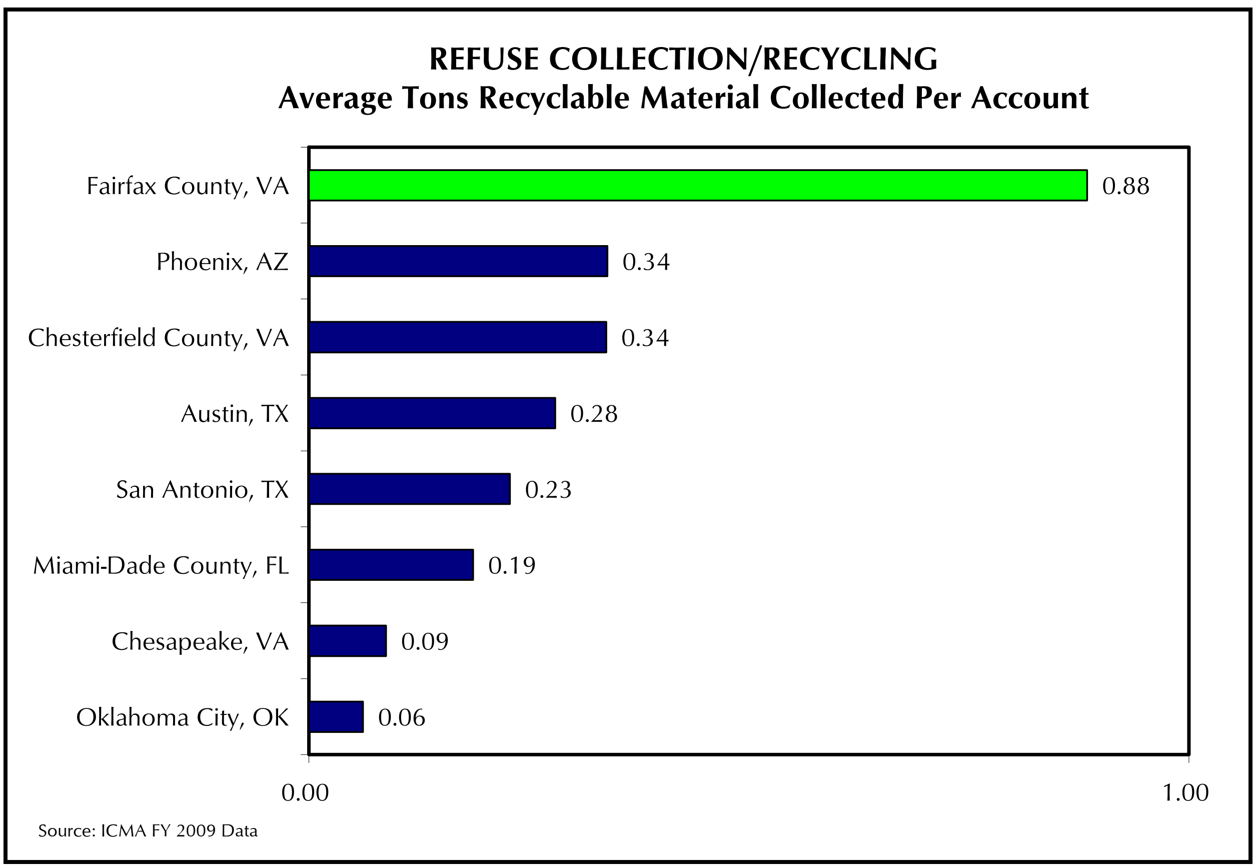
The FY 2012 estimated cost to process waste is $17.84 per ton, based on anticipated operational costs and contractual obligations to Covanta, Inc., who operates the E/RRF. The reduced cost is attributable to the construction bonds being paid in full in FY 2011.

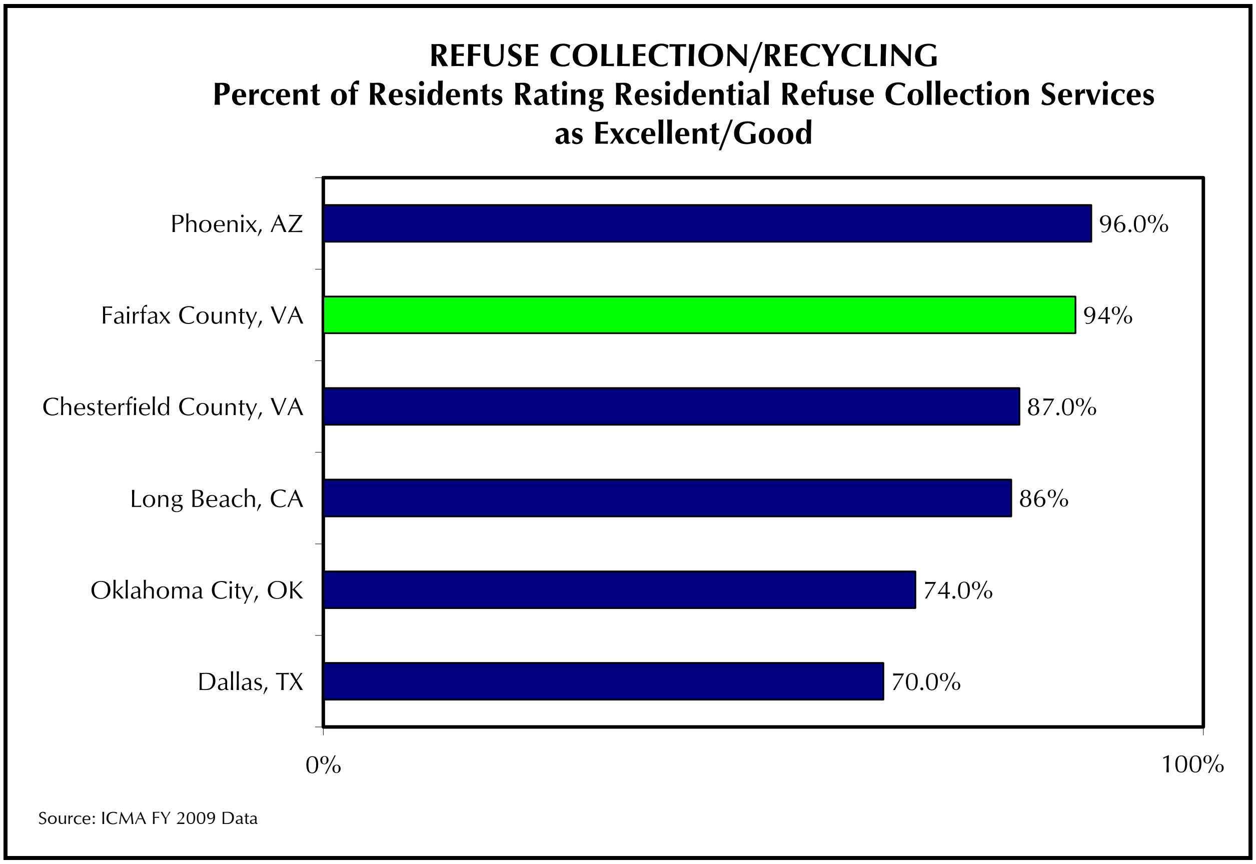
## Benchmarking

As a means of enhancing accountability, benchmarking data have been included in the annual budget since FY 2005. These data are included in each of the Program Area Summaries in Volume 1 and now in Other Funds (Volume 2) as available. Since 2000, Fairfax County has participated in the International City/County Management Association's (ICMA) benchmarking effort. Approximately 220 cities, counties and towns provide comparable data annually in 15 service areas. However, not all jurisdictions provide data for every service area. As part of the ICMA benchmarking effort, participating local governments (cities, counties and towns) provide data on standard templates provided by ICMA in order to ensure consistency. ICMA then performs extensive checking and data cleaning to ensure the greatest accuracy and comparability of data. As a result of the time necessary to collect the data and undergo ICMA's rigorous data cleaning processes, information is always available with a one-year delay. FY 2008 data represent the latest available information. The jurisdictions presented in the graphs on the following pages generally show how Fairfax County compares to other large jurisdictions (population over 500,000). In cases where other Virginia localities provided data, they are shown as well. Refuse Collection/Recycling is one of the service areas for which Fairfax County provides data. An important point to note about the ICMA comparative data effort is that since participation is voluntary, the jurisdictions that provide data have demonstrated that they are committed to becoming/remaining high performance organizations. Therefore, comparisons made through this program should be considered in the context that the participants have self-selected and are inclined to be among the higher performers than a random sample among local governments nationwide. It is also important to note that not all jurisdictions respond to all questions. In some cases, the question or process is not applicable to a particular locality or data are not available. For those reasons, the universe of jurisdictions with which Fairfax County is compared is not always the same for each benchmark. However, as shown on the following pages, Fairfax County compares favorably in both efficiency and effectiveness.

# Solid Waste Management Program




REFUSE COLLECTION/RECYCLING
Percent of Residents Rating Residential Refuse Collection Services as Excellent/Good
Phoenix, AZ
96.0%
Fairfax County, VA
94%
Chesterfield County, VA
87.0%
Long Beach, CA
86%
Oklahoma City, OK
74.0%
Dallas, TX
70.0%
0%
100%
Source: ICMA FY 2009 Data

# Unclassified Administrative Expenses - Division of Solid Waste General Fund Programs

## Mission
To provide funding support for the elimination of unsanitary conditions that present a hazard to the environment and to the health, safety and welfare of County residents.

## Focus
The General Fund provides funding to operate the Community Cleanup Program, Court/Board-directed Cleanups, the Health Department Referral Program, the Eviction Program and Emergency Storm Cleanup.

The Division of Solid Waste Collection and Recycling (DSWCR) through Fund 109, Refuse Collection and Recycling Operations, provides equipment and personnel for program operations. The Community Cleanup Program supports community and civic associations' efforts to enhance and maintain the appearance of neighborhoods and the environment. In addition, the agency eliminates hazardous conditions identified by the Fairfax County Courts, the Fairfax County Board of Supervisors, the Fairfax County Health Department and the Fairfax County Sheriff's Office with regards to evictions.

All charges incurred by Fund 109, Refuse Collection and Recycling Operations, for providing collection and disposal services for these programs are billed to the General Fund. The overall cost to the General Fund is reduced by the cleanup fees recovered from property owners for cleanup work performed on their property at the direction of the Health Department or the County Courts. The recovered funds are returned to the General Fund.

Performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the FY 2012 Advertised Budget Plan for those items.

## Budget and Staff Resources

| Solid Waste General Fund Programs | | | | |
|---|---|---|---|---|
| **Category** | **FY 2010 Actual** | **FY 2011 Adopted Budget Plan** | **FY 2011 Revised Budget Plan** | **FY 2012 Advertised Budget Plan** |
| Solid Waste General Fund Programs | | | | |
| Community Cleanup | $343,041 | $309,785 | $309,785 | $309,785 |
| Health Department Referral | 5,031 | 2,341 | 2,341 | 2,341 |
| Evictions | 8,545 | 14,380 | 14,380 | 14,380 |
| Court/Board-Directed Cleanups | 1,659 | 31,819 | 31,819 | 31,819 |
| **Total Expenditures** | **$358,276** | **$358,325** | **$358,325** | **$358,325** |
| Income | | | | |
| Cleanup Fees[1] | $3,273 | $0 | $13,000 | $13,000 |
| **Total Income** | **$3,273** | **$0** | **$13,000** | **$13,000** |
| **Net Cost to the County** | **$355,003** | **$358,325** | **$345,325** | **$345,325** |

[1] The overall cost to the General Fund is reduced by fees recovered from property owners, who are charged for cleanup work performed on their property at the direction of the Health Department, or by sanctions imposed at the direction of the County Court for cleanups stemming from zoning violations.

# Unclassified Administrative Expenses - Division of Solid Waste General Fund Programs

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- FY 2012 funding remains at the same level as the FY 2011 Adopted Budget Plan.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- There have been no adjustments to this agency since approval of the FY 2011 Adopted Budget Plan.

# Fund 108
# Leaf Collection

## Mission

To provide vacuum leaf collection service at the streetline for all customers within designated sanitary leaf districts on three separate occasions during the leaf collection season (the period from October through January) in order to enhance the County's aesthetic environment.

## Focus

The Division of Solid Waste Collection and Recycling currently provides for leaf collection and disposal within 37 Fairfax County Collection Districts. Five of these districts have been expanded for the FY 2011 leaf season and the agency projects that up to 10 more will be expanded in FY 2012. Leaf Districts are established and abolished through a petition process approved by the Board of Supervisors. This process could result in an increase or a decrease in the number of residential or commercial properties within a specific collection district, or a district could be totally eliminated. Petition approvals affect the number of units serviced in a given year.

All leaves collected are either transported to a composting facility in Loudoun County or Prince William County or mulched and provided to citizens. Revenue is derived from a collection levy (service fee) that is charged to homeowners and businesses within the leaf districts. The FY 2012 levy is $0.015 per $100 of assessed real estate value, an amount that is unchanged from the FY 2011 level. This will generate an estimated $1,866,545 in revenue in FY 2012, a level that is unchanged from the FY 2011 estimate. The County will continue to monitor the impact of real estate values on this fund, to ensure that sufficient funds and balances are available from leaf assessment revenue to cover future year costs.

Performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the FY 2012 Advertised Budget Plan for those items.

# Fund 108
# Leaf Collection

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Expenditures: | | | | |
| Operating Expenses | $2,118,069 | $2,278,520 | $2,278,520 | $2,404,038 |
| Capital Equipment | 64,956 | 22,260 | 22,260 | 0 |
| **Total Expenditures** | **$2,183,025** | **$2,300,780** | **$2,300,780** | **$2,404,038** |

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Leaf Collection Costs** **$125,518**
  An increase of $125,518 in Operating Expenses is required to support the leaf collection program due to an increased number of areas requiring County services and a heightened effort to remove leaves from overflows and drainage areas in order to avoid hazardous conditions. The division currently provides leaf collection and disposal within 37 Fairfax County Collection Districts. Five of these districts have been expanded for the FY 2011 leaf season and the agency projects that up to 10 more will be expanded in FY 2012.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- There have been no adjustments to this fund since approval of the FY 2011 Adopted Budget Plan.

# Fund 108
# Leaf Collection

## FUND STATEMENT

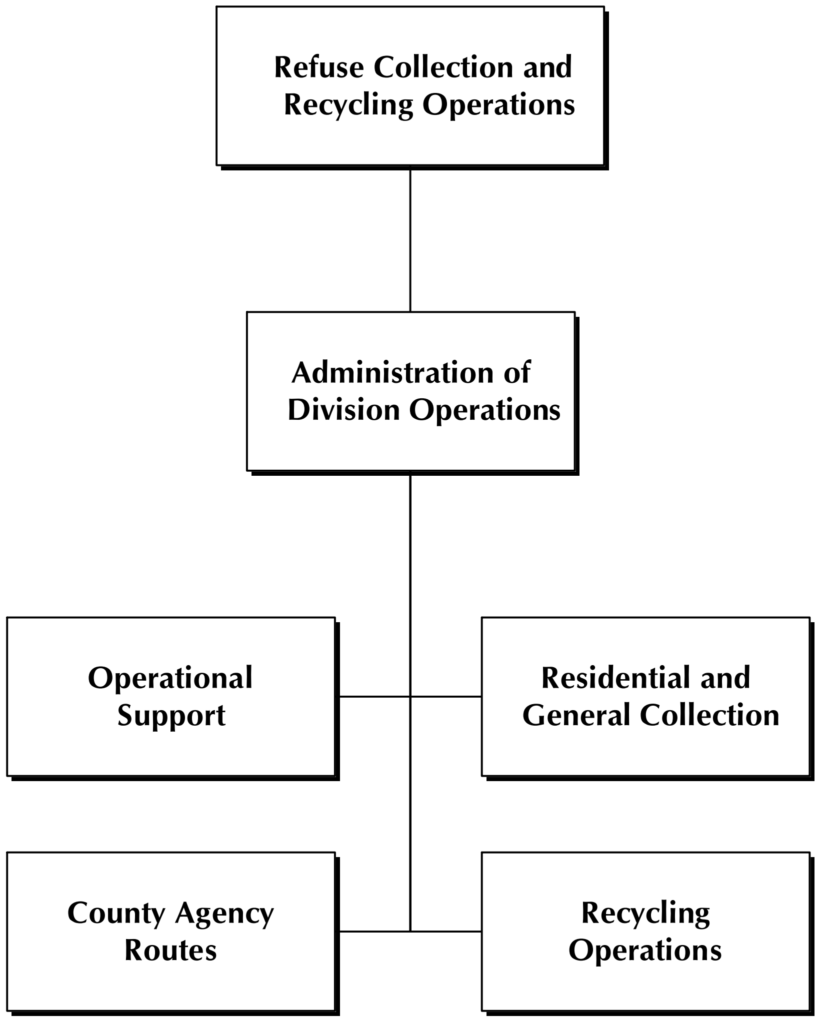
**Fund Type G10, Special Revenue Funds** **Fund 108, Leaf Collection**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$3,562,807** | **$3,392,117** | **$3,510,308** | **$3,133,614** |
| Revenue: | | | | |
| Interest on Investments | $26,060 | $15,279 | $15,279 | $6,279 |
| Rental of Equipment | 52,808 | 42,262 | 42,262 | 47,530 |
| Sale of Equipment | 18,368 | 0 | 0 | 0 |
| Leaf Collection Levy/Fee | 2,033,290 | 1,866,545 | 1,866,545 | 1,866,545 |
| Total Revenue | $2,130,526 | $1,924,086 | $1,924,086 | $1,920,354 |
| **Total Available** | **$5,693,333** | **$5,316,203** | **$5,434,394** | **$5,053,968** |
| Expenditures: | | | | |
| Operating Expenses | $2,118,069 | $2,278,520 | $2,278,520 | $2,404,038 |
| Capital Equipment | 64,956 | 22,260 | 22,260 | 0 |
| Total Expenditures | $2,183,025 | $2,300,780 | $2,300,780 | $2,404,038 |
| **Total Disbursements** | **$2,183,025** | **$2,300,780** | **$2,300,780** | **$2,404,038** |
| **Ending Balance**[1] | **$3,510,308** | **$3,015,423** | **$3,133,614** | **$2,649,930** |
| Equipment Replacement Reserve | $846,902 | $846,902 | $846,902 | $846,902 |
| Rate Stabilization Reserve[2] | $0 | $0 | $0 | $1,803,028 |
| **Unreserved Balance** | **$2,663,406** | **$2,168,521** | **$2,286,712** | **$0** |
| Leaf Collection Levy/Fee per $100 Assessed Value | **$0.015** | **$0.015** | **$0.015** | **$0.015** |

[1]Ending balance fluctuations are a result of operating and revenue requirements that change annually. Funding is carried forward each fiscal year to provide flexibility given the uncertainty of expenditure requirements.

[2]In order to be consistent with how several other Solid Waste funds are handled, a Rate Stabilization Reserve is being created in FY 2012 in order to mitigate against any need for a large rate increase in a future year.

# Fund 109
# Refuse Collection and Recycling Operations




## Mission
To protect Fairfax County citizens against disease, pollution, and other contamination associated with the improper disposal of refuse, by providing efficient and economical refuse collection services to citizens in 80 refuse collection sanitary districts and to Fairfax County agencies. To reduce the County's municipal solid waste stream through the effective development, implementation and management of comprehensive waste reduction and recycling programs to ensure that Fairfax County meets or exceeds the Commonwealth of Virginia's mandated goal of recycling 25.0 percent of the solid waste stream.

## Focus
The Division of Solid Waste Collection and Recycling (DSWCR), (Fund 109, Refuse Collection and Recycling Operations), is responsible for the collection of refuse and recyclable materials within Fairfax County's sanitary districts and from County agencies. The agency coordinates the County's waste reduction and recycling program. It is also responsible for the administration and program operations of the Solid Waste General Fund Programs (e.g., Health Department Referrals, Community Cleanups, Evictions and Court-Ordered Cleanups) on behalf of the County.

# Fund 109
# Refuse Collection and Recycling Operations

Residents within sanitary refuse collection districts, which are created by the Board of Supervisors upon citizen petition, are charged an annual fee for service through the semi-annual property tax collection system. In FY 2012, the rate of $345 will remain the same as the FY 2011 Adopted Budget Plan level.

Additional revenue for Fund 109 is generated from the sale of recyclables which serves to partially offset expenditure requirements. Furthermore, Fund 109 administers recycling operations for Fund 110, Refuse Disposal, and is reimbursed by Fund 110 for performing that service. During FY 2012, DSWCR will continue to use county staff and equipment to collect refuse and recyclables on residential routes.

DSWCR is responsible for the collection of refuse from County agencies and several institutions including George Mason University and Northern Virginia Community College, Annandale Campus. Revenue is derived from billings to County agencies and other institutions based on the cubic yard capacity of the containers assigned to individual agencies as needed to provide adequate service. The cost per cubic yard is formula-driven and is based on fiscal year operating expenses.

DSWCR will continue two programs designed to address oversized piles of waste and illegal dumping throughout the county. The first program, entitled *MegaBulk*, provides residents with a convenient and cost-competitive way to remove oversized piles of waste while providing a revenue stream for the collection program. This service is provided by DSWCR operational staff and is billed individually to each customer based on the size of the pile of refuse that is placed at the curb. Residents request the service from DSWCR, are provided with a price for the service prior to collection and may pay by check or credit card. Residents are not obligated to use the service even after a price quote is provided, as they may elect to use another company to perform the work.

The second program entitled *Clean Streets Initiative* (CSI), partners with the Fairfax County Health Department to respond to complaints about uncollected waste dumped or illegally placed on properties throughout the County. The Health Department refers the complaint to DSWCR to contact the property owner to compel him/her to remove the waste. If the owner refuses to remove the waste, then DSWCR staff removes the material for disposal and the owner is billed for the service. If the owner still refuses to pay, a lien is placed on the property for the price of the service.

Recycling Operations is responsible for providing the overall management of solid waste reduction and recycling programs that are required by the County and for developing plans for future recycling programs and waste reduction systems. The goal for FY 2012 is to maintain the recycling rate in the municipal solid waste stream at or above the Commonwealth of Virginia mandated goal of 25 percent. Based on Calendar Year 2009, the current rate is 39 percent. (Please note that the annual recycling rate for Fairfax County is calculated in a calendar year basis as required by state rules.)

Agency performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the FY 2012 Advertised Budget Plan for those items.

# Fund 109
# Refuse Collection and Recycling Operations

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 138/ 138 | 138/ 138 | 150/ 150 | 150/ 150 |
| Expenditures: | | | | |
| Personnel Services | $9,847,513 | $9,544,426 | $9,544,426 | $10,166,397 |
| Operating Expenses | 7,726,488 | 9,438,088 | 10,068,088 | 9,455,653 |
| Capital Equipment | 2,751,309 | 1,038,500 | 1,181,552 | 1,359,600 |
| Capital Projects[1] | 47,895 | 100,000 | 782,579 | 100,000 |
| **Subtotal** | **$20,373,205** | **$20,121,014** | **$21,576,645** | **$21,081,650** |
| Less: | | | | |
| Recovered Costs | ($734,827) | ($843,332) | ($843,332) | ($843,332) |
| **Total Expenditures** | **$19,638,378** | **$19,277,682** | **$20,733,313** | **$20,238,318** |

| Summary By Cost Center | | | | |
|---|---|---|---|---|
| Category[1] | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Administration of Division Operations | $606,655 | $1,277,568 | $1,277,568 | $1,310,640 |
| Operational Support | 910,982 | 1,058,494 | 1,741,073 | 1,058,494 |
| Residential and General Collections | 13,710,339 | 13,369,906 | 13,999,906 | 14,258,805 |
| County Agency Routes | 2,569,153 | 1,316,637 | 1,459,689 | 1,438,002 |
| Recycling Operations | 1,841,249 | 2,255,077 | 2,255,077 | 2,172,377 |
| **Total Expenditures** | **$19,638,378** | **$19,277,682** | **$20,733,313** | **$20,238,318** |

[1] Capital Projects' expenditures are shown under the Operational Support Cost Center.

### Position Summary

**Administration of Division Operations**

1 Director of Refuse Collection and Recycling
1 Public Works Environmental Services Manager
1 Management Analyst III
3 Management Analysts II
1 Safety Analyst
1 Network/Telecommunication Analyst I
4 Administrative Assistants IV
1 Administrative Assistant III

**Operational Support**

1 Refuse Superintendent
2 Assistant Refuse Superintendents
1 Public Works Environmental Services Specialist
4 Administrative Assistants II
1 Welder II
1 Senior Maintenance Worker

**Residential and General Collections**

1 Management Analyst II
1 Senior Refuse Supervisor
4 Heavy Equipment Supervisors
9 Heavy Equipment Operators
30 Motor Equipment Operators
58 Maintenance Workers
1 Safety Analyst
1 Senior Maintenance Worker
1 Code Specialist II

**County Agency Routes**

4 Heavy Equipment Operators
1 Motor Equipment Operator
1 Welder I
1 Engineering Technician I

**Recycling Operations**

1 Management Analyst IV
1 Management Analyst II
1 Internet/Intranet Architect I
2 Management Analysts I
1 Heavy Equipment Supervisor
4 Heavy Equipment Operators
1 Maintenance Worker
1 Communications Specialist II
1 Engineering Technician II
1 Public Works Environmental Services Specialist

**TOTAL POSITIONS**
**150 Positions / 150.0 Staff Years**

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- **Other Post-Employment Benefits** **$33,072**
  An increase of $33,072 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Advertised Budget Plan.

- **Collection and Recycling Services** **$606,464**
  Funding of $606,464 provides for the replacement of large front end containers and recycling related program adjustments to properly align limited term personnel costs with actual expenditures as a result of the expiration of a previous recycling contract in the FY 2010 Adopted budget.

- **Capital Equipment** **$1,359,600**
  Funding of $1,359,600 for the replacement of Capital Equipment including $920,000 for four rear loading packers, $264,000 for two open body trucks, $71,800 for two roll-off compactors, $32,000 for four roll-off containers, and $71,800 for two refuse compactors.

- **Capital Projects** **$100,000**
  Funding of $100,000 is included for an on-going project to repair and renovate the Newington equipment facility. This phase involves the repair and replacement of the HVAC system, boilers and air handlers.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$1,455,631**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved encumbered funding of $630,000 in Operating Expenses and $143,052 in Capital Equipment as well as $682,579 in unexpended project balances.

- **Position Changes** **$0**
  As part of the FY 2011 review of County position categories, a conversion of 12/12.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 109
# Refuse Collection and Recycling Operations

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** **Fund 109, Refuse Collection**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$7,128,416** | **$5,934,052** | **$8,559,226** | **$8,059,886** |
| Revenue: | | | | |
| Interest on Investments | $73,589 | $26,703 | $26,703 | $14,127 |
| **Residential and General Collections:** | | | | |
| Household Levy[1] | $14,513,147 | $14,809,815 | $14,809,815 | $14,694,930 |
| Miscellaneous | 443,251 | 256,516 | 256,516 | 330,576 |
| Sale of Equipment | 269,945 | 106,948 | 106,948 | 239,234 |
| Subtotal | $15,226,343 | $15,173,279 | $15,173,279 | $15,264,740 |
| **County Agency Routes:** | | | | |
| Miscellaneous Agencies | $1,510,042 | $1,405,594 | $1,405,594 | $1,472,694 |
| Sale of Equipment | 44,500 | 0 | 0 | 1,000 |
| Miscellaneous | 227,313 | 177,722 | 177,722 | 175,838 |
| Subtotal | $1,781,855 | $1,583,316 | $1,583,316 | $1,649,532 |
| **General Fund Programs:** | | | | |
| Community Cleanup | $343,041 | $309,785 | $309,785 | $309,785 |
| Health Department Referrals | 5,031 | 7,000 | 7,000 | 7,000 |
| Evictions | 8,545 | 5,540 | 5,540 | 5,540 |
| Court Ordered/Mandated | 1,659 | 36,000 | 36,000 | 36,000 |
| Subtotal | $358,276 | $358,325 | $358,325 | $358,325 |
| **Other Collection Revenue:** | | | | |
| Leaf Collection | $1,166,233 | $564,426 | $564,426 | $841,084 |
| Miscellaneous | 198,376 | 142,250 | 142,250 | 83,684 |
| State Litter Funds | 99,861 | 0 | 0 | 0 |
| Fairfax Fair | 10,000 | 22,617 | 22,617 | 10,000 |
| Landfill Proffer | 60,000 | 0 | 0 | 0 |
| Subtotal | $1,534,470 | $729,293 | $729,293 | $934,768 |
| **Recycling Operations:** | | | | |
| Program Support[2] | $1,447,001 | $1,861,832 | $1,861,832 | $1,861,832 |
| Sale of Materials | 332,970 | 203,686 | 203,686 | 305,260 |
| Miscellaneous | 314,684 | 297,539 | 297,539 | 305,350 |
| Subtotal | $2,094,655 | $2,363,057 | $2,363,057 | $2,472,442 |
| Total Revenue | $21,069,188 | $20,233,973 | $20,233,973 | $20,693,934 |
| **Total Available** | **$28,197,604** | **$26,168,025** | **$28,793,199** | **$28,753,820** |

# Fund 109
# Refuse Collection and Recycling Operations

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** **Fund 109, Refuse Collection**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Expenditures: | | | | |
| Personnel Services | $9,847,513 | $9,544,426 | $9,544,426 | $10,166,397 |
| Operating Expenses | 7,726,488 | 9,438,088 | 10,068,088 | 9,455,653 |
| Recovered Costs[3] | (734,827) | (843,332) | (843,332) | (843,332) |
| Capital Equipment | 2,751,309 | 1,038,500 | 1,181,552 | 1,359,600 |
| Capital Projects | 47,895 | 100,000 | 782,579 | 100,000 |
| Total Expenditures | $19,638,378 | $19,277,682 | $20,733,313 | $20,238,318 |
| **Total Disbursements** | **$19,638,378** | **$19,277,682** | **$20,733,313** | **$20,238,318** |
| | | | | |
| **Ending Balance[4]** | **$8,559,226** | **$6,890,343** | **$8,059,886** | **$8,515,502** |
| Wheeled Container Reserve[5] | $0 | $0 | $0 | $250,000 |
| Collection Equipment Reserve | 324,954 | 351,720 | 351,720 | 368,995 |
| Recycling Equipment Reserve | 267,480 | 325,000 | 325,000 | 305,260 |
| PC Replacement Reserve[6] | 53,400 | 60,000 | 60,000 | 60,000 |
| Construction and Infrastructure Reserve[7] | 688,848 | 1,680,763 | 1,680,763 | 1,600,000 |
| Rate Stabilization Reserve[8] | 888,801 | 2,083,881 | 2,083,881 | 3,798,663 |
| Residential/General Equipment Reserve[9] | 2,692,359 | 2,388,979 | 2,388,979 | 2,382,584 |
| **Unreserved Balance** | **$3,643,384** | **$0** | **$1,169,543** | **$0** |
| Levy per Household Unit | $345/Unit | $345/Unit | $345/Unit | $345/Unit |

[1] The FY 2012 levy/collection fee per household unit is set at $345 per unit. Although the Refuse Collection levy is separate and not a Real Estate Tax, it is included on and collected as part of the County's Real Estate Tax bill. This amount does not include approximately 447 units which will be billed directly by the agency.

[2] The Recycling Operations program is supported by Fund 110, Refuse Disposal. The estimate for Program Support is calculated based on the projected level of expenditures for recycling operations as conducted in Fund 109, Refuse Collection and Recycling Operations, partially offset by revenue received from the sale of recycled materials.

[3] Recovered Costs represent billings to Fund 108, Leaf Collection, for its share of the total administrative costs for the Division of Collection and Recycling. Also included is an amount billed to Fund 110, Refuse Disposal, for administrative costs for the recycling program which is coordinated by Fund 109, Refuse Collection and Recycling Operations.

[4] Ending balance fluctuations are a result of operating and revenue requirements that change annually. Funding is carried forward each fiscal year to provide flexibility given the uncertainty of market conditions and expenditure requirements.

[5] A reserve for wheeled containers is created in FY 2012 to set aside funds to purchase/replace single-stream recycling and trash collection containers for sanitary district customers.

[6] The PC Replacement Reserve provides funding for the timely replacement of obsolete computer equipment.

[7] The Construction and Infrastructure Reserve funds emergency repairs necessary at the Newington Solid Waste Facility.

[8] The Rate Stabilization Reserve provides funds to mitigate against any need for an unusually large rate increase in a future year.

[9] The Residential/General Equipment Reserve provides the Residential and General Collections Cost Center with set aside funds for future anticipated equipment purchases.

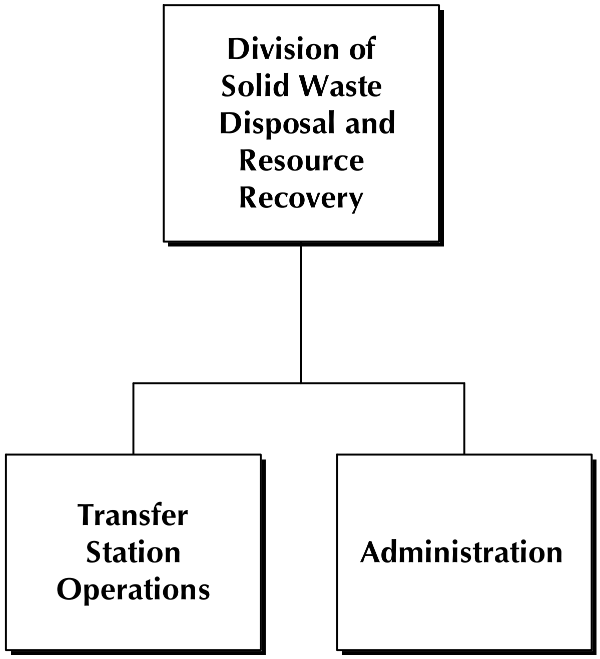
# Fund 109
# Refuse Collection and Recycling Operations

### FY 2012 Summary of Capital Projects

**Fund: 109 Refuse Collection and Recycling Operations**

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 109001 | Newington Facility Enhancements | $1,818,038 | $47,895.00 | $782,578.85 | $100,000 |
| **Total** | | **$1,818,038** | **$47,895.00** | **$782,578.85** | **$100,000** |

# Fund 110
# Refuse Disposal




## Mission

To protect Fairfax County citizens against disease, pollution and other contamination associated with the improper disposal of refuse, through safe and sanitary transportation of solid waste from the I-66 Transfer Station to the Energy/Resource Recovery Facility (E/RRF). The agency also transports debris generated through the Yard Waste program to disposal facilities in Prince William and Loudoun Counties. In addition, this agency operates the Household Hazardous Waste program and the Recycling and Disposal Center, including all associated technical and administrative functions.

## Focus

Fund 110, Refuse Disposal, has the primary responsibility for coordinating the disposal of solid waste generated within Fairfax County by channeling the collected refuse to the E/RRF. Refuse that cannot be burned in the E/RRF is directed to a landfill or disposed of through a contractor. Yard debris is transported to Prince William County or a private compost facility. Other operations coordinated within this fund are the Recycling and Disposal Center, the Household Hazardous Waste (HHW) program, the Ordinance Enforcement program, the Bush Grinding program, the White Goods program and the Battery program. The Administrative Cost Center performs the tasks associated with the overall administrative, technical and management functions for those funds that comprise the Division of Solid Waste Disposal and Resource Recovery. These funds are: 110, Refuse Disposal; 112, Energy Resources Recovery Facility; and 114, I-95 Refuse Disposal.

# Fund 110
# Refuse Disposal

In the last year the system has experienced a substantial decrease in waste tonnage, reflecting lower consumer waste associated with the downturn in the regional and national economy. However, disposal refuse revenue in this fund remains adequate to fund operational requirements and reserves.

In FY 2012, both the system disposal charge and the recycling and disposal center fee will remain at $60 per ton, the same as in FY 2011. A contractual discount disposal rate for FY 2012 will be negotiated with private waste haulers, but it is anticipated to be reduced to $53 per ton, a $2/ton decrease from FY 2011. Based on these adjustments and the current projected decrease in waste tonnage, the total FY 2012 revenue for the fund is projected to be $51,242,247, a decrease of $5,959,392 or 10.41 percent from the FY 2011 Adopted Budget Plan total of $57,201,639.

Performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the FY 2012 Advertised Budget Plan for those items.

## Budget and Staff Resources

**Agency Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 136/ 136 | 136/ 136 | 144/ 144 | 144/ 144 |
| Expenditures: | | | | |
| Personnel Services | $9,430,123 | $10,226,781 | $10,226,781 | $10,254,021 |
| Operating Expenses | 37,980,139 | 44,669,651 | 44,960,392 | 39,002,450 |
| Capital Equipment | 2,425,557 | 1,189,500 | 2,731,658 | 2,677,000 |
| Capital Projects | 351,564 | 0 | 4,177,078 | 0 |
| **Subtotal** | **$50,187,383** | **$56,085,932** | **$62,095,909** | **$51,933,471** |
| Less: | | | | |
| Recovered Costs | ($669,169) | ($688,840) | ($688,840) | ($688,840) |
| **Total Expenditures** | **$49,518,214** | **$55,397,092** | **$61,407,069** | **$51,244,631** |

**Summary by Cost Center**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Administration | $924,907 | $1,402,560 | $1,403,166 | $1,458,800 |
| Transfer Station Operations | 48,241,743 | 53,994,532 | 55,826,825 | 49,785,831 |
| **Subtotal** | **$49,166,650** | **$55,397,092** | **$57,229,991** | **$51,244,631** |
| Capital Projects | $351,564 | $0 | $4,177,078 | $0 |
| **Total Expenditures** | **$49,518,214** | **$55,397,092** | **$61,407,069** | **$51,244,631** |

# Fund 110
# Refuse Disposal

## Position Summary

| | Administration | | Transfer Station Operations | | |
|---|---|---|---|---|---|
| 1 | Director, DSWDRR | 5 | Assistant Refuse Superintendents | 1 | Code Specialist II |
| 2 | Public Works Environmental Services Managers | 3 | Heavy Equipment Supervisors | 8 | Lead Refuse Operators |
| 1 | Engineering Technician II | 1 | Management Analyst II | 3 | Maintenance Trade Helpers II |
| 1 | Public Works Environmental Services Specialist | 5 | Engineering Technicians II | 2 | Administrative Assistants II |
| 1 | Management Analyst III | 2 | Engineering Technicians I | 1 | Safety Analyst |
| 1 | Management Analyst II | 3 | Environmental Technicians II | 1 | Welder II |
| 1 | Network/Telecom Analyst II | 10 | Weighmasters | 1 | Welder I |
| 1 | Financial Specialist II | 58 | Heavy Equipment Operators | | |
| 3 | Administrative Assistants IV | 1 | Motor Equipment Operator | | |
| 2 | Administrative Assistants III | 3 | Senior Maintenance Workers | | |
| 3 | Administrative Assistants II | 19 | Maintenance Workers | | |

**TOTAL POSITIONS**
**144 Positions / 144.0 Staff Years**

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

♦ **Employee Compensation** **$0**
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

♦ **Other Post-Employment Benefits** **$27,240**
An increase of $27,240 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Advertised Budget Plan.

♦ **Reduced E/RRF Expenditures** **($5,667,201)**
A decrease of $5,667,201 in Operating Expenses is primarily due to a reduction of $5,019,449 resulting from a projected 7.3 percent decrease in waste tonnage from nearly 639,000 tons to just over 590,000 tons, continuing a trend that began in FY 2007. The remaining decrease of $642,000 is due to a projected 16.9 percent decrease in yard waste tonnage from just over 42,000 tons to just over 35,000 tons, also continuing a trend beginning in FY 2007.

♦ **Capital Equipment** **$2,677,000**
Funding of $2,677,000 in Capital Equipment includes $840,000 for the replacement of six road tractors, $658,000 for seven refuse trailers, $70,000 for two pick-up trucks, $234,000 for a street sweeper, $25,000 for a small tractor, $350,000 for a rubber tire loader, $400,000 for two stationary cranes, and $100,000 for fixtures and furniture associated with the renovation of the I-66 workers facility.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

♦ **Carryover Adjustments** **$6,009,977**

As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved encumbered funding of $290,741 in Operating Expenses, and $1,542,158 in Capital Equipment. In addition, the Board approved the carryover of $4,177,078 in unexpended project balances.

♦ **Position Changes** **$0**

As part of the FY 2011 review of County position categories, a conversion of 8/8.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 110
# Refuse Disposal

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** | **Fund 110, Refuse Disposal**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$11,355,917** | **$8,325,072** | **$13,787,425** | **$9,581,995** |
| Revenue: | | | | |
| Interest on Investment | $62,700 | $70,308 | $70,308 | $85,310 |
| Refuse Disposal Revenue[1] | 49,992,261 | 56,034,331 | 56,034,331 | 49,370,937 |
| Miscellaneous Revenue: | | | | |
| White Goods | $589,811 | $340,000 | $340,000 | $900,000 |
| Rent of Equipment, Space | 241,024 | 302,000 | 302,000 | 282,000 |
| Sale of Equipment | 729,337 | 227,000 | 227,000 | 365,000 |
| Licensing Fees | 66,280 | 55,000 | 55,000 | 66,000 |
| Miscellaneous | 268,309 | 173,000 | 173,000 | 173,000 |
| Subtotal Miscellaneous Revenue | $1,894,761 | $1,097,000 | $1,097,000 | $1,786,000 |
| Total Revenue | $51,949,722 | $57,201,639 | $57,201,639 | $51,242,247 |
| **Total Available** | **$63,305,639** | **$65,526,711** | **$70,989,064** | **$60,824,242** |
| Expenditures: | | | | |
| Personnel Services | $9,430,123 | $10,226,781 | $10,226,781 | $10,254,021 |
| Operating Expenses[1] | 37,980,139 | 44,669,651 | 44,960,392 | 39,002,450 |
| Capital Equipment | 2,425,557 | 1,189,500 | 2,731,658 | 2,677,000 |
| Recovered Costs | (669,169) | (688,840) | (688,840) | (688,840) |
| Capital Projects | 351,564 | 0 | 4,177,078 | 0 |
| Total Expenditures | $49,518,214 | $55,397,092 | $61,407,069 | $51,244,631 |
| **Total Disbursements** | **$49,518,214** | **$55,397,092** | **$61,407,069** | **$51,244,631** |
| **Ending Balance[2]** | **$13,787,425** | **$10,129,619** | **$9,581,995** | **$9,579,611** |
| Reserves: | | | | |
| Equipment Reserve[3] | $1,879,285 | $2,049,038 | $2,049,038 | $1,835,918 |
| Operating and Maintenance Reserve[4] | 500,000 | 928,975 | 395,139 | 2,495,110 |
| Environmental Reserve | 2,000,000 | 2,000,000 | 2,000,000 | 2,000,000 |
| Construction Reserve[5] | 5,065,972 | 5,065,972 | 5,052,184 | 3,183,730 |
| PC Replacement Reserve | 61,293 | 85,634 | 85,634 | 64,853 |
| **Unreserved Balance** | **$4,280,875** | **$0** | **$0** | **$0** |
| System Disposal Rate/Ton[6] | $60.00 | $60.00 | $60.00 | $60.00 |
| Discounted Disposal Rate/Ton[7] | $55.00 | $55.00 | $55.00 | $53.00 |

# Fund 110
# Refuse Disposal

[1] In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of $134,043.22 has been reflected as a decrease to FY 2010 Refuse Disposal revenue and an audit adjustment in the amount of $120,256 has been reflected as a decrease in expenditures primarily to reflect the recording of revenue and expenditures from the waste exchange with Prince William County. These audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2011 Third Quarter package.

[2] Ending balance fluctuations are a result of operating and revenue requirements that change annually. Funding is carried forward each fiscal year to provide flexibility given the uncertainty of market conditions, tipping fee negotiations, and expenditure requirements.

[3] The Equipment Reserve provides for the timely replacement of equipment required to operate the I-66 Transfer Station. Funds are transferred from Refuse Disposal revenue to the Equipment Replacement Reserve, as are proceeds from the sale of equipment.

[4] The Operating and Maintenance Reserve provides funds to react to unanticipated events such as significant changes in waste quantities, increases in contract disposal rates at composting facilities and landfills, increases in fuel costs, significant reductions in revenues, etc.. The reserve also acts as a rate stabilization reserve, allowing smooth transition to rate changes minimizing the impact on customers.

[5] The Construction Reserve provides for future improvements at the I-66 Transfer Station. A need for the renovation of the existing administrative offices at the I-66 Transfer Station has been identified. The building was originally constructed during 1982 and opened January 1983. The renovation work will include repairs and modifications to the HVAC system, replacement of the motor control center, remodeling of bathroom and locker room facilities, remodeling and modifications to the existing scale house, and remodeling of other existing administrative offices, hallways, and common areas to meet the present needs and building codes. The scoping and preliminary design phase will begin during FY 2012 and construction work is anticipated to commence in FY 2013.

[6] The FY 2012 System Disposal rate is projected to remain at $60 per ton subject to market conditions.

[7] In August 1998 (FY 1999), Fairfax County implemented a contractual rate discount that was offered to any hauler that guaranteed all of its collected refuse or a specified tonnage amount would be delivered to the Energy/Resoource Recovery Facility (E/RRF) or other County disposal sites. The FY 2012 System Disposal rate is expected to be reduced to $53.00 per ton.

# Fund 110
# Refuse Disposal

## FY 2012 Summary of Capital Projects

### Fund: 110 Refuse Disposal

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 174002 | I-66 Transfer Station Expansion | $20,442,235 | ($2,920.79) | $0.00 | $0 |
| 174006 | Citizens Disposal Facility | 640,840 | 0.00 | 0.00 | 0 |
| 174007 | Workers Facility | 4,650,751 | 354,485.24 | 4,177,077.69 | 0 |
| **Total** | | **$25,733,826** | **$351,564.45** | **$4,177,077.69** | **$0** |

# Fund 112
# Energy/Resource Recovery Facility

Division of Solid Waste Disposal and Resource Recovery

Energy/ Resource Recovery Facility Operations

## Mission

To serve Fairfax County residents by providing effective and environmentally-sound solid waste disposal by converting waste-to-energy; by reducing the need for landfill space through volume reduction of solid waste; by reducing the greenhouse gas emissions both by not landfilling waste and by generating renewal energy; and by recovering ferrous and non-ferrous metal from the ash and recycling them; and by managing the operational contract in the best interests of the residents.

*Aerial view of the I-95 Energy/Resource Recovery Facility*

## Focus

Fund 112 supports the management of the contract for the I-95 Energy/Resource Recovery Facility (E/RRF), owned and operated by Covanta Fairfax, Inc. (CFI). Under the terms of the Service Agreement, the County delivers municipal solid waste (MSW) for which it pays a disposal fee to CFI. With the approval of its Title V (Air) Permit in January 2007, the facility has the flexibility to operate at a level above its nameplate rating of 3000 tons per day. Pursuant to an agreement between Dominion Virginia Power and CFI, signed in 1987 and amended in 1996, Dominion Virginia Power purchased up to 80 megawatts of electricity, enough to power about 75,000 homes. An amendment in FY 2008 allows CFI to generate and sell additional electricity over 80 megawatts; this additional electricity sale brings more revenue to the Fund.

# Fund 112
# Energy/Resource Recovery Facility

With the slowdown in the economy and increased emphasis on recycling in Fairfax County, the tons of waste generated in the County declined in FY 2010 to its lowest level since FY 1999. While this is a significant issue, there remained sufficient waste in the system for Fairfax County to easily meet its Guaranteed Annual Tonnage (GAT) requirements under the Service Agreement with CFI. The facility accepts MSW from other regional jurisdictions such as the District of Columbia and through the Supplemental Waste program. Refuse is exchanged with Prince William County under a mutually beneficial agreement. These efforts continue to maximize revenues through providing additional MSW to keep disposal rates low for County customers.

The County charges a disposal fee to all users of the E/RRF and subsequently pays the contractual disposal fee to CFI. Revenues from the sale of electricity and recycled ferrous metals are used to offset the cost of the disposal fee charged by CFI. When the E/RRF is not able to handle the amount of waste available, some waste is diverted to Virginia landfills; in FY 2010 there were 17,127 tons of diverted waste. The County also receives a host fee for certain merchant waste processed by CFI when capacity is available. County staff must be constantly vigilant in balancing waste as a commodity to ensure that it is disposed of efficiently, cost-effectively and with few environmental consequences.

Careful management of the Service Agreement with CFI, increasing revenues from electricity sales and metal recycling, and final payment of the construction bonds have allowed the County to hold down disposal fees charged to customers. In FY 2011, the rate was reduced to $29 per ton and will remain the same for FY 2012. Funding from the Rate Stabilization Reserve will be used to buffer against any long term adjustments to the tip fee.

The June 2010 annual stack test indicated that the overall air emissions reductions from the E/RRF, resulting from the Clean Air Act retrofits in 2000, remained well below permit limits and nitrogen oxides were reduced almost 10 percent from the previous year:

| Energy/Resource Recovery Facility Emissions Results[1] – June 2010 | | |
|---|---|---|
| **Constituent** | **Permit Limit** | **Average E/RRF Result** |
| Sulfur Dioxide (SO2) | 29 ppm | 2.50 ppm |
| Carbon Monoxide (CO) | 100 ppm | 5.00 ppm |
| Nitrogen Oxides (NOx) | 205 ppm | 174 ppm |
| Hydrochloric Acid (HCL) | 29 ppm | 4.96 ppm |
| Particulate Matter (PM) | 27 mg/dscm | 3.67 mg/dscm |
| Mercury (Hg) | 0.080 mg/dscm | 0.0028 mg/dscm |
| Lead (Pb) | 0.44 mg/dscm | 0.0087 mg/dscm |

ppm = parts per million mg = milligram ng = nanogram
Dscm = dry standard cubic meter

[1] Covanta Fairfax Inc. Annual Determination of Compliance with Permitted Emission Limits and 40 CFR, Subpart Cb Report, (COV Report No. 3547 Volumes 1-4), pages17-20 for testing conducted June 1-8, 2010.

Ash testing, performed by an independent laboratory during June 2010, characterized the ash from the E/RRF as non-hazardous waste. This means that the ash can continue to be disposed at the I-95 Ashfill under its permit for non-hazardous materials. The ash conditioning system that was added to the E/RRF in FY 2005 is providing the stabilization for ash that is placed in the I-95 Ashfill.

The E/RRF has helped reduce the overall Fairfax County waste system carbon emissions by about one ton of carbon for every ton of waste processed. This calculation includes the reduction in overall carbon dioxide generated by the waste management system, due to emission reductions that are realized by not transporting waste to a landfill, the actual carbon dioxide that would be generated at the landfill as well as the carbon dioxide that would be emitted to produce electricity using a fossil fuel.

# Fund 112
# Energy/Resource Recovery Facility

CFI and the County have negotiated an innovative project that allows the E/RRF to use reclaimed water from the Noman Cole Wastewater Treatment Plant as the cooling water at the E/RRF, saving millions of gallons of potable water each year. The project will become operational in FY 2012. The County is always exploring new technology to ensure that the E/RRF continues to provide the required environmental service of waste processing while having the least environmental impact possible.

Fairfax County and Covanta Fairfax, Inc. continue to discuss ways for the County to use the E/RRF beyond the timeframe of the existing Service Agreement, as recommended in the County's Solid Waste Management Plan.

In addition, it should be noted that the Household Hazardous Waste (HHW) program, the Conditionally Exempt Small Quality Generator program, rechargeable battery collection, "Electric Sundays," and other programs continue to remove materials with hazardous properties from the waste stream of the E/RRF.

## Budget and Staff Resources

**Agency Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 9/ 9 | 9/ 9 | 12/ 12 | 12/ 12 |
| Expenditures: | | | | |
| Personnel Services | $714,619 | $734,811 | $734,811 | $736,968 |
| Operating Expenses | 36,787,311 | 31,204,598 | 33,008,205 | 15,706,345 |
| Capital Equipment | 0 | 36,500 | 36,500 | 0 |
| **Total Expenditures** | **$37,501,930** | **$31,975,909** | **$33,779,516** | **$16,443,313** |

**Position Summary**

| | | | | | |
|---|---|---|---|---|---|
| 1 | Management Analyst III | 1 | Heavy Equipment Operator | 5 | Weighmasters |
| 1 | Management Analyst II | 1 | Administrative Assistant IV | | |
| 1 | Engineering Technician II | 2 | Administrative Assistants II | | |

**TOTAL POSITIONS**
**12 Positions / 12.0 Staff Years**

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

♦ **Employee Compensation** **$0**
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

♦ **Other Post-Employment Benefits** **$2,157**
An increase of $2,157 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Advertised Budget Plan.

- **Reduced Contractor Costs** **($15,498,253)**
  A decrease of $15,498,253 in Operating Expenses is primarily due to a reduction of $15,910,054 in Contractor Compensation for the disposal fees at the E/RRF. This expenditure is reduced because the construction bonds will be paid in full in FY 2011. The reduction is partially offset by an increase of $411,801 primarily for professional and legal consultants as well as slight increases in other operating costs.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$1,803,607**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved encumbered funding of $58,101 in Operating Expenses and an administrative adjustment of $1,745,506 for the costs of the Covanta Inc. tax liability payment, not previously budgeted and funded with a General Fund Transfer.

- **Position Changes** **$0**
  As part of the FY 2011 review of County position categories, a conversion of 3/3.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 112
# Energy/Resource Recovery Facility

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** **Fund 112, Energy/Resource Recovery Facility (E/RRF)**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$26,787,307** | **$26,255,426** | **$21,578,204** | **$21,776,758** |
| Revenue: | | | | |
| Disposal Revenue | $29,978,605 | $33,635,000 | $31,514,056 | $31,191,182 |
| Other Revenue: | | | | |
| Interest on Investments | 142,062 | $218,508 | 218,508 | $357,067 |
| Miscellaneous[1] | 449,252 | 500,000 | 500,000 | 500,000 |
| Subtotal Other Revenue | $591,314 | $718,508 | $718,508 | $857,067 |
| Total Revenue | $30,569,919 | $34,353,508 | $32,232,564 | $32,048,249 |
| Transfers In: | | | | |
| General Fund (001)[2] | $1,722,908 | $0 | $1,745,506 | $0 |
| Total Transfers In | $1,722,908 | $0 | $1,745,506 | $0 |
| **Total Available** | **$59,080,134** | **$60,608,934** | **$55,556,274** | **$53,825,007** |
| Expenditures: | | | | |
| Personnel Services | $714,619 | $734,811 | $734,811 | $736,968 |
| Operating Expenses[3, 4] | 36,787,311 | 31,204,598 | 33,008,205 | 15,706,345 |
| Capital Equipment | 0 | 36,500 | 36,500 | 0 |
| Total Expenditures | $37,501,930 | $31,975,909 | $33,779,516 | $16,443,313 |
| **Total Disbursements** | **$37,501,930** | **$31,975,909** | **$33,779,516** | **$16,443,313** |
| | | | | |
| **Ending Balance[5]** | **$21,578,204** | **$28,633,025** | **$21,776,758** | **$37,381,694** |
| Tipping Fee Reserve[6] | $1,500,000 | $1,500,000 | $1,500,000 | $1,500,000 |
| Rate Stabilization Reserve[7] | 10,000,000 | 10,000,000 | 10,000,000 | 25,881,694 |
| Operations and Maintenance Reserve[8] | 10,078,204 | 17,133,025 | 10,276,758 | 10,000,000 |
| **Unreserved Ending Balance** | **$0** | **$0** | **$0** | **$0** |
| Disposal Rate/Ton | $31/ton | $31/ton | $29/ton | $29/ton |

[1] Miscellaneous Revenue is generated by the excess amount that Covanta Fairfax, Inc. (CFI) charges for the disposal of Supplemental Waste.

[2] The General Fund Transfer offsets Covanta's tax liability to Fairfax County. An expenditure increase and the offsetting General Fund Transfer were funded for FY 2011 as part of the *FY 2010 Carryover Review* .

[3] In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of $3,248,440.82 has been reflected as an increase to FY 2010 expenditures to reflect the accrual of expenditures associated with the reimbursement of tipping fees from Covanta. The audit adjustment has been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2011 Third Quarter package.

[4] In FY 2012, payments to Covanta Fairfax are significantly reduced due to construction bonds being paid off in FY 2011.

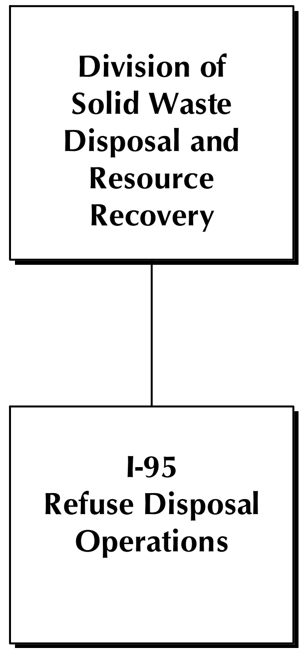
[5] Ending balance fluctuations are a result of operating and revenue requirements that change annually. Funding is carried forward each fiscal year to provide flexibility given the uncertainty of market conditions, tipping fee negotiations, and expenditure requirements.

[6] The Tipping Fee Reserve is used to buffer against sharp annual changes in tip fees. Potential changes could result from issues such as tax changes regarding energy sales, power deregulation, state or EPA environmental fees, and/or contract changes.

[7]The Rate Stabilization Reserve (RSR) is used to buffer against a long term adjustment to tip fees. It should be noted that as the FY 2012 budget is being prepared, the long-term arrangement for solid waste disposal is still being determined. The current disposal arrangement with Covanta extends until 2016. The County is currently exploring an option it has, through its agreement with Covanta, to purchase the facility. Other long term options include the extension of the current agreement for use of the ERRF or pursuit of alternate disposal options. The budget was prepared assuming the current contract arrangement which provides for a below market disposal rate through 2016. Extending the current agreement or use of alternate disposal arrangements may result in a significant increase in disposal fees (up to 175 percent), once the current agreement expires. In order to buffer the impact to customers if a transition to market rates occurs in 2016, the disposal fee is being kept at its current rate, with savings generated being transferred to the RSR for future use. This is what is causing the substantial increase in the RSR balance betwen FY 2011 and FY 2012.

[8] The Operations and Maintenance Reserve is maintained for ongoing improvements and enhancements to the E/RRF including emissions control efforts. Future projects may include additional retrofits to the air pollution control systems for reductions in nitrogen oxides. The reserve will fund the County's share of the initial capital expenditures on the improvements.

# Fund 114
# I-95 Refuse Disposal




## Mission

To manage the I-95 Complex in a manner to provide a site where solid waste and recyclable materials from County citizens are gathered and properly disposed, and a deposit site where ash from the Energy/Resource Recovery Facility (E/RRF) and other participating municipalities can be properly disposed.

## Focus

The County has operated the I-95 Sanitary Complex for more than 25 years, and has served the solid waste disposal needs of the residents of the participating jurisdictions utilizing the facility. The municipal solid waste (MSW) section of the I-95 Complex closed in December 1995, and since that time the facility has accepted only ash material for land burial. The I-95 Ashfill continues to operate as a model facility - meeting permit requirements, inspection criteria, and availability requirements for the participating jurisdictions and customers of the facility. The I-95 Complex also serves as the focal point for the management of non-combustible material, which is redirected to debris landfills for final disposal.

The ash disposal fee in FY 2012 for Fund 114, I-95 Refuse Disposal will increase to $15.50 per ton from $13.50 per ton in FY 2011. In the past, the high interest earning rates had provided sufficient funds to accommodate operating expenditures, as well as provide adequate reserve funding required for capital projects and post closure care. It had allowed the fund to maintain at the lower ash disposal fee of $11.50 per ton from FY 2001 to FY 2009.

Performance measures for Solid Waste are displayed at a program-wide level. Please refer to the Solid Waste Management Program Overview in Volume 2 of the FY 2012 Advertised Budget Plan for those items.

# Fund 114
# I-95 Refuse Disposal

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 40/ 40 | 40/ 40 | 41/ 41 | 41/ 41 |
| Expenditures: | | | | |
| Personnel Services | $3,110,615 | $3,172,038 | $3,172,038 | $3,186,976 |
| Operating Expenses | 3,412,802 | 4,255,570 | 5,129,683 | 4,255,570 |
| Capital Equipment | 2,205,985 | 1,158,500 | 1,254,640 | 769,000 |
| Capital Projects | 54,462 | 0 | 13,984,145 | 0 |
| **Total Expenditures** | **$8,783,864** | **$8,586,108** | **$23,540,506** | **$8,211,546** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| 1 | Engineer V | 2 | Engineering Technicians I | 1 | Administrative Assistant II |
| 1 | Engineer III | 1 | Refuse Superintendent | 1 | Senior Maintenance Worker |
| 1 | Sr. Environmental Specialist | 3 | Assistant Refuse Superintendents | 6 | Maintenance Workers |
| 3 | Public Works Environmental Services Specialists | 2 | Industrial Electricians II | | |
| 1 | PW/ES Technical Specialist | 9 | Heavy Equipment Operators | | |
| 1 | Engineering Technician III | 1 | Motor Equipment Operator | | |
| 4 | Engineering Technicians II | 2 | Weightmasters | | |
| | | 1 | Management Analyst I | | |

**TOTAL POSITIONS**
**41 Positions /41.0 Staff Years**

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- **Other Post-Employment Benefits** **$14,938**
  An increase of $14,938 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Advertised Budget Plan.

- **Capital Equipment** **$769,000**
  Funding of $769,000 in Capital Equipment includes $460,000 to replace one wheel loader, $150,000 for one sweeper vacuum, $45,000 for one broom tractor, $24,000 for two light plants, $25,000 for one utility cart, $30,000 for one pick up truck, and $35,000 for one cargo van.

# Fund 114
# I-95 Refuse Disposal

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

♦ **Carryover Adjustments** **$14,954,398**

As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved encumbered funding of $874,113 in Operating Expenses and $96,140 in Capital Equipment. In addition, the Board approved the carryover of $13,984,145 in unexpended Capital Project balances.

♦ **Position Changes** **$0**

As part of the FY 2011 review of County position categories, a conversion of 1/1.0 SYE position has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 114
# I-95 Refuse Disposal

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** | **Fund 114, I-95 Refuse Disposal**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$55,631,109** | **$39,088,107** | **$53,175,316** | **$36,210,624** |
| Revenue: | | | | |
| Interest on Investments | $332,394 | $532,523 | $532,523 | $352,420 |
| Refuse Disposal Revenue | 4,986,640 | 5,571,054 | 5,571,054 | 6,162,011 |
| Other Revenue: | | | | |
| Fees, Ashfill Permit | $15,880 | $7,200 | $7,200 | $7,200 |
| Sale of Equipment | 767,216 | 264,000 | 264,000 | 158,000 |
| Sale of Methane Gas | 225,941 | 191,600 | 191,600 | 191,600 |
| Miscellaneous Revenue | 0 | 9,437 | 9,437 | 9,437 |
| Subtotal Other Revenue | $1,009,037 | $472,237 | $472,237 | $366,237 |
| Total Revenue | $6,328,071 | $6,575,814 | $6,575,814 | $6,880,668 |
| **Total Available** | **$61,959,180** | **$45,663,921** | **$59,751,130** | **$43,091,292** |
| Expenditures: | | | | |
| Personnel Services | $3,110,615 | $3,172,038 | $3,172,038 | $3,186,976 |
| Operating Expenses[1] | 3,412,802 | 4,255,570 | 5,129,683 | 4,255,570 |
| Capital Equipment | 2,205,985 | 1,158,500 | 1,254,640 | 769,000 |
| Capital Projects[2] | 54,462 | 0 | 13,984,145 | 0 |
| Total Expenditures | $8,783,864 | $8,586,108 | $23,540,506 | $8,211,546 |
| **Total Disbursements** | **$8,783,864** | **$8,586,108** | **$23,540,506** | **$8,211,546** |
| | | | | |
| **Ending Balance[3]** | **$53,175,316** | **$37,077,813** | **$36,210,624** | **$34,879,746** |
| Reserves | | | | |
| Active Cell Closure Liability Reserve[4] | $9,541,103 | $6,385,829 | $6,385,829 | $2,706,015 |
| Environmental Reserve[5] | 4,255,899 | 4,829,985 | 4,829,985 | 5,000,000 |
| Capital Equipment Reserve[6] | 0 | 570,894 | 570,894 | 1,126,600 |
| Post-Closure Reserve[7] | 25,243,974 | 25,243,974 | 24,376,785 | 26,000,000 |
| PC Replacement Reserve[8] | 47,131 | 47,131 | 47,131 | 47,131 |
| **Unreserved Ending Balance** | **$14,087,209** | **$0** | **$0** | **$0** |
| Disposal Fee/Ton[9] | $13.50 | $13.50 | $13.50 | $15.50 |

# Fund 114
# I-95 Refuse Disposal

[1] In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of $31,571.40 has been reflected as an increase to FY 2010 expenditures to accurately record an expenditure accrual. The audit adjustment has been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2011 Third Quarter package.

[2] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

[3] Ending balance fluctuations are a result of operating and revenue requirements that change annually. Funding is carried forward each fiscal year to provide flexibility given the uncertainty of market conditions and expenditure requirements.

[4] The Active Cell Closure Liability Reserve is necessary for the closure of active disposal cells of the Ashfill and is necessary for ashfilling activities to progress in accord with state requirements.

[5] The Environmental Reserve is a contingency fund, assuring that the County has funds to implement, or at least start to implement, unplanned actions to protect the environment or meet regulatory requirements. Specific examples of future environmental projects are likely to include: Landfill Gas Control Projects, Stormwater Management, Wastewater (Leachate) Management, and Groundwater protective measures.

[6] The Capital Equipment Reserve was set up for the timely replacement of equipment required to operate the I-95 Ashfill. Funds are transferred from Ash Disposal Revenue to equipment reserve as are proceeds from the sale of equipment. The reserve requirement is based on a replacement schedule comprised of yearly payments to the reserve, which is based on the useful life of the equipment and vehicles.

[7] The Post-Closure Reserve is required for a 30-year period after the ashfill closes and is mandated by federal and state regulations. The FY 2012 projected reserve of $26 million represents approximately 56 percent of the estimated requirement of $46,320,930 and is not sufficient to cover all identified costs. Additional funds will be set aside in future years.

[8] The PC Replacement Reserve provides for the timely replacement of obsolete computer equipment.

[9] Effective July 1, 2000 the jurisdictional fee for ash disposal was reduced from $14/ton to $11.50/ton. The rate remained at $11.50/ton from FY 2001 to FY 2009, and was increased to $13.50/ton in FY 2010 to meet operating and post closure reserve requirements. The rate for FY 2012 is being increased to $15.50/ton to offset reduced revenue resulting primarily from lower interest on investments.

# Fund 114
# I-95 Refuse Disposal

## FY 2012 Summary of Capital Projects

**Fund: 114 I-95 Refuse Disposal**

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 174006 | Citizens Disposal Facility | ($1,319) | $0.00 | $0.00 | $0 |
| 186420 | Repair/Maint/Wash Facility | 989,983 | 0.00 | 0.00 | 0 |
| 186435 | Area 3 Lined Landfill Construction | | 9,585.41 | 2,648,767.52 | 0 |
| 186440 | I-95 Landfill Leachate Facility | | 0.00 | 2,450,807.27 | 0 |
| 186460 | Area 7 Roadway Construction | 251,874 | 0.00 | 0.00 | 0 |
| 186470 | Paved Ditch Extension Areas | | 0.00 | 362,818.00 | 0 |
| 186600 | Methane Gas Recovery | | 0.00 | 695,661.12 | 0 |
| 186650 | I-95 Landfill Closure | 55,766,579 | 44,876.81 | 7,826,090.70 | 0 |
| **Total** | | **$57,007,117** | **$54,462.22** | **$13,984,144.61** | **$0** |

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# Consolidated County and Schools
# Debt Service Fund

## Focus

The Consolidated Debt Service Fund accounts for the general obligation bond debt service of the County as well as general obligation bond debt for the Fairfax County Public Schools (FCPS). In addition, debt service expenditures are included for the Economic Development Authority Lease Revenue bonds and School facilities and payments for Fairfax County Redevelopment and Housing Authority (FCRHA) Lease Revenue bonds. Revenues for the debt service funds are derived principally from a transfer from the General Fund. It should be noted that debt service on sewer revenue bonds is reflected in the Enterprise Funds.

The following is a chart illustrating the debt service payments and projected fiscal agent fees required in FY 2012 as well as the sources of funding supporting these costs:

| | | FY 2012 Advertised Budget |
|---|---|---|
| **Expenses** | | |
| **County Debt Service** | | $104,191,995 |
| Lease Revenue Bonds | | 14,470,639 |
| Park Authority (Laurel Hill Golf Course) | | 853,313 |
| Fiscal Agent Fees/Cost of Issuance | | 910,000 |
| | **Subtotal** | **$120,425,947** |
| **School Debt Service** | | $157,441,264 |
| Lease Revenue Bonds (South County High School) | | 5,594,300 |
| School Administration Building | | 3,773,523 |
| Fiscal Agent Fees/Cost of Issuance | | 615,000 |
| | **Subtotal** | **$167,424,087** |
| **Total Expenses** | | **$287,850,034** |
| **Funding** | | |
| General Fund Transfer | | $280,452,139 |
| School Operating Fund Transfer | | 3,773,523 |
| FCRHA Lease Revenue | | 2,392,289 |
| Park Authority (Laurel Hill Golf Course) | | 853,313 |
| Fairfax City Revenue | | 78,770 |
| Bond Proceeds to Offset Cost of Issuance | | 300,000 |
| **Total Funding** | | **$287,850,034** |

### General Obligation Bonds

The debt service payments associated with FY 2011 bond sales have been incorporated into the FY 2012 projections.

**Capital Leases**

Funding is included for the following Capital Leases which were issued by other entities, but are actually supported by the County and paid through County Debt Service subject to annual appropriation by the Board of Supervisors:

| | |
|---|---|
| **Economic Development Authority**: | |
| Herrity and Pennino Buildings | $ 8,084,000 |
| Mott, Gum Springs, Baileys, & James Lee Community Centers, Herndon Harbor Adult Day Care Center, South County Government Center | 3,994,350 |
| South County High School | 5,594,300 |
| Laurel Hill Golf Course | 853,313* |
| School Administration Building | 3,773,523** |
| **Subtotal** | **$22,299,486** |
| | |
| **Fairfax County Redevelopment and Housing Authority**: | |
| Gum Springs Head Start Facility | 176,429 |
| Herndon Senior Center | 924,210 |
| Braddock Glen Senior Center and Southgate Community Center | 1,291,650 |
| **Subtotal** | **$2,392,289** |
| | |
| **Total** | **$24,691,775** |

* Reimbursed by a transfer in from the Park Authority.

**Reimbursed by a transfer in from the School Operating Fund.

**Debt Service Ratios**

The Board of Supervisors has adopted specific debt indicators within the *Ten Principles of Sound Financial Management* to effectively manage the County's bonded indebtedness. The *Ten Principles* state that the County's debt ratios shall be maintained at the following levels:

- Net debt as a percentage of estimated market value should always remain less than 3.0 percent; and
- The ratio of debt service expenditures as a percentage of Combined General Fund disbursements should remain under 10.0 percent.

The Board of Supervisors annually reviews the cash requirements for capital project financing to determine the capacity to incur additional debt for construction of currently funded projects as well as capital projects in the early planning stages. In FY 1992 and FY 1994, bond projects were deferred in order to reduce planned sales and remain within capacity guidelines.

During the adoption of the FY 2008 Adopted Budget Plan, the Ten Principles of Sound Financial Management were revised to allow for the use of variable rate debt. Variable rate obligations are debt obligations that are quite frequently used for short-term or interim debt financing and have an interest rate that is reset periodically, usually for periods of less than one year. Variable rate debt is typically used to take advantage of low short-term rates in anticipation of converting to longer-term fixed rate financing for complex projects or to mitigate the impact of volatile markets. Also, variable rate debt reduces interest costs and typically provides the ability to redeem bonds without a prepayment penalty. It is anticipated that the use of variable rate debt will provide opportunities for interest rate savings, reduce arbitrage payments and promote more accurate sizing for long-term bond issues.

# Consolidated County and Schools
# Debt Service Fund

On November 19, 2007 the Board of Supervisors approved the Master Trust Agreement, Bank Note and related documents associated with acquisition of a $200,000,000 revolving line of credit (LOC) from the Bank of America. On October 19, 2010 the Board of Supervisors approved a renewal of the LOC in the amount of $100,000,000. Any line of credit borrowings will be in conformance with the *FY 2011 Revised Budget Plan* and the FY 2011-FY 2015 Capital Improvement Program, or specific Board of Supervisors action approving such use. Variable rate debt will be used when it is most advantageous to the County in comparison to other financing options. A Variable Rate Debt Committee will carefully review each County department's request for use of the LOC and monitor the usage. The County has developed policies and procedures related to the use of variable rate debt and will monitor LOC usage closely.

As a result of the County financial policies, prudent fiscal management and a strong economy the County has been awarded the strongest credit ratings possible from the three major national rating services. The County holds a Aaa from Moody's Investors Service (awarded 1975), a AAA from Standard and Poor's Ratings Service (awarded 1978), and a AAA from Fitch Ratings (awarded 1997). As of January 19, 2011, Fairfax County is one of only 8 states, 37 counties, and 37 cities to hold a triple-A rating from all three services.

The FY 2012 debt service budget has been prepared on the basis of the construction and bond sale limitations set in place by the Board of Supervisors. The FY 2012 capital program supported by general obligation bonds was reviewed in conjunction with the FY 2012 - FY 2016 Advertised Capital Improvement Program (With Future Years to 2021).


The following are ratios and annual sales reflecting debt indicators for FY 2008 - FY 2012:

**Net Debt as a Percentage of**
**Market Value of Taxable Property**

| Fiscal Year Ending | Net Bonded Indebtedness[1] | Estimated Market Value[2] | Percentage |
|---|---|---|---|
| 2008 | 2,264,295,513 | 241,313,000,000 | 0.94% |
| 2009 | 2,281,335,444 | 242,500,000,000 | 0.94% |
| 2010 | 2,318,699,150 | 218,549,000,000 | 1.06% |
| 2011 (est.) | 2,340,933,998 | 199,455,000,000 | 1.17% |
| 2012 (est.) | 2,434,002,351 | 206,114,000,000 | 1.18% |

[1] The amount includes outstanding General Obligation Bonds and other tax supported debt obligations as of June 30 in the year shown and is from the Fairfax County Department of Management and Budget.

[2] Source: Fairfax County Department of Tax Administration and the Department of Management and Budget.

# Consolidated County and Schools
# Debt Service Fund

## Debt Service Requirements as a Percentage of Combined General Fund Disbursements

| Fiscal Year Ending | Debt Service Requirements[1] | General Fund Disbursements[2] | Percentage |
|---|---|---|---|
| 2008 | 268,725,268 | 3,320,946,120 | 8.1% |
| 2009 | 285,668,863 | 3,352,656,206 | 8.5% |
| 2010 | 288,850,468 | 3,308,948,661 | 8.7% |
| 2011 (est.) | 296,223,346 | 3,308,118,914 | 9.0% |
| 2012 (est.) | 296,987,685 | 3,376,351,675 | 8.8% |

[1] The amount includes total principal and interest payments on the County's outstanding tax supported debt obligations, including general obligation bonds and other tax supported debt obligations budgeted in other funds. Source: Fairfax County Department of Management and Budget.

[2] Source: Fairfax County Department of Tax Administration and the Department of Management and Budget.

## Annual Bond Sales

| Fiscal Year Ending | Sales (millions) | Total for the Five-Year Period Ending FY 2012 |
|---|---|---|
| 2008 | 234.475 | - |
| 2009 | 199.51 | - |
| 2010 | 269.095 | - |
| 2011 (est.)[1] | 171.39 | - |
| 2012 (est.)[1] | 283.56 | 1,158.03 |

[1] Actual County and School bond sale amounts are based on the cash requirements for each project and municipal bond market conditions. Based on Board policy, annual sales will be $275.0 million per year or $1.375 billion over a five-year period with a technical limit of $300.0 million in any given year.

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Disbursement Adjustment** **$274,982**
  An increase in disbursements of $274,982 or 0.09 percent is primarily attributable to scheduled requirements for existing debt service.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$11,862,828**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved funding of $11,862,828 in Operating Expenses to provide funding for a possible fall bond sale. Also, it should be noted that $593,500 was approved as a transfer out to pay off the outstanding mortgage balance for the County's purchase of two residential properties on West Ox Road as approved by the Board of Supervisors on February 9, 2010.

# Consolidated County and Schools Debt Service Fund

## FUND STATEMENT

**Fund Type G20, Debt Service Funds** **Fund 200 and 201, Consolidated Debt Service**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$10,334,630** | **$0** | **$12,456,328** | **$0** |
| Revenue: | | | | |
| Build America Bonds Subsidy | $1,352,474 | $0 | $0 | $0 |
| Miscellaneous Revenue | 29,887 | 0 | 0 | 0 |
| Bond Proceeds | 536,595 | 300,000 | 300,000 | 300,000 |
| Revenue from Fairfax City | 78,770 | 90,000 | 90,000 | 78,770 |
| Total Revenue | $1,997,726 | $390,000 | $390,000 | $378,770 |
| Transfers In: | | | | |
| County Debt Service: | | | | |
| General Fund (001) for County | $106,333,392 | $117,380,449 | $117,380,449 | $116,981,575 |
| FCRHA Lease Revenue Bonds (001) | 4,598,503 | 4,494,041 | 4,494,041 | 2,392,289 |
| Neighborhood Imp.(Fund 314) | 186,553 | 0 | 0 | 0 |
| Park Authority Lease Revenue Bonds (170) | 806,563 | 827,813 | 827,813 | 853,313 |
| Subtotal County Debt Service | $111,925,011 | $122,702,303 | $122,702,303 | $120,227,177 |
| General Fund (001) for Schools | $163,767,929 | $160,709,026 | $160,709,026 | $163,470,564 |
| School Admin Building (090) | 3,775,323 | 3,773,723 | 3,773,723 | 3,773,523 |
| Subtotal Schools Debt Service | $167,543,252 | $164,482,749 | $164,482,749 | $167,244,087 |
| Total Transfers In | $279,468,263 | $287,185,052 | $287,185,052 | $287,471,264 |
| **Total Available** | **$291,800,619** | **$287,575,052** | **$300,031,380** | **$287,850,034** |

# Consolidated County and Schools
# Debt Service Fund

## FUND STATEMENT

**Fund Type G20, Debt Service Funds** **Fund 200 and 201, Consolidated Debt Service**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Expenditures: | | | | |
| General Obligation Bonds: | | | | |
| County Principal | $65,031,486 | $72,422,055 | $72,422,055 | $68,552,621 |
| County Interest | 34,433,767 | 34,105,204 | 34,105,204 | 30,911,374 |
| Debt Service on Projected County Sales | 0 | 0 | 4,747,714 | 4,728,000 |
| Subtotal County Debt Service | $99,465,253 | $106,527,259 | $111,274,973 | $104,191,995 |
| Schools Principal | $98,233,514 | $98,622,945 | $98,622,945 | $94,517,378 |
| Schools Interest | 57,273,534 | 55,951,181 | 55,951,181 | 51,332,886 |
| Debt Service on Projected School Sales | 0 | 0 | 7,115,114 | 11,591,000 |
| Subtotal Schools Debt Service | $155,507,048 | $154,574,126 | $161,689,240 | $157,441,264 |
| Subtotal General Obligation Bonds | $254,972,301 | $261,101,385 | $272,964,213 | $261,633,259 |
| Other Tax Supported Debt Service (County): | | | | |
| EDA Lease Revenue Bonds/COPS | $9,121,989 | $10,153,190 | $10,153,190 | $12,078,350 |
| FCRHA Lease Revenue Bonds | 2,886,202 | 4,494,041 | 4,494,041 | 2,392,289 |
| Park Authority Lease Revenue Bonds | 806,563 | 827,813 | 827,813 | 853,313 |
| Other Tax Supported Debt Service (Schools): | | | | |
| EDA Schools Lease Revenue Bonds | 9,580,823 | 9,473,623 | 9,473,623 | 9,367,823 |
| Subtotal Other Tax Supported Debt Service | $22,395,577 | $24,948,667 | $24,948,667 | $24,691,775 |
| Other Expenses | $1,976,413 | $1,525,000 | $1,525,000 | $1,525,000 |
| Total Expenditures | $279,344,291 | $287,575,052 | $299,437,880 | $287,850,034 |
| Transfers Out: | | | | |
| Fund 303, Capital Projects Funds | $0 | $0 | $593,500 | $0 |
| Total Transfers Out | $0 | $0 | $593,500 | $0 |
| **Total Disbursements** | **$279,344,291** | **$287,575,052** | **$300,031,380** | **$287,850,034** |
| **Ending Balance[1]** | **$12,456,328** | **$0** | **$0** | **$0** |
| **Unreserved Ending Balance** | **$12,456,328** | **$0** | **$0** | **$0** |

[1] The change in ending fund balance is the result of use of fund balance to offset projected debt service requirements.

# COUNTY OF FAIRFAX, VIRGINIA
## SCHEDULE OF GENERAL OBLIGATIONS AND LEASE REVENUE BONDS FOR FY 2012
## COUNTY DEBT SERVICE

| Bond | Original Issue Amount | Issue Date | Category | Principal Outstanding as of 6/30/2011 | Interest Outstanding as of 6/30/2011 | Total Outstanding as of 6/30/2011 | Principal Due FY 2012 | Interest Due FY 2012 | Total Payment Due FY 2012 | Principal Outstanding as of 6/30/2012 | Interest Outstanding as of 6/30/2012 |
|---|---|---|---|---|---|---|---|---|---|---|---|
| Series 2002A | | | | | | | | | | | |
| Refunding | 26,149,000 | 6/1/2002 | Adult Detention | 129,272 | 13,678 | 142,950 | 32,968 | 5,452 | 38,420 | 96,304 | 8,226 |
| | | | Commercial and Redevelopment | 143,194 | 15,151 | 158,345 | 36,519 | 6,039 | 42,558 | 106,675 | 9,112 |
| | | | Human Services | 139,218 | 14,731 | 153,949 | 35,504 | 5,871 | 41,375 | 103,714 | 8,860 |
| | | | Juvenile Detention | 238,654 | 25,253 | 263,907 | 60,864 | 10,065 | 70,929 | 177,790 | 15,188 |
| | | | Library | 326,162 | 34,512 | 360,674 | 83,181 | 13,755 | 96,936 | 242,981 | 20,757 |
| | | | Neighborhood Improvement | 680,168 | 71,969 | 752,137 | 173,463 | 28,685 | 202,148 | 506,705 | 43,284 |
| | | | Parks | 721,933 | 76,388 | 798,321 | 184,115 | 30,446 | 214,561 | 537,818 | 45,942 |
| | | | Public Safety | 747,786 | 79,123 | 826,909 | 190,708 | 31,536 | 222,244 | 557,078 | 47,587 |
| | | | Storm Drainage | 694,089 | 73,442 | 767,531 | 177,014 | 29,272 | 206,286 | 517,075 | 44,170 |
| | | | Transportation | 3,530,112 | 373,523 | 3,903,635 | 900,285 | 148,874 | 1,049,159 | 2,629,827 | 224,649 |
| **2002A Refunding Total** | | | | **7,350,588** | **777,770** | **8,128,358** | **1,874,621** | **309,995** | **2,184,616** | **5,475,967** | **467,775** |
| Series 2003A | | | | | | | | | | | |
| Refunding | 82,407,000 | 6/1/2003 | Adult Detention | 187,000 | 9,350 | 196,350 | 187,000 | 9,350 | 196,350 | - | - |
| | | | Commercial and Redevelopment | 37,000 | 1,850 | 38,850 | 37,000 | 1,850 | 38,850 | - | - |
| | | | Correctional Camp | 80,000 | 4,000 | 84,000 | 80,000 | 4,000 | 84,000 | - | - |
| | | | Human Services | 155,000 | 7,750 | 162,750 | 155,000 | 7,750 | 162,750 | - | - |
| | | | Jail & Work Release Facilities | 2,000 | 100 | 2,100 | 2,000 | 100 | 2,100 | - | - |
| | | | Juvenile Detention | 11,000 | 550 | 11,550 | 11,000 | 550 | 11,550 | - | - |
| | | | Neighborhood Improvement | 482,000 | 24,100 | 506,100 | 482,000 | 24,100 | 506,100 | - | - |
| | | | Parks | 555,000 | 27,750 | 582,750 | 555,000 | 27,750 | 582,750 | - | - |
| | | | Parks - NVRPA | 10,000 | 500 | 10,500 | 10,000 | 500 | 10,500 | - | - |
| | | | Prim/2nd Road | 486,000 | 24,300 | 510,300 | 486,000 | 24,300 | 510,300 | - | - |
| | | | Public Library Facilities | 284,000 | 14,200 | 298,200 | 284,000 | 14,200 | 298,200 | - | - |
| | | | Public Safety | 247,000 | 12,350 | 259,350 | 247,000 | 12,350 | 259,350 | - | - |
| | | | Storm Drainage | 107,000 | 5,350 | 112,350 | 107,000 | 5,350 | 112,350 | - | - |
| | | | Transportation | 1,007,000 | 50,350 | 1,057,350 | 1,007,000 | 50,350 | 1,057,350 | - | - |
| **2003A Refunding Total** | | | | **3,650,000** | **182,500** | **3,832,500** | **3,650,000** | **182,500** | **3,832,500** | **-** | **-** |
| Series 2003B | 66,490,000 | 5/15/2003 | Adult Detention | 800,000 | 244,500 | 1,044,500 | 100,000 | 34,625 | 134,625 | 700,000 | 209,875 |
| | | | Commercial and Redevelopment | 1,320,000 | 403,425 | 1,723,425 | 165,000 | 57,131 | 222,131 | 1,155,000 | 346,294 |
| | | | Juvenile Detention | 50,000 | 12,925 | 62,925 | 10,000 | 2,181 | 12,181 | 40,000 | 10,744 |
| | | | Neighborhood Improvement | 400,000 | 122,250 | 522,250 | 50,000 | 17,313 | 67,313 | 350,000 | 104,938 |
| | | | Parks | 9,215,000 | 2,813,050 | 12,028,050 | 1,155,000 | 398,838 | 1,553,838 | 8,060,000 | 2,414,213 |
| | | | Public Safety | 14,175,000 | 4,328,950 | 18,503,950 | 1,775,000 | 613,513 | 2,388,513 | 12,400,000 | 3,715,438 |
| | | | Storm Drainage | 280,000 | 85,575 | 365,575 | 35,000 | 12,119 | 47,119 | 245,000 | 73,456 |
| | | | Transportation | 320,000 | 97,800 | 417,800 | 40,000 | 13,850 | 53,850 | 280,000 | 83,950 |
| **2003B Total** | | | | **26,560,000** | **8,108,475** | **34,668,475** | **3,330,000** | **1,149,569** | **4,479,569** | **23,230,000** | **6,958,906** |
| Series 2004A | 63,530,000 | 4/14/2004 | Adult Detention | 400,300 | 126,645 | 526,945 | 40,000 | 18,414 | 58,414 | 360,300 | 108,231 |
| | | | Commercial and Redevelopment | 2,137,700 | 677,901 | 2,815,601 | 210,000 | 98,059 | 308,059 | 1,927,700 | 579,842 |
| | | | Juvenile Detention | 461,600 | 146,304 | 607,904 | 45,000 | 21,166 | 66,166 | 416,600 | 125,138 |
| | | | Neighborhood Improvement | 943,100 | 302,451 | 1,245,551 | 90,000 | 43,183 | 133,183 | 853,100 | 259,268 |
| | | | Parks | 15,837,000 | 5,016,230 | 20,853,230 | 1,545,000 | 726,178 | 2,271,178 | 14,292,000 | 4,290,053 |
| | | | Storm Drainage | 2,020,000 | 636,473 | 2,656,473 | 200,000 | 92,744 | 292,744 | 1,820,000 | 543,729 |
| | | | Transportation | 10,789,800 | 3,423,362 | 14,213,162 | 1,050,000 | 494,685 | 1,544,685 | 9,739,800 | 2,928,677 |
| **2004A Total** | | | | **32,589,500** | **10,329,365** | **42,918,865** | **3,180,000** | **1,494,428** | **4,674,428** | **29,409,500** | **8,834,937** |
| Series 2004A | | | | | | | | | | | |
| Refunding | 67,200,000 | 4/14/2004 | Adult Detention | 11,481,100 | 1,715,326 | 13,196,426 | 2,421,400 | 588,305 | 3,009,705 | 9,059,700 | 1,127,021 |
| | | | Commercial and Redevelopment | 343,000 | 50,464 | 393,464 | 73,700 | 17,617 | 91,317 | 269,300 | 32,847 |
| | | | Human Services | 425,600 | 62,747 | 488,347 | 91,200 | 21,852 | 113,052 | 334,400 | 40,895 |
| | | | Jail & Work Release Facilities | 56,900 | 8,866 | 65,766 | 11,400 | 2,896 | 14,296 | 45,500 | 5,970 |
| | | | Juvenile Detention | 1,551,000 | 237,470 | 1,788,470 | 317,100 | 79,178 | 396,278 | 1,233,900 | 158,292 |
| | | | Library | 429,000 | 66,069 | 495,069 | 87,000 | 21,881 | 108,881 | 342,000 | 44,189 |
| | | | Neighborhood Improvement | 948,400 | 137,919 | 1,086,319 | 206,600 | 48,793 | 255,393 | 741,800 | 89,126 |
| | | | Parks | 2,754,100 | 413,184 | 3,167,284 | 577,900 | 141,035 | 718,935 | 2,176,200 | 272,149 |
| | | | Public Safety | 2,938,800 | 446,670 | 3,385,470 | 606,500 | 150,195 | 756,695 | 2,332,300 | 296,476 |
| | | | Storm Drainage | 625,900 | 96,960 | 722,860 | 126,000 | 31,893 | 157,893 | 499,900 | 65,067 |
| | | | Transit | 146,100 | 22,815 | 168,915 | 29,100 | 7,435 | 36,535 | 117,000 | 15,380 |
| | | | Transportation | 5,057,500 | 752,501 | 5,810,001 | 1,072,100 | 259,313 | 1,331,413 | 3,985,400 | 493,188 |
| **2004A Refunding Total** | | | | **26,757,400** | **4,010,991** | **30,768,391** | **5,620,000** | **1,370,391** | **6,990,391** | **21,137,400** | **2,640,600** |
| Series 2004B | 69,120,000 | 10/19/2004 | Commercial and Redevelopment | 2,279,700 | 696,671 | 2,976,371 | 225,000 | 99,541 | 324,541 | 2,054,700 | 597,130 |
| | | | Parks | 7,061,400 | 2,161,837 | 9,223,237 | 695,000 | 308,286 | 1,003,286 | 6,366,400 | 1,853,551 |
| | | | Public Safety | 25,683,700 | 7,848,907 | 33,532,607 | 2,535,000 | 1,121,450 | 3,656,450 | 23,148,700 | 6,727,457 |

## COUNTY OF FAIRFAX, VIRGINIA
## SCHEDULE OF GENERAL OBLIGATIONS AND LEASE REVENUE BONDS FOR FY 2012
## COUNTY DEBT SERVICE

| Bond | Original Issue Amount | Issue Date | Category | Principal Outstanding as of 6/30/2011 | Interest Outstanding as of 6/30/2011 | Total Outstanding as of 6/30/2011 | Principal Due FY 2012 | Interest Due FY 2012 | Total Payment Due FY 2012 | Principal Outstanding as of 6/30/2012 | Interest Outstanding as of 6/30/2012 |
|---|---|---|---|---|---|---|---|---|---|---|---|
| **2004B Total** | | | | **35,024,800** | **10,707,415** | **45,732,215** | **3,455,000** | **1,529,278** | **4,984,278** | **31,569,800** | **9,178,138** |
| Series 2004B | | | | | | | | | | | |
| Refunding | 30,375,000 | 10/19/2004 | Adult Detention | 3,745,000 | 711,825 | 4,456,825 | 495,000 | 173,275 | 668,275 | 3,250,000 | 538,550 |
| | | | Commercial and Redevelopment | 265,000 | 50,075 | 315,075 | 35,000 | 12,275 | 47,275 | 230,000 | 37,800 |
| | | | Human Services | 420,000 | 79,863 | 499,863 | 55,000 | 19,450 | 74,450 | 365,000 | 60,413 |
| | | | Juvenile Detention | 1,010,000 | 191,150 | 1,201,150 | 135,000 | 46,675 | 181,675 | 875,000 | 144,475 |
| | | | Library | 1,310,000 | 248,350 | 1,558,350 | 175,000 | 60,575 | 235,575 | 1,135,000 | 187,775 |
| | | | Neighborhood Improvement | 770,000 | 147,700 | 917,700 | 100,000 | 35,650 | 135,650 | 670,000 | 112,050 |
| | | | Parks | 1,640,000 | 311,900 | 1,951,900 | 215,000 | 75,925 | 290,925 | 1,425,000 | 235,975 |
| | | | Public Safety | 1,905,000 | 362,225 | 2,267,225 | 250,000 | 88,200 | 338,200 | 1,655,000 | 274,025 |
| | | | Transit | 3,165,000 | 601,575 | 3,766,575 | 420,000 | 146,400 | 566,400 | 2,745,000 | 455,175 |
| | | | Transportation | 5,240,000 | 996,275 | 6,236,275 | 690,000 | 242,500 | 932,500 | 4,550,000 | 753,775 |
| **2004B Refunding Total** | | | | **19,470,000** | **3,700,938** | **23,170,938** | **2,570,000** | **900,925** | **3,470,925** | **16,900,000** | **2,800,013** |
| Series 2005A | 85,655,000 | 8/16/2005 | Adult Detention | 3,720,000 | 1,217,063 | 4,937,063 | 290,000 | 163,200 | 453,200 | 3,430,000 | 1,053,863 |
| | | | Human Services | 3,035,000 | 997,831 | 4,032,831 | 235,000 | 133,113 | 368,113 | 2,800,000 | 864,719 |
| | | | Library | 6,395,000 | 2,096,469 | 8,491,469 | 495,000 | 280,613 | 775,613 | 5,900,000 | 1,815,857 |
| | | | Parks | 12,070,000 | 3,952,869 | 16,022,869 | 940,000 | 529,513 | 1,469,513 | 11,130,000 | 3,423,357 |
| | | | Transportation | 30,240,000 | 9,913,375 | 40,153,375 | 2,355,000 | 1,326,475 | 3,681,475 | 27,885,000 | 8,586,900 |
| **2005A Total** | | | | **55,460,000** | **18,177,607** | **73,637,607** | **4,315,000** | **2,432,913** | **6,747,913** | **51,145,000** | **15,744,695** |
| Series 2005A | | | | | | | | | | | |
| Refunding | 117,505,000 | 8/16/2005 | Adult Detention | 3,202,700 | 656,761 | 3,859,461 | 385,000 | 149,048 | 534,048 | 2,817,700 | 507,714 |
| | | | Commercial and Redevelopment | 1,223,700 | 252,136 | 1,475,836 | 145,000 | 56,998 | 201,998 | 1,078,700 | 195,139 |
| | | | Human Services | 1,729,400 | 342,809 | 2,072,209 | 220,000 | 80,708 | 300,708 | 1,509,400 | 262,101 |
| | | | Jail & Work Release Facilities | 188,200 | 36,745 | 224,945 | 25,000 | 8,785 | 33,785 | 163,200 | 27,960 |
| | | | Library | 4,435,000 | 1,024,678 | 5,459,678 | 465,000 | 203,975 | 668,975 | 3,970,000 | 820,703 |
| | | | Neighborhood Improvement | 2,912,800 | 627,936 | 3,540,736 | 335,000 | 134,828 | 469,828 | 2,577,800 | 493,109 |
| | | | Parks | 18,907,700 | 4,229,905 | 23,137,605 | 2,070,000 | 872,635 | 2,942,635 | 16,837,700 | 3,357,270 |
| | | | Public Safety | 22,221,900 | 5,361,369 | 27,583,269 | 2,200,000 | 1,017,883 | 3,217,883 | 20,021,900 | 4,343,486 |
| | | | Transportation | 39,266,700 | 8,940,220 | 48,206,920 | 4,215,000 | 1,809,285 | 6,024,285 | 35,051,700 | 7,130,935 |
| **2005A Refunding Total** | | | | **94,088,100** | **21,472,559** | **115,560,659** | **10,060,000** | **4,334,143** | **14,394,143** | **84,028,100** | **17,138,416** |
| **2007A** | 107,780,000 | 1/18/2007 | Commercial and Redevelopment | 1,600,000 | 586,625 | 2,186,625 | 100,000 | 70,625 | 170,625 | 1,500,000 | 516,000 |
| | | | Library | 10,848,000 | 3,977,318 | 14,825,318 | 678,000 | 478,838 | 1,156,838 | 10,170,000 | 3,498,480 |
| | | | Human Services | 2,000,000 | 733,281 | 2,733,281 | 125,000 | 88,281 | 213,281 | 1,875,000 | 645,000 |
| | | | Parks | 7,752,000 | 2,842,198 | 10,594,198 | 484,500 | 342,178 | 826,678 | 7,267,500 | 2,500,020 |
| | | | Parks - NVRPA | 4,000,000 | 1,466,563 | 5,466,563 | 250,000 | 176,563 | 426,563 | 3,750,000 | 1,290,000 |
| | | | Prim/2nd Road | 4,800,000 | 1,759,875 | 6,559,875 | 300,000 | 211,875 | 511,875 | 4,500,000 | 1,548,000 |
| | | | Public Safety | 38,584,000 | 14,146,462 | 52,730,462 | 2,411,500 | 1,703,122 | 4,114,622 | 36,172,500 | 12,443,340 |
| | | | Public Safety -capital renewal | 1,600,000 | 586,625 | 2,186,625 | 100,000 | 70,625 | 170,625 | 1,500,000 | 516,000 |
| | | | Transit | 8,800,000 | 3,226,438 | 12,026,438 | 550,000 | 388,438 | 938,438 | 8,250,000 | 2,838,000 |
| | | | Transportation | 6,240,000 | 2,287,838 | 8,527,838 | 390,000 | 275,438 | 665,438 | 5,850,000 | 2,012,400 |
| **2007A Total** | | | | **86,224,000** | **31,613,221** | **117,837,221** | **5,389,000** | **3,805,981** | **9,194,981** | **80,835,000** | **27,807,240** |
| **2008A** | 99,155,000 | 1/15/2008 | Parks | 42,519,000 | 17,422,300 | 59,941,300 | 2,502,000 | 1,975,770 | 4,477,770 | 40,017,000 | 15,446,530 |
| | | | Transit | 31,923,000 | 13,081,548 | 45,004,548 | 1,879,000 | 1,483,600 | 3,362,600 | 30,044,000 | 11,597,948 |
| | | | Library | 2,550,000 | 1,045,125 | 3,595,125 | 150,000 | 118,500 | 268,500 | 2,400,000 | 926,625 |
| | | | Public Safety | 3,689,000 | 1,511,947 | 5,200,947 | 217,000 | 171,430 | 388,430 | 3,472,000 | 1,340,517 |
| | | | Transportation | 1,044,000 | 426,792 | 1,470,792 | 62,000 | 48,575 | 110,575 | 982,000 | 378,217 |
| | | | Public Safety -capital renewal | 2,550,000 | 1,045,125 | 3,595,125 | 150,000 | 118,500 | 268,500 | 2,400,000 | 926,625 |
| **2008A Total** | | | | **84,275,000** | **34,532,837** | **118,807,837** | **4,960,000** | **3,916,375** | **8,876,375** | **79,315,000** | **30,616,462** |
| 2009A | 49,000,000 | **1/23/2009** | Library | 4,050,000 | 1,624,782 | 5,674,782 | 225,000 | 174,656 | 399,656 | 3,825,000 | 1,450,125 |
| | | | Human Services | 9,090,000 | 3,646,731 | 12,736,731 | 505,000 | 392,006 | 897,006 | 8,585,000 | 3,254,725 |
| | | | Parks | 13,770,000 | 5,524,256 | 19,294,256 | 765,000 | 593,831 | 1,358,831 | 13,005,000 | 4,930,425 |
| | | | Parks - NVRPA | 3,240,000 | 1,299,825 | 4,539,825 | 180,000 | 139,725 | 319,725 | 3,060,000 | 1,160,100 |
| | | | Prim/2nd Road | 13,050,000 | 5,235,406 | 18,285,406 | 725,000 | 562,781 | 1,287,781 | 12,325,000 | 4,672,625 |
| | | | Public Safety | 900,000 | 361,063 | 1,261,063 | 50,000 | 38,813 | 88,813 | 850,000 | 322,250 |
| **2009A Total** | | | | **44,100,000** | **17,692,063** | **61,792,063** | **2,450,000** | **1,901,813** | **4,351,813** | **41,650,000** | **15,790,250** |

# COUNTY OF FAIRFAX, VIRGINIA
## SCHEDULE OF GENERAL OBLIGATIONS AND LEASE REVENUE BONDS FOR FY 2012
## COUNTY DEBT SERVICE

| Bond | Original Issue Amount | Issue Date | Category | Principal Outstanding as of 6/30/2011 | Interest Outstanding as of 6/30/2011 | Total Outstanding as of 6/30/2011 | Principal Due FY 2012 | Interest Due FY 2012 | Total Payment Due FY 2012 | Principal Outstanding as of 6/30/2012 | Interest Outstanding as of 6/30/2012 |
|---|---|---|---|---|---|---|---|---|---|---|---|
| Series 2009B | | | | | | | | | | | |
| Refunding | 31,883,500 | 1/23/2009 | Adult Detention | 812,400 | 44,421 | 856,821 | 321,600 | 24,372 | 345,972 | 490,800 | 20,049 |
| | | | Commercial and Redevelopment | 10,600 | 579 | 11,179 | 4,200 | 318 | 4,518 | 6,400 | 261 |
| | | | Correctional Camp | 32,900 | 1,800 | 34,700 | 13,000 | 987 | 13,987 | 19,900 | 813 |
| | | | Neighborhood Improvement | 1,244,800 | 68,076 | 1,312,876 | 492,600 | 37,344 | 529,944 | 752,200 | 30,732 |
| | | | Human Services | 937,700 | 51,273 | 988,973 | 371,200 | 28,131 | 399,331 | 566,500 | 23,142 |
| | | | Jail & Work Release Facilities | 64,500 | 3,528 | 68,028 | 25,500 | 1,935 | 27,435 | 39,000 | 1,593 |
| | | | Juvenile Detention | 21,200 | 1,161 | 22,361 | 8,400 | 636 | 9,036 | 12,800 | 525 |
| | | | Library | 1,065,600 | 58,263 | 1,123,863 | 421,900 | 31,968 | 453,868 | 643,700 | 26,295 |
| | | | Parks | 1,851,300 | 101,226 | 1,952,526 | 732,900 | 55,539 | 788,439 | 1,118,400 | 45,687 |
| | | | Prim/2nd Road | 1,160,600 | 63,459 | 1,224,059 | 459,500 | 34,818 | 494,318 | 701,100 | 28,641 |
| | | | Public Safety | 1,572,900 | 86,004 | 1,658,904 | 622,700 | 47,187 | 669,887 | 950,200 | 38,817 |
| | | | Storm Drainage | 499,500 | 27,312 | 526,812 | 197,700 | 14,985 | 212,685 | 301,800 | 12,327 |
| | | | Transit | 199,300 | 10,899 | 210,199 | 78,900 | 5,979 | 84,879 | 120,400 | 4,920 |
| | | | Transportation | 8,098,900 | 442,845 | 8,541,745 | 3,206,100 | 242,967 | 3,449,067 | 4,892,800 | 199,878 |
| **2009B Refunding Total** | | | | **17,572,200** | **960,846** | **18,533,046** | **6,956,200** | **527,166** | **7,483,366** | **10,616,000** | **433,680** |
| Series 2009C | | | | | | | | | | | |
| Refunding | 131,800,000 | 10/28/2009 | Adult Detention | 1,192,600 | 358,110 | 1,550,710 | - | 57,800 | 57,800 | 1,192,600 | 300,310 |
| | | | Commercial and Redevelopment | 2,325,900 | 675,045 | 3,000,945 | - | 113,277 | 113,277 | 2,325,900 | 561,768 |
| | | | Neighborhood Improvement | 520,900 | 133,404 | 654,304 | - | 25,131 | 25,131 | 520,900 | 108,273 |
| | | | Human Services | 507,300 | 178,228 | 685,528 | - | 25,365 | 25,365 | 507,300 | 152,863 |
| | | | Juvenile Detention | 195,700 | 51,456 | 247,156 | - | 9,603 | 9,603 | 195,700 | 41,853 |
| | | | Library | 1,068,500 | 375,393 | 1,443,893 | - | 53,425 | 53,425 | 1,068,500 | 321,968 |
| | | | Parks | 15,083,500 | 4,288,582 | 19,372,082 | - | 733,049 | 733,049 | 15,083,500 | 3,555,533 |
| | | | Prim/2nd Road | 5,077,600 | 1,783,765 | 6,861,365 | - | 253,880 | 253,880 | 5,077,600 | 1,529,885 |
| | | | Public Safety | 18,000,000 | 5,397,646 | 23,397,646 | - | 867,536 | 867,536 | 18,000,000 | 4,530,110 |
| | | | Storm Drainage | 819,100 | 216,073 | 1,035,173 | - | 40,315 | 40,315 | 819,100 | 175,758 |
| | | | Transportation | 3,735,900 | 1,004,991 | 4,740,891 | - | 186,063 | 186,063 | 3,735,900 | 818,928 |
| **2009C Refunding Total** | | | | **48,527,000** | **14,462,689** | **62,989,689** | **-** | **2,365,444** | **2,365,444** | **48,527,000** | **12,097,245** |
| Series 2009D | | | | | | | | | | | |
| Refunding | 66,895,000 | 10/28/2009 | Transportation | 42,967,200 | 4,242,706 | 47,209,906 | 10,742,800 | 1,826,076 | 12,568,876 | 32,224,400 | 2,416,630 |
| **2009D Refunding Total** | | | | **42,967,200** | **4,242,706** | **47,209,906** | **10,742,800** | **1,826,076** | **12,568,876** | **32,224,400** | **2,416,630** |
| Series 2009E | | | | | | | | | | | |
| Refunding | 202,200,000 | 10/28/2009 | Human Services | 11,599,000 | 6,281,583 | 17,880,583 | - | 521,572 | 521,572 | 11,599,000 | 5,760,011 |
| | | | Library | 10,200,000 | 5,523,810 | 15,723,810 | - | 458,660 | 458,660 | 10,200,000 | 5,065,150 |
| | | | Road Bond Construction | 14,100,000 | 7,635,855 | 21,735,855 | - | 634,030 | 634,030 | 14,100,000 | 7,001,825 |
| | | | Parks-NVRPA | 2,700,000 | 1,462,185 | 4,162,185 | - | 121,410 | 121,410 | 2,700,000 | 1,340,775 |
| | | | Parks | 11,500,500 | 6,228,096 | 17,728,596 | - | 517,139 | 517,139 | 11,500,500 | 5,710,957 |
| | | | Public Safety | 13,600,500 | 7,365,351 | 20,965,851 | - | 611,569 | 611,569 | 13,600,500 | 6,753,782 |
| **2009E Refunding Total** | | | | **63,700,000** | **34,496,879** | **98,196,879** | **-** | **2,864,380** | **2,864,380** | **63,700,000** | **31,632,499** |
| **2011A** | | | | | | | | **4,728,000** | **4,728,000** | | |
| **Total County GO Debt** | | | | **688,315,788** | **215,468,860** | **903,784,648** | **68,552,621** | **35,639,374** | **104,191,995** | **619,763,167** | **184,557,486** |
| **Lease Revenue Bonds** | | | | | | | | | | | |
| 2003EDA-Ref | 85,650,000 | 10/1/2003 | EDA Gov't Ctr Properties Refundir | 52,380,000 | 12,288,625 | 64,668,625 | 5,465,000 | 2,619,000 | 8,084,000 | 46,915,000 | 9,669,625 |
| 2003H | 2,530,000 | 6/1/2003 | Gum Springs Glen Head Start | 1,751,498 | 409,764 | 2,161,262 | 116,647 | 59,783 | 176,429 | 1,634,851 | 349,981 |
| 2003LRL | 15,530,000 | 6/1/2003 | Laurel Hill Golf Course [1] | 15,000,000 | 9,557,725 | 24,557,725 | 180,000 | 673,313 | 853,313 | 14,820,000 | 8,884,413 |
| 2005 | 8,105,000 | 6/22/2005 | Herndon Senior Center | 3,240,000 | 286,740 | 3,526,740 | 810,000 | 114,210 | 924,210 | 2,430,000 | 172,530 |
| 2006 | 8,065,000 | 8/8/2006 | Braddock Glen/Southgate | 2,370,000 | 165,900 | 2,535,900 | 1,185,000 | 106,650 | 1,291,650 | 1,185,000 | 59,250 |
| 2010-EDA Ref | 43,390,000 | 3/10/2010 | Six Public Facilities | 40,920,000 | 15,297,087 | 56,217,087 | 2,585,000 | 1,409,350 | 3,994,350 | 38,335,000 | 13,887,737 |
| **Total Lease Revenue Bonds** | | | | **115,661,498** | **38,005,841** | **153,667,339** | **10,341,647** | **4,982,305** | **15,323,952** | **105,319,851** | **33,023,536** |
| **Total County Debt Service Fund 200/201** | | | | **803,977,286** | **253,474,701** | **1,057,451,987** | **78,894,268** | **40,621,680** | **119,515,947** | **725,083,018** | **217,581,022** |

[1] Principal and interest payments will be funded by a transfer in from the Park Authority.

## COUNTY OF FAIRFAX, VIRGINIA
## SCHEDULE OF GENERAL OBLIGATION AND LEASE REVENUE BONDS FOR FY 2012
## SCHOOLS DEBT SERVICE

| Bond | Original Issue Amount | Issue Date | Category | Principal Outstanding as of 6/30/2011 | Interest Outstanding as of 6/30/2011 | Total Outstanding as of 6/30/2011 | Principal Due FY 2012 | Interest Due FY 2012 | Total Payment Due FY 2012 | Principal Outstanding as of 6/30/2012 | Interest Outstanding as of 6/30/2012 |
|---|---|---|---|---|---|---|---|---|---|---|---|
| **G.O. Bonds** | | | | | | | | | | | |
| 2002A Refunding | 34,786,000 | 6/1/2002 | Schools | 12,529,412 | 1,325,743 | 13,855,155 | 3,195,378 | 528,399 | 3,723,777 | 9,334,034 | 797,343 |
| 2003A Refunding | 88,758,000 | 6/1/2003 | Schools | 3,935,000 | 196,750 | 4,131,750 | 3,935,000 | 196,750 | 4,131,750 | - | - |
| 2003B | 128,680,000 | 5/15/2003 | Schools | 51,460,000 | 15,724,250 | 67,184,250 | 6,435,000 | 2,227,238 | 8,662,238 | 45,025,000 | 13,497,013 |
| 2004A | 120,215,000 | 4/14/2004 | Schools | 61,630,500 | 19,531,270 | 81,161,770 | 6,010,000 | 2,825,916 | 8,835,916 | 55,620,500 | 16,705,354 |
| 2004A Refunding | 78,165,000 | 4/14/2004 | Schools | 30,927,600 | 4,614,484 | 35,542,084 | 6,535,000 | 1,585,084 | 8,120,084 | 24,392,600 | 3,029,400 |
| 2004B | 116,280,000 | 10/19/2004 | Schools | 58,895,200 | 17,994,406 | 76,889,606 | 5,815,000 | 2,571,635 | 8,386,635 | 53,080,200 | 15,422,771 |
| 2004B Refunding | 96,035,000 | 10/19/2004 | Schools | 61,535,000 | 11,697,125 | 73,232,125 | 8,130,000 | 2,847,200 | 10,977,200 | 53,405,000 | 8,849,925 |
| 2005A | 104,685,000 | 8/16/2005 | Schools | 67,785,000 | 22,224,381 | 90,009,381 | 5,270,000 | 2,973,588 | 8,243,588 | 62,515,000 | 19,250,794 |
| 2005A Refunding | 235,740,000 | 8/16/2005 | Schools | 190,151,900 | 43,681,723 | 233,833,623 | 20,140,000 | 8,752,845 | 28,892,845 | 170,011,900 | 34,928,878 |
| 2007A | 126,820,000 | 2/7/2007 | Schools | 101,456,000 | 37,197,891 | 138,653,891 | 6,341,000 | 4,478,331 | 10,819,331 | 95,115,000 | 32,719,560 |
| 2008A | 135,320,000 | 1/15/2008 | Schools | 115,010,000 | 47,135,363 | 162,145,363 | 6,770,000 | 5,344,575 | 12,114,575 | 108,240,000 | 41,790,788 |
| 2009A | 150,510,000 | 1/23/2009 | Schools | 135,450,000 | 54,339,907 | 189,789,907 | 7,525,000 | 5,841,281 | 13,366,281 | 127,925,000 | 48,498,625 |
| 2009B | 26,486,500 | 1/23/2009 | Schools | 14,597,800 | 798,204 | 15,396,004 | 5,778,800 | 437,934 | 6,216,734 | 8,819,000 | 360,270 |
| 2009C | 83,273,000 | 10/28/2009 | Schools | 83,273,000 | 24,304,461 | 107,577,461 | - | 4,045,956 | 4,045,956 | 83,273,000 | 20,258,505 |
| 2009D | 13,185,000 | 10/28/2009 | Schools | 10,547,800 | 1,041,519 | 11,589,319 | 2,637,200 | 448,274 | 3,085,474 | 7,910,600 | 593,245 |
| 2009E | 138,499,500 | 10/28/2009 | Schools | 138,500,000 | 75,004,531 | 213,504,531 | - | 6,227,880 | 6,227,880 | 138,500,000 | 68,776,651 |
| 2011A | 173,000,000 | | Schools | | | | | 11,591,000 | 11,591,000 | | |
| **G.O Bond Total** | | | | **1,137,684,212** | **376,812,007** | **1,514,496,219** | **94,517,378** | **62,923,886** | **157,441,264** | **1,043,166,834** | **325,479,121** |
| **Revenue Bonds** | | | | | | | | | | | |
| EDA 2003 | 55,300,000 | 6/1/2003 | South County High School [1] | 42,190,000 | 13,676,550 | 55,866,550 | 3,520,000 | 2,074,300 | 5,594,300 | 38,670,000 | 11,602,250 |
| EDA 2005 | 60,690,000 | 1/27/2005 | School Admin. Building [2] | 54,145,000 | 36,468,531 | 90,613,531 | 1,305,000 | 2,468,523 | 3,773,523 | 52,840,000 | 34,000,009 |
| **Revenue Bond Total** | | | | **96,335,000** | **50,145,081** | **146,480,081** | **4,825,000** | **4,542,823** | **9,367,823** | **91,510,000** | **45,602,259** |
| **Total Schools Debt Service** | | | | **1,234,019,212** | **426,957,088** | **1,660,976,300** | **99,342,378** | **67,466,709** | **166,809,087** | **1,134,676,834** | **371,081,379** |
| **Total County Debt Service** | | | | **803,977,286** | **253,474,701** | **1,057,451,987** | **78,894,268** | **40,621,680** | **119,515,947** | **725,083,018** | **217,581,022** |
| **Grand Total Debt Current Service Fund 200/201** | | | | **2,037,996,498** | **680,431,789** | **2,718,428,287** | **178,236,646** | **108,088,389** | **286,325,034** | **1,859,759,852** | **588,662,401** |
| **Other County Debt Service** | | | | | | | | | | | |
| Salona 2005 | 12,900,000 | 12/27/2005 | Parks[3] | **9,352,500** | **2,886,246** | **12,238,746** | **645,000** | **368,489** | **1,013,489** | **8,707,500** | **2,517,758** |
| FCRHA BAN 2008A | 37,615,000 | 2/11/2008 | Housing - Crescent[4] | **30,215,000** | **2,295,700** | **32,510,700** | **2,700,000** | **1,195,100** | **3,895,100** | **27,515,000** | **1,100,600** |
| FCRHA Series 2009 | 94,950,000 | 8/20/2009 | Housing - Wedgewood[4] | **93,160,000** | **73,646,568** | **166,806,568** | **1,830,000** | **3,924,063** | **5,754,063** | **91,330,000** | **69,722,506** |
| **Grand Total Debt Service All Funds** | | | | **2,170,723,998** | **759,260,303** | **2,929,984,301** | **183,411,646** | **113,576,040** | **296,987,685** | **1,987,312,352** | **662,003,264** |

[1] Principal and interest will be paid by County Debt Service.

[2] Principal and interest will be paid from a transfer in from the FCPS Operating Fund in connection with a capital lease.

[3] Payments for Salona debt are budgeted in Fund 303, County Construction.

[4] Payments for Crescent and Wedgewood debts are budgeted in Fund 319, The Penny for Affordable Housing.

# Capital Project Funds

## Overview

The Fairfax County Capital Construction Program (other than sanitary sewer construction and resource recovery projects) is primarily financed through transfers from the General Fund and the sale of General Obligation bonds. Supplementing the General Fund and General Obligation bond monies are additional funding sources including Federal and State grants, contributions, and other miscellaneous revenues.

The following pages provide a narrative description of all capital funds, including Capital Construction Contribution Funds. These narratives include a description of each fund, a Fund Statement, and a Summary of Capital Projects.

## Capital Project Funds

- **Fund 301 – Contributed Roadway Improvement Fund**
- **Fund 302 – Library Construction**
- **Fund 303 – County Construction**
- **Fund 304 – Transportation Improvements**
- **Fund 307 – Pedestrian Walkway Improvements**
- **Fund 311 – County Bond Construction**
- **Fund 312 – Public Safety Construction**
- **Fund 314 – Neighborhood Improvement Program**
- **Fund 315 – Commercial Revitalization Program**
- **Fund 316 – Pro Rata Share Drainage Construction**
- **Fund 317 – Capital Renewal Construction**
- **Fund 318 – Stormwater Management Program**
- **Fund 370 – Park Authority Bond Construction**
- **Fund 390 – Public School Construction**

## Capital Contribution Funds

Fairfax County contributes to the Northern Virginia Regional Park Authority Capital Construction Program for maintenance and major renovation projects associated with 21 regional parks. The County also contributes to the Washington Metropolitan Area Transit Authority (WMATA) to support the 106-mile Metrorail System, as well as to maintain and/or acquire facilities, equipment, railcars and buses.

- **Fund 306 – Northern Virginia Regional Park Authority**
- **Fund 309 – Metro Operations and Construction**

# Fund 301
# Contributed Roadway Improvement Fund

## Focus

This fund was created specifically to account for proffered developer contributions received for roadway improvements throughout the County. Developer contributions are based on the developer rate schedule for road improvements in the Fairfax Center, Centreville, and Tysons Corner areas. This schedule is revised periodically by the Board of Supervisors based on the Consumer Price Index.

This fund is also used to provide matching funds to the state for projects identified by the Board of Supervisors in its consideration of the Virginia Department of Transportation (VDOT) Secondary Improvement Budget. Section 33.1-23.05B of the Code of Virginia enables the use of County funds for improvements to the secondary road system, and the Commonwealth Transportation Board has adopted a policy of providing a match of up to $1 million, through its Revenue Sharing Program, for roadway projects designated by a locality for improvement, construction or reconstruction.

In FY 2012, $110,000 in proffer revenue will be transferred to Fund 309, Metro Operations and Construction to provide the same level of annual support for shuttle bus service in the area of the Franconia/Springfield Metrorail Station. Interest income will be reflected and appropriated at year end.

No project funding is included in Fund 301, Contributed Roadway Improvement Fund, for FY 2012. Project funding will be appropriated at the fiscal year-end, consistent with the level of developer proffer revenue received during the fiscal year. This approach to Fund 301 project budgeting recognizes that significant fluctuations can occur from year to year in the pace of development with a resulting impact on proffer contributions. In FY 2012, work will continue on existing and previously funded projects using project balances. It is noted that proffer contributions are typically accumulated over a number of years until a sufficient level of revenue support is achieved for a major improvement. In addition, project expenditures cannot begin until the terms of the proffer contribution are met.

A separate project exists for each area for which contributions are received. These projects are described below. As specific roadway improvement projects are identified that conform to the appropriate funding parameters within each of these areas, funding is dedicated to complete the improvements.

**Fairfax Center (Route 50/I-66) Area** – Commitments from developers in the Fairfax Center area are included in individual proffer agreements from zoning cases, and rates of contributions vary by case. The last time the developer rate was adjusted was in November 2010, at which time the rate schedule for road improvements in the Fairfax Center area increased from $5.25 to $5.32 per square foot of non-residential building structure and from $1,164 to $1,179 per residential dwelling unit. Ten percent of the developer's contribution is paid to the County at the time of the site plan approval. The balance of the amount due is paid as building permits are issued. As negotiated in individual proffer agreements, in-kind contributions of an equivalent value for road improvements can also be made in lieu of cash payments.

**Centreville Area** - Commitments from developers in the Centreville area are included in individual proffer agreements from zoning cases, and rates of contributions vary by case. The last time the developer rate was adjusted was in November 2010, at which time the rate schedule for road improvements in the Centreville area increased from $5.64 to $5.71 per square foot of non-residential building structure and from $2,230 to $2,258 per residential dwelling unit.

**Miscellaneous Contributions** – This project was created to serve as a source of funding for contributions received for countywide roadway improvements. Funds are dedicated for specific improvements when required. Many different projects throughout the County are supported by this project within the following major categories: primary and secondary road improvements, bridge design and construction, intersection/interchange improvements, signal improvements and transit improvements.

# Fund 301
# Contributed Roadway Improvement Fund

**Tysons Corner Reserve** - This project accounts for private sector contributions received for the Tysons Corner area. Improvements supported by this project include Dolley Madison Boulevard improvements and corridor/pedestrian improvements throughout the Tysons Corner area. The last time the developer rate was adjusted was in November 2010, at which time the rate schedule for road improvements in the Tysons area increased from $3.87 to $3.92 per square foot of non-residential building structure and from $859 to $869 per residential dwelling unit.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $41,442,472 due to the carryover of unexpended balances in the amount of $42,608,619 and a net decrease of $1,166,147. This decrease was based on lower than anticipated proffers received in the amount of $676,098 and lower than anticipated interest earnings of $492,999, offset by an increase of $2,950 for the appropriation of miscellaneous revenue received in FY 2010. It is noted that proffer receipts may vary from budget estimates based on actual levels of development.

A Fund Statement and Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 301
# Contributed Roadway Improvement Fund

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** **Fund 301, Contributed Roadway Improvement Fund**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$40,503,990** | **$0** | **$40,305,579** | **$0** |
| Revenue: | | | | |
| VDOT Revenue[1] | $13,529 | $0 | $744,584 | $0 |
| Federal Transportation Administration[2] | 0 | 0 | 392,309 | 0 |
| Fairfax Center Developer Contributions | 804,776 | 0 | 0 | 0 |
| Centreville Developer Contributions | 13,529 | 0 | 0 | 0 |
| Miscellaneous Developer Contributions | 1,305,597 | 0 | 0 | 110,000 |
| Tysons Corner Reserve Contributions | 0 | 0 | 0 | 0 |
| Pooled Interest[3] | 272,997 | 110,000 | 110,000 | 0 |
| Miscellaneous | 2,950 | 0 | 0 | 0 |
| Total Revenue | $2,413,378 | $110,000 | $1,246,893 | $110,000 |
| **Total Available** | **$42,917,368** | **$110,000** | **$41,552,472** | **$110,000** |
| Total Expenditures | $2,501,789 | $0 | $41,442,472 | $0 |
| Transfers Out: | | | | |
| Metro Operations and Construction (309)[4] | $110,000 | $110,000 | $110,000 | $110,000 |
| Total Transfers Out | $110,000 | $110,000 | $110,000 | $110,000 |
| **Total Disbursements** | **$2,611,789** | **$110,000** | **$41,552,472** | **$110,000** |
| **Ending Balance [5, 6]** | **$40,305,579** | **$0** | **$0** | **$0** |

[1] Represents Virginia Department of Transportation (VDOT) Revenue associated with Project 008803, Route 29 Widening.

[2] Represents Federal Transportation Administration revenue associated with Project 009914, Job Access/Reverse Commute Pedestrian Projects in the Tysons Corner Area.

[3] Pooled interest is earned on the contributions as well as the accumulated fund balance in this fund.

[4] Represents funds to be transferred to Fund 309, Metro Operations and Construction, to support Metro shuttle bus service in the Franconia/Springfield area.

[5] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

[6] The $40.3 million FY 2010 ending balance will meet capital project requirements in FY 2011 and future years. It is noted that proffered contributions cannot be expended until the terms of the proffer are met and until multiple contributions can be aggregated to meet total estimated costs of a project. As a result, a proffered contribution may be held in balance for several years, earning interest.

# Fund 301
# Contributed Roadway Improvement Fund

### FY 2012 Summary of Capital Projects

**Fund: 301 Contributed Roadway Improvement Fund**

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 007700 | Fairfax Center Reserve | | $1,107,821.23 | $4,604,519.71 | $0 |
| 007701 | Route 50/Waples Mill Interchange | 4,132,878 | 33,378.91 | 92.55 | 0 |
| 007702 | Tall Timbers Drive | 1,450,000 | 22,575.23 | 74,464.97 | 0 |
| 008800 | Centreville Reserve | | 0.00 | 604,273.32 | 0 |
| 008801 | Stone Road | 1,994,990 | 277.65 | 0.00 | 0 |
| 008802 | Clifton Road | 5,036,640 | 0.00 | 0.00 | 0 |
| 008803 | Route 29 Widening | 1,455,771 | 44,239.52 | 563,686.00 | 0 |
| 008804 | Poplar Tree Road | 550,000 | 27,100.80 | 45,140.47 | 0 |
| 009900 | Miscellaneous Contributions | | 824,782.47 | 16,279,330.07 | 0 |
| 009901 | Primary Improvements | 424,584 | 0.00 | 424,584.00 | 0 |
| 009902 | Secondary Improvements | 1,033,765 | 0.00 | 36,297.00 | 0 |
| 009903 | Bridge Design/Construction | 8,369 | 0.00 | 8,369.00 | 0 |
| 009904 | Intersection/Interchange | 385,282 | 0.00 | 311,975.00 | 0 |
| 009906 | Signal Installations | 581,707 | (17,068.98) | 65,204.36 | 0 |
| 009908 | Transit Improvements | 32,325 | 0.00 | 5,381.59 | 0 |
| 009911 | Tysons Corner Reserve | | 416,653.64 | 13,049,539.55 | 0 |
| 009913 | Dolley Madison Blvd | 8,945,941 | 3,642.34 | 1,342,279.61 | 0 |
| 009914 | Job Access/Reserve Commute Pedestrian Improvements | 997,800 | 38,386.21 | 677,334.71 | 0 |
| 009915 | Tysons Corner Grid Concept | 2,500,000 | 0.00 | 2,500,000.00 | 0 |
| 009916 | Tysons Circulator Feasibility Study | 500,000 | 0.00 | 500,000.00 | 0 |
| 009917 | Tysons Metrorail Access Management | 350,000 | 0.00 | 350,000.00 | 0 |
| **Total** | | **$30,380,053** | **$2,501,789.02** | **$41,442,471.91** | **$0** |

# Fund 302
# Library Construction

## Focus
This fund supports the construction and renovation of a network of facilities operated by the Fairfax County Public Library that offer library services according to the needs of the community. Approved library construction projects have been primarily financed with General Obligation Bonds and are based on factors such as age and condition of buildings, long-range space needs, projected population growth, usage and demand for services in underserved areas of the County. New library facilities are designed to utilize new information resources delivery, and existing facilities from the early 1960s are being redesigned and renovated to maximize space, as well as accommodate modern technology.

In the fall of 2004, the voters approved a Public Library Bond Referendum totaling $52.5 million for library projects. Funding provided for two new libraries, four renovation projects and prioritized capital renewal of libraries throughout the County. In order to ensure adequate facilities and address demands for services, the Burke Centre and Oakton libraries were constructed. The selection of libraries for renovation was based on the age, condition and usage at each facility. Four of the oldest libraries were included on the bond referendum for renovation and expansion. These libraries were between 30- and 40-years-old, could not readily be adapted to the requirements of modern technology, needed quiet study space and were recommended based on level of usage. Renovation and expansion construction of the Richard Byrd Community, Martha Washington Community, and Thomas Jefferson Community libraries were completed in summer 2010. Renovation and expansion of the Dolley Madison Community Library is underway and will be completed by December 2011. Design work on the renovation and expansion of the Woodrow Wilson Library will begin in late FY 2011. Feasibility studies for library renewals will occur for Pohick, Tysons Pimmit, and John Marshall libraries during calendar year 2011.

No funding is included in Fund 302, Library Construction for FY 2012. Work will continue on existing and previously funded projects.

## Changes to <u>FY 2011 Adopted Budget Plan</u>
*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the <u>FY 2011 Adopted Budget Plan</u>. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $18,699,086 due to the carryover of unexpended project balances in the amount of $18,703,920 offset by a net reduction of $4,834. This adjustment is associated with a decrease of $8,348 in revenues originally estimated to be received from Fairfax City as part of the Project Development Agreement to construct the new Fairfax City Library. This library has been complete for several years and based on actual expenditures, no more revenue is expected. This decrease is partially offset by an increase in the amount of $3,514 to appropriate miscellaneous revenues received in FY 2010.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 302
# Library Construction

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** | **Fund 302, Library Construction**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$9,361,395** | **$0** | **$7,319,086** | **$0** |
| Revenue: | | | | |
| Sale of Bonds[1] | $10,200,000 | $0 | $11,380,000 | $0 |
| Miscellaneous | 3,514 | 0 | 0 | 0 |
| Total Revenue | $10,203,514 | $0 | $11,380,000 | $0 |
| **Total Available** | **$19,564,909** | **$0** | **$18,699,086** | **$0** |
| Total Expenditures | $12,245,823 | $0 | $18,699,086 | $0 |
| **Total Disbursements** | **$12,245,823** | **$0** | **$18,699,086** | **$0** |
| **Ending Balance[2]** | **$7,319,086** | **$0** | **$0** | **$0** |

[1] The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. The fall 2004 Public Library Facilities bond referendum approved by voters on November 2, 2004 included $52.5 million to provide new library facilities, as well as renovate existing libraries. Capital renewal bonds in the amount of $2.5 million were expended in Fund 317, Capital Renewal Construction. The FY 2010 Actuals reflect an amount of $10.2 million sold in October 2009. Including prior sales, a balance of $11.38 million remains in authorized but unissued bonds for this fund.

[2] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 302
# Library Construction

## FY 2012 Summary of Capital Projects

**Fund: 302 Library Construction**

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 004822 | Library Contingency | | $0.00 | $768,401.73 | $0 |
| 004838 | Burke Centre Library | 10,447,254 | 56,237.30 | 289,855.40 | 0 |
| 004839 | Oakton Community Library | 6,475,000 | 529.00 | 374,260.57 | 0 |
| 004840 | Kingstowne Reg. Library | 3,799,302 | 2,714.80 | 173,567.99 | 0 |
| 004842 | Thomas Jefferson Community Library | 8,056,000 | 3,527,987.04 | 1,450,662.72 | 0 |
| 004843 | Richard Byrd Comm. Library | 8,360,081 | 4,393,614.62 | 1,210,952.91 | 0 |
| 004844 | Dolley Madison Comm. Library | 10,970,453 | 569,966.15 | 9,136,435.61 | 0 |
| 004845 | Martha Washington Comm. Library | 8,707,149 | 3,694,773.89 | 3,248,023.67 | 0 |
| 004848 | Woodrow Wilson Community Library | 1,000,000 | 0.00 | 1,000,000.00 | 0 |
| 004850 | Feasibility Studies | | 0.00 | 1,046,925.14 | 0 |
| **Total** | | **$57,815,239** | **$12,245,822.80** | **$18,699,085.74** | **$0** |

# Fund 303
# County Construction

## Focus

This fund provides for critical park maintenance and repairs, as well as athletic field maintenance on both Park Authority and Fairfax County Public School (FCPS) fields. Funding is also provided for on-going initiatives such as development and management of the County's Laurel Hill property, environmental initiatives to support the Board of Supervisors 20-year Vision Plan and revitalization initiatives. In addition, this fund supports payments and obligations such as lease-purchase agreements, the acquisition of properties, construction and renovation projects associated with County facilities, and the County's annual contributions to the School-Age Child Care (SACC) Center Program and the Northern Virginia Community College.

Funding in the amount of $16,723,869 is included in Fund 303, County Construction, in FY 2012. Funding includes an amount of $14,919,369 supported by a General Fund Transfer, $404,500 supported by a transfer from Fund 105, Cable Communications, $300,000 supported by revenue bonds, and $1,100,000 supported by the Athletic Services Fee. It should be noted that funding has been limited to the most critical priority projects. A summary of those projects funded in FY 2012 follows:

**Park Maintenance Projects**

FY 2012 funding in the amount of $2,482,076 has been included for Park maintenance of both facilities and grounds. The Park facilities maintained with General Fund monies include but are not limited to: rental properties, historic properties, nature centers, maintenance facilities, sheds, shelters, and office buildings. Park priorities are based on the assessment of current repair needs including safety and health issues, Americans with Disability Act (ADA) retrofits, facility protection, facility renewal and improved services. In addition, Park maintenance requirements are generated through scheduled preventative maintenance or from user requests for facility alterations. Without significant reinvestment in building and grounds, older facilities can fall into a state of ever decreasing condition and functionality, resulting in increased maintenance and repair costs in the future. Preventative and repair work is required for roof replacement and repair, HVAC, electrical and lighting systems, fire alarm systems and security systems. Funding is essential to the maintenance and repair of building stabilization, including capital renewal of over 537,000 square feet of buildings. Maintenance is also required on over 580 pieces of grounds equipment.

Specific funding levels in FY 2012 include:

- ♦ An amount of $425,000 for general park maintenance at non-revenue supported Park facilities. These maintenance requirements include major non-recurring repairs and stabilization of new properties, as well as repairs/replacements and improvements to roofs, electrical and lighting systems, sprinklers, HVAC systems, and the replacement of security and fire alarm systems. In FY 2012, funding is included to: stabilize and protect the Silo at Turner Farm ($150,000); replace aged security systems at various sites throughout the County ($75,000); repair and replace roofs at prioritized picnic shelters, nature centers and maintenance shops ($100,000); and stabilize and repair the roof at the Grist mill barn ($100,000).

- ♦ An amount of $987,076 to fund annual requirements for Parks grounds maintenance at non-revenue supported parks. At present, responsibilities include the care for a total park acreage of over 24,000 acres of land, with 417 park site locations, maintenance and repair of tennis courts, basketball courts, trails, picnic areas and picnic shelters, playgrounds, bridges, parking lots and roadways, and stormwater ponds.

- ♦ An amount of $470,000 to provide corrective and preventive maintenance for over 537,000 square feet at non-revenue supported Park Authority structures and buildings. These repairs include the replacement of broken windows and doors, equipment repairs and the scheduled inspection and maintenance of HVAC, plumbing, electrical, security and fire alarm systems. This funding is critical in order to prevent the costly deterioration of facilities due to lack of maintenance.

- An amount of $600,000 to address requirements associated with ADA compliance at Park facilities. FY 2012 funding will provide for annual requirements estimated at $300,000 for continued retrofits at the Lake Fairfax Park camp office and bath house. In addition, an amount of $300,000 has been included to begin to address Department of Justice (DOJ) audit findings. In May and June 2007, the United States Department of Justice conducted an audit of the County government facilities and programs to determine compliance with the Americans with Disabilities Act (ADA) which requires accessibility to facilities and programs for individuals with disabilities. DOJ has been conducting audits of various governments and private facilities across the country for the past decade. The audit of Fairfax County was part of this national audit program, and was not a result of any specific complaints in the County. The DOJ presented the County with the audit results in August 2009. The audit covered 78 buildings in the County and listed approximately 2,100 violations as well as approximately ten program areas which needed improvement in order comply with the ADA. These violations ranged from updating emergency management procedures, web-based services, and general communication procedures, to improving access to buildings, parking garages, restrooms and elevators. Identified violations have been categorized by color: easy, inexpensive (green); more timely and costly (yellow); and difficult, time consuming, and/or expensive (red). FY 2012 funding will provide for the mitigation of violations categorized as "green" or "yellow" within Park Authority facilities and programs. Park Authority violations categorized as "red" are estimated to require an additional $4 million to mitigate. This funding will be required in future years. It should be noted that funding for violations associated with County owned buildings and facilities has also been included in FY 2012 and is detailed below.

### Athletic Field Maintenance and Sports Projects

FY 2012 funding in the amount of $5,747,535 has been included for the athletic field maintenance and sports program. This level of funding is supported by a General Fund transfer of $4,647,535 and revenue generated from the Athletic Services Fee in the amount of $1,100,000. Of the Athletic Services Fee total, $250,000 will be dedicated to maintenance of school athletic fields, $350,000 will be dedicated to the synthetic turf field development, $150,000 will be dedicated to a new turf field replacement program, $275,000 will be dedicated to custodial support for indoor sports organizations and $75,000 will partially fund the Youth Sports Scholarship Program.

Specific funding levels in FY 2012 include:

- Two projects support maintenance efforts at Fairfax County Public School (FCPS) fields, totaling $1,722,535. An amount of $722,535 supports general maintenance including mowing at 505 athletic fields (approximately 176 school sites). This effort is supported entirely by the General Fund and is managed by the Park Authority. An additional amount of $1,000,000 is also dedicated to maintenance of school athletic fields to supplement general maintenance and directly applies revenue generated by the Athletic Services Fee to the athletic field maintenance program. This program provides twice weekly infield preparation on elementary, middle and high school game fields (110 fields); pre- or post-season infield renovations (200 fields); mowing and turf management on high school fields after June 1st (55 fields); and annual maintenance of irrigation systems (65 fields). All field maintenance is coordinated between the Park Authority and the Department of Neighborhood and Community Services. Of the total funding, an amount of $250,000 is included for this program based on the FY 2012 projection of revenue generated from the Athletic Services Fee and $1,472,535 is supported by the General Fund.

- An amount of $350,000 is included to support the development of synthetic turf fields. Fields are chosen through a review process based on the need in the community, projected community use and the field location and amenities. Synthetic turf fields improve the capacity, safety, playability, and availability of existing athletic fields. Artificial fields offer a cost effective way of increasing capacity on fields at existing parks and schools. This effort is coordinated between the Park Authority and the Department of Neighborhood and Community Services and funding is provided from revenue generated from the Athletic Services Fee. In addition, on November 7, 2006, the voters approved a $25 million Park Bond Referendum of which $10 million was earmarked to fund the conversion of up to 12 fields from natural turf to synthetic turf. Funding of $500,000 had been dedicated to this program annually; however, in FY 2012 athletic services fee revenue funding of $150,000 has been redirected in order to establish a turf field replacement program.

- An amount of $500,000 is included to establish a new turf field replacement program. Funding of $150,000 is supported by the athletic services fee revenue and $350,000 is supported by the General Fund. There are currently 29 operational turf fields throughout the County. The oldest field was built in September 2003 and is over 8 years old. Generally the useful life of a turf fields is 8 to 10 years, with replacement costs estimated at approximately $400,000 per field. Turf fields have proven to be much easier to maintain and are superior to grass surfaces in terms of playability and safety. There are over 100,000 youth and adults that participate annually on rectangular fields that benefit from turf fields. If turf fields are not replaced when needed, they would need to be closed due to safety reasons. In FY 2012, the replacement program has been initiated at the $500,000 level; however, based on the age and number of turf fields, a contribution of approximately $1.0 million annually would be required to fully fund the replacement program. The FY 2012 level will allow the County to begin to plan for the gradual replacement of turf fields as they reach the end of their useful life, without a significant disruption in service.

- An amount of $275,000 is included for custodial support for indoor gyms used by sports organizations. The use of FCPS indoor facilities on the weekend requires FCPS to schedule a school system employee to open and close the facility. Revenue generated from the Athletic Services Fee is used to provide payment for FCPS staff, eliminating the need for indoor sports organizations to pay the hourly rate previously charged. This project is entirely supported by revenue generated from the Athletic Services Fee and is managed by the Department of Neighborhood and Community Services.

- An amount of $2,500,000 is included for athletic field maintenance efforts, athletic field lighting and irrigation on 287 Park Authority athletic fields of which 99 are lighted and 132 are irrigated. The fields are used by 174,000 users and 200 user groups. This effort is supported entirely by the General Fund and is managed by the Park Authority.

- An amount of $200,000 is included to continue the replacement and upgrading of Fairfax County Public Schools (FCPS) athletic field lighting systems at middle and high schools used by many County organizations. Prior to FY 2010, two separate projects existed to fund FCPS athletic field lighting; one for boys' athletic fields and one for girls' softball fields. The Department of Neighborhood and Community Services combined the two field lighting projects to allow for an improved prioritization and implementation process for field lighting projects throughout the County. Funding supports a replacement and repair schedule, as well as improvements to bring existing lighting systems up to new standards. The school system's Office of Design and Construction Services ensures lighting standards are maintained and FCPS annually prioritizes funding for field lighting. FY 2012 funding supports replacement and repair projects for existing lighting systems only. This project is supported entirely by the General Fund and coordinated by Department of Neighborhood and Community Services.

- An amount of $50,000 is included for routine maintenance of girls' softball field amenities on select Fairfax County Public School sites. These amenities, such as dugouts, fencing and irrigation systems, were added or constructed by the County based on recommendations from the citizen-led Action Plan Review Team (APRT) in order to reduce disparities in the quality of fields assigned to boys' baseball and girls' softball organizations. Routine maintenance is necessary both to maintain equity and to ensure safety. For five years, funding of $200,000 was provided to support Girls' Fast Pitch Field Maintenance improvements to various girls' softball fields throughout the County as requested by the Fairfax Athletic Inequities Reform (FAIR). Funding for the Girls' Fast Pitch Maintenance project ended in FY 2004. FY 2012 funding will provide maintenance to the improvements and amenities previously made to girls' softball fields. This project is supported entirely by the General Fund and coordinated by Department of Neighborhood and Community Services.

- An amount of $150,000 is included for the Youth Sports Scholarship Program. The Youth Sports Scholarship Program provides support to youth from low-income families who want to participate in community-based sports programs. In FY 2010, youth sports scholarship recipients totaled 2,894. Of the total funding, an amount of $75,000 is included for this program based on the FY 2012 projection of revenue generated from the Athletic Services Fee, and $75,000 is supported by the General Fund.

**On-going Development Efforts**

FY 2012 funding in the amount of $2,719,359 has been included for costs related to on-going development efforts throughout the County, specifically:

- Funding of $1,559,859 is included to address only the most critical aspects of property management at the Laurel Hill property. Laurel Hill was transferred to the County by the federal government in early 2002. The property includes approximately 2,340 acres of land and 1.48 million square feet of building space. Of the amount funded in FY 2012, $1,262,739 will fund the Facilities Management Department's security, maintenance services, grounds maintenance and support staff. The remaining $297,120 will fund Park Authority critical maintenance activities and support staff.

- An amount of $404,500 is transferred from Fund 105, Cable Communications to support wiring, cabling, fiber and communication interconnection equipment associated with phone and data systems at new or expanded facilities scheduled to open in FY 2013. Funding for the wiring and cables must be in place prior to the opening of the facilities based on the building and renovation schedules. The facilities include: Providence Community Center, West Ox Animal Shelter, I-66 Workers Facility, Fair Oaks Police Station and Newington Garage Expansion.

- An amount of $190,000 is included for revitalization initiatives within the Office for Community Revitalization and Reinvestment including marketing materials for countywide revitalization activities, consultant services and training. In FY 2012, funding is anticipated to support consultant expenses specifically in the Reston and Tyson's areas.

- An amount of $390,000 is included to continue certain non-routine maintenance in five major commercial revitalization areas (Annandale, Route 1, Springfield, McLean and Baileys Crossroads). This funding provides for: fixing benches and furniture, signs that are broken; fixing broken brick pavers; pruning trees and replacing dead trees; and maintaining appropriate site distances (trimming) on a priority basis. This funding partially supports the maintenance effort and does not fully fund the program. Funding for routine maintenance such as: mulching, fertilizing, broadleaf and weed control, edging, crack weed control, pest control, annual or perennial plantings, leaf removal in the fall, litter collection and removal of trash cans will be prioritized.

- An amount of $100,000 is included for the Emergency Directives Program. The Emergency Directives Program was established to provide for abatement services of both emergency and non-emergency directives related to health and safety violations, grass mowing violations, and graffiti removal directives. The funds are used to perform corrective maintenance for code violations under Chapter 46, and Chapter 119, of the Fairfax County Code, in which cited property owners fail to correct. There are several factors contributing to the recent increase in abatement services such as, development of new abatement requirements, and a significant increase in property foreclosures within the County.

- An amount of $75,000 is included to support the maintenance and establishment of geodetic survey control points for the geographic information system (GIS). This project also supports the development and maintenance of an interactive, GIS-based web site which will provide convenient and cost effective monumentation information to the County's land development customers.

**Road Improvement/Developer Default Projects**

FY 2012 funding in the amount of $700,000 has been included for on-going developer default and road maintenance projects. Specific funding levels include:

- Funding of $600,000 to support the Developer Default program. This project is necessitated by economic conditions surrounding the construction industry that result in some developers not completing required public facilities, including acceptance of roads by the state, walkways and storm drainage improvements. Land Development Services (LDS) will identify projects for resolution in FY 2012, as well as respond to requests to prepare composite cost estimates to complete existing developer default projects. Total FY 2012 funding is supported by $300,000 in projected developer default revenue, and $300,000 in General Fund monies.

- Funding of $100,000 to support the Emergency Road Repairs program and the Road Maintenance program, which were combined in FY 2010. Staff will prioritize funding for projects including emergency safety and road repairs to County-owned service drives and County-owned stub streets which are currently not accepted by the Virginia Department of Transportation (VDOT) into the state highway system for maintenance and other on-going road maintenance work. On-going road maintenance includes, but is not limited to, pothole repair, drive surface overlays, sidewalk and curb repairs, traffic and pedestrian signage, hazardous tree removal, grading, snow and ice control, replacement of substandard materials, patching of existing travelways, minor ditching and stabilization of shoulders, slopes and drainage facilities.

**Environmental Initiatives**

FY 2012 funding in the amount of $85,000 has been included for environmental initiatives. These initiatives directly support the Board of Supervisors Environmental Agenda. The Environmental Excellence 20-year Vision Plan (Environmental Agenda) includes six topic areas: Growth and Land Use; Air Quality and Transportation; Water Quality; Solid Waste; Parks, Trails and Open Space; and Environmental Stewardship. In addition, an amount of $87,210 has been provided in Fund 119, Contributory Fund to continue partnering with three non-profit agencies to support tree planting efforts throughout the County. Specific funding levels include:

- An amount of $15,000 to provide for continued outreach efforts and air quality awareness in order to fulfill the County's commitment to the State Implementation Plan (SIP) for Clean Air Partners. Funding will support outreach efforts to educate residents, employees and businesses to take voluntary actions that will improve the air quality in the region, as well as to collaborate with Clean Air Partners in their efforts to raise awareness of air pollution and continue the County's participation as a business sponsor in their media campaign.

- An amount of $70,000 to continue the Invasive Plant Removal Program. The Park Authority manages this volunteer program, as well as other invasive removal initiatives. These programs restore hundreds of acres of important natural areas, protect tree canopy, and reach thousands of volunteers. Currently 44 trained volunteer leaders have committed to four work-days per year at 36 sites. Over 15,000 volunteer hours have been contributed since the Invasive Plant Removal Program's inception in 2005.

### Payments and Obligations

FY 2012 funding in the amount of $4,989,899 has been included for costs related to annual contributions and contractual obligations.

- Funding of $1,013,489 is included for the annual payment associated with the Salona property based on the Board of Supervisors' approval of the purchase of this conservation easement on September 26, 2005. The total cost of the property is $18.2 million with payments scheduled through FY 2026.

- Funding of $750,000 is included for the County's annual contribution to offset school operating and overhead costs associated with School-Age Child Care (SACC) Centers.

- Funding of $1,554,710 is included for Fairfax County's contribution to the Northern Virginia Community College (NVCC). Funding provides for the continued construction and maintenance of various capital projects on college campuses within the NVCC system. Since FY 2006, the County contribution had remained unchanged at $1.00 per capita; however, in FY 2011 the funding level was raised to $1.25 per capita and in FY 2012 the level of support is recommended to be $1.50 per capita. The County contribution has been increased in both FY 2011 and FY 2012 due to the unprecedented 12 percent growth in the NVCC student enrollment and the corresponding capital program requirements. The NVCC currently serves over 72,000 students surpassing all previous expectations of growth and capital planning. It is estimated that the NVCC serves an average of 20 percent of each high school graduating class in addition to increased support for local workers seeking new skills in a tough job market. The NVCC capital plan has recently been adjusted to keep pace with this accelerated enrollment and it is anticipated that capital contributions from the partners will be adjusted gradually to avoid a major commitment from supporting jurisdictions in any given year. It is projected that the per capita support from the NVCC partners could reach $2.50 per capita in the next six years. The NVCC has indicated that every dollar contributed to the capital program leverages $29 in state funds back to Northern Virginia. The $1.50 rate is applied to the population figure provided by the Weldon Cooper Center.

- Funding in the amount of $1,571,700 is included to begin to address Department of Justice (DOJ) audit findings. In May and June 2007, the United States Department of Justice conducted an audit of the County government facilities and programs to determine compliance with the Americans with Disabilities Act (ADA) which requires accessibility to facilities and programs for individuals with disabilities. DOJ has been conducting audits of various governments and private facilities across the country for the past decade. The audit of Fairfax County was part of this national audit program, and was not a result of any specific complaints in the County. The DOJ presented the County with the audit results in August 2009. The audit covered 78 buildings in the County and listed approximately 2,100 violations as well as approximately ten program areas which needed improvement in order comply with the ADA. These violations ranged from updating emergency management procedures, web-based services, and general communication procedures, to improving access to buildings, parking garages, restrooms and elevators. Identified violations have been categorized by color: easy, inexpensive (green); more timely and costly (yellow); and difficult, time consuming, and/or expensive (red). The FY 2012 funding will provide for the mitigation of violations categorized as "green" and "yellow" within 33 County-owned facilities. County violations categorized as "red" are estimated to require an additional $6.8 million to mitigate. This funding will be required in future years. It should be noted that funding for violations associated with Park Authority buildings and facilities has also been included in FY 2012 and is detailed above.

- Funding of $100,000 is included to support payments to developers for interest earned on conservation bond deposits. The County requires developers to contribute funds to ensure the conservation of existing natural resources. Upon satisfactory completion of projects, the developer is refunded the deposit with interest. This estimate is based on actual experience in the past several years.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $49,950,486 due to the carryover of unexpended project balances in the amount of $48,833,289 and an adjustment of $1,117,197. This adjustment included: the appropriation of revenues received in FY 2010 associated with higher than anticipated Athletic Service Fee revenue of $8,557; higher than anticipated collections of $85,036 associated with abatement services of both emergency and non-emergency directives related to health and safety violations; $77,400 received from the sale of portions of County-owned properties to VDOT for the final segment of the Fairfax County Parkway which will be dedicated to the Mason Neck Trail; $21,968 in collections associated with Code Enforcement activities throughout the County; and an amount of $281 in miscellaneous revenues associated with the sale of plans. In addition, this adjustment includes a transfer in from Fund 200-201, Consolidated Debt Service in the amount of $593,500 to support the purchase of two residential properties on West Ox Road as approved by the Board of Supervisors on February 9, 2010 and a General Fund transfer increase of $330,455 to provide continued funding for the Partners in Prevention Program, available from savings identified in various Human Services operating agencies at year end.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 303
# County Construction

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** **Fund 303, County Construction**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$45,285,464** | **$0** | **$39,206,531** | **$0** |
| Revenue: | | | | |
| Miscellaneous[1] | $184,685 | $0 | $0 | $0 |
| Developer Payments-Streetlights[2] | 32,285 | 0 | 59,718 | 0 |
| Hunter Mill Streetlight Contributions[3] | 0 | 0 | 95,000 | 0 |
| Developer Defaults | 1,851,174 | 300,000 | 348,826 | 300,000 |
| State Aid[4] | 0 | 0 | 210,000 | 0 |
| Athletic Services Out of County Fees[5] | 115,000 | 0 | 0 | 0 |
| Energy Efficiency and Conservation Block Grant (EECBG)[6] | 236,344 | 0 | 9,406,456 | 0 |
| Maintenance Fee Revenue[7] | 1,108,557 | 1,100,000 | 1,100,000 | 1,100,000 |
| Total Revenue | $3,528,045 | $1,400,000 | $11,220,000 | $1,400,000 |
| Transfer In: | | | | |
| General Fund (001) | $12,109,784 | $12,062,406 | $12,392,861 | $14,919,369 |
| Cable Communications (105)[8] | 0 | 0 | 0 | 404,500 |
| Consolidated Debt Service (200-201)[9] | 0 | 0 | 593,500 | 0 |
| Housing Assistance Program (340)[10] | 300,241 | 0 | 0 | 0 |
| Total Transfers In | $12,410,025 | $12,062,406 | $12,986,361 | $15,323,869 |
| **Total Available** | **$61,223,534** | **$13,462,406** | **$63,412,892** | **$16,723,869** |
| Total Expenditures | $20,517,003 | $13,462,406 | $63,412,892 | $16,723,869 |
| Transfers Out: | | | | |
| Public Safety Construction (312)[11] | $1,500,000 | $0 | $0 | $0 |
| Total Transfers Out | $1,500,000 | $0 | $0 | $0 |
| **Total Disbursements** | **$22,017,003** | **$13,462,406** | **$63,412,892** | **$16,723,869** |
| **Ending Balance[12]** | **$39,206,531** | **$0** | **$0** | **$0** |

[1] Miscellaneous receipts in FY 2010 represent an amount of $77,400 received for Project 009473, Mt Vernon District Capital Projects, $85,036 received for Project ED0001, Emergency Directives, $21,968 for Project 009801, Strike Force Blight Abatement and $281 in other miscellaneous revenues.

[2] Reflects developer payments for Project Z00002, Developer Streetlight Program.

[3] Reflects revenue anticipated for Project Z00015, Hunter Mill District Streetlights.

[4] Represents state aid in the amount of $210,000 for VRE parking lots, which was not received in FY 2010 and is anticipated in FY 2011 or beyond.

[5] FY 2010 represents revenues associated with the increase to the adult Out-of-County sports fees from $20 to $30 per participant per season and the implementation of a youth Out-of-County fee of $30 per participant per season. In order to properly reflect all revenues associated with Out-of-County fees in one place, beginning in FY 2011 all Out-of-County fees are captured within General Fund revenues.

[6] On December 7, 2009, the Board of Supervisors approved funding in the amount of $9,642,800 associated with the award of a U.S. Department of Energy (DOE), Energy Efficiency and Conservation Block Grant (EECBG) for energy efficiency projects. This grant funding was awarded to Fairfax County as a result of the American Recovery and Reinvestment Act of 2009. In FY 2010, an amount of $236,344 was received and $9,406,456 is anticipated in FY 2011 or beyond.

[7] Represents revenue generated by the Athletic Field Application Fee to support Project 005012, Athletic Services Fee - Field Maintenance, Project 005013, Athletic Services Fee - Turf Field Development, Project 005017, Athletic Services Fee - Turf Field Replacement Program, Project 005014, Athletic Services Fee - Custodial Support, and Project 005021, Athletic Field Application Fee - Sports Scholarships.

[8] In FY 2012, an amount of $404,500 is transferred from Fund 105, Cable Communications to support wiring, cabling, fiber and communication interconnection equipment associated with phone and data systems at new or expanded facilities.

[9] In FY 2011, an amount of $593,500 is transferred from Fund 200-201, Consolidated Debt Service to Fund 303 to fund the remaining debt service associated with the County's purchase of two residential properties on West Ox Road as approved by the Board of Supervisors on February 9, 2010.

[10] FY 2010 reflects a Transfer In from Fund 340, Housing Assistance Program of $300,241 for blight abatement initiatives throughout the County.

[11] Represents a Transfer Out to Project 009209, Courthouse Expansion and Renovation, in Fund 312, Public Safety Construction to help support construction costs associated with the project.

[12] Capital projects are budgeted based on total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

## FY 2012 Summary of Capital Projects

### Fund: 303 County Construction

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 005006 | Park Maintenance of FCPS Fields | | $675,380.60 | $1,110,244.51 | $722,535 |
| 005009 | Athletic Field Maintenance | | 2,437,496.09 | 3,081,303.30 | 2,500,000 |
| 005012 | Athletic Services Fee - Field Maintenance | | 961,068.13 | 1,258,867.98 | 1,000,000 |
| 005013 | Athletic Services Fee - Turf Field Development | | 150,000.86 | 1,469,196.52 | 350,000 |
| 005014 | Athletic Services Fee - Custodial Support | | 314,364.00 | 276,711.00 | 275,000 |
| 005016 | FCPS Athletic Field Lighting Requirements | | 346,487.59 | 316,482.77 | 200,000 |
| 005017 | Athletic Svcs Fee - Turf Field Replacement | 500,000 | 0.00 | 0.00 | 500,000 |
| 005020 | APRT-Amenity Maintenance | | 5,118.16 | 121,521.80 | 50,000 |
| 005021 | Athletic Fields-Sports Scholar | 450,000 | 149,935.29 | 150,064.71 | 150,000 |
| 007012 | School Aged Child Care Contribution | | 750,000.00 | 750,000.00 | 750,000 |
| 008043 | Northern Virginia Community College | | 1,012,512.00 | 1,271,647.00 | 1,554,710 |
| 009400 | Land Acquisition Reserve | | 1,593,329.58 | 0.00 | 0 |
| 009406 | ADA Compliance - Countywide | | 13,854.24 | 219,436.56 | 1,571,700 |
| 009416 | ADA Compliance - FCPA | | 280,211.63 | 618,203.38 | 600,000 |
| 009417 | Parks - General Maintenance | | 293,217.31 | 1,027,973.63 | 425,000 |
| 009422 | Maintenance - CRP | | 196,770.12 | 415,167.71 | 390,000 |
| 009425 | South County Government Center | 5,517,221 | 9,789.16 | 243.60 | 0 |
| 009429 | Security Improvements | | 15,688.00 | 184,038.77 | 0 |
| 009432 | Telecommunication and Network Connections | | 422,149.80 | 1,655,299.43 | 404,500 |
| 009442 | Parks - Grounds Maintenance | | 882,282.69 | 1,322,321.72 | 987,076 |
| 009443 | Parks - Facility/Equip. Maint. | | 298,791.88 | 851,979.01 | 470,000 |
| 009444 | Laurel Hill Development | | 1,691,238.38 | 3,371,611.62 | 1,559,859 |
| 009451 | Providence District Supv's Office | 2,498 | 0.00 | 0.00 | 0 |
| 009461 | Public Facilities at Laurel Hill | 18,200,000 | 0.00 | 18,200,000.00 | 0 |
| 009464 | Katherine K. Hanley Family Shelter | 3,890,603 | 24,176.69 | 39,914.38 | 0 |
| 009467 | Mott Community Center | 600,000 | 21,684.65 | 334,765.07 | 0 |
| 009468 | Braddock District Capital Projects | | 1,575.40 | 97,598.31 | 0 |
| 009469 | Dranesville District Capital Projects | | 72,577.21 | 254,628.62 | 0 |
| 009470 | Hunter Mill District Capital Projects | | 84,949.53 | 234,722.51 | 0 |
| 009471 | Lee District Capital Projects | | 940.20 | 205,706.71 | 0 |
| 009472 | Mason District Capital Projects | | 16,170.72 | 73,751.96 | 0 |
| 009473 | Mount Vernon District Capital Projects | | 7,217.90 | 182,926.47 | 0 |
| 009474 | Providence District Capital Projects | | 1,717.42 | 120,837.03 | 0 |
| 009475 | Springfield District Capital Projects | | 92,196.78 | 22,853.02 | 0 |
| 009476 | Sully District Capital Projects | | 25,311.49 | 54,157.88 | 0 |
| 009477 | At Large (Countywide) Capital Projects | | 0.00 | 35,772.48 | 0 |
| 009478 | Laurel Hill Cemetery | 75,000 | 615.21 | 0.00 | 0 |
| 009484 | Prioritized Feasibility Studies | | 10,098.04 | 141,950.91 | 0 |
| 009489 | Road Improvements - Wolf Trap FS | 400,000 | 0.00 | 252,017.00 | 0 |
| 009491 | Burke Station VRE Trails | 1,338,869 | 1,727.60 | 366,186.92 | 0 |
| 009493 | VDOT Administration Building | | (0.00) | 0.00 | 0 |
| 009494 | Salona Property | | 1,058,477.26 | 1,036,288.80 | 1,013,489 |
| 009495 | Emergency Management Initiatives | 757,958 | 0.00 | 235,151.88 | 0 |
| 009499 | Invasives Management - Environmental Agenda Project | 273,703 | 8,835.12 | 6,815.34 | 0 |
| 009501 | Trail Mapping - Environmental Agenda Project | 138,111 | 820.85 | 0.00 | 0 |

# Fund 303
# County Construction

### FY 2012 Summary of Capital Projects

**Fund: 303 County Construction**

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 009503 | Organizational Initiatives | 312,500 | 0.00 | 100,000.00 | 0 |
| 009506 | Transportation Studies | 2,350,000 | 53,750.71 | 839,152.15 | 0 |
| 009507 | Community/Project Planning and Design | 1,880,000 | 187,482.67 | 794,721.00 | 0 |
| 009508 | Countywide Security Enhancements | 1,000,000 | 21,917.54 | 166,015.28 | 0 |
| 009513 | Florence Lane Improvements | 350,000 | 0.00 | 350,000.00 | 0 |
| 009520 | Health Department Lab | 5,850,000 | 4,449,406.95 | 830,014.98 | 0 |
| 009522 | Lorton Community Center | 99,339 | 0.00 | 0.00 | 0 |
| 009524 | Prevention Incentive Fund | 1,078,810 | 202,942.88 | 639,703.08 | 0 |
| 009526 | Police Video Surveillance Project | 352,250 | 159,148.03 | 46,075.06 | 0 |
| 009527 | Herndon Monroe Garage Remedial Work | 549,537 | 56,588.07 | 431.90 | 0 |
| 009700 | Environmental Agenda Initiatives | | 162,051.27 | 991,603.16 | 85,000 |
| 009701 | East County Human Services Center | 125,000 | 630.00 | 67,629.49 | 0 |
| 009800 | Revitalization Initiatives | 2,279,790 | 1,476.20 | 1,975,435.83 | 190,000 |
| 009801 | Strike Force Blight Abatement | | 0.00 | 322,209.00 | 0 |
| 009998 | Payments Of Interest On Bonds | | 20,859.83 | 262,940.00 | 100,000 |
| CG0046 | Contingency Fund 303 | | 0.00 | 757,201.31 | 0 |
| ED0001 | Emergency Directives Program | | 80,566.91 | 355,397.52 | 100,000 |
| FSE000 | Energy Project Reserve | | 0.00 | 1,350,152.00 | 0 |
| FSE001 | Gum Springs HVAC and EMCS | 450,000 | 0.00 | 450,000.00 | 0 |
| FSE002 | Lillian Carey/Bailey's HVAC and EMCS | 270,000 | 0.00 | 270,000.00 | 0 |
| FSE003 | JDC Chiller Replacement | 250,000 | 97,583.00 | 152,417.00 | 0 |
| FSE004 | Oakton High School EMCS | 1,041,000 | 0.00 | 1,041,000.00 | 0 |
| FSE005 | County Facility Lighting Control Systems | 530,000 | 0.00 | 530,000.00 | 0 |
| FSE006 | Athletic Field Lighting Control Systems | 300,000 | 0.00 | 300,000.00 | 0 |
| FSE007 | Outdoor Park Facility Lighting Control | 200,000 | 0.00 | 200,000.00 | 0 |
| FSE011 | Enterprise Server Consolidation | 4,087,600 | 0.00 | 4,087,600.00 | 0 |
| FSE012 | Desktop Power Management for PCs | 140,000 | 138,760.55 | 1,239.45 | 0 |
| FSE013 | Energy Audits and Retrofits | 471,520 | 0.00 | 471,520.00 | 0 |
| FSE014 | Telework Initiative and License Support | 150,000 | 0.00 | 150,000.00 | 0 |
| FSE015 | Hybrid Electric School Bus | 105,000 | 0.00 | 105,000.00 | 0 |
| FSE016 | Hydraulic Hybrid Refuse Collection Truck | 50,000 | 0.00 | 50,000.00 | 0 |
| FSE019 | Greenhouse Gas Emissions Inventory | 247,528 | 0.00 | 247,528.00 | 0 |
| U00005 | Survey Control Network Monumentation | | 109,968.18 | 60,780.83 | 75,000 |
| U00060 | Developer Defaults | | 653,043.07 | 3,071,581.53 | 600,000 |
| V00002 | Emergency Road Repairs | | 102,974.00 | 100,567.31 | 100,000 |
| V00003 | Road Viewers Project | | 23,615.62 | 323,398.38 | 0 |
| V00004 | Road Maintenance Program | | 19,552.37 | 150,873.19 | 0 |
| Z00001 | Street Lights | | 1,868.80 | 0.00 | 0 |
| Z00002 | Developer Street Light Program | | 0.00 | 65,218.96 | 0 |
| Z00005 | Route 123 Bridge Streetlights | | 0.00 | 0.00 | 0 |
| Z00015 | Hunter Mill District St. Light Fund | 52,390 | 0.00 | 52,390.00 | 0 |
| Z00016 | Minor Street Light Upgrades | | 5,019.91 | 95,657.84 | 0 |
| Z00032 | Safety Enhancement at Bus Shelters/Stops | 1,850,000 | 39,019.19 | 219,077.11 | 0 |
| **Total** | | **$58,566,228** | **$20,517,003.33** | **$63,412,891.67** | **$16,723,869** |

# Fund 304
# Transportation Improvements

## Focus

This fund supports the land acquisition, design and construction of County transportation improvements. During the 1981 Session of the Virginia General Assembly, legislation was approved that enabled counties with a population over 125,000 to undertake secondary roadway improvements through the use of General Obligation bond revenues or General Fund revenues. Prior to this action, the construction and maintenance of all roadways in Fairfax County had been the exclusive responsibility of the Virginia Department of Transportation (VDOT). The existing road bond program is supported by General Obligation bonds approved by the voters in November 2004 and November 2007.

Fund 304, Transportation Improvements, provides funding for various roadway projects and is used in conjunction with revenue available to the County under the Transportation Funding and Reform Act of 2007 (HB 3202), authorizing a County commercial real estate tax in support of transportation. This commercial and industrial real estate tax revenue is budgeted within Fund 124, County and Regional Transportation Projects, where a rate of 11 cents per $100 assessed value is included in the FY 2012 Advertised Budget Plan, the same level as approved by the Board of Supervisors in previous years. In addition to roadway, pedestrian and transit projects, Fund 124 also supports spot improvements consisting of quick-hit projects such as turn lanes and sidewalk and trail connections to improve mobility, enhance safety, and provide relief for transportation bottlenecks.

No funding is included in Fund 304, Transportation Improvements, for FY 2012. Work will continue on existing and previously funded projects.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $124,478,064 due to the carryover of unexpended project balances in the amount of $126,780,263 and other adjustments reflecting a decrease of $2,302,199. These adjustments included the appropriation of miscellaneous revenue received in FY 2010 of $449,000 associated with a Virginia Department of Transportation (VDOT) reimbursement for land taken for the construction of the Woodrow Wilson bridge and $87,164 in miscellaneous revenue. In addition, an adjustment of $160,000 was necessary to appropriate anticipated revenue from the Northern Virginia Transportation Commission (NVTC) to support improvements and the construction of a walkway at the Reston Transit Center site. Finally, an adjustment reflecting a decrease of $2,998,363 was necessary due to the elimination of VDOT funding associated with Project 064246 South Van Dorn/Franconia Interchange and Project 064248 Fairfax County Parkway Widening. These VDOT funded projects were managed by the County, but due to state budget constraints, VDOT decided these projects would not proceed.

A Fund Statement and Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered “continuing” projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 304
# Transportation Improvements

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** | **Fund 304, Transportation Improvements**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$2,005,022** | **$0** | **$9,108,912** | **$0** |
| Revenue: | | | | |
| Bond Sale[1] | $14,100,000 | $0 | $108,460,000 | $0 |
| State Grant[2] | 0 | 0 | 2,361,936 | 0 |
| VDOT Reimbursement[3] | 3,453,172 | 0 | 2,755,608 | 0 |
| VDOT State Secondary Road Funds[4] | 147,597 | 0 | 1,461,364 | 0 |
| Governor's Congestion Relief Program[5] | 0 | 0 | 170,244 | 0 |
| Northern Virginia Transportation Commission[6] | 0 | 0 | 160,000 | 0 |
| Miscellaneous[7] | 536,164 | 0 | 0 | 0 |
| Total Revenue | $18,236,933 | $0 | $115,369,152 | $0 |
| **Total Available** | **$20,241,955** | **$0** | **$124,478,064** | **$0** |
| Total Expenditures | $11,133,043 | $0 | $124,478,064 | $0 |
| **Total Disbursements** | **$11,133,043** | **$0** | **$124,478,064** | **$0** |
| **Ending Balance[8]** | **$9,108,912** | **$0** | **$0** | **$0** |

[1] The sale of bonds is presented here for planning purposes only. Actual bonds sales are based on cash needs in accordance with Board policy. In November 2004, voters approved a Transportation Bond Referendum in the amount of $165 million, of which $55 million was included for roadway and pedestrian improvements in Fund 304. In November 2007, the voters also approved a Transportation Bond Referendum in the amount of $110 million. An amount of $12.56 million from the 2004 referendum and $1.54 million from the 2007 referendum were sold in October 2009, leaving a balance of $108.46 million in authorized but unissued bonds for this fund.

[2] Reflects Virginia National Defense Industrial Authority grants approved by the Board of Supervisors in FY 2007 and FY 2008 for spot transportation improvements and travel demand management related to the Fort Belvoir Base Alignment. Through FY 2010, an amount of $9,089 has been received, and $2,361,936 is anticipated in FY 2011 and beyond.

[3] Under previous agreements with the Virginia Department of Transportation (VDOT), a total of $15,736,637 in revenue was associated with Project 064233, Spring Hill Road ($10,900,000), Project 064246, South Van Dorn/Franconia Interchange ($1,769,782) and Project 064248, Fairfax County Parkway Widening ($3,066,855). Through FY 2010, VDOT reimbursements for all projects of $12,981,029 have been received, and $2,755,608 is anticipated in FY 2011 and beyond.

[4] Reflects revenue from VDOT State Secondary Road funds associated with Project 064267, Pedestrian Improvements ($1,079,457) and revenue for Countywide Pedestrian Safety and Access Improvements ($1,554,500). Through FY 2010, $1,172,593 has been received leaving a total balance of $1,461,364 anticipated in FY 2011 and beyond.

[5] Reflects revenue previously approved under the Governor's Congestion Relief Program for improvements to County intersections to meet air quality standards and relieve traffic congestion. Through FY 2010, an amount of $1,214,489 has been received, and $170,244 is anticipated in FY 2011 and beyond.

[6] Reflects the FY 2011 appropriation of County revenue held by the Northern Virginia Transportation Commission (NVTC), to be applied in support of a walkway and miscellaneous improvements at the Reston Transit Center site.

[7] FY 2010 miscellaneous revenue includes $449,000 reimbursed by VDOT for land acquired from the County for right of way pertaining to the Woodrow Wilson bridge project, as well as other miscellaneous revenues and plan sale income.

[8] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 304
# Transportation Improvements

## FY 2012 Summary of Capital Projects

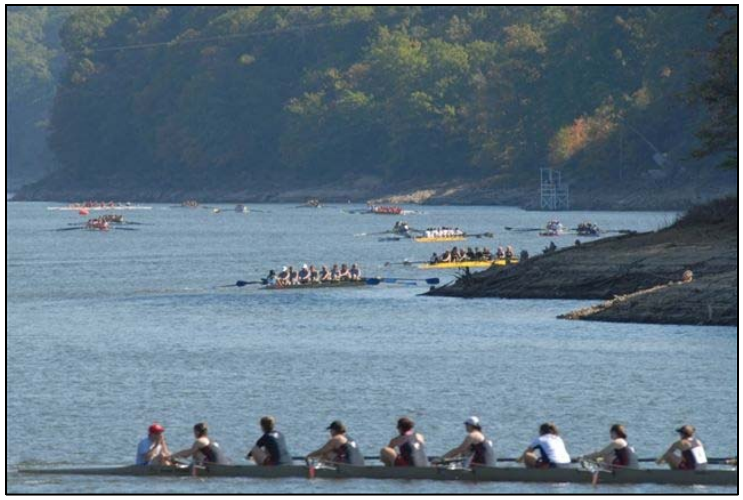
### Fund: 304 Transportation Improvements

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 006490 | Construction Reserve | | $222.21 | $1,643,547.31 | $0 |
| 006495 | Wiehle Avenue | 15,528,638 | 2,407.67 | 195,383.22 | 0 |
| 006616 | Gallows/Annandale/Hummer | 1,477 | 555.30 | 0.00 | 0 |
| 064103 | S. Van Dorn /I-95 Interchange | 11,300,211 | 19,106.55 | 348,824.82 | 0 |
| 064130 | Advanced Preliminary Engineering | | 44,019.07 | 684,154.06 | 0 |
| 064134 | S. Van Dorn St. Phase III | 8,382,086 | 185.10 | 304,401.37 | 0 |
| 064146 | FC PKWY - Rt. 123 to Hooes Rd./Pohick | 27,478,199 | 2,066.75 | 1,851.00 | 0 |
| 064153 | Burke Centre Parkway | 893,576 | 529.90 | 123.40 | 0 |
| 064210 | Revenue Sharing Match | 500,000 | 0.00 | 498,750.06 | 0 |
| 064212 | Spot Improvements | | 0.00 | 153,920.80 | 0 |
| 064233 | Spring Hill Road | 10,912,420 | 1,897,628.10 | 2,258,748.38 | 0 |
| 064237 | Roberts Road/Braddock Road | 550,622 | 123.40 | 0.00 | 0 |
| 064246 | South Van Dorn/Franconia Interchange | 1,769,782 | 122,463.18 | 0.00 | 0 |
| 064248 | Fairfax County Parkway Widening | 3,066,855 | 32,910.04 | 0.00 | 0 |
| 064249 | Planning for 4 Year Transportation Plan | | 0.00 | 529,924.08 | 0 |
| 064251 | Guinea Road/Falmead Road | 185,000 | 11,676.19 | 94,715.57 | 0 |
| 064257 | Centreville Road Trail at Dulles | 290,000 | 367.42 | 104,620.53 | 0 |
| 064258 | Colts Neck Road Trail/Sidewalk | 366,000 | 6,972.09 | 204,019.47 | 0 |
| 064267 | Pedestrian Improvements - VDOT | 3,017,325 | 18,054.53 | 1,004,049.07 | 0 |
| 064268 | FTA - Richmond Highway Public Transportation Initiative | 500,000 | 0.00 | 500,000.00 | 0 |
| 064270 | Beverly Road/Fleetwood Road | 100,119 | 48,022.29 | 0.00 | 0 |
| 064271 | Annandale Road/Kerns Road | 350,000 | 17,991.30 | 265,776.29 | 0 |
| 064273 | Silverbrook Hooes Road Intersection | 350,000 | 46,634.69 | 142,234.29 | 0 |
| 064274 | Route 29 Walkway | 250,000 | 216.45 | 130,249.67 | 0 |
| 064275 | Braddock Road/Rt 123 RTL | 299,887 | 7,606.88 | 0.00 | 0 |
| 064276 | West Ox Rd/FC Parkway | 1,100,000 | 46,031.11 | 842,397.83 | 0 |
| 064287 | VNDIA Grant Projects | 2,500,000 | 0.00 | 2,500,000.00 | 0 |
| 064288 | FC PKWY - Sunrise Valley Dr. | 1,160,034 | 112,637.75 | 911,913.47 | 0 |
| 064290 | Reston Transit Center Improvements | 160,000 | 0.00 | 160,000.00 | 0 |
| 4YP012 | South Kings Highway/Harrison Lane | 3,000,000 | 959,368.65 | 735,747.51 | 0 |
| 4YP013 | Route 236/Beauregard Street | 2,180,000 | 10,866.00 | 307,141.17 | 0 |
| 4YP014 | Braddock Road/Route 236 | 1,358,700 | 2,981.26 | 0.00 | 0 |
| 4YP017 | Stringfellow Road Widening | 16,000,000 | 2,690.00 | 12,997,396.92 | 0 |
| 4YP018 | Centreville Road Widening | 29,000,000 | 426,638.35 | 1,121,324.31 | 0 |
| 4YP020 | Hunter Mill Rd. Walkway | 840,000 | 187,855.99 | 74,059.94 | 0 |
| 4YP200 | Planning for 2nd 4 Year Transportation Plan | | 0.00 | 317,217.23 | 0 |
| 4YP201 | Pedestrian Improvements-Bond Funded | 15,000,000 | 2,295,309.91 | 8,690,045.19 | 0 |
| 4YP202 | Bus Stop Improvements | 7,750,000 | 1,102,774.18 | 6,450,353.86 | 0 |
| 4YP203 | Braddock Road/Backlick Road | 500,000 | 85,061.73 | 272,794.34 | 0 |
| 4YP204 | Shirley Gate Road/Route 29 | 292,480 | 6,862.69 | 0.00 | 0 |
| 4YP205 | Zion Drive | 1,000,000 | 121,457.65 | 698,501.74 | 0 |
| 4YP206 | Route 7 | 750,000 | 19,213.87 | 648,138.91 | 0 |
| 4YP207 | Braddock Road/Thomas Jefferson High School | 182,783 | 10,658.38 | 0.00 | 0 |
| 4YP208 | Gallows Road Bike Lanes | 3,000,000 | 0.00 | 3,000,000.00 | 0 |
| 4YP209 | FFX County Pkwy/ Route 29 to Braddock Road | 1,000,000 | 0.00 | 1,000,000.00 | 0 |
| 4YP210 | Poplar Tree Road Widening | 5,000,000 | 370,511.76 | 4,524,356.83 | 0 |
| 4YP211 | Stringfellow Road Widening | 21,000,000 | 0.00 | 21,000,000.00 | 0 |
| 4YP212 | Lee Highway Widening | 4,707,520 | 176,280.43 | 4,240,273.37 | 0 |
| 4YP213 | Lorton Road | 20,158,244 | 1,246,579.80 | 17,430,432.15 | 0 |
| 4YP214 | Cinder Bed Road | 5,000,000 | 169,504.02 | 4,490,675.87 | 0 |
| 4YP215 | Base Realignment and Closure | 8,500,000 | 0.00 | 8,500,000.00 | 0 |
| 4YP216 | Nov07 Bond Referendum Transit | 10,500,000 | 1,500,000.00 | 9,000,000.00 | 0 |
| 4YP217 | Stringfellow Road Park and Ride | 5,500,000 | 0.00 | 5,500,000.00 | 0 |
| **Total** | | **$253,231,957** | **$11,133,042.64** | **$124,478,064.03** | **$0** |

# Fund 306
# Northern Virginia Regional Park Authority

## Focus

This fund supports Fairfax County's annual capital contribution to the Northern Virginia Regional Park Authority (NVRPA). The Northern Virginia Regional Park Authority was founded in 1959 with a focus on land conservation. The Park system includes 23 parks and approximately 11,000 acres of land, over 100 miles of trails, numerous historic sites, five waterparks, two family campgrounds, three golf courses, a nature center, botanical gardens, rental cabins and cottages, five marinas, and nearly 30 miles of protected shoreline along major rivers and reservoirs. Parklands within the system include: Bull Run, Bull Run Marina, Fountainhead, Gateway, Hemlock Overlook, Meadowlark Botanical Gardens, Occoquan, Pohick Bay, Sandy Run, the Washington & Old Dominion (W&OD) Trail, Aldie Mill Historic Park, Algonkian, Ball's Bluff, Blue Ridge, Brambleton, Cameron Run, Carlyle House Historic Park, Gilberts Corner, Mount Zion Historic Park, Potomac Overlook, Red Rock, Temple Hall and Upton Hill. In addition, the NVRPA administers extensive regional historic and conservation properties throughout Northern Virginia. In Fairfax County, NVRPA owns nearly 8,000 acres – most of which protect environmentally sensitive watersheds along the Potomac, Bull Run and Occoquan Rivers.



***A regatta on the Occoquan Reservoir at Sandy Run Regional Park.***

NVRPA generates more than 82 percent of its operating budget through user fees and grants. Its capital improvement and land acquisition costs are shared by its six member jurisdictions: the counties of Fairfax, Loudoun and Arlington, and the cities of Fairfax, Alexandria and Falls Church. Each member jurisdiction's contribution is in proportion to its share of the region's population of approximately 1.6 million residents.

The primary focus of NVRPA's capital program is to continue the restoration, renovation and modernization of existing park facilities, many of which were developed or constructed more than 20 years ago. Other elements of the capital program include land acquisition, the development of interpretive and educational displays and the addition of park features to meet the needs of the public. In its conservation efforts, NVRPA is involved in implementing portions of the Environmental Quality Corridors concept, which defines an open space land system designated for long-term protection in the County. In this role, NVRPA continues to place emphasis on the acquisition of shoreline properties along the Potomac, Bull Run and Occoquan Rivers, while the Fairfax County Park Authority (FCPA) concentrates on acquiring land along the County's interior stream valleys.

In FY 2010, NVRPA expanded its landholding by acquiring Mount Zion Historic Park and Gilberts Corner Regional Park, and 295 acres of Potomac River waterfront property. Significant capital improvements in recent years that expanded service to the public included the conversion of the Bull Run Regional Park swimming pool into a themed, family-oriented water park, renovation of the sporting clays course at the Bull Run Shooting Center, improvements to the Bull Run holiday light show, irrigation system replacement at the Pohick Bay golf course, renovations to campgrounds at Pohick Bay and Bull Run and bridge renovations and trail improvements on the 45-mile Washington and Old Dominion Trail. Many other capital enhancements and renovations were completed throughout the NVRPA park system to upgrade aging facilities.

# Fund 306
# Northern Virginia Regional Park Authority

Work in progress includes a new picnic shelter area at Bull Run, planning of new facilities at Occoquan, structural repairs to the Fountainhead marina, launch ramp and dock repairs at Pohick Bay, a new trail connecting the W&OD Trail and Meadowlark Botanical Gardens, a children's garden and picnic shelter at Meadowlark, restoration of the historic Vienna railroad station on the W&OD Trail, miscellaneous trail enhancements, improvements to the scholastic rowing facility at Sandy Run and additional renovations to the swimming pool and campground at Pohick Bay.

***Pirate's Cove Waterpark at Pohick Bay Regional Park***

NVRPA's FY 2012 capital budget totals $4,961,633 and includes such projects as land acquisition, planning and development of new facilities at Occoquan and various renovations at Meadowlark, Bull Run and Pohick Bay. General Obligation bond funding in the amount of $3,000,000 for the County subsidy is included in FY 2012 for Fund 306, NVRPA. This level of contribution is based on approximate per capita formula amounts. FY 2012 is the fourth of four years supported by the Park Bond Referendum approved by voters in the fall of 2008. This referendum included $12 million to sustain the County's contribution to the NVRPA capital budget for fiscal years 2009 through 2012.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

♦ There have been no adjustments to this fund since approval of the FY 2011 Adopted Budget Plan.

# Fund 306
# Northern Virginia Regional Park Authority

## FUND STATEMENT

**Fund Type G30, Capital Project Funds**

**Fund 306, Northern Virginia Regional Park Authority**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$0** | **$0** | **$0** | **$0** |
| Revenue: | | | | |
| Sale of Bonds[1] | $2,700,000 | $2,700,000 | $2,700,000 | $3,000,000 |
| Total Revenue | $2,700,000 | $2,700,000 | $2,700,000 | $3,000,000 |
| **Total Available** | **$2,700,000** | **$2,700,000** | **$2,700,000** | **$3,000,000** |
| Total Expenditures[2] | $2,700,000 | $2,700,000 | $2,700,000 | $3,000,000 |
| **Total Disbursements** | **$2,700,000** | **$2,700,000** | **$2,700,000** | **$3,000,000** |
| **Ending Balance** | **$0** | **$0** | **$0** | **$0** |

[1] The fall 2008 bond referendum approved by voters on November 4, 2008 included $12.0 million to sustain the County's capital contribution to the Northern Virginia Regional Park Authority for four years. The FY 2010 Actuals reflect an amount of $2.7 million sold in October 2009. Including prior sales, a balance of $5.7 million remains in authorized but unissued bonds for this fund.

[2] The funding included for FY 2010 in the amount of $2.7 million was the second of four installments. FY 2011 expenditures of $2.7 million and FY 2012 expenditures of $3.0 million represent funding for the third and fourth years of the four-year program.

# Fund 307
# Pedestrian Walkway Improvements

## Focus

This fund supports pedestrian and walkway improvements throughout the County, including the Fairfax County Sidewalk Program and the Fairfax County Trail Program. The Fairfax County Sidewalk Program was originally established in coordination with the Fairfax County Public Schools (FCPS) to ensure safe walking conditions for public school students in the County. The program was later expanded to include critical walkway and trail segments in coordination with the Trails and Sidewalk Committee to serve the recreation and transportation needs of pedestrians, bicyclists and equestrians in the County. This program includes projects that link residential areas and public schools, as well as missing walkway and trail segments to provide connections to completed portions of the countywide trail network. The County is currently responsible for the maintenance and upgrade of 644 miles of walkways, including 50 miles of sidewalks connecting directly to school grounds, as well as subdivision sidewalks, trails and pedestrian bridges.

It is noted that, in addition to funding provided through Fund 307, additional pedestrian improvements funding is supported by revenue available to the County under the Transportation Funding and Reform Act of 2007 (HB 3202), which authorized a County commercial real estate tax in support of transportation. This commercial and industrial real estate tax revenue is budgeted within Fund 124, County and Regional Transportation Projects, where a rate of 11 cents per $100 assessed value is included in the FY 2012 Advertised Budget Plan, the same level as approved by the Board of Supervisors in previous years.

In FY 2012, an amount of $100,000 is included in Fund 307, Pedestrian Walkway Improvements to meet emergency and critical maintenance requirements for County trails, sidewalks and pedestrian bridges. On-going critical maintenance includes, but is not limited to, the correction of safety and hazardous conditions such as the deterioration of trail surfaces, the replacement and/or repair of guardrails and handrails, and the rehabilitation of pedestrian bridges.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $4,352,620 due to the carryover of unexpended balances of $3,875,665 and an adjustment of $476,955 primarily to appropriate $450,000 in enhancement grant funds anticipated in FY 2011 as well as $29,832 in developer contributions and $905 in miscellaneous revenue received in FY 2010. Enhancement Funds from the Virginia Department of Transportation (VDOT) were approved by the Board of Supervisors on April 27, 2010 and will support the continued implementation of the Mason Neck Trail (Segments 2A and 2B). The adjustment also included a decrease of $3,782 in revenues and expenditures to reflect VDOT reimbursements no longer anticipated, based on actual project costs and the completion of the Great Falls Street Trail in the Dranesville District.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 307
# Pedestrian Walkway Improvements

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** | **Fund 307, Pedestrian Walkway Improvements**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$1,346,484** | **$0** | **$766,665** | **$0** |
| Revenue: | | | | |
| State Aid[1] | ($2,107) | $0 | $565,000 | $0 |
| VDOT Revenue Sharing, NVTC[2] | 0 | 0 | 36,971 | 0 |
| TEA-21 Grant[3] | 166,542 | 0 | 1,568,928 | 0 |
| CMAQ Grant[4] | 0 | 0 | 12,586 | 0 |
| FHWA Grant[5] | 0 | 0 | 319,285 | 0 |
| Developer Contributions[6] | 152,867 | 0 | 1,083,185 | 0 |
| Miscellaneous | 905 | 0 | 0 | 0 |
| Total Revenue | $318,207 | $0 | $3,585,955 | $0 |
| Transfers In: | | | | |
| General Fund (001) | $0 | $0 | $0 | $100,000 |
| Total Transfers In | $0 | $0 | $0 | $100,000 |
| **Total Available** | **$1,664,691** | **$0** | **$4,352,620** | **$100,000** |
| Total Expenditures | $898,026 | $0 | $4,352,620 | $100,000 |
| **Total Disbursements** | **$898,026** | **$0** | **$4,352,620** | **$100,000** |
| **Ending Balance[7]** | **$766,665** | **$0** | **$0** | **$0** |

[1] An amount of $565,000 in State Revenue Sharing funds is associated with Project K00447, Richmond Highway Public Transportation Initiatives, and is anticipated to be received in FY 2011.

[2] Represents VDOT supplemental revenue sharing funds in the amount of $648,921, based on a Revenue Sharing Program Amendment approved by the Board of Supervisors on February 27, 2006 for Project K00447, Richmond Highway Public Transportation Initiatives. An amount of $611,950 has been received through FY 2010, and $36,971 is anticipated in FY 2011 and beyond.

[3] An amount of $3,121,424 is anticipated from Transportation Enhancement Act (TEA-21) grant awards and supplemental agreements associated with Project W00200 (W2020), Georgetown Pike Trail; Project W00200 (W2120), Walker Road Trail; Project W00300 (W3100), NoVi Trail-Walk along Beulah Road; Project W00300 (W3110), Beulah Road Trail; Project W00500 (W5010), Columbia Pike Trail; Project W00600 (W6070), Mason Neck Trail; Project W00600 (W6130), Mason Neck Trail Segment II; and Project W00800 (W8090), Union Mill Trail. Through FY 2010, an amount of $1,552,496 has been received. The remaining amount of $1,568,928 is anticipated in FY 2011 and beyond.

[4] Represents Congestion Mitigation and Air Quality Improvement (CMAQ) grant funding for Project W00900 (W9030), Route 29/I-66 Underpass. An amount of $606,413 has been received through FY 2010. The remaining amount of $12,586 is anticipated in FY 2011 and beyond.

[5] An amount of $366,680 is anticipated from a Federal Highway Administration (FHWA) National Scenic Byway Grant associated with Project W00200 (W2020), Georgetown Pike Trail. An amount of $47,395 was received through FY 2010, and $319,285 is anticipated in FY 2011 and beyond.

[6] Represents developer contributions associated with site plan approvals or proffer development conditions, where the developer has agreed to provide funds for the implementation of walkways or trails within a magisterial district.

[7] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

## FY 2012 Summary of Capital Projects

### Fund: 307 Pedestrian Walkway Improvements

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 002200 | Emergency Maint. Of Existing Trails | | $109,776.88 | $0.00 | $100,000 |
| D00448 | Plaza America Pedestrian Improvements | 1,050,000 | 29,304.97 | 262,019.72 | 0 |
| K00447 | Richmond Highway Public Transportation Initiatives | 2,482,842 | 105,448.23 | 1,067,151.58 | 0 |
| K00448 | Richmond Highway Pedestrian Improvements | 375,000 | 1,974.40 | 30,699.39 | 0 |
| W00100 | Braddock District Walkways | 660,577 | 106.08 | 11,673.84 | 0 |
| W00200 | Dranesville District Walkways | 2,396,589 | 139,403.77 | 1,222,490.48 | 0 |
| W00300 | Hunter Mill District Walkways | 984,860 | 57,388.69 | 290,643.56 | 0 |
| W00400 | Lee District Walkways | 680,904 | 57,773.93 | 83,422.32 | 0 |
| W00500 | Mason District Walkways | 1,499,191 | 802.10 | 67,902.48 | 0 |
| W00600 | Mount Vernon District Walkways | 2,474,937 | 101,067.37 | 872,984.03 | 0 |
| W00700 | Providence District Walkways | 949,579 | 98,078.84 | 173,747.00 | 0 |
| W00800 | Springfield District Walkways | 907,158 | 87,099.60 | 30,023.84 | 0 |
| W00900 | Sully District Walkways | 1,380,836 | 105,000.00 | 155,360.55 | 0 |
| X00404 | Sidewalk Contingency | | 0.00 | 5,151.50 | 0 |
| X00407 | Sidewalk Replacement/VDOT | 2,400,000 | 4,801.62 | 79,349.72 | 0 |
| **Total** | | **$18,242,472** | **$898,026.48** | **$4,352,620.01** | **$100,000** |

# Fund 309
# Metro Operations and Construction

## Focus

Fund 309, Metro Operations and Construction, contains the funds provided by Fairfax County to pay the County's allocated portion of the Washington Metropolitan Area Transit Authority's (WMATA) FY 2012 operating and capital budget. The County subsidizes Metrorail, Metrobus, and MetroAccess (paratransit) service, contributes to construction costs associated with the 106-mile Metrorail system, and contributes to the repair, maintenance, rehabilitation, and replacement of capital equipment and facilities for the Metrobus, Metrorail, and MetroAccess systems.

The FY 2012 WMATA budget presented here includes preliminary County staff estimates in Fall 2010. The WMATA Board Budget Committee reviews the WMATA proposed budget between January and May 2011. The Metro Board will make its final decisions and approve a budget in June 2011.

The projected operating and capital requirements for the FY 2012 County's Metro subsidy are $108,676,073. The County's portion of the total WMATA budget is determined using several formulas that include factors such as jurisdiction of residence of passengers, number of stations located in a jurisdiction, the amount of service in a jurisdiction, the jurisdiction's population, and the jurisdiction's population density. The County meets its Metro subsidy through a General Fund transfer, General Obligation bonds, applied State Aid, Gas Tax receipts, and interest earnings on State Aid balances. State Aid and Gas Tax balances are held and directly disbursed to Metro by the Northern Virginia Transportation Commission (NVTC).

Based on current Metro system needs, an increase is anticipated in the FY 2012 operating subsidy requirement from local jurisdictions. The County's FY 2012 proposed operating contribution of $79.3 million includes an estimated 7 percent increase as well as a prior year WMATA audit adjustment of $2.2 million. In addition, Fund 309 supports a transfer out of $2.2 million to Fund 100, County Transit Systems. The total operational requirements of $79.3 million and the $2.2 million for County Transit requirements is funded through the following sources: a proposed FY 2012 General Fund transfer of $11.3 million (an increase of $3.9 million over the FY 2011 transfer), $51.8 million in applied State Aid, $18.0 million in applied Gas Tax Receipts, $0.3 million in anticipated interest on balances held by NVTC, and $0.1 million in proffer revenue from Fund 301, Contributed Roadway Improvement Fund for the operating support of bus service in the Franconia/Springfield area.

In FY 2012, General Obligation bond revenue of $24.77 million supports the majority of the $29.34 million County's subsidy for Metro Capital Construction Expenditures. Sources of support for the balance of the construction subsidy also include $4.41 million in State Aid and $0.16 million in and Gas Tax receipts.

Further adjustments to the Metro FY 2012 budget, to be approved by the Metro Board in June 2011, will be reflected as revisions to the County's FY 2012 budget as part of the County's *FY 2011 Carryover Review* process.

## FY 2012 Funding Adjustments

*The following funding is necessary to support the FY 2012 program.*

- **Metro Annual Operating Requirements** **$79,334,145**
  The projected FY 2012 subsidy requirement for WMATA Operating Expenses totals $79,334,145, a net increase of $7,246,159 over the *FY 2011 Revised Budget Plan* due to a prior year audit adjustment of $2.2 million and an operating increase of $5.0 million, or 7 percent, based on estimated funding requirements as of fall 2010. This funding level supports existing Metrorail and Metrobus service levels, including $48,303,042 for Metrobus; $16,689,503 for Metrorail; and $12,141,600 for MetroAccess service.

- **Metro Capital Requirements** **$29,341,928**
  Projected FY 2012 Capital Construction expenditures total $29,341,928 of which $26,173,000 will support the acquisition of facilities, equipment, rail cars, and buses, as well as provide general infrastructure support to the 106-mile Metrorail system. An amount of $3,168,928 funds the Adopted Regional System (ARS) debt service requirements.

- **Transfer Out to Fund 100, County Transit Systems** **$2,215,563**
  The FY 2012 Transfer Out of $2,215,563 to Fund 100, County Transit Systems, provides continued support for FAIRFAX CONNECTOR system. This level of support for County transit operations is consistent with an FY 2000 change in the NVTC State Aid and Gas Tax funding formula that resulted in a higher annual allocation to Fairfax County. When the formula was updated, the NVTC required that additional funds that resulted from the formula change be used only for transit service enhancements.

- **General Fund Support for Metro** **$11,298,296**
  A proposed General Fund transfer of $11,298,296 is an increase of $3,888,445 over the *FY 2011 Revised Budget Plan* level based on projected operating requirements.

- **Support from Fund 301, Contributed Roadway Improvement Fund** **$110,000**
  A transfer of $110,000 from Fund 301, Contributed Roadway Improvement Fund, provides annual operating support of shuttle service in the Franconia/Springfield area. This level is consistent with the prior year level of support.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **($6,221,000)**
  FY 2011 expenditures decreased $6,221,000 based on Metro's approved Capital Improvement Program budget. As a result of the lower expenditure requirements and $1,732,294 in bond revenue balances from FY 2010, County Bond Sale support in FY 2011 decreased by $7,953,294. In addition to the decrease in the approved Capital Improvement Program, Metro's approved FY 2012 budget incorporated a decrease of $4,741,005 from the County operating subsidy originally anticipated in the FY 2011 Adopted Budget Plan. As a result of this decrease, it was possible to reduce the level of Applied State Aid and Gas Tax Revenue by a commensurate amount.

## Key Performance Measures

### Objectives

- ♦ To increase the annual number of trips taken on Metrobus routes serving Fairfax County.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Trips originating in Fairfax County | 10,040,500 | 9,440,350 | 9,534,754 / 9,534,754 | 9,629,158 | 9,506,842 |
| Metrobus routes | 100 | 100 | 85 / 75 | 85 | 85 |
| Metrobus platform hours | 395,999 | 407,844 | 371,721 / 371,721 | 395,662 | 341,420 |
| Metrobus platform miles | 7,310,086 | 6,565,966 | 6,662,941 / 6,662,941 | 7,330,351 | 7,225,200 |
| **Efficiency:** | | | | | |
| Operating subsidy | $36,744,578 | $45,291,601 | $40,219,382 / $40,204,282 | $45,143,030 | $48,303,042 |
| Operating subsidy/platform hour | $92.79 | $111.05 | $108.20 / $108.16 | $114.09 | $141.48 |
| Operating subsidy/platform mile | $5.03 | $6.90 | $6.04 / $6.03 | $6.16 | $6.69 |
| Operating subsidy per Metrobus trip | $3.66 | $4.80 | $4.22 / $4.22 | $4.69 | $5.08 |
| **Outcome:** | | | | | |
| Percent change in Fairfax County trips | 8.3% | (6.0%) | 1.0% / 1.0% | 1.0% | (1.3%) |

## Performance Measurement Results

Fairfax County Metrobus ridership has fluctuated slightly from year to year for the period from FY 2008 through FY 2010. Metrobus trips originating in Fairfax County increased by 1 percent from FY 2009 to FY 2010, with a FY 2010 total of 9.5 million trips. Annual growth of 94,404 trips is anticipated in FY 2011. A decrease of 122,316, or 1.3 percent, is anticipated in FY 2012. It is noted that jurisdictional data provided by WMATA has been used to populate this chart. The County's FY 2012 operating subsidy per Metrobus trip is projected to be $5.08.

## Key Performance Measures

### Objectives

- ♦ To increase the number of Metrorail trips originating in Fairfax County.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Fairfax County ridership | 28,432,596 | 29,012,470 | 29,302,595 / 32,235,300 | 32,499,600 | 32,469,920 |
| **Efficiency:** | | | | | |
| Operating subsidy | $19,266,866 | $17,664,683 | $22,621,746 / $22,621,746 | $15,597,666 | $16,689,503 |
| Operating subsidy per Metrorail passenger | $0.68 | $0.61 | $0.77 / $0.70 | $0.48 | $0.51 |
| **Outcome:** | | | | | |
| Percent change in Fairfax County ridership | (1.3%) | 2.0% | 1.0% / 11.1% | 0.8% | (0.1%) |

## Performance Measurement Results

Fairfax County Metrorail ridership is projected to be 32,469,920 in FY 2012, nearly flat with the FY 2011 level. It is noted that jurisdictional data provided by WMATA has been used to populate this chart. The County FY 2012 operating subsidy per Metrorail trip is projected to be $0.51.

# Fund 309
# Metro Operations and Construction

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** | **Fund 309, Metro Operations and Construction**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **($32,252,164)** | **$0** | **$1,732,294** | **$0** |
| Revenue: | | | | |
| Revenue Applied to Operating Expenses: | | | | |
| State Aid | $46,002,881 | $49,079,760 | $48,338,755 | $51,841,412 |
| Gas Tax Revenue | 17,798,638 | 22,000,000 | 18,000,000 | 18,000,000 |
| Interest on NVTC Balances | 300,000 | 300,000 | 300,000 | 300,000 |
| Subtotal - State/Gas Revenue, Operating | $64,101,519 | $71,379,760 | $66,638,755 | $70,141,412 |
| Revenue Applied to Capital Expenses: | | | | |
| NVTD Bonds Applied to Capital Construction[1] | $0 | $5,000,000 | $5,000,000 | $0 |
| State Aid Applied to ARS Debt Service | 3,010,456 | 3,010,481 | 3,010,481 | 3,010,481 |
| Gas Tax Rev. Applied to ARS Debt Service | 158,444 | 158,447 | 158,447 | 158,447 |
| State Aid Applied to Metro Matters Capital | 1,000,000 | 0 | 0 | 0 |
| CMAQ Funds Applied to Metro Matters Capital[2] | 1,648,000 | 0 | 0 | 0 |
| State Aid Applied to Beyond Metro Matters Capital | 417,000 | 1,400,000 | 1,400,000 | 1,400,000 |
| Subtotal - State/Gas Revenue, Capital | $6,233,900 | $9,568,928 | $9,568,928 | $4,568,928 |
| County Revenue | | | | |
| County Bond Sales[3] | $56,300,000 | $22,692,000 | $14,738,706 | $24,773,000 |
| Subtotal - County Revenue | $56,300,000 | $22,692,000 | $14,738,706 | $24,773,000 |
| Total Revenue | $126,635,419 | $103,640,688 | $90,946,389 | $99,483,340 |
| Transfers In: | | | | |
| General Fund (001) | $7,409,851 | $7,409,851 | $7,409,851 | $11,298,296 |
| Contributed Roadway Improvement Fund (301) | 110,000 | 110,000 | 110,000 | 110,000 |
| Total Transfers In | $7,519,851 | $7,519,851 | $7,519,851 | $11,408,296 |
| **Total Available** | **$101,903,106** | **$111,160,539** | **$100,198,534** | **$110,891,636** |
| Expenditures: | | | | |
| Operating Expenditures | | | | |
| Bus Operating Subsidy[4] | $40,204,282 | $42,914,081 | $45,143,030 | $48,303,042 |
| Rail Operating Subsidy | 22,621,746 | 24,137,403 | 15,597,666 | 16,689,503 |
| ADA Paratransit - Metro | 9,163,549 | 9,777,507 | 11,347,290 | 12,141,600 |
| Prior Year Audit Adjustments[5] | 0 | 0 | 0 | 2,200,000 |
| Subtotal - Operating Expenditures | $71,989,577 | $76,828,991 | $72,087,986 | $79,334,145 |

# Fund 309
# Metro Operations and Construction

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** **Fund 309, Metro Operations and Construction**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Capital Construction Expenditures | | | | |
| Metro Matters Capital | $22,604,354 | $0 | $0 | $0 |
| Beyond Metro Matters Capital | 417,000 | 0 | 0 | 0 |
| Metro Capital Improvement Program | 0 | 29,092,000 | 22,871,000 | 26,173,000 |
| ARS Debt Service | 3,168,900 | 3,168,928 | 3,168,928 | 3,168,928 |
| Total County Capital Construction Subsidy | $26,190,254 | $32,260,928 | $26,039,928 | $29,341,928 |
| Total Operating and Capital Subsidy | $98,179,831 | $109,089,919 | $98,127,914 | $108,676,073 |
| Applied Support | | | | |
| Operating | ($63,801,519) | ($71,079,760) | ($66,338,755) | ($69,841,412) |
| Applied Interest at NVTC to Operating | (300,000) | (300,000) | (300,000) | (300,000) |
| Applied NVTD Bonds to Capital Construction[1] | 0 | (5,000,000) | (5,000,000) | 0 |
| Applied NVTC State Aid and Gas Tax to Capital | (4,585,900) | (4,568,928) | (4,568,928) | (4,568,928) |
| Applied CMAQ Funds to Capital | (1,648,000) | 0 | 0 | 0 |
| Total Expenditures, County | $27,844,412 | $28,141,231 | $21,920,231 | $33,965,733 |
| Transfers Out: | | | | |
| County Transit Systems (100) | $1,990,981 | $2,070,620 | $2,070,620 | $2,215,563 |
| Total Transfers Out | $1,990,981 | $2,070,620 | $2,070,620 | $2,215,563 |
| **Total Disbursements, NVTC and County** | **$100,170,812** | **$111,160,539** | **$100,198,534** | **$110,891,636** |
| | | | | |
| **Ending Balance [6]** | **$1,732,294** | **$0** | **$0** | **$0** |
| General Fund and Contributions | $0 | $0 | $0 | $0 |
| Bond Funds | 1,732,294 | 0 | 0 | 0 |
| **Unreserved Balance** | **$0** | **$0** | **$0** | **$0** |

[1] In July 2009, the Commonwealth Transportation Board approved the issuance of Northern Virginia Transportation District (NVTD) bonds, $5.0 million of which was applied to meet Fairfax County's portion of Metro's FY 2011 capital onstruction requirements.

[2] Congestion Management and Air Quality (CMAQ) federal revenue was applied in FY 2010 for the purchase of replacement buses at WMATA.

[3] The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. On November 2, 2004, the voters approved a $110 million Transportation Bond. In October 2009, an amount of $56.3 million was sold, including $2.59 million in bond premium, leaving a balance of $9.57 million in authorized but unissued bonds for this fund. The October 2009 bond sale amount included $37.6 million to provide County one-time support to the Metro Capital Program, allowing the County to opt-out of debt service payments associated with capital projects for the next 25 years. The increase in County Bond Sales between FY 2011 and FY 2012 is necessary primarily to replace beginning balance and NVTD bonds, available in FY 2011 but not in FY 2012 in support of expenditure requirements. On November 2, 2010, the voters approved a $120 million Transportation bond, which will support FY 2012 and future years' bond sales for the County share of Metro capital requirements.

[4] Expenditures for the Bus Operating Subsidy include continuing annual support of the Springfield Circulator service.

[5] The prior year adjustment is the amount owed or credited as a result of WMATA's audit of expenditures from two years prior.

[6] The ending balance in Fund 309, Metro Operations and Construction, varies from year to year and is primarily related to differences between the preliminary budget presented by WMATA's General Manager and WMATA's Adopted budget. The FY 2010 ending balance for bond funds results from the application of unanticipated CMAQ revenue in FY 2010 to capital construction expenditures.

# Fund 311
# County Bond Construction

## Focus

Fund 311, County Bond Construction, supports general County construction projects associated with Human Services facilities, Adult and Juvenile Detention facilities, and transportation-related facilities. The primary source of funding is voter-approved General Obligation bonds. In addition, this fund receives grant funding from the Federal Transportation Administration (FTA), the Virginia Department of Transportation (VDOT), and the Washington Metro Area Transit Authority (WMATA) for several transit-related facilities.

No funding is included for Fund 311, County Bond Construction, in FY 2012. Work will continue on existing and previously funded projects.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $71,834,175 due to the carryover of unexpended project balances in the amount of $71,248,150 and an adjustment of $586,025. This adjustment was due to the appropriation of Federal Transportation Administration (FTA) revenue received in FY 2010 associated with expenditure reimbursements for project work at the Herndon/Monroe Transit Center Parking Garage.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 311
# County Bond Construction

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** **Fund 311, County Bond Construction**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$13,764,278** | **$0** | **$22,341,740** | **$0** |
| Revenue: | | | | |
| Sale of Bonds[1] | $11,600,000 | $0 | $48,042,034 | $0 |
| VDOT Funding[2] | 0 | 0 | 1,450,401 | 0 |
| Federal Transportation Administration[3] | 1,762,750 | 0 | 0 | 0 |
| Miscellaneous Revenues | 0 | 0 | 0 | 0 |
| Total Revenue | $13,362,750 | $0 | $49,492,435 | $0 |
| Transfers In: | | | | |
| Dept of Vehicle Services (503)[4] | $4,695,318 | $0 | $0 | $0 |
| Total Transfers In | $4,695,318 | $0 | $0 | $0 |
| **Total Available** | **$31,822,346** | **$0** | **$71,834,175** | **$0** |
| Total Expenditures | $8,980,606 | $0 | $71,834,175 | $0 |
| Transfers Out: | | | | |
| General Fund (001)[5] | $500,000 | $0 | $0 | $0 |
| Total Transfers Out | $500,000 | $0 | $0 | $0 |
| **Total Disbursements** | **$9,480,606** | **$0** | **$71,834,175** | **$0** |
| **Ending Balance[6]** | **$22,341,740** | **$0** | **$0** | **$0** |

[1] The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. On November 2, 2004, the voters approved a $32.5 million Human Services Bond Referendum. On November 6, 2007, the voters approved $50 million as part of the School Bond Referendum to support renovations and expansion at the Newington Department of Vehicle Services (DVS) Garage which supports both County and School vehicles. The FY 2010 Actuals reflect an amount of $10.2 million sold from the Human Services Referendum and $1.4 million sold from the School Referendum in October 2009. Including prior sales, a balance of $54.8 million remains in authorized but unissued bonds for this fund.

[2] A total of $3,900,000 was anticipated from the Virginia Department of Transportation (VDOT) for transit projects. Through FY 2009, $2,449,599 has been received and $1,450,401 is anticipated in FY 2011 and beyond.

[3] Represents balances of anticipated Federal Transportation Administration (FTA) grant funding. FTA funding is based on reimbursements of approximately 75 to 80 percent of expenditures which may fluctuate based on actual project scopes. Original estimates for FTA reimbursements totaled $39,158,860, including $5,205,000 for Wiehle Avenue Commuter Parking, $25,661,845 for the Herndon/Monroe Transit Center, $4,225,807 for Park and Ride facilities and $4,066,208 for several Dulles Corridor projects. In FY 2010, additional revenue of $586,025 was received for Herndon/Monroe Transit Center based on actual project costs reimbursed through FTA, bringing the total to $39,744,885.

[4] FY 2010 represents transfers in from Fund 503, Department of Vehicle Services (DVS) to support construction costs associated with Project 07A001, Newington DVS Renovation.

[5] Represents $500,000 in General Fund monies identified in Project 04A002, Gregory Drive Treatment Facility, as the result of lower than anticipated contract award which were transferred back to the General Fund.

[6] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 311
# County Bond Construction

### FY 2012 Summary of Capital Projects

**Fund: 311 County Bond Construction**

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 04A001 | Girls Probation House | $5,571,562 | $11,895.60 | $831,571.36 | $0 |
| 04A002 | Gregory Drive Treatment Facility | 4,693,274 | 2,544,896.62 | 1,061,736.20 | 0 |
| 04A003 | Woodburn Mental Health Center | 950,000 | 294,222.42 | 544,129.43 | 0 |
| 04A004 | Mount Vernon Mental Health Center | 10,900,050 | 2,290,550.32 | 3,131,567.77 | 0 |
| 04A005 | Less Secure Shelter II | 6,658,162 | 2,266,333.96 | 1,484,618.68 | 0 |
| 07A001 | Newington DVS Renovation | 57,395,318 | 1,423,381.72 | 55,587,156.22 | 0 |
| 88A002 | West Ox Bus Operations Center | 54,453,951 | 135,620.86 | 5,872,523.85 | 0 |
| 88A014 | Newington Maint. Fac. Expansion | 3,370,493 | 0.00 | 56,297.40 | 0 |
| 88A015 | West Ox Maint. Fac. Expansion | 5,719,766 | 3,852.01 | 270,687.87 | 0 |
| 89A001 | ADC Expansion II | 81,190,286 | 0.00 | 21,328.37 | 0 |
| 89A015 | Juvenile Facilities Feasibility Studies | 85,210 | 0.00 | 18,073.44 | 0 |
| 90A015 | East County Center | 50,000 | 0.00 | 49,999.76 | 0 |
| 90A016 | Herndon Monroe Parking Garage Repairs | 5,146,993 | 9,852.32 | 2,904,484.80 | 0 |
| **Total** | | **$236,185,066** | **$8,980,605.83** | **$71,834,175.15** | **$0** |

# Fund 312
# Public Safety Construction

## Focus

This fund supports the construction of fire and police stations, governmental centers with police substations, and other public safety facilities. Projects are funded by several public safety bond referenda approved by the voters, and the General Fund. The latest referendum was approved by voters on November 7, 2006. This referendum included $125 million to support the expansion and renovation of fire and rescue facilities, police stations and the West Ox Animal Shelter.

FY 2012 funding in the amount of $750,000 is included in Fund 312, Public Safety Construction, to complete construction associated with the renovation of a fourth courtroom in the original portion of the Jennings Judicial Center. Of this amount, $550,000 is funded by the General Fund and $200,000 is transferred from Fund 105, Cable Communications to support wiring, cabling and other technology costs associated with courtroom technology. Of the 26 courtrooms in the Jennings Building, renovations are complete on three courtrooms, with a fourth courtroom having completed the design phase only. These courtrooms require improved lighting; ductwork realignment; millwork refinishing, and new wall, floor and ceiling finishes; ADA compliance upgrades, and technology upgrades to remain operational. Courtroom technology improvements will support integrated and mobile evidence presentation, real time court reporting, wireless access, electronic way finding, video conferencing and video arraignment, improving efficiencies and facilitation of court process and services. Funding to complete the remaining 22 courtrooms will be required in future years.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $118,064,588 due to the carryover of unexpended project balances in the amount of $117,121,085 and a net adjustment of $943,503. This adjustment was primarily due to the appropriation of revenues received in FY 2010 associated with a contribution from the Great Falls Fire Station volunteers in the amount of $850,000 to support construction of the Great Falls Station renovation and expansion project. In addition, in October 2009 the County sold $202.2 million of Federally Taxable Build America Bonds. Based on the Internal Revenue Code §54AA Section (g) (2) (A), 100 percent of available project proceeds, which include investment earnings, must be used on capital expenditures. Therefore, interest earnings on Build America Bond proceeds in the amount of $85,032 were allocated to this construction fund. In addition, an amount of $8,471 in miscellaneous revenue was received in FY 2010.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 312
# Public Safety Construction

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** | **Fund 312, Public Safety Construction**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$44,980,298** | **$0** | **$41,145,454** | **$0** |
| Revenue: | | | | |
| Sale of Bonds[1] | $13,600,000 | $0 | $76,919,134 | $0 |
| Build America Bond Interest[2] | 85,032 | 0 | 0 | 0 |
| Contributions | 850,000 | 0 | 0 | 0 |
| Miscellaneous Revenues | 8,471 | 0 | 0 | 0 |
| Total Revenue | $14,543,503 | $0 | $76,919,134 | $0 |
| Transfer In: | | | | |
| General Fund (001)[3] | $800,000 | $0 | $0 | $550,000 |
| Cable Communications (105)[4] | 0 | 0 | 0 | 200,000 |
| County Construction (303)[5] | 1,500,000 | 0 | 0 | 0 |
| Total Transfers In | $2,300,000 | $0 | $0 | $750,000 |
| **Total Available** | **$61,823,801** | **$0** | **$118,064,588** | **$750,000** |
| Total Expenditures | $17,678,347 | $0 | $118,064,588 | $750,000 |
| Transfer Out: | | | | |
| General Fund (001) | $3,000,000 | $0 | $0 | $0 |
| Total Transfers Out | $3,000,000 | $0 | $0 | $0 |
| **Total Disbursements** | **$20,678,347** | **$0** | **$118,064,588** | **$750,000** |
| **Ending Balance[6]** | **$41,145,454** | **$0** | **$0** | **$0** |

[1] The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. On November 7, 2006, the voters approved a $125 million Public Safety Bond Referendum to support renovations and priority expansions at public safety facilities. In October 2009, an amount of $13.6 million was sold, leaving a balance of $93.58 million in authorized but unissued bonds for this fund.

[2] In October 2010, the County sold $202.2 million of Federally Taxable Build America Bonds. According to Internal Revenue Code §54AA Section (g) (2) (A) 100 percent of available project proceeds, which includes investment earnings, must be used on capital expenditures. Therefore, interest earnings on Build America Bond proceeds in the amount of $85,032 have been allocated to this construction fund.

[3] FY 2010 funding is associated with Project 009218, Courthouse IT Equipment and Support ($800,000) for the final systems furniture lease purchase payment. FY 2012 funding is associated with Project 009223, Jennings Courtroom Renovations ($550,000) for the renovation of a fourth courtroom.

[4] FY 2011 funding is associated with Project 009223, Jennings Courtroom Renovations for technology upgrades associated with the renovation of a fourth courtroom.

[5] The FY 2010 transfer in from Fund 303, County Construction was necessary to support higher than anticipated construction costs for Project 009209, Judicial Center Expansion.

[6] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 312
# Public Safety Construction

### FY 2012 Summary of Capital Projects

### Fund: 312 Public Safety Construction

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 009049 | Tysons Fire Station | $100,000 | $17,456.51 | $82,543.49 | $0 |
| 009051 | Bailey's Crossroads Fire Station | 200,000 | 0.00 | 200,000.00 | 0 |
| 009079 | Fairfax Center Fire Station | 8,899,923 | 85,252.03 | 48,370.51 | 0 |
| 009088 | Traffic Light Signalization | 967,762 | 96,560.61 | 211,373.58 | 0 |
| 009094 | Wolftrap Fire Station | 10,675,000 | 12,857.00 | 6,554,999.24 | 0 |
| 009203 | Public Safety Contingency | | 0.00 | 913,289.68 | 0 |
| 009204 | Burke Volunteer Fire Station | 4,482,327 | 0.00 | 20,437.11 | 0 |
| 009205 | Parking - PS Complex | 20,894,965 | 308.50 | 0.00 | 0 |
| 009206 | Mt. Vernon Police Station | 7,020,850 | 11,387.15 | 17,574.64 | 0 |
| 009209 | Judicial Center Expansion | 127,370,483 | 2,099,469.66 | 1,133,265.14 | 0 |
| 009210 | Crosspointe Fire Station | 9,243,370 | 15,328.69 | 79,746.76 | 0 |
| 009211 | McConnell Public Safety and Transportation Operations Center | 95,482,130 | 8,835,993.51 | 6,624,724.83 | 0 |
| 009215 | Herndon Fire Station | 1,350,000 | 96,989.11 | 1,164,669.31 | 0 |
| 009217 | Stonecroft Boulevard Widening | 782,383 | 8,082.69 | 629,080.35 | 0 |
| 009218 | Courthouse IT Equipment and Support | 11,800,150 | 1,336,510.86 | 3,588,587.27 | 0 |
| 009219 | Old Courthouse Renovation | 68,484 | 3,948.79 | 0.00 | 0 |
| 009220 | Public Safety Master Plan | 600,000 | 82,057.86 | 247,498.85 | 0 |
| 009222 | Pine Ridge Feasibility Study | 300,000 | 0.00 | 299,598.47 | 0 |
| 009223 | Jennings Courtroom Renovations | 3,530,000 | 710,075.46 | 1,617,686.19 | 750,000 |
| 009224 | Great Falls Fire Station | 10,450,000 | 754,139.16 | 8,989,172.27 | 0 |
| 009225 | Fair Oaks Police Station | 17,400,000 | 159,188.05 | 16,224,577.62 | 0 |
| 009226 | Reston Police Station Renovation | 18,800,000 | 142,466.21 | 18,651,255.84 | 0 |
| 009227 | McLean Police Station Renovation | 20,100,000 | 163,495.74 | 19,935,671.31 | 0 |
| 009228 | West Ox Road Animal Shelter | 17,000,000 | 704,597.82 | 15,624,201.35 | 0 |
| 009229 | Fire & Rescue Training Academy Expansion and Renovation | 17,100,000 | 2,235,887.94 | 14,393,576.64 | 0 |
| 009231 | MPSTOC Operating and Equipment Support | 1,820,972 | 106,293.68 | 812,687.31 | 0 |
| **Total** | | **$406,438,799** | **$17,678,347.03** | **$118,064,587.76** | **$750,000** |

# Fund 314
# Neighborhood Improvement Program

## Focus

Fund 314, Neighborhood Improvement Program, provides for improvements to public facilities including curbs and gutters, sidewalks, street widening and storm drainage improvements to enhance the condition and appearance of participating neighborhoods. Neighborhoods were selected for participation in the program on the basis of their need for general community improvements due to problems of road and yard flooding and/or traffic problems, as well as their willingness to share in the implementation of a Community Plan. The program focuses on the preservation and improvement of the County's older, yet stable, neighborhoods of predominantly single-family homes which are currently vulnerable to deterioration.

Homeowners receiving improvements from the program reimburse the County for their share of actual construction costs. The County pays all engineering, administrative and overhead costs. Each homeowner's share is based on the length of street footage of their lot, the type of improvements installed and the average assessed value of housing in the neighborhood. Homeowners' payments, made once construction is completed, can be paid in one lump sum amount, or in semi-annual installments with interest over a ten-year period. For elderly or disabled homeowners, payment may be extended beyond the ten-year payback period. These homeowner payments are used to offset debt service costs associated with the issuance of General Obligation Bonds for the Neighborhood Improvement Program. Payments are transferred to the County's debt service fund periodically once contributions have accrued to a significant amount. The Neighborhood Improvement Program was also financed with General Obligation Bonds approved by the voters in November 1989 in the amount of $24.0 million. All bonds from the 1989 Referendum have been sold.

No funding is included in Fund 314, Neighborhood Improvement Program, in FY 2012. Work will continue on existing and previously funded projects.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

♦ As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $148,485 due to the carryover of unexpended project balances.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 314
# Neighborhood Improvement Program

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** **Fund 314, Neighborhood Improvement Program**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$428,896** | **$98,858** | **$250,939** | **$107,454** |
| Revenue: | | | | |
| Home Owner Contributions[1] | $8,596 | $5,000 | $5,000 | $5,000 |
| Total Revenue | $8,596 | $5,000 | $5,000 | $5,000 |
| **Total Available** | **$437,492** | **$103,858** | **$255,939** | **$112,454** |
| Total Expenditures | $0 | $0 | $148,485 | $0 |
| Transfers Out: | | | | |
| Consolidated Debt Service (200-201)[2] | $186,553 | $0 | $0 | $0 |
| Total Transfers Out | $186,553 | $0 | $0 | $0 |
| **Total Disbursements** | **$186,553** | **$0** | **$148,485** | **$0** |
| | | | | |
| **Ending Balance[3]** | **$250,939** | **$103,858** | **$107,454** | **$112,454** |

[1] Represents payments from homeowners for their contribution toward construction costs associated with improvements in their neighborhoods. Bond funds are used to finance these projects, and upon completion of construction, the improvements are assessed and the homeowners make their payments with interest. Funds received (i.e., both principal and interest) are periodically transferred to Fund 200-201, Consolidated Debt Service, to partially assist in paying the debt service costs associated with Neighborhood Improvement projects.

[2] The Transfer Out to Fund 200-201, Consolidated Debt Service, offsets debt service costs associated with the issuance of General Obligation Bonds.

[3] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 314
# Neighborhood Improvement Program

## FY 2012 Summary of Capital Projects

**Fund: 314 Neighborhood Improvement Program**

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| C00097 | Holmes Run Valley | $50,000 | $0.00 | $42,544.30 | $0 |
| C00098 | Mount Vernon Hills | 50,000 | 0.00 | 42,203.96 | 0 |
| C00099 | Planning Project Fund 314 | | 0.00 | 63,736.32 | 0 |
| **Total** | | **$100,000** | **$0.00** | **$148,484.58** | **$0** |

# Fund 315
# Commercial Revitalization Program

## Focus

The Commercial Revitalization Program funds the development and promotion of competitive, attractive and stable commercial centers leading to improved facilities for communities. Improvements include underground utilities, sidewalk construction, street lighting, tree planting and other pedestrian amenities. In the November 1988 bond referendum, Fairfax County voters approved $22.3 million for public improvements in commercial and redevelopment areas of the County. Of this amount, $17.1 million was dedicated to fund utility and street landscaping projects in three designated revitalization districts: Central Annandale, Central Springfield and Bailey's Crossroads. The remaining amount of $5.2 million was divided among the revitalization projects in the Town of Vienna, the McLean Central Business District and along a portion of the Route 1 corridor. In addition to bond proceeds, revenue from the Virginia Department of Transportation (VDOT), the County and developer contributions support improvement efforts within this fund.

Revitalization is one part of an overall County strategy to accomplish the economic rejuvenation of older retail and business centers. Through targeted efforts of the Revitalization Program it is anticipated that these areas will become more competitive commercially, offer better services and improved shopping opportunities and will become viable candidates for private reinvestment.

No funding is included in Fund 315, Commercial Revitalization Program, in FY 2012. Work will continue on existing and previously funded projects.

## Changes to <u>FY 2011 Adopted Budget Plan</u>

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the <u>FY 2011 Adopted Budget Plan</u>. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $4,098,234 due to the carryover of unexpended project balances in the amount of $4,096,554 and an adjustment of $1,680. This adjustment was due to the appropriation of miscellaneous revenues received in FY 2010.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 315
# Commercial Revitalization Program

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** | **Fund 315, Commercial Revitalization Program**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$509,042** | **$0** | **$32,025** | **$0** |
| Revenue: | | | | |
| Sale of Bonds[1] | $0 | $0 | $2,124,243 | $0 |
| VDOT Revenues[2] | 0 | 0 | 1,477,745 | 0 |
| Developer Contributions[3] | 0 | 0 | 464,221 | 0 |
| Miscellaneous | 1,680 | 0 | 0 | 0 |
| Total Revenue | $1,680 | $0 | $4,066,209 | $0 |
| **Total Available** | **$510,722** | **$0** | **$4,098,234** | **$0** |
| Total Expenditures | $478,697 | $0 | $4,098,234 | $0 |
| **Total Disbursements** | **$478,697** | **$0** | **$4,098,234** | **$0** |
| **Ending Balance[4]** | **$32,025** | **$0** | **$0** | **$0** |

[1] The sale of bonds is presented here for planning purposes. Actual bond sales are based on cash needs in accordance with Board policy. In the fall of 1988, the voters approved a $22.3 million Commercial Revitalization bond referendum. Including prior sales, a balance of $2.26 million remains in authorized but unissued bonds associated with the fall 1988 referendum.

[2] An amount of $1,477,745 is anticipated in VDOT revenue for Project 008912, McLean Streetscape ($898,745), Project 008909, Annandale Streetscape ($369,000) and Project 008911, Baileys Crossroads Streetscape ($210,000) in FY 2011 and beyond.

[3] Developer contributions include $250,000 for undergrounding utilities along Chain Bridge Road within Project 008914, Route 1 Streetscape and $214,221 for Project 008911, Baileys Crossroads Streetscape.

[4] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 315
# Commercial Revitalization Program

## FY 2012 Summary of Capital Projects

### Fund: 315 Commercial Revitalization Program

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 008903 | Springfield Streetscape Phase I | $3,169,236 | $0.00 | $230,926.26 | $0 |
| 008909 | Annandale Streetscape | 7,304,596 | 292,931.83 | 773,425.82 | 0 |
| 008911 | Baileys Crossroads Streetscape | 6,575,904 | 12,685.19 | 315,587.76 | 0 |
| 008912 | McLean Streetscape | 3,894,629 | 134,925.56 | 2,474,040.96 | 0 |
| 008914 | Route 1 Streetscape | 1,642,160 | 16,788.31 | 293,325.36 | 0 |
| 008919 | Road Redevelopment | 907,517 | 21,365.93 | 10,928.32 | 0 |
| **Total** | | **$23,494,042** | **$478,696.82** | **$4,098,234.48** | **$0** |

# Fund 316
# Pro Rata Share Drainage Construction

## Focus

This fund supports storm drainage capital projects through contributions in accordance with the Pro Rata Share Program approved by the Board of Supervisors on December 16, 1991. The Pro Rata Share Program provides a funding source to correct drainage deficiencies by collecting a proportionate share of the total estimated cost of drainage improvements from the developers of the land. Pro Rata funds are used to finance projects within specific watershed areas. As projects are identified and prioritized during scheduled budgetary reviews, Pro Rata funds on deposit are appropriated to this fund.

No funding is included for Fund 316, Pro Rata Share Drainage Construction, in FY 2012. All funding for this program is from private sources. Existing projects will utilize Pro Rata funds received to support watershed planning, regional pond development and other drainage improvement projects.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $10,337,006 due to the carryover of unexpended project balances in the amount of $10,217,306 and an adjustment of $119,700. This adjustment was due to the appropriation of pro rata share funds to support the Hunters Branch stream restoration project.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 316
# Pro Rata Share Drainage Construction

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** | **Fund 316, Pro Rata Share Drainage Construction**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$6,271** | **$0** | **$6,271** | **$0** |
| Revenue: | | | | |
| Pro Rata Shares | $4,506,173 | $0 | $9,656,048 | $0 |
| Developer Contributions[1] | 0 | 0 | 674,687 | 0 |
| Total Revenue | $4,506,173 | $0 | $10,330,735 | $0 |
| **Total Available** | **$4,512,444** | **$0** | **$10,337,006** | **$0** |
| Total Expenditures | $4,506,173 | $0 | $10,337,006 | $0 |
| **Total Disbursements** | **$4,506,173** | **$0** | **$10,337,006** | **$0** |
| **Ending Balance[2]** | **$6,271** | **$0** | **$0** | **$0** |

[1] Represents anticipated developer contributions associated with Project DF1046, Regional Pond D-46.

[2] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 316
# Pro Rata Share Drainage Construction

### FY 2012 Summary of Capital Projects

**Fund: 316 Pro Rata Share Drainage Construction**

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| AC0009 | Regional Pond L-9 | $210,000 | $0.00 | $209,722.18 | $0 |
| AC0311 | Long Branch Phase II | 195,800 | 0.00 | 154,276.17 | 0 |
| AC0352 | Hunter Branch | 303,370 | 0.00 | 232,047.23 | 0 |
| AC1166 | Accotink Regional Pond B | 10,000 | 0.00 | 8,912.10 | 0 |
| AC8001 | Accotink Creek Watershed Projects | 115,000 | 0.00 | 115,000.00 | 0 |
| AC9999 | Accotink Creek Watershed Study | 1,433,854 | 299,996.33 | 3.67 | 0 |
| BE0205 | Fairview Drive | 65,000 | 0.00 | 40,481.37 | 0 |
| BE9999 | Belle Haven Watershed Study | 110,000 | 44,781.92 | 1.17 | 0 |
| BN9999 | Bullneck Run Watershed Study | 93,018 | 0.00 | 1,967.09 | 0 |
| BR8001 | Bull Run Watershed Projects | 186,071 | 86,860.61 | 65,702.39 | 0 |
| CA0252 | Runnymeade Subdivision | 665,000 | 0.00 | 50,179.84 | 0 |
| CA0289 | Indian Run Phase IV | 550,000 | 0.00 | 158.00 | 0 |
| CA0451 | Vine Street | 570,000 | 5,212.63 | 190,107.43 | 0 |
| CA0532 | Falls Hill Subdivision | 530,000 | 0.00 | 164,912.63 | 0 |
| CA8001 | Cameron Run Watershed Projects | 25,000 | 0.00 | 25,000.00 | 0 |
| CU0018 | Regional Pond C-18 | 631,800 | (3,336.85) | 439,895.60 | 0 |
| CU0020 | Regional Pond C-20 | 362,500 | 0.00 | 12,170.36 | 0 |
| CU0023 | Regional Pond C-23 | 30,000 | 0.00 | 30,000.00 | 0 |
| CU0024 | Regional Pond C-24 | 150,000 | 0.00 | 13,142.80 | 0 |
| CU0035 | Regional Pond C-35 | 94,556 | 0.00 | 27,431.25 | 0 |
| CU0041 | Regional Pond C-41 | 830,000 | 0.00 | 78,642.11 | 0 |
| CU0054 | Regional Pond C-54 | 267,500 | 0.00 | 36,696.17 | 0 |
| CU1030 | Regional Pond C-30 | 460,990 | 0.00 | 47,703.10 | 0 |
| CU8001 | Cub Run Pro Rata Share Project | | 1,854,392.10 | 1,899,566.12 | 0 |
| CU9999 | Cub Run Watershed Study | 2,015,000 | 0.00 | 431,867.11 | 0 |
| DC0691 | Hayfield Farms | 468,000 | 0.00 | 178,698.88 | 0 |
| DC8001 | Dogue Creek Watershed Projects | 200,000 | 0.00 | 200,000.00 | 0 |
| DC9999 | Dogue Creek Watershed Study | 666,459 | 204,301.15 | 145,846.85 | 0 |
| DE9999 | Dead Run Watershed Study | 16,000 | 0.00 | 2,229.98 | 0 |
| DF0002 | Regional Pond D-2 | 10,000 | 0.00 | 9,415.30 | 0 |
| DF0030 | Regional Pond D-30 | 50,000 | 0.00 | 9,022.09 | 0 |
| DF0106 | Wolf Trap Pond Retrofit | 276,000 | 0.00 | 57,904.96 | 0 |
| DF0299 | Governors Run Drainage Improvements | 354,000 | 0.00 | 72,480.13 | 0 |
| DF0361 | Clarks Landing | 810,867 | 275.00 | 46,652.60 | 0 |
| DF0691 | Gunder Vale | 249,000 | 0.00 | 100,506.58 | 0 |
| DF0913 | Reston 913 Pond Retrofit | 338,859 | 1,110.60 | 85,994.63 | 0 |
| DF1014 | Little Run Farm Reg. Pond D-14 | 430,000 | 0.00 | 6,320.55 | 0 |
| DF1017 | Regional Pond D-17 | 1,011,000 | 0.00 | 329,762.60 | 0 |
| DF1036 | Regional Pond D-36 | 5,000 | 0.00 | 5,000.00 | 0 |
| DF1037 | Yonder Hills Regional Pond D-37 | 200,000 | 0.00 | 33,299.22 | 0 |
| DF1040 | Regional Pond D-40 | 145,000 | 0.00 | 134,043.82 | 0 |
| DF1046 | Regional Pond D-46 | 1,573,421 | 0.00 | 7,229.12 | 0 |
| DF1047 | Regional Pond D-47 | 700,500 | 0.00 | 41,263.96 | 0 |
| DF1151 | Regional Pond D-151 | 135,000 | 0.00 | 133,217.82 | 0 |
| DF8001 | Difficult Run Pro Rata Share Project | 75,000 | 0.00 | 75,000.00 | 0 |
| DF9999 | Difficult Run Watershed Study | 1,630,000 | 0.00 | 55,953.99 | 0 |
| HC1009 | Regional Pond H-9 | 455,798 | 61,817.66 | 104,560.37 | 0 |
| HC1471 | West Ox Detention Pond | 166,696 | 0.00 | 30,985.85 | 0 |
| HC8001 | Horsepen Creek Watershed Project | 900,227 | 614,465.74 | 285,761.65 | 0 |
| HC9999 | Horsepen Creek Watershed Study | 760,000 | 140,868.66 | 235,898.81 | 0 |
| LB8001 | Long Branch Watershed Projects | 2,500 | 0.00 | 2,500.00 | 0 |

# Fund 316
# Pro Rata Share Drainage Construction

### FY 2012 Summary of Capital Projects

**Fund: 316 Pro Rata Share Drainage Construction**

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| LH0232 | Huntley Subdivision | 47,800 | 0.00 | 14,778.03 | 0 |
| LH8001 | Little Hunting Creek Watershed Projects | 25,000 | 0.00 | 25,000.00 | 0 |
| LH9999 | Little Hunting Creek Watershed Study | 390,000 | 0.00 | 31,112.87 | 0 |
| LR0017 | Regional Pond R-17 | 1,530,000 | 6,457.40 | 87,362.79 | 0 |
| LR1008 | Regional Pond R-8 | 290,500 | 44,204.25 | 24,503.77 | 0 |
| LR1016 | Regional Pond R-16 | 205,000 | 0.00 | 205,000.00 | 0 |
| LR1161 | Regional Pond R-161 | 1,005,500 | 302,142.00 | 11,929.69 | 0 |
| MB0201 | Anita Drive | 119,300 | 0.00 | 79,371.20 | 0 |
| MB9999 | Mill Branch Watershed Study | 370,000 | 96,273.29 | 127,569.25 | 0 |
| NI9999 | Nichol Run Watershed Study | 237,500 | 17,500.00 | 0.00 | 0 |
| PC0005 | Regional Pond P-5 | 25,000 | 0.00 | 25,000.00 | 0 |
| PC0102 | Dam Site #2 (Lake Barton) | 100,000 | 0.00 | 40,379.84 | 0 |
| PC0104 | Dam Site #4 | 475,000 | 0.00 | 383,638.96 | 0 |
| PC0281 | Poplar Springs Court | 280,000 | 2,136.22 | 84,948.59 | 0 |
| PC8001 | Pohick Creek Watershed Projects | 50,000 | 0.00 | 50,000.00 | 0 |
| PC9999 | Pohick Creek Watershed Study | 1,075,000 | 442,516.14 | 33,301.09 | 0 |
| PH8001 | Popes Head Pro Rata Share Project | 300,000 | 0.00 | 300,000.00 | 0 |
| PH9999 | Popes Head Creek Watershed Study | 370,000 | 0.00 | 62,229.43 | 0 |
| PM0451 | Great Falls Street | 215,000 | 0.00 | 103,477.69 | 0 |
| PM0652 | Tucker Ave | 270,971 | 0.00 | 18,587.58 | 0 |
| PM8001 | Pimmit Run Watershed Projects | 45,000 | 0.00 | 45,000.00 | 0 |
| PM9999 | Pimmit Run Watershed Study | 95,000 | 0.00 | 1,248.75 | 0 |
| PN0211 | Beach Mill Road | 116,000 | 0.00 | 25,974.25 | 0 |
| SA0251 | Sandy Run | 83,000 | 0.00 | 58,272.61 | 0 |
| SC0213 | Bridle Path Lane | 553,500 | 27,021.29 | 61,774.87 | 0 |
| SC8001 | Scotts Run Pro Rata Watershed Projects | 20,000 | 0.00 | 20,000.00 | 0 |
| SC9999 | Scott Run Watershed Study | 116,375 | 0.00 | 44,698.04 | 0 |
| SU0005 | Regional Pond S-05 | 561,000 | 3,177.54 | 41,507.04 | 0 |
| SU0007 | Regional Pond S-07 | 482,000 | 0.00 | 162,144.05 | 0 |
| SU8001 | Sugarland Run Watershed Project | 659,500 | 119,784.69 | 362,795.65 | 0 |
| SU9999 | Pro Rata Study - Sugarland Run | 550,000 | 134,214.43 | 31,027.58 | 0 |
| TR9999 | Turkey Run Watershed Study | 45,000 | 0.00 | 22,934.96 | 0 |
| WR0241 | Wolf Run | 41,000 | 0.00 | 11,005.68 | 0 |
| ZZ0000 | Reimbursement Contingency | | 0.00 | 1,075,296.48 | 0 |
| **Total** | | **$32,282,733** | **$4,506,172.80** | **$10,337,006.39** | **$0** |

# Fund 317
# Capital Renewal Construction

## Focus

This fund supports the long-term needs of the County's capital assets to maximize the life of County facilities, avoid their obsolescence, and provide for planned repairs, improvements and restorations to make them suitable for organizational needs. Capital renewal is the planned replacement of building subsystems such as roofs, electrical systems, HVAC, plumbing systems, carpet replacement, parking lot resurfacing, fire alarm replacement and emergency generator replacement that have reached the end of their useful life. Without significant reinvestment in building subsystems, older facilities can fall into a state of ever-decreasing condition and functionality, and the maintenance and repair costs necessary to operate the facilities increase.

Fairfax County will have a projected FY 2012 facility inventory of over 8.5 million square feet of space throughout the County (excluding schools, parks, housing and human services residential facilities). This inventory continues to expand with the addition of newly constructed facilities, the renovation and expansion of existing facilities and the acquisition of additional property. With such a large inventory, it is critical that a planned program of repairs and restorations be maintained. In addition, the age of a major portion of this inventory of facilities is reaching a point where major reinvestments are required in the building subsystems.

Many County facilities have outdated HVAC and electrical systems that are susceptible to failure or are highly inefficient energy users. Sites are identified and each individual project involves a two-step process to complete both design and construction. Roof repairs and waterproofing are conducted in priority order after all roofs at County facilities are evaluated. Based upon the results of that evaluation, critical requirements are prioritized and a five-year plan is established. Repairs and replacement of facility roofs are considered critical to avoid the serious structural deterioration that occurs from roof leaks. By addressing this problem in a comprehensive manner, a major backlog of roof problems can be avoided. Carpet replacement and parking lot resurfacing are evaluated annually and prioritized based on the most critical requirements for high traffic areas. In addition, emergency generators and fire alarm systems are replaced based on equipment age, coupled with maintenance and performance history. Critical emergency repairs and renovations are accomplished under the category of emergency building repairs. These small projects abate building obsolescence and improve the efficiency and effectiveness of facilities and facility systems. The following table outlines, in general, the expected service life of building subsystems used to project capital renewal requirements, coupled with actual condition of the subsystem component:

**General Guidelines for Expected Service Life Of Building Subsystems**

| | | | |
|---|---|---|---|
| **Electrical** | | **Plumbing** | |
| Lighting | 20 years | Pumps | 15 years |
| Generators | 25 years | Pipes and fittings | 30 years |
| Service/Power | 25 years | Fixtures | 30 years |
| Fire Alarms | 15 years | | |
| | | **Finishes** | |
| **HVAC** | | Broadloom Carpet | 7 years |
| Equipment | 20 years | Carpet Tiles | 15 years |
| Boilers | 15 to 30 years | Systems Furniture | 20 to 25 years |
| Building Control Systems | 10 years | | |
| | | **Site** | |
| **Conveying Systems** | | Paving | 15 years |
| Elevator | 25 years | | |
| Escalator | 25 years | **Roofs** | |
| | | Replacement | 20 years |

# Fund 317
# Capital Renewal Construction

Each year, the Facilities Management Department (FMD) prioritizes and classifies capital renewal projects into five categories. Projects are classified as Category F: urgent/safety related, or endangering life and/or property; Category D: critical systems beyond their useful life or in danger of possible failure; Category C: life-cycle repairs/replacements where repairs are no longer cost effective; Category B: repairs needed for improvements if funding is available, and Category A: good condition.

For several years staff has identified an estimated requirement of $22 to $26 million in capital renewal investment annually for the current building inventory. This estimate was based on two factors:

- In 2004, a comprehensive facilities condition assessment was conducted on 92 selected Fairfax County facilities (approximately 4.2 million square feet of space), representative of older facilities anticipated to have the most immediate capital renewal requirements. The assessment included a complete visual inspection of roofs and all mechanical and electrical components for each facility. Maintenance and repair deficiencies were identified and funding requirements estimated. Results from the survey indicated that approximately $13 million per year would be required to repair and meet expected equipment replacement needs for these 92 facilities. The number of facilities evaluated represents approximately 50 percent of the current inventory, indicating a total current level of approximately $25 million annually.

- The industry standard for capital renewal investment is currently 2 percent of replacement value. Based on average replacement values of $150 per square foot, 2 percent equates to capital renewal requirements of $3.00 per square foot. Budgeted renewal funds in the County have not reached this level. This may be due to the fact that much of the square footage added in the early 1990s was in the form of new facilities and thus has not yet required major capital renewal and subsystem replacement. However, this infrastructure is now aging and appropriate action must be taken to avoid system failures leading to potential disruptions in County services. Applying a $3.00 per square foot industry standard to the 8,494,171 of square feet currently maintained would result in approximately $25.5 million required annually for capital renewal expenses.

In September 2009, it was estimated that a backlog of approximately $35 million in capital renewal projects existed. In order to address this backlog and to plan for a more sustainable and reasonable annual funding level, as part of the FY 2011 Adopted Budget Plan, the Board of Supervisors approved a 3-year plan of short-term borrowing. FY 2012 is the second appropriation for capital renewal projects supported by short-term borrowing. In FY 2011, $5 million was appropriated and in FY 2013 another $15 million is anticipated for a total of $35 million. Eliminating this $35 million backlog will allow for a more preventative and proactive maintenance program, increase the life cycle of County buildings, and enable the renewal program to reach a fairly consistent level of annual funding requirements. Borrowing will be based on actual project completion schedules and cash flow requirements and will be achieved through the establishment of a variable rate line of credit in order to take advantage of very low short-term interest rates. The payback of both principle and interest on the short-term borrowing program will be provided by the General Fund in the County's debt service fund. Staff will maintain an even level of General Fund support by increasing debt service funding and decreasing General Fund transfers to Fund 317 during the next 10 years. Short-term borrowing for capital renewal is included in the debt capacity estimates in the Capital Improvement Program (CIP) and can be accommodated within established debt limits for General Fund supported debt.

In addition, staff continues to supplement the General Fund supported capital renewal program by increasing bond referendum amounts associated with specific purposes. For example, the voters approved $5 million in the fall 2004 bond referendum for library and human service facility capital renewal requirements and another $5 million in the fall 2006 bond referendum associated with public safety facility capital renewal requirements. This practice is expected to continue where appropriate.

# Fund 317
# Capital Renewal Construction

The FY 2012 capital renewal plan by category is outlined below:

| Category | Amount |
|---|---|
| Category F Projects | $14,725,000 |
| Facility Condition Assessment | 215,000 |
| Category D Project | 60,000 |
| **FY 2012 Funded** | **$15,000,000** |

This identified level of funding does not include significant estimated requirements at several County facilities which are under review for replacement, including the Massey Building. In addition, this does not include any green building initiatives such as green roofs, enhanced lighting software, Americans with Disability Act (ADA) retrofits, or energy audit work. FY 2012 funding, as detailed below, will provide for the entire category F (urgent/safety related, or endangering life and/or property) projects and one Category D project. Specific funding levels in FY 2012 include:

HVAC/Electrical Systems
This project provides for the planned replacement of HVAC systems at prioritized County facilities, based on the severity of problems including overloaded systems, fire hazards, and costly repairs. FY 2012 funding of $5,570,000 will provide for HVAC replacement and electrical repairs at a variety of County facilities. In general, the useful life of HVAC/Electrical systems is 20 years; however, some systems fail earlier due to wear and tear, and often emergency repairs are costly based on difficulty obtaining parts and additional code requirements.

Funding of $4,000,000 is included to replace antiquated HVAC system components at the Old Courthouse which was built in the 1800's. The last HVAC replacement was in 1990 and the system is now beyond its useful life. It is consistently at risk of failure and is requiring increased maintenance efforts due to age and stress on the system. Replacement components include chillers, air handlers, cooling towers and steam boilers which will all need to be replaced and upgraded to meet current code requirements. The Old Courthouse is currently undergoing other renewal efforts which are supported by $6.5 million in General Obligation bonds approved as part of the 2006 Public Safety Bond Referendum. This renewal work is focused on the structural envelop of the building, including securing the foundation to alleviate water damage, repairing and upgrading the masonry around the perimeter of the building and renovating existing space in order to house the County's historic archives. The building has been experiencing leaking, moisture accumulation, and mold issues which can compromise the foundation and structural frame. This work is expected to be completed in the next two years and additional repairs such as additional electrical work, replacement of the generator and security systems will be required in future years.

Funding of $900,000 is provided to replace the electrical distribution system and the uninterruptible power source (UPS) that protects backup Emergency-911 equipment, including the Computer Aided Dispatch system, and other computers and data centers at the 51 year old Pine Ridge facility. The Pine Ridge facility houses the critical Emergency-911 back-up center, and several Police Department operations such as the Police Motorized Division, SWAT team and other tactical teams. The UPS system protects mission critical computer systems in the event of a power surge or failure and enables the systems to keep running, avoiding disruptions in service. The UPS system is able to assume immediate power during power outages by maintaining operations until backup generators are activated.

Funding of $450,000 is included to replace HVAC system components at the 17 year old New Beginnings facility, and funding of $35,000 is included to replace the air handling unit which regulates air conditioning at the 18 year old Herndon Library. All of these repairs have been classified as safety risks in need of imminent repairs or critical systems beyond their useful life and in risk of failure. In addition, repairs at these two sites are no longer cost effective.

Lastly, funding is provided for replacement batteries to support the UPS systems at two critical facilities. UPS systems are battery operated and in general, the life expectancy of the batteries is 3 to 5 years. Often, frequent system disruptions, power surge events and prolonged battery usage, can result in more frequent battery replacement. FY 2012 includes the planned replacement of batteries at the Jennings Courthouse in the amount of $60,000 and the McConnell Public Safety and Transportation Operations Center (MPSTOC) in the amount of $125,000.

Emergency Generator Replacement

This project provides for the planned replacement of emergency generators at mission critical County facilities that have outlived their useful life of 25 years. Generators are critical to the mission and operation of County facilities by providing backup power when power outages occur. Generators are maintained at police stations, fire stations and other operationally critical County facilities. FY 2012 funding of $1,350,000 includes: $700,000 for replacement of two generators at the 51 year old Pine Ridge facility, $500,000 for replacement of the 21 year old system at the Jermantown Garage; and $150,000 for replacement of the 22 year old Chantilly Fire Station generator. Generators are critical at these facilities due to potential power outages and a disruption in critical operations for staff and the public. In general, these systems last 25 years, but replacement requirements can vary based on wear and tear, frequency of repair requirements, and other signs of imminent failure.

Elevator/Escalator Replacement

This project provides for planned elevator or escalator replacement and upgrades for systems that have outlived their useful life and are experiencing frequent breakdowns. FY 2012 funding in the amount of $2,375,000 includes funding of $2,000,000 to address escalator replacement at the 19 year old Jennings Courthouse which is experiencing significant increases in maintenance resulting from a fracture in the escalator track and a large gap between the step and side panel. The escalator is requiring frequent repairs and causing a disruption in service and severe safety concerns for patrons of the Courthouse.

In addition $300,000 is required to support design work for elevator replacement at the 19 year old Pennino and Herrity Buildings; and $75,000 is required for the Herrity and Pennino Garage elevators which are both used by employees and the public and could create safety concerns for patrons. Both elevator and escalator replacements will satisfy all current code requirements and provide for the safety of users. Construction funding for upgrades and replacement at the Pennino and Herrity campus will be required once design work is complete.

Fire Alarm Systems

This project provides for the planned replacement of fire alarm systems throughout the County. Fire alarm systems are replaced based on age and difficulty in obtaining replacement parts and service. FY 2012 funding in the amount of $1,185,000 is included for the replacement of the obsolete and aged fire alarm systems at the following County facilities: Pine Ridge, Clifton Fire Station, Sherwood Library, Mason Government Center, Whitman Annex, Lorton Library, Franconia Government Center and the Old Jail portion of the Historic Courthouse.

Roof Repair and Replacement

This project provides for the planned replacement or repair of facility roofs and waterproofing systems in County buildings. Maintenance and repairs are required to stop rapid deterioration and damage due to water penetration. As roofs age, repairs are no longer cost effective and replacement is required. Roofs at County facilities range in warranty periods from 10 to 20 years. The warranties on all of the roofs slated for replacement in FY 2012 have expired. In FY 2012, funding in the amount of $1,095,000 is included for roof repairs and replacement including: $250,000 for the 22 year old Gum Springs Community Center, $150,000 for the 13 year old Woodlawn Fire Station, $150,000 for the 23 year old George Mason Library, $150,000 for the 23 year old Baileys Community Center, $120,000 for the 17 year old Sherwood Library, $100,000 for the 24 year old McLean Fire Station, $100,000 for the 51 year old Penn Dawn Fire Station; and $75,000 for the 11 year old roof at the Alban Garage. In general, roof replacement is required every 20 years; however, leaking and damage caused by water infiltration to facilities can require more immediate attention.

Parking Lot and Garage Repairs

This project provides for the planned repair and maintenance of facility parking lots and garages throughout the County. In FY 2012, funding of $660,000 is included for re-paving and repairs to three parking lots. Funding of $350,000 is required to repave the Jermantown Department of Vehicle Services (DVS) Garage based on rapid deterioration of the asphalt. This DVS garage is a heavy traffic facility supporting large volumes of public safety vehicles, trucks and maintenance vehicles entering and exiting the facility daily. With such a large volume of vehicle traffic, the asphalt is deteriorating more rapidly. In addition, repaving and replacement of parking lots and concrete ramps is required at the Pohick Fire Station in the amount of $160,000; and the McLean Fire Station in the amount of $150,000. Parking lots at fire stations tend to deteriorate more rapidly based on the frequent use of heavy apparatus vehicles. In general paving will last 15 years; however, heavy vehicle use, temperature changes, water penetration, chemicals used for snow removal, and fuel leaks from vehicles under repair can cause the asphalt to deteriorate more rapidly.

Emergency Building Repairs

This project provides for emergency repairs, minor renovations, and critical upgrading at various buildings and facilities throughout the County. Projects include emergency repairs to buildings and building equipment, plumbing repairs, minor renovations to electrical and mechanical systems, structural repairs, vandalism abatement, and other non-recurring construction and repair projects. A total of $2,765,000 is included in FY 2012.

Funding in the amount of $1,500,000 is included for critical work at the 60 year old Willston Center including repairs and renovation of restrooms, plumbing fixtures and flooring. The Willston Center building was constructed in the 1950s as an elementary school with much of the original fixtures and systems still in place. The Willston Center is a multi-cultural center offering drop-in recreational programs designed for elementary school children during the spring, summer and winter breaks; an adult education center; a computer learning center; and other community center programs. The restrooms used by both employees and the public currently do not have hot water available and are in extreme need of repairs. This amount also includes the removal of the original floor tiles in the restrooms which have been determined to contain asbestos. Staff and patrons will need to be temporarily relocated while the asbestos mitigation process takes place. FY 2012 funding will provide for a complete restoration of all restrooms in the building to prevent further deterioration, leakage and potential health and safety concerns.

In addition, funding in the amount of $700,000 is included to provide sealant and caulking throughout the entire Government Center parking garage (P1 and P2) as well as install new hood grates which provide for exhaust discharge and protect against water infiltration into the garage. During heavy rain events, flooding occurs in the garage which deteriorates the concrete surfaces and imminent repairs are needed. Funding in the amount of $350,000 is also included to recaulk all windows and expansion joints at the Adult Detention Center facility. Much of the original caulking has failed and water continues to leak into the building presenting an imminent safety hazard.

Lastly, $215,000 is included to conduct a facility assessment at approximately 40 County facilities to specifically identify future capital renewal needs. The last facility assessment was conducted in 2004 on 92 selected facilities (approximately 4.2 million square feet of space), representative of the oldest facilities at the time. The assessment included a complete visual inspection of roofs and all mechanical and electrical components for each facility. Maintenance and repair deficiencies were identified and funding requirements estimated. These 92 facilities represent approximately 50 percent of the current inventory. Additional facility assessment funding will allow inspectors to evaluate major building systems, identify cost estimates associated with repair and replacement and plan for future renewal requirements. The study will include approximately 40 of the remaining facilities not evaluated in 2004 which are now aging and require a comprehensive review.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $32,519,520 due to the carryover of unexpended project balances in the amount of $32,466,173 and an adjustment of $53,347. This adjustment was due to the appropriation of $53,347 in revenues received in FY 2010 associated with reimbursements from the Virginia Department of Transportation (VDOT) and the Virginia State Police for their share of the operational costs at the McConnell Public Safety and Transportation Operations Center (MPSTOC) as well as the state share of future projected capital renewal requirements. The MPSTOC is a new high-security, state-of-the-art facility which houses the County's 911 Center and Emergency Operations Center as well as VDOT's Smart Traffic and Signal Centers and the State Police Communications Center. The County pays for all operational requirements such as security, custodial, landscaping, maintenance, parking lot repairs and snow removal and the State reimburses the County for their share of these costs. In addition, the state has begun providing annual funding for future repair and renewal costs to avoid large budget increases for required capital renewal costs in the future. Funding received from the state is appropriated annually at the Carryover Review.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 317
# Capital Renewal Construction

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** **Fund 317, Capital Renewal Construction**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$21,201,555** | **$0** | **$23,519,520** | **$0** |
| Revenue: | | | | |
| Sale of Bonds [1] | $0 | $0 | $9,000,000 | $0 |
| Short Term Borrowing [2] | 0 | 5,000,000 | 5,000,000 | 15,000,000 |
| MPSTOC Reimbursement [3] | 53,347 | 0 | 0 | 0 |
| Total Revenue | $53,347 | $5,000,000 | $14,000,000 | $15,000,000 |
| Transfer In: | | | | |
| General Fund (001) [4] | $7,470,000 | $3,000,000 | $3,000,000 | $0 |
| Total Transfers In | $7,470,000 | $3,000,000 | $3,000,000 | $0 |
| **Total Available** | **$28,724,902** | **$8,000,000** | **$40,519,520** | **$15,000,000** |
| Total Expenditures | $5,205,382 | $8,000,000 | $40,519,520 | $15,000,000 |
| **Total Disbursements** | **$5,205,382** | **$8,000,000** | **$40,519,520** | **$15,000,000** |
| **Ending Balance [5]** | **$23,519,520** | **$0** | **$0** | **$0** |

[1] The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. On November 7, 2006, the voters approved a $125 million Public Safety Bond Referendum, of which $14 million was designated for capital renewal purposes. Including prior sales, an amount of $9.0 million remains in authorized but unissued bonds from the November 7, 2006 bond referendum.

[2] In FY 2012, funding of $15,000,000 is provided using the County's short-term borrowing tools in order to reduce existing capital renewal backlogs.

[3] A total of $53,347 represents revenue received from the Virginia Department of Transportation (VDOT) and Virginia State Police associated with the state share of operating costs at the McConnell Public Safety and Transportation Operations Center (MPSTOC). These funding reimbursements will be held in capital renewal projects for future replacement requirements.

[4] The FY 2011 General Fund transfer of $3,000,000 supports emergency renewal projects at County facilities.

[5] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 317
# Capital Renewal Construction

### FY 2012 Summary of Capital Projects

**Fund: 317 Capital Renewal Construction**

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 003099 | Emergency Building Repairs | | $444,638.05 | $762,877.98 | $2,765,000 |
| 003100 | Fire Alarm Systems | | 584,262.20 | 1,819,702.18 | 1,185,000 |
| 009132 | Roof Repairs and Waterproofing | | 0.00 | 1,000,000.00 | 1,095,000 |
| 009133 | Carpet Replacement | | 33,724.71 | 50,966.11 | 0 |
| 009136 | Parking Lot and Garage Repairs | | 0.00 | 2,628,400.00 | 660,000 |
| 009145 | Emergency Systems Failures | | 380,334.80 | 6,342,994.87 | 0 |
| 009151 | HVAC/Electrical Systems | | 2,583,666.82 | 8,770,130.24 | 5,570,000 |
| 009431 | Emergency Generator Replacement | | 822,475.68 | 1,481,205.19 | 1,350,000 |
| 009481 | Juvenile/Human Services Capital Renewal | | 14,812.07 | 0.00 | 0 |
| 009600 | Elevator Replacement | | 75,210.04 | 7,419,203.82 | 2,375,000 |
| 009601 | Public Safety Capital Renewal | | 266,257.91 | 9,354,176.79 | 0 |
| 009602 | Window Replacement | | 0.00 | 350,000.00 | 0 |
| 009703 | State Support for MPSTOC Renewal | | 0.00 | 89,848.00 | 0 |
| 009704 | County Support for MPSTOC Renewal | | 0.00 | 450,015.00 | 0 |
| **Total** | | | **$5,205,382.28** | **$40,519,520.18** | **$15,000,000** |

# Fund 318
# Stormwater Management Program

## Focus

Fund 318, Stormwater Management Program was established in FY 2006 to support the long-term needs of the County's Stormwater capital program. Between FY 2006 and FY 2009, the Board of Supervisors had designated the approximate value of one penny from the County's Real Estate Tax to this fund for the Stormwater Program. As part of the FY 2010 Adopted Budget Plan, a new service district was created to support the Stormwater Management Program, as authorized by Va. Code Ann. Sections 15.2-2400. Fund 125, Stormwater Services provides a dedicated funding source for staff salaries, fringe benefits, operational costs, regulatory requirements and capital project support. Over the next year, previously funded projects within Fund 318 will be completed or balances transferred to Fund 125; therefore, no new funding is included in Fund 318, Stormwater Management for FY 2012. On-going project work and contracts will continue within Fund 318 until its closure.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $16,423,397 due to the carryover of unexpended project balances in the amount of $13,515,307 and an increase of $2,908,090. This increase was due to the appropriation of revenues in the amount of $3,451,344 based on a grant agreement between the Natural Resources Conservation Service (NRCS) and Fairfax County which will support rehabilitation of Woodglen Lake and Lake Barton. This grant funding was awarded to Fairfax County as a result of the American Recovery and Reinvestment Act of 2009. Of the total $3,451,344 awarded, $393,142 was received in FY 2010 and $3,058,202 is anticipated in FY 2011. In addition, an increase of $4,525 was associated with the appropriation of grant funding received in FY 2010 from the Chesapeake Bay Restoration Fund for stormwater public outreach programs. These increases are partially offset by a decrease of $547,779 due to the completion of the Royal Lake dam rehabilitation project. Both expenditures and revenues were reduced, as all reimbursements for Royal Lake have been received and no future funding is required.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., contingency or planning project).

# Fund 318
# Stormwater Management Program

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** **Fund 318, Stormwater Management Program**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$25,906,315** | **$0** | **$13,365,195** | **$0** |
| Revenue: | | | | |
| Natural Resources Conservation Service (Royal Lake)[1] | $956,312 | $0 | $0 | $0 |
| American Reinvestment and Recovery Act (ARRA)[2] (Woodglen Lake) | 393,142 | 0 | 1,056,339 | 0 |
| American Reinvestment and Recovery Act (ARRA)[3] (Lake Barton) | 0 | 0 | 2,001,863 | 0 |
| Chesapeake Bay Restoration Grant[4] | 4,525 | 0 | 0 | 0 |
| Total Revenue | $1,353,979 | $0 | $3,058,202 | $0 |
| **Total Available** | **$27,260,294** | **$0** | **$16,423,397** | **$0** |
| Total Expenditures | $8,570,099 | $0 | $16,423,397 | $0 |
| Transfers Out: | | | | |
| Stormwater Services (125)[5] | $5,325,000 | $0 | $0 | $0 |
| Total Transfers Out | $5,325,000 | $0 | $0 | $0 |
| **Total Disbursements** | **$13,895,099** | **$0** | **$16,423,397** | **$0** |
| **Ending Balance[6]** | **$13,365,195** | **$0** | **$0** | **$0** |

[1] On September 10, 2007, the Board of Supervisors approved a federal grant from the Natural Resources Conservation Service (NRCS) associated with Project FX0004, Federal Grant Participation Projects, Royal Lake dam rehabilitation. A total of $2,032,497 was estimated to be received from NRCS, of which $1,484,717 has been received to date. This project is now complete and based on actual project costs, no future grant reimbursements will be received.

[2] On December 7, 2009, the Board of Supervisors approved funding in the amount of $2,229,971 associated with a project agreement between the Natural Resources Conservation Service (NRCS) and Fairfax County for the rehabilitation of Woodglen Lake. Funding from the NRCS is available as a result of the American Recovery and Reinvestment Act of 2009. In FY 2010, an amount of $393,142 was received and $1,056,339 is anticipated in FY 2011 and beyond from the NRCS. The County share of $780,490 will be paid from existing funds within Fund 318.

[3] On July 13, 2010, the Board of Supervisors approved funding in the amount of $3,079,789 associated with a project agreement between the Natural Resources Conservation Service (NRCS), the Northern Virginia Soil and Water Conservation District (NVSWCD) and Fairfax County for the rehabilitation of Lake Barton. Funding from the NRCS is available as a result of the American Recovery and Reinvestment Act of 2009. An amount of $2,001,863 is anticipated in FY 2011 from NRCS with the County share of $1,077,926 to be paid from existing funds within Fund 318.

[4] Represents Chesapeake Bay Restoration grant funding for Project FX7000, Municipal Separate Storm Sewer Permit. An amount of $4,525 was received in FY 2010 for stormwater public outreach programs.

[5] Represents a transfer out to Fund 125, Stormwater Services, where primary funding for Stormwater capital projects is located.

[6] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 318
# Stormwater Management Program

### FY 2012 Summary of Capital Projects

**Fund: 318 Stormwater Management Program**

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| AC8000 | Accotink Creek Watershed Projects | $2,775,000 | $41,192.65 | $57,010.78 | $0 |
| AC9000 | Accotink Creek Watershed Plan | 1,250,767 | 0.00 | 964,366.60 | 0 |
| BH8000 | Belle Haven Creek Watershed Projects | 2,128,099 | 63,252.45 | 0.00 | 0 |
| CA8000 | Cameron Run Watershed Projects | 3,785,800 | 909,610.29 | 1,413,063.63 | 0 |
| CU8000 | Cub Run Watershed Projects | 825,249 | 194,677.17 | 97,914.55 | 0 |
| DC8000 | Kingstowne Monitoring | 797,294 | 115,186.18 | 67,277.06 | 0 |
| DC9000 | Dogue Creek Watershed Plan | 423,205 | 40,350.15 | 372,854.37 | 0 |
| DE8000 | Dead Run Watershed Projects | 640,000 | 452,915.55 | 15,612.20 | 0 |
| DF8000 | Difficult Run Watershed Projects | 650,000 | 31,677.94 | 86,865.05 | 0 |
| FX0001 | Interim Watershed Program | 515,000 | 0.00 | 387,878.72 | 0 |
| FX0004 | Federal Grant Participation Projects | 1,623,836 | 21,440.73 | 1.00 | 0 |
| FX1000 | Storm Drainage Improvements and Innovative Projects | 3,171,665 | 322,778.82 | 169,072.99 | 0 |
| FX2000 | Environmental Initiatives Projects | 357,866 | 0.00 | 255,615.96 | 0 |
| FX3000 | Stormwater Program Support | 1,162,560 | 112,188.64 | 160,237.07 | 0 |
| FX4000 | Dam Safety Projects | 17,018,750 | 2,603,231.72 | 6,968,202.30 | 0 |
| FX5000 | Stormwater Management Facilities | 6,518,408 | 1,195,336.53 | 223,475.97 | 0 |
| FX6000 | Infrastructure Reinvestment Program | 14,517,326 | 646,195.65 | 330,254.37 | 0 |
| FX7000 | Municipal Separate Storm Sewer Permit | 6,182,165 | 934,628.79 | 682,418.05 | 0 |
| FX8000 | Emergency Watershed Projects | 2,126,307 | 151,224.60 | 452,161.90 | 0 |
| HC9000 | Horsepen Creek Watershed Plan | 457,279 | 0.00 | 405,142.55 | 0 |
| LH8000 | Little Hunting Creek Watershed Projects | 2,641,570 | 65,890.18 | 199,985.01 | 0 |
| LO9000 | Lower Occoquan Watershed Plan | 1,066,581 | 176,761.26 | 657,778.32 | 0 |
| LR9000 | Little Rocky/Johnny Moore Watershed Plan | 491,038 | 137,590.95 | 228,419.03 | 0 |
| MB9000 | Mill Branch Watershed Plan | 389,000 | 7,376.22 | 74,221.97 | 0 |
| MP8000 | Middle Potomac Watershed Projects | 241,957 | 34,003.44 | 129,231.14 | 0 |
| PC8000 | Pohick Creek Watershed Projects | 918,500 | 159,015.86 | 171,058.20 | 0 |
| PC9000 | Pohick Creek Watershed Plan | 656,448 | 0.00 | 544,678.85 | 0 |
| PH8000 | Popes Head Creek Watershed Projects | 730,000 | 5,057.97 | 232,736.57 | 0 |
| PH9000 | Popes Head Creek Watershed Plan | 65,110 | 0.00 | 65,110.00 | 0 |
| PM8000 | Pimmit Run Watershed Projects | 1,484,014 | 1,814.81 | 44,734.11 | 0 |
| PN9000 | Pond Branch Watershed Plan | 540,773 | 138,162.53 | 324,556.38 | 0 |
| SC8000 | Scotts Run Watershed Projects | 650,000 | 8,538.14 | 641,461.86 | 0 |
| **Total** | | **$76,801,567** | **$8,570,099.22** | **$16,423,396.56** | **$0** |

# Fund 370
# Park Authority Bond Construction

## Focus

This fund provides for the continued design, construction and renovation of Fairfax County parks, and is primarily supported by General Obligation bonds. Projects within this fund provide for improvements to a wide range of recreational facilities such as playgrounds, picnic areas, trails and recreation center/swimming pool complexes. The existing program is supported in part by $65 million in General Obligation bonds approved by the voters on November 4, 2008 to acquire new parks and develop and improve park facilities.

The Park Authority Board has adopted certain criteria for evaluating proposed acquisitions, including contiguity to existing parkland or stream valley areas, existing zoning and development conditions, reasonable development costs and support within the Fairfax County Comprehensive Plan. The Park Authority also works with the private sector to acquire easements and donations of land and funding in an effort to use land acquisition monies more effectively.

No funding is included for Fund 370, Park Authority Bond Construction, in FY 2012. Work will continue on existing and previously funded projects.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $62,750,052 due to the carryover of unexpended project balances in the amount of $62,672,028 and an adjustment of $78,024. This adjustment was due to the appropriation of revenue in the amount of $37,830 in Build America Bond interest and $40,194 for grants received in FY 2010.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 370
# Park Authority Bond Construction

## FUND STATEMENT

**Fund Type P37, Capital Project Funds** **Fund 370, Park Authority Bond Construction**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$15,421,119** | **$0** | **$7,915,052** | **$0** |
| Revenue: | | | | |
| Sale of Bonds[1] | $11,500,000 | $0 | $54,835,000 | $0 |
| Build America Bond Interest[2] | 37,830 | 0 | 0 | 0 |
| Grants[3] | 163,260 | 0 | 0 | 0 |
| Total Revenue | $11,701,090 | $0 | $54,835,000 | $0 |
| **Total Available** | **$27,122,209** | **$0** | **$62,750,052** | **$0** |
| Total Expenditures | $19,207,157 | $0 | $62,750,052 | $0 |
| **Total Disbursements** | **$19,207,157** | **$0** | **$62,750,052** | **$0** |
| **Ending Balance[4]** | **$7,915,052** | **$0** | **$0** | **$0** |

[1] The sale of bonds is presented here for planning purposes only. Actual bond sales are based on cash needs in accordance with Board policy. On November 6, 2006, the voters approved a $25 million Park Authority Bond Referendum to continue land acquisition and park development. In addition, on November 4, 2008, the voters approved a $65 million Park Authority Bond Referendum to continue land acquisition, park development, parks and building renovation and stewardship. The FY 2010 Actuals reflect an amount of $11.5 million sold in October 2009, leaving a balance of $54.835 million in authorized but unissued bonds for this fund.

[2] In October 2010, the County sold $202.2 million of Federally Taxable Build America Bonds. According to Internal Revenue Code §54AA Section (g) (2) (A) 100 percent of available project proceeds, which includes investment earnings, must be used on capital expenditures. Therefore, interest earnings on Build America Bond proceeds in the amount of $37,830 have been allocated to this construction fund.

[3] Reflects revenues from grants for which Park Authority bond funds serve as the Local Cash Match.

[4] Capital Projects are budgeted based on total project cost. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 370
# Park Authority Bond Construction

### FY 2012 Summary of Capital Projects

**Fund: 370 Park Authority Bond Construction**

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 004745 | Lane's Mill Restoration | $50,000 | $0.00 | $1,739.69 | $0 |
| 474104 | Athletic Fields -Fall 2004 Park Bonds | 8,593,000 | 141,688.18 | 677,913.37 | 0 |
| 474106 | Athletic Fields - Synthetic Turf | 10,000,000 | 447,913.15 | 260,701.32 | 0 |
| 474198 | Athletic Fields | 7,400,000 | 18,315.33 | 163,134.50 | 0 |
| 474404 | Infrastructure Renovations - 2004 | 3,212,000 | 5,224.19 | 78,986.36 | 0 |
| 474408 | Park and Building Renovation - 2008 | 19,739,500 | 2,853,413.49 | 16,657,315.85 | 0 |
| 474498 | Infrastructure Renovations | 4,900,000 | 40,159.95 | 111,031.53 | 0 |
| 474604 | Trails and Stream Crossings - 2004 | 4,895,000 | 187,816.60 | 157,920.35 | 0 |
| 474606 | Trails and Stream Crossings - 2006 | 5,000,000 | 98,844.62 | 3,133,308.73 | 0 |
| 474698 | Trails & Stream Crossings | 4,200,000 | 62,659.00 | 20,946.23 | 0 |
| 474763 | Grants | 2,704,927 | 573,100.51 | 54,713.49 | 0 |
| 475004 | Natural and Cultural Resources - 2004 | 3,830,000 | 167,172.30 | 1,046,373.23 | 0 |
| 475008 | Stewardship - 2008 | 11,640,000 | 166,176.59 | 11,445,854.05 | 0 |
| 475098 | Natural & Cultural Resource Facilities | 10,000,000 | 132,377.97 | 1,318,736.38 | 0 |
| 475502 | Community Park Development - 2002 | 5,000,000 | 13,573.00 | 55,528.24 | 0 |
| 475504 | Community Parks/Courts - 2004 | 9,434,976 | 209,458.98 | 1,205,704.75 | 0 |
| 475508 | Park Development - 2008 | 19,235,100 | 2,948,375.47 | 15,627,416.20 | 0 |
| 475598 | Community Park Development - 1998 | 10,050,223 | 14,907.17 | 105,166.99 | 0 |
| 475804 | Building Renovation and Expansion - 2004 | 23,116,830 | 4,605,281.94 | 3,177,313.86 | 0 |
| 475898 | Building Renovations | 5,000,000 | 911.00 | 14,263.69 | 0 |
| 476106 | Land Acquisition - Fall 2006 Park Bonds | 10,000,000 | 81,063.37 | 680,739.05 | 0 |
| 476108 | Land Acquisition - Fall 2008 Park Bonds | 14,385,400 | 5,987,719.42 | 5,604,101.30 | 0 |
| 476204 | Building New Construction | 4,450,000 | 451,004.99 | 1,151,142.39 | 0 |
| **Total** | | **$196,836,957** | **$19,207,157.22** | **$62,750,051.55** | **$0** |

# Fund 390
# Public School Construction

## Focus

Fund 390, Public School Construction, provides funding for new construction, facility renovation, expansion and improvements authorized by voter referendum, as well as funds for capital expenditures. Bond funding remaining from the 2001, 2003, 2005, 2007 and 2009 bond referenda support capital construction projects in this fund.

In FY 2012, progress will continue on the school bond referendum projects and projects funded by Fund 090, School Operating. Major projects for FY 2012 include facility modifications, building maintenance, renovations and infrastructure management.

# Fund 390
# Public School Construction

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** **Fund 390, Public School Construction**

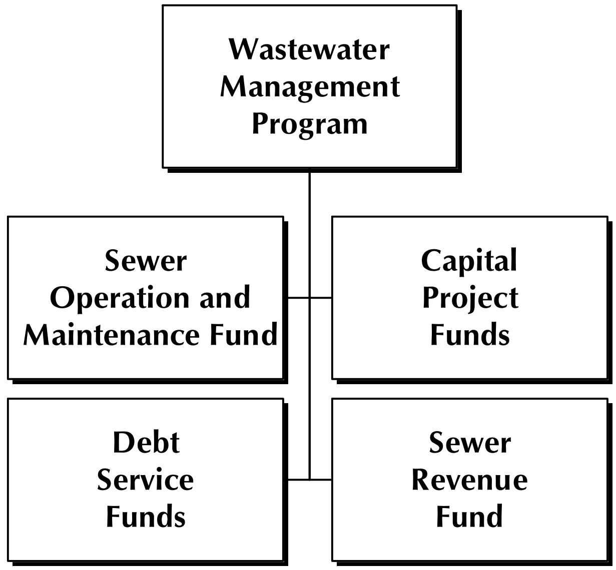
| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan[1] | FY 2012 Superintendent's Proposed |
|---|---|---|---|---|
| **Beginning Balance** | **$36,763,861** | **$0** | **$94,573,900** | **$0** |
| Revenue: | | | | |
| Sale of Bonds[2] | $155,000,000 | $155,000,000 | $155,000,000 | $155,000,000 |
| State Construction Grant | 0 | 0 | 0 | 0 |
| PTA/PTO Receipts | 419,848 | 150,000 | 150,000 | 150,000 |
| Fairfax City | 97,012 | 150,000 | 150,000 | 100,000 |
| Revenue from Use of Money and Property | 435,101 | 0 | 0 | 0 |
| Other Revenue | 2,744,134 | 136,000 | 136,000 | 136,000 |
| Subtotal Revenue | $158,696,095 | $155,436,000 | $155,436,000 | $155,386,000 |
| Authorized But Unissued Bonds | $0 | $0 | $315,316,755 | $0 |
| Total Revenue | $158,696,095 | $155,436,000 | $470,752,755 | $155,386,000 |
| Transfers In: | | | | |
| School Operating Fund (090) | | | | |
| Major Maintenance | $6,449,030 | $6,449,030 | $6,449,030 | $6,449,030 |
| Classroom Equipment | 1,828,202 | 3,097,119 | 3,097,119 | 649,681 |
| Facility Modifications | 406,845 | 600,000 | 370,001 | 600,000 |
| Total Transfers In | $8,684,077 | $10,146,149 | $9,916,150 | $7,698,711 |
| **Total Available** | **$204,144,033** | **$165,582,149** | **$575,242,805** | **$163,084,711** |
| Expenditures: | | | | |
| Subtotal Expenditures | $109,570,133 | $165,582,149 | $259,926,050 | $163,084,711 |
| Contractual Commitments | 0 | 0 | 315,316,755 | 0 |
| Total Expenditures | $109,570,133 | $165,582,149 | $575,242,805 | $163,084,711 |
| **Total Disbursements** | **$109,570,133** | **$165,582,149** | **$575,242,805** | **$163,084,711** |
| **Ending Balance** | **$94,573,900** | **$0** | **$0** | **$0** |

[1] The *FY 2011 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on December 2, 2010 during their *FY 2011 Midyear Review*. The Fairfax County School Board djustments will be officially reflected in the County's *FY 2011 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 12, 2011.

[2] The actual sale of bonds is based upon a review of cash needs rather than cash and encumbrances as presented here for planning purposes. This is consistent with Board policy to sell bonds on a cash basis. Including prior sales, there is a balance of $438.845 million in authorized but unissued school bonds.

1742

# Wastewater Management Program Overview




## Focus

The Wastewater Management Program (WWM) is operated, maintained and managed within the Department of Public Works and Environmental Services (DPWES). The program currently includes the County-owned Noman M. Cole, Jr. Pollution Control Plant (67 million gallons per day (mgd) capacity), nearly 3,380 miles of sewer lines, 65 pump stations, 54 flow-metering stations, and covers approximately 234 square miles of the County's 407 square-mile area. Capacity entitlement at the other regional facilities totals 91 mgd. A total of 365,208 households and businesses (new and existing) in Fairfax County were connected to public sewer in FY 2010.

In addition to providing County residents and businesses with sewer service, Fairfax County provides sewer service to other nearby entities through "Sales of Service" agreements with Arlington and Loudoun Counties, the cities of Falls Church and Fairfax, the towns of Herndon and Vienna, Fort Belvoir, the Covanta Fairfax, Inc. Waste-to-Energy facility and Fairfax Water. These entities share the capital and operating costs of WWM based on actual wastewater flow and reserved treatment capacity.

Strategic planning and overall business monitoring is the responsibility of the Wastewater Management Leadership Team, whose responsibilities focus on long range planning, strategic thinking, continuous improvement processing, wastewater capacity, and financial management. This team is comprised of employees from three divisions within WWM, Collections, Treatment, and Planning and Monitoring.

The Wastewater Collection Division (WCD) is responsible for the County's wastewater collection and conveyance system consisting of sewers, force mains, pumping stations and metering stations. The WCD has a proactive sewer system maintenance program that facilitates a safe and effective wastewater collection system. In FY 2010, approximately 225 miles of sewer lines were inspected by Closed Circuit Television (CCTV) crews and over 408 miles of sewer lines were cleaned to ensure maximum flow carrying capacity and reduce sewer backups and overflows. Over the last five years, WCD has rehabilitated approximately 76 miles of sewer lines to protect the environment and residents of Fairfax County.

The Wastewater Treatment Division (WTD) is responsible for operating and maintaining the County's wastewater treatment facility, the Noman M. Cole, Jr. Pollution Control Plant (NCPCP). The WTD continues to produce a quality effluent to meet regulatory and permit requirements, despite major construction occurring throughout the plant site. The NCPCP continues to make significant efforts to be a "good neighbor" by constructing an odor control system, which improves the air quality around the plant.

# Wastewater Management Program Overview

The Wastewater Planning and Monitoring Division (WPMD) is responsible for the agency's fiscal planning, engineering planning and wastewater monitoring. The WPMD continues to effectively monitor the long-term planning needs for the Wastewater Management Program in terms of infrastructure upgrades, maintenance and expansions. The WPMD ensures that all financial requirements are fulfilled by maintaining a rate structure to adequately recover all operating and maintenance costs, capital improvements and debt service obligations. The WPMD also plans for system capacity, both in the conveyance system and treatment facilities, by initiating expansion and improvement projects to keep pace with increased wastewater flows. The WPMD safeguards the environment by ensuring compliance with water quality standards and prevention of toxic discharges into the collection system.

WPMD is currently monitoring the new Chesapeake Bay water quality program required reductions in the amount of nutrient pollutants discharged from wastewater treatment facilities. In December 2004, the state notified the County that the renewal of County's National Pollutant Discharge Elimination System (NPDES) permit would include a requirement that nutrient removal be performed at the "Limits of Technology." Current technology allows for discharge limits of less than 3.0 milligrams per liter for nitrogen and 0.1 milligrams per liter for phosphorus. The County has a nitrogen discharge requirement of 7.0 milligrams per liter. A phased approach has been implemented to renovate and upgrade current plant facilities to accommodate these more stringent nutrient discharge requirements.

The Sewer Service Charge rate will increase from $5.27 to $6.01 per 1,000 gallons of water consumption in FY 2012. This equates to an approximate increase of 14.0 percent in Sewer Service Charges. In addition, the base charge remains the same in FY 2012 and is billed quarterly in the amount of $5.00 per bill totaling $20.00 per year. Base charges are an industry standard used to promote revenue stability and are locally used by: Fairfax Water, Loudoun Water, Stafford County, District of Columbia Water and Sewer Authority (DCWASA), City of Alexandria, Washington Suburban Sanitary Commission (WSSC), and Prince William County. The combined effect of the sewer service charge increase as well as the base charge equate to an anticipated increase in the annual cost to the typical household of $56.24. For FY 2013 and FY 2014, annual service charge increases of 13.9 percent and 9.8 percent are being proposed. Sewer service charge rates continue to increase as debt and capital expenses rise in anticipation of construction of additional treatment facilities to meet more stringent nitrogen removal requirements imposed by the state as a result of "Chesapeake 2000" Agreement. The proposed increase is 3 percent less than previously proposed rate increase based on cost saving initiatives and operating efficiencies implemented in FY 2009 and FY 2010. Operational cost savings and efficiencies included: electricity savings based on lower than anticipated fuel factor rates and a reduction in kilowatt usage; sewage treatment supply savings associated with a reduction in the unit price for petroleum based chemicals used in the treatment of wastewater and a change to less expensive chemicals; lower treatment by contract costs based on reduced operating costs at neighboring jurisdictions; as well as fuel, vehicle replacement costs and repair and maintenance requirements. The Department of Public Works and Environmental Services (DPWES) continues to review efficiencies and monitor usage. These rate increases are consistent with the recommendations of the Department of Public Works and Environmental Services and the analysis included in the January 2011 Wastewater Revenue Sufficiency and Rate Analysis report.

The FY 2012 Sewer Service and Base Charges will generate additional revenue and will partially offset the increased costs associated with capital project construction, system operation and maintenance, debt service and upgrades to effectively meet nitrogen discharge limitations from wastewater treatment plants. Other sources of revenue are projected to remain fairly flat due to a moderate level of new development and growth anticipated in the County as compared to previous years. The program may also utilize sewer fund balances to partially offset these higher costs. In FY 2011, a Sewer Revenue Bond Sale in the amount of $150 million is planned to support capital projects including enhanced nutrient removal upgrades, replacement and rehabilitation of sewer line projects and plant upgrades at the Noman M. Cole, Jr., Pollution Control Plant and treatment by contract wastewater treatment facilities.

Availability Charges are one-time "tap fees" charged to new customers for initial access to the system. The revenue from Availability Fees is used to offset the costs of expanding major treatment facilities. In FY 2012, Availability Fees will remain at $7,750 for single-family homes based on current projections of capital requirements. Rates are based on requirements associated with treatment plant upgrades and interjurisdictional payments that result from population growth, stringent treatment requirements and inflation.

# Wastewater Management Program Overview

The FY 2012 rate is consistent with the recommendations of the Department of Public Works and Environmental Services and the analysis included in the January 2011 Wastewater Revenue Sufficiency and Rate Analysis report. FY 2012 through FY 2015 rates are anticipated to be held equal to FY 2011 rates pending a more detailed pricing analysis. The following table displays the resulting increase by category.

| Fiscal Year | Availability Fee | Sewer Service Charge Per 1,000 gallons water used |
|---|---|---|
| 2008 | $6,506 | $3.74 |
| 2009 | $6,896 | $4.10 |
| 2010 | $7,310 | $4.50 |
| 2011 | $7,750 | $5.27 |
| 2012 | $7,750 | $6.01 |
| 2013 | $7,750 | $6.85 |
| 2014 | $7,750 | $7.52 |
| 2015 | $7,750 | $7.97 |

The system supplements the capacity of its own collections and treatment facilities through "Treatment by Contract" agreements with the District of Columbia Water and Sewer Authority (DCWASA), the Alexandria Sanitation Authority (ASA), the Upper Occoquan Sewage Authority (UOSA) and Arlington County. As stated in the individual agreements, the County pays its share of operating, capital and/or debt costs of each entity's system based on actual wastewater flows and allocated capacity, respectively.

The Wastewater Management Program has issued debt to fund major expansion and upgrade projects for both its own plant and its portion at the "Treatment by Contract" facilities. The following table shows the projected annual debt service payments along with remaining debt service as of June 30, 2010.

| Wastewater Management Debt Service | | | |
|---|---|---|---|
| **Years** | **Principal** | **Interest** | **Total** |
| 2011 | $15,856,176 | $25,403,953 | $41,260,129 |
| 2012 | 16,532,204 | 24,771,968 | 41,304,172 |
| 2013 | 17,266,077 | 24,055,839 | 41,321,916 |
| 2014 | 18,001,450 | 23,303,550 | 41,305,000 |
| 2015 | 18,881,361 | 22,443,515 | 41,324,876 |
| 2016 | 19,917,382 | 21,547,351 | 41,464,733 |
| 2017 | 20,891,684 | 20,592,685 | 41,484,369 |
| 2018-2041 | 422,759,425 | 207,523,988 | 630,283,413 |
| **TOTAL** | **$550,105,759** | **$369,642,849** | **$919,748,608** |

# Wastewater Management Program Overview

In FY 2012, the County is projected to provide for the treatment of 114.64 million gallons of wastewater per day. Approximately 40 percent of this flow is treated at the NCPCP. The flow is distributed between the NCPCP and the interjurisdictional facilities as detailed in the table below. The table also includes the capacity utilization percentage and the available (unused) capacity for each plant.

| Treatment Plant | Capacity (MGD) | FY 2012 Projected Daily Average (MGD) | Capacity Utilization (%) | Available Capacity (MGD) |
|---|---|---|---|---|
| DCWASA Blue Plains | 31.0 | 29.91 | 96.5% | 1.09 |
| Noman M. Cole, Jr. | 67.0 | 45.93 | 68.6% | 21.07 |
| Alexandria Sanitation Authority | 32.4 | 23.23 | 71.7% | 9.17 |
| Arlington County | 3.0 | 2.30 | 76.7% | 0.70 |
| Upper Occoquan Sewage Authority | 24.6 | 13.27 | 53.9% | 11.33 |
| **Total** | **158.0** | **114.64** | **72.6%** | **43.36** |

To ensure that WWM remains competitive and provides a high performance operation including improvements to the technical and managerial capacities that will continue to enhance service quality, customer service and financial planning, WWM closely monitors the following areas:

| | FY 2010 (Actual) | FY 2011 (Adopted) | FY 2012 (Projection) |
|---|---|---|---|
| Sewer Service Charge, $/1,000 gallons | $4.50 | $5.27 | $6.01 |
| Treatment Costs, $/MGD | $1,350 | $1,375 | $1,335 |
| Number of Sewer System Overflows | 10 | 15 | 15 |
| Odor Complaints per year | 16 | 20 | 20 |

The WWM is comprised of seven separate funds under a self-supporting fund structure (Enterprise Funds) consistent with the Sewer Bond Resolution adopted by the Board of Supervisors in July 1985. For more detailed information of the operational aspects of the various programs, refer to the narrative of Fund 401, Sewer Operation and Maintenance, which immediately follows this Overview. The following is a brief description of the seven active funds:

- **Fund 400** - Sewer Revenue is used to credit all operating revenues of the system, as well as most of the interest on invested fund balances. Revenues recorded in this fund are transferred to the various funds to meet their operational requirements. The remaining fund balances are used to set aside funds for various reserves and future system requirements.

- **Fund 401** - Sewer Operation and Maintenance provides funding for the three divisions responsible for the management and operation of the program, supported by a transfer from Fund 400.

- **Fund 402** - Sewer Construction Improvements provides funding for the repair, rehabilitation and improvement requirements of the entire program's infrastructure, supported by a transfer from Fund 400.

- **Fund 403** - Sewer Bond Parity Debt Service is used to record principal, interest and fiscal agent fees for the Series 2004 and 2009 Sewer Revenue Bonds and the planned FY 2011 Sewer Revenue Bond Sale in accordance with the current Sewer Bond Resolution, supported by a transfer from Fund 400.

- **Fund 406** - Sewer Bond Debt Reserve provides debt reserve funds for the 2004 and 2009 Series of Sewer Revenue Bonds and the planned FY 2011 Sewer Revenue Bond Sale in accordance with the current Sewer Bond Resolution, which are funded from the issuance of sewer revenue bonds and/or program revenues.

- **Fund 407** - Sewer Bond Subordinate Debt Service records all debt service payments on the UOSA revenue bonds and VRA loans. All future issues or refinancing of debt arising from interjurisdictional capacity rights may be treated as subordinate obligations of the system as provided by the General Bond Resolution for Sewer Revenue Bonds. Funding is supported by a transfer from Fund 400.

- **Fund 408** - Sewer Bond Construction provides for major program construction projects, which are funded from the issuance of sewer revenue bonds and/or program revenues.

# Fund 400
# Sewer Revenue

## Focus

All availability fees and sewer service charges associated with the Wastewater Management Program are credited to this fund as operating revenues. The total receipts from all revenue sources are used to finance the following: Operation and Maintenance (Fund 401); Construction Improvement Projects (Fund 402); Debt Service (Fund 403); and Subordinate Debt Service (Fund 407). Any remaining balance in Fund 400, Sewer Revenue is used for future year requirements and required reserves.

The Program's Availability Fee and Sewer Service Charge are based on staff analysis and consultant recommendations included in the January 2011 Wastewater Revenue Sufficiency and Rate Analysis.

**Current Availability Fee Rates**

Availability Charges are one-time "tap fees" charged to new customers for initial access to the system. The revenue from Availability Fees is used to offset the costs of expanding major treatment facilities. In FY 2012, Availability Fees will remain at $7,750 for single-family homes based on current projections of capital requirements. Rates are based on requirements associated with treatment plant upgrades and interjurisdictional payments that result from population growth, more stringent treatment requirements and inflation. The FY 2012 rate is consistent with the recommendations of the Department of Public Works and Environmental Services and the analysis included in the January 2011 Wastewater Revenue Sufficiency and Rate Analysis report. FY 2012 through FY 2014 rates are anticipated to be held equal to FY 2011 rates pending a more detailed pricing analysis. The following table displays the resulting increase by category.

| Category | FY 2011 Availability Fee | FY 2012 Availability Fee |
|---|---|---|
| Single Family | $7,750 | $7,750 |
| Townhouses and Apartments | $6,200 | $6,200 |
| Hotels/Motels | $1,938 | $1,938 |
| Nonresidential | $401/fixture unit | $401/fixture unit |

**Current Sewer Service Charge**

Sewer Service Charges are revenues received from existing customers and are used to fully recover program operation and maintenance costs, debt service payments and capital project requirements attributable to improving wastewater treatment effluent quality as mandated by state and federal agencies. The Sewer Service Charge rate will increase from $5.27 to $6.01 per 1,000 gallons of water consumption in FY 2012. This equates to an approximate increase of 14.0 percent in Sewer Service Charges. In addition, the base charge remains the same in FY 2012 and is billed quarterly in the amount of $5.00 per bill totaling $20.00 per year. Base charges are an industry standard used to promote revenue stability and are locally used by: Fairfax Water, Loudoun Water, Stafford County, District of Columbia Water and Sewer Authority (DCWASA), City of Alexandria, Washington Suburban Sanitary Commission (WSSC), and Prince William County. The combined effect of the sewer service charge increase as well as the base charge equate to an anticipated increase in the annual cost to the typical household of $56.24. For FY 2013 and FY 2014, annual service charge increases of 13.9 percent and 9.8 percent are being considered. Sewer service charge rates are increasing as debt and capital expenses rise in anticipation of construction of additional treatment facilities to meet more stringent nitrogen removal requirements imposed by the state as a result of "Chesapeake 2000" Agreement. The Chesapeake Bay water quality program requires reductions in the amount of nutrient pollutants. In December 2004, the state notified the County that the renewal of County's National Pollutant Discharge Elimination

System (NPDES) permit includes a requirement that nutrient removal be performed at the "Limits of Technology." Current technology allows for discharge limits of 3.0 milligrams per liter for nitrogen and 0.1 milligrams per liter for phosphorus. The County has a nitrogen discharge requirement of 7.0 milligrams per liter. A phased approach has been under way to renovate and upgrade current plant facilities to accommodate new more stringent nutrient discharge requirements. Due to the significant level of requirements, it is anticipated that projects will be financed on an as-needed basis. These rate increases are consistent with the recommendations of the Department of Public Works and Environmental Services and the analysis included in the January 2011 Wastewater Revenue Sufficiency and Rate Analysis report.

| Category | FY 2011 Sewer Service Charge | FY 2012 Sewer Service Charge |
|---|---|---|
| Per 1,000 gallons water consumed | $5.27 | $6.01 |

The FY 2012 Sewer Service Charge will generate an additional $17.608 million in revenue over the estimated *FY 2011 Revised Budget Plan* amount and will partially offset the increased costs associated with capital project construction, system operation and maintenance, debt service and upgrades to effectively meet new, more stringent nitrogen discharge limitations from wastewater treatment plants. Other sources of revenue are projected to remain fairly flat due to a moderate level of new development and growth anticipated in the County as compared to previous years. The program may also utilize sewer fund balances to partially offset these higher costs.

**Availability Fees and Sewer Service Charges from FY 2008 through FY 2015**

| Fiscal Year | Availability Fee | Sewer Service Charge Per 1,000 gallons water used |
|---|---|---|
| 2008 | $6,506 | $3.74 |
| 2009 | $6,896 | $4.10 |
| 2010 | $7,310 | $4.50 |
| 2011 | $7,750 | $5.27 |
| 2012 | $7,750 | $6.01 |
| 2013 | $7,750 | $6.85 |
| 2014 | $7,750 | $7.52 |
| 2015 | $7,750 | $7.97 |

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- There have been no revisions to this fund since the approval of the FY 2011 Adopted Budget Plan.

# Fund 400
# Sewer Revenue

## FUND STATEMENT

**Fund Type G40, Enterprise Funds** **Fund 400, Sewer Revenue**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$87,265,589** | **$81,555,589** | **$86,560,787** | **$66,925,787** |
| Revenue: | | | | |
| Lateral Spur Fees | $12,600 | $10,000 | $10,000 | $10,000 |
| Sales of Service | 8,888,800 | 8,000,000 | 8,000,000 | 9,500,000 |
| Availability Charges | 10,668,539 | 12,000,000 | 12,000,000 | 10,000,000 |
| Connection Charges | 28,980 | 50,000 | 50,000 | 30,000 |
| Sewer Service Charges | 117,550,994 | 125,775,000 | 125,775,000 | 143,383,500 |
| Miscellaneous Revenue | 200,360 | 150,000 | 150,000 | 150,000 |
| Sale Surplus Property | 47,503 | 30,000 | 30,000 | 30,000 |
| Interest on Investments | 847,422 | 2,000,000 | 2,000,000 | 900,000 |
| Total Revenue | $138,245,198 | $148,015,000 | $148,015,000 | $164,003,500 |
| **Total Available** | **$225,510,787** | **$229,570,589** | **$234,575,787** | **$230,929,287** |
| Transfers Out: | | | | |
| Sewer Operation and Maintenance (401) | $90,000,000 | $98,800,000 | $98,800,000 | $78,000,000 |
| Sewer Construction Improvements (402) | 18,000,000 | 24,500,000 | 24,500,000 | 29,000,000 |
| Sewer Bond Parity Debt Service (403) | 6,650,000 | 19,850,000 | 19,850,000 | 25,554,960 |
| Sewer Bond Subordinate Debt Service (407) | 24,300,000 | 24,500,000 | 24,500,000 | 25,633,624 |
| Total Transfers Out | $138,950,000 | $167,650,000 | $167,650,000 | $158,188,584 |
| **Total Disbursements** | **$138,950,000** | **$167,650,000** | **$167,650,000** | **$158,188,584** |
| | | | | |
| **Ending Balance** [1] | **$86,560,787** | **$61,920,589** | **$66,925,787** | **$72,740,703** |
| Management Reserves: | | | | |
| Operating and Maintenance Reserve[2] | $45,000,000 | $30,000,000 | $35,000,000 | $40,000,000 |
| New Customer Reserve[3] | 22,846,287 | 23,000,000 | 23,000,000 | 23,000,000 |
| Virginia Resource Authority Reserve[4] | 6,637,072 | 6,637,072 | 6,637,072 | 6,637,072 |
| Total Reserves | $74,483,359 | $59,637,072 | $64,637,072 | $69,637,072 |
| **Unreserved Balance** | **$12,077,428** | **$2,283,517** | **$2,288,715** | **$3,103,631** |

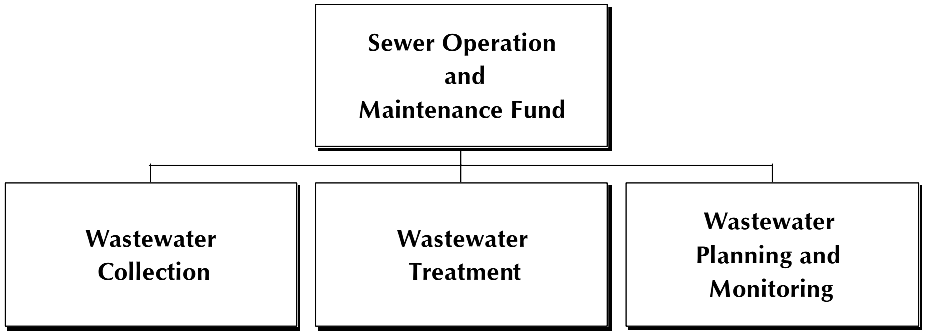
[1] The Wastewater Management Program maintains fund balances at adequate levels relative to projected debt service requirements, operation and maintenance expenses and capital improvements. These costs change annually and funding for sewer projects is carried forward each fiscal year; therefore, ending balances fluctuate, reflecting the carryover of these funds.

[2] The Operating and Maintenance Reserve provides for unforeseen expenses associated with sewer system emergencies. This reserve is targeted to be maintained at a level between $25 and $45 million. This level of reserve is based on industry practice to maintain existing customer reserves at a level which can support 30 and 180 days of working capital and approximately 50 percent of one year's requirements for rehabilitation and replacement of the current system's assets.

[3] The New Customer Reserve provides for debt service and administrative expenses associated with new customer debt, until such time as adjustments to availability charges can be accommodated. This reserve is targeted to be maintained at approximately $22 million. This level of reserve is based on payment expenses associated with one year of debt service and administrative expenses associated with new customer debt.

[4] The Virginia Resource Authority Reserve was established in anticipation of debt service reserve requirements for Virginia Resource Authority loans related to future treatment plant issues.

# Fund 401
# Sewer Operation and Maintenance




## Mission
To safely collect and treat wastewater in compliance with all regulatory requirements using state-of-the-art technology in the most cost-effective manner in order to improve the environment and enhance the quality of life in Fairfax County.

## Focus
The Wastewater Management Program includes wastewater collection and conveyance, wastewater treatment, and planning and monitoring program areas. The primary functions are to strategically plan, efficiently operate and effectively maintain the wastewater system in the best interest of the County and its customers. Funding for sewer operations and maintenance are financed by a transfer from Fund 400, Sewer Revenue, which is used to credit all system revenues collected, including availability fees and sewer service charges associated with the program.

*Photo of the Noman M. Cole Jr. Pollution Control Plant*

This program operates and maintains nearly 3,380 miles of sewer, 65 pump stations and 54 flow-metering stations. Treatment of wastewater generated is provided primarily through five regional wastewater collection and treatment plants. The regional treatment approach takes advantage of economies of scale in wastewater treatment and ensures the economical and efficient operation and management of the program.

One of the five regional plants is the County's owned and operated Noman M. Cole, Jr. Pollution Control Plant (NCPCP), which is currently permitted to treat 67 million gallons per day (mgd) of flow. Other regional facilities include the District of Columbia Water and Sewer Authority's Blue Plains Treatment Plant with 31 mgd capacity; Alexandria Sanitation Authority's Treatment Plant with 32.4 mgd capacity; Upper Occoquan Sewage Authority's Treatment Plant with 24.6 mgd capacity; and Arlington County's Treatment Plant with 3 mgd capacity. Fairfax County utilizes all of these facilities to accommodate a total capacity of 158 mgd.

The agency has identified a number of trends that influence the operation and maintenance of the sanitary sewer system. The major trends over the next two to five years include the following:

**Chesapeake Bay Water Quality Program Requirements** - The Chesapeake Bay water quality program requires reductions in the amount of nutrient pollutants discharged from wastewater treatment facilities. In December 2004, the state notified the County that the renewal of County's National Pollutant Discharge Elimination System (NPDES) permit includes a requirement that nutrient removal be performed at the "Limits of Technology." Current technology allows for discharge limits of 3.0 milligrams per liter for nitrogen and 0.1 milligrams per liter for phosphorus. The County has a nitrogen discharge requirement of 7.0 milligrams per liter. A phased approach has been under way to renovate and upgrade current plant facilities to accommodate these more stringent nutrient discharge requirements. The Sewer Service Charge rate will increase from $5.27 to $6.01 per 1,000 gallons of water consumption in FY 2012. This equates to an approximate increase of 14.0 percent in Sewer Service Charges. In addition, the base charge remains the same in FY 2012 and is billed quarterly in the amount of $5.00 per bill totaling $20.00 per year. The combined effect of the sewer service charge increase as well as the base charge equate to an anticipated increase in the annual cost to the typical household of $56.24. For FY 2013 and FY 2014, annual service charge increases of 13.9 percent and 9.8 percent are being proposed. Sewer service charge rates are increasing as debt and capital expenses rise in anticipation of construction of additional treatment facilities to meet more stringent nitrogen removal requirements imposed by the state as a result of the "Chesapeake 2000" agreement. In FY 2011, a Sewer Revenue Bond sale in the amount of $150 million is planned to support capital projects including enhanced nutrient removal upgrades, replacement and rehabilitation of sewer line projects and plant upgrades at the Noman M. Cole, Jr. Pollution Control Plant and treatment by contract wastewater treatment facilities.

**Capacity, Maintenance, Operation, and Management (CMOM)** - The United States Environmental Protection Agency (USEPA) has been planning for several years to promulgate sanitary sewer overflow (SSO) regulations, which would require municipalities to develop and implement a CMOM program to eliminate any sewer overflows and back-ups from the wastewater collection systems. The proposed SSO rule and the CMOM program would significantly affect program costs.

**Integration of Information Technology** - The Geographic Information System (GIS), the Supervisory Control and Data Acquisition (SCADA) system and the Infrastructure Computerized Maintenance Management System (ICMMS) require integration for optimal use. Computing and information technology are an integral part of every aspect of the Wastewater Management Program operations. Today's high customer expectations and increasing reliance on consistent 24-hour services, lead to an increasing dependence on and expectation for stable and reliable integrated information technologies that infuse the business process. Presently, the Enterprise Asset Management system (EAM) has successfully integrated with GIS and ICMMS system which provide reports for the SCADA system. The EAM system and SCADA system are not yet integrated. Future customer service needs will require a full enterprise integration of the critical information technology systems to reduce total cost of ownership, increase availability of critical business data in the right format, and improve the quality and delivery of services to sewer customers.

**Capital Improvements** - Reinvestment in the sewer system infrastructure ensures optimum operation of all wastewater facilities. This initiative, closely related to CMOM endeavors, emphasizes capital improvements to wastewater collection and treatment facilities to meet requirements of the future sanitary sewer overflow regulations by the USEPA. The program continues to take a proactive stance toward infrastructure rehabilitation; however, CMOM regulations could greatly affect operations.

**Asset Management Program** - As a result of evaluating the program's financial management strategies, an Asset Management Program was developed. The first phase aligned the program's capital asset policies and procedures with the County's fixed asset policies and developed a process in which to evaluate the program's infrastructure. The second phase developed criteria to identify the program's critical assets. After the criteria were tested and accepted they were applied to all program assets. Phase three will be the condition assessment of all assets beginning with the most critical assets.

# Fund 401
# Sewer Operation and Maintenance

The Wastewater Management Program is funded by revenues generated by the customers of the sanitary sewer system and recorded in Fund 400, Sewer Revenue. Sewer service charges support system operation and maintenance costs, debt service payments, and capital projects attributable to supporting and improving wastewater treatment services for existing customers. Availability fees support a proportional share of system costs and capital projects attributable to growth of the system required to support new customers. Existing customers are defined as those who have paid an availability fee for access to the system and receive wastewater treatment services. New customers are those who have not paid the availability fee. Upon payment of the availability fee and connection to the system, a new customer becomes an existing customer. The County allocates expenses, interest income, bond proceeds, debt service payments, capital improvement project costs and funding, and operating transfers between existing and new users of the system. In accordance with the County's "Growth Pays for Growth Policy," both existing and new customers must pay for their share of the system's total annual revenue requirements.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| **Category** | **FY 2010 Actual** | **FY 2011 Adopted Budget Plan** | **FY 2011 Revised Budget Plan** | **FY 2012 Advertised Budget Plan** |
| Authorized Positions/Staff Years | | | | |
| Regular | 321/ 320.5 | 321/ 320.5 | 321/ 320.5 | 321/ 320.5 |
| Expenditures: | | | | |
| Personnel Services | $23,398,508 | $29,641,961 | $29,641,961 | $25,430,945 |
| Operating Expenses | 59,707,448 | 70,414,035 | 70,805,676 | 66,819,252 |
| Capital Equipment | 311,103 | 580,348 | 600,932 | 1,724,974 |
| **Subtotal** | **$83,417,059** | **$100,636,344** | **$101,048,569** | **$93,975,171** |
| Less: | | | | |
| Recovered Costs | ($668,617) | ($667,567) | ($667,567) | ($687,567) |
| **Total Expenditures** | **$82,748,442** | **$99,968,777** | **$100,381,002** | **$93,287,604** |

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2010 Adopted Budget Plan are necessary to support the FY 2012 program:*

♦ **Employee Compensation** **$0**
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

♦ **Other Post-Employment Benefits** **$76,927**
An increase of $76,927 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Advertised Budget Plan.

♦ **Personnel Services Reduction** **($4,287,943)**
A decrease of $4,287,943 in Personnel Services is based on actual personnel services requirements in FY 2010. The agency continues to manage position vacancies and streamline operations for the most efficient delivery of service.

- **Operating Expenses Reduction** **($3,594,783)**
  A decrease of $3,594,783 in Operating Expenses is based on actual experience in FY 2010. Savings in FY 2010 are expected to continue through FY 2011 and FY 2012 and are associated with decreased costs for interjurisdictional charges based on shared operating and maintenance charges from Blue Plains, Alexandria Sanitation Authority (ASA), the Upper Occoquan Sewage Authority (UOSA) and Arlington. In addition, significant savings are projected to continue based on a more effective use of sewage treatment chemicals in the wastewater treatment process and decreases in expected utilities costs including electricity, water, and fuel for plant operations.

- **Recovered Costs** **($20,000)**
  An increase of $20,000 in Recovered Costs is based on increases in laboratory analysis of stormwater runoff as part of the Municipal Separate Storm Sewer System (MS4) permit program to meet regulatory requirements.

- **Capital Equipment** **$1,724,974**
  Capital Equipment funding of $1,724,974 is included for requirements associated with replacement equipment that has outlived its useful life and is not cost effective to repair. Replacement equipment includes: $650,000 for two combination vactor units used to pressure clean sanitary sewer lines to prevent sewer back-ups and sewer overflows; $263,000 for three backhoes to excavate emergency sewer line breaks; $261,140 for two Closed Circuit Television (CCTV) surveillance vans to inspect sewer lines; $170,000 for six ½ ton pickup trucks for sewer line inspections as required by the USEPA Capacity, Management, Operation and Maintenance (CMOM) Program; $130,000 for one, 1½ ton vehicle used to clean off road sanitary sewer lines in an effort to eliminate sewer back-ups and overflows; $58,000 for utility trucks used for maintenance operations at the Noman Cole Pollution Control Plant; and $33,000 for one ¾ ton pickup truck for the transportation of fuel at various worksites. All of these vehicles require replacement based on established age, mileage criteria and excessive repairs. In addition, an amount of $159,834 is required for the replacement of critical laboratory and computer equipment at the Noman Cole Pollution Control Plant.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2010 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010.*

- **Carryover Adjustments** **$412,225**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved encumbered funding of $391,641 in Operating Expenses and $20,584 in Capital Equipment.

## Wastewater Collection

**Funding Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 140/ 140 | 140/ 140 | 140/ 140 | 140/ 140 |
| **Total Expenditures** | **$12,909,409** | **$15,670,642** | **$15,778,209** | **$15,473,974** |

# Fund 401
# Sewer Operation and Maintenance

## Position Summary

**Collection Program**

| | |
|---|---|
| 1 | Director |
| 1 | Human Resources Generalist III |
| 1 | Network/Telecomm Analyst II |
| 1 | Network/Telecomm Analyst I |
| 1 | Safety Analyst |
| 1 | Warehouse Supervisor |
| 1 | Warehouse Specialist |
| 1 | Admin. Assistant IV |
| 6 | Admin. Assistants III |
| 2 | Admin. Assistants II |
| 1 | Storekeeper |
| 1 | Warehouse Worker-Driver-Helper |

**Gravity Sewers**

| | |
|---|---|
| 1 | Public Works Env. Services Manager |
| 1 | Maintenance Superintendent |
| 4 | Senior Maintenance Supervisors |
| 2 | Public Works Env. Services Specialists |
| 7 | Engineering Technicians II |
| 1 | Map Drafter |
| 12 | Engineering Technicians I |
| 2 | Heavy Equipment Operators |
| 16 | Maintenance Crew Chiefs |
| 3 | Motor Equipment Operators |
| 2 | Truck Drivers |
| 13 | Senior Maintenance Workers |
| 19 | Maintenance Workers |
| 1 | Engineer III |
| 5 | Environmental Services Sups. |

**Pumping Stations**

| | |
|---|---|
| 1 | Public Works Env. Services Manager |
| 1 | Engineer III |
| 1 | Industrial Electrician Supervisor |
| 1 | Instrumentation Supervisor |
| 1 | Plant Maintenance Supervisor |
| 2 | Industrial Electricians III |
| 4 | Instrumentation Technicians III |
| 4 | Industrial Electricians II |
| 6 | Plant Mechanics III |
| 3 | Instrumentation Technicians II |
| 8 | Plant Mechanics II |
| 1 | Instrumentation Technician I |

**TOTAL POSITIONS**
**140 Positions / 140.0 Staff Years**

## Goal

To operate, maintain, and repair the County's wastewater collection system in a manner that protects Fairfax County citizens and the environment.

## Wastewater Treatment

### Funding Summary

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 135/ 135 | 135/ 135 | 135/ 135 | 135/ 135 |
| **Total Expenditures** | **$17,359,613** | **$24,726,665** | **$24,814,262** | **$19,720,785** |

### Position Summary

**Noman M. Cole, Jr., Pollution Control Plant**

| | |
|---|---|
| 1 | Director |
| 1 | Public Works Env. Svcs. Spec. |
| 1 | Info. Tech. Prog. Manager I |
| 1 | Database Administrator I |
| 1 | Engineer IV |
| 2 | Storekeepers |
| 1 | Safety Analyst |
| 2 | Network/Telecomm. Analysts II |
| 1 | Warehouse Supervisor |
| 1 | Heavy Equipment Supervisor |
| 1 | Info. Technology Technician II |
| 1 | Administrative Assistant IV |
| 2 | Heavy Equipment Operators |
| 3 | Administrative Assistants III |
| 1 | Warehouse Worker-Driver |
| 1 | Data Analyst I |
| 1 | Network/Telecomm. Analyst I |

**Operations**

| | |
|---|---|
| 1 | Wastewater Plant Oper. Mgr. |
| 2 | Engineers III |
| 1 | Plant Operations Superintendent |
| 5 | Plant Operations Supervisors |
| 10 | Plant Operators III |
| 16 | Plant Operators II |
| 24 | Plant Operators I |
| 1 | Engineering Technician III |
| 1 | Engineering Technician II |

**Maintenance**

| | |
|---|---|
| 1 | Public Works Env. Svcs. Mgr. |
| 1 | Industrial Electrician Supervisor |
| 1 | Instrumentation Supervisor |
| 2 | Plant Maintenance Supervisors |
| 1 | Engineering Technician III |
| 1 | Painter II |
| 2 | Industrial Electricians I |
| 1 | Senior Maintenance Worker |
| 1 | Chief Building Maintenance |
| 2 | Industrial Electricians III |
| 3 | Instrumentation Technicians III |
| 1 | Senior Maintenance Supervisor |
| 4 | Industrial Electricians II |
| 7 | Plant Mechanics III |
| 5 | Instrumentation Technicians II |
| 2 | Welders II |
| 9 | Plant Mechanics II |
| 3 | Painters I |
| 1 | HVAC II |
| 4 | Maintenance Workers |

**TOTAL POSITIONS**
**135 Positions / 135.0 Staff Years**

# Fund 401
# Sewer Operation and Maintenance

## Goal

To ensure efficient and effective operation and maintenance of the County's wastewater treatment facilities within the laws and standards established by the Congress of the United States in Public Law 92-500 which designates regulatory powers to the USEPA and the Virginia Department of Environmental Quality.

## Wastewater Planning and Monitoring

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 46/ 45.5 | 46/ 45.5 | 46/ 45.5 | 46/ 45.5 |
| **Total Expenditures** | **$52,479,420** | **$59,571,470** | **$59,788,531** | **$58,092,845** |

**Position Summary**

**Financial Management and Planning**
- 1 Deputy Director Public Works
- 1 Director
- 1 Management Analyst IV
- 1 Financial Specialist IV
- 1 Financial Specialist III
- 1 Programmer Analyst III
- 1 Financial Specialist II
- 1 Env. Services Technical Specialist
- 2 Administrative Assistants IV
- 1 Administrative Assistant III, PT
- 1 Administrative Assistant II
- 1 Management Analyst I
- 2 Engineering Technicians II

**Engineering Planning and Analysis**
- 1 Engineer V
- 1 Engineer IV
- 1 Geog. Info. Spatial Analyst III
- 2 Geog. Info. System Techs.
- 2 Engineering Technicians III
- 4 Engineers III

**Environmental Monitoring**
- 1 Environmental Services Director
- 2 Asst. Environmental Services Directors
- 4 Environmental Health Specialists II
- 2 Environmental Technologists III
- 3 Environmental Technologists II
- 7 Environmental Technologists I
- 1 Management Analyst II

**TOTAL POSITIONS**
**46 Positions / 45.5 Staff Years**

**PT Denotes Part-Time Position**

## Goal

To manage sewer revenue collection; to monitor and report County sewage flows treated at non-County facilities; to plan for growth and development in the County's public sewer system; and to environmentally monitor County treatment facilities, other publicly and privately-owned treatment facilities in the program and nearby embayments.

## Key Performance Measures

### Objectives

- To comply with Title V air permit and state water quality permit requirements 100 percent of the time in order to contribute to a pure and natural state of air and water in Fairfax County.
- To maintain sewer infrastructure effectively in order to experience no more than 15 sewer back-ups, which is higher than the current 5-year rolling annual average of 12.
- To ensure efficient wastewater collection and treatment services by providing service to customers at rates that are one of the lowest in the area.
- To provide excellent financial and asset management by ensuring a debt coverage ratio of 1.25 or greater.

# Fund 401
# Sewer Operation and Maintenance

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Total average daily wastewater flow treated (million gallons) | 98.8 | 100.5 | 112.0 / 107.7 | 112.0 | 112.0 |
| Emergency repair work orders processed | 207 | 457 | 500 / 160 | 250 | 250 |
| Service trouble calls received | 1,249 | 1,038 | 1,500 / 1,092 | 1,100 | 1,100 |
| Operating Reserve maintained (millions) | $24.8 | $26.0 | $45.0 / $45.0 | $35.0 | $45.0 |
| **Efficiency:** | | | | | |
| Percent of treatment capacity available for growth | 38% | 36% | 33% / 32% | 33% | 30% |
| Emergency repairs, as a percent of total work orders | 0.9% | 0.9% | 1.0% / 0.3% | 1.0% | 1.0% |
| Sewer Service Billing Rate, $/1,000 gallons | $3.74 | $4.10 | $4.50 / $4.50 | $5.27 | $6.17 |
| **Service Quality:** | | | | | |
| Sanitary sewer overflows (SSOs) per year (FY 2010, 5-yr. avg. = 15) | 17 | 14 | 20 / 10 | 15 | 15 |
| Percent of customers responded to within 24 hours | 100% | 100% | 100% / 100% | 100% | 100% |
| Percentage of sewage back-ups responded to within 2 hours | 100% | 100% | 100% / 100% | 100% | 100% |
| Odor complaints per year (FY 2010, 5-yr. avg. = 19) | 22 | 17 | 25 / 16 | 20 | 20 |
| Percent of Pay as you go Capital Improvement Program funded | 100% | 100% | 100% / 100% | 100% | 100% |
| **Outcome:** | | | | | |
| Compliance with Title V air permit and State water quality permit | 100% | 100% | 100% / 100% | 100% | 100% |
| Blockages causing sewer back-ups per year (FY 2010, 5-yr. avg. = 12) | 18 | 11 | 20 / 11 | 15 | 15 |
| Average household sewer bill compared to other providers in the area | Lowest | Lower | Lower / One of the lowest | One of the lowest | One of the lowest |
| Debt Coverage Ratio: (Revenue - Operating Cost/Debt) | 1.78 | 1.25 | 1.30 / 1.28 | 1.25 | 1.25 |

## Performance Measurement Results

The Wastewater Management Program continues to comply with Title V air permit and State water quality permit requirements to maintain 100 percent compliance. Sanitary sewage blockages remained unchanged in both FY 2009 and FY 2010 due to increased efforts to monitor the sewer program and keep the sewer system clean of grease and debris. Blockages are expected to increase in FY 2011 and FY 2012 due to the continual deterioration of aging sewer lines which can result in increased sewer line collapse and maintenance costs, as well as the removal of tree roots or large shrubs near sewer lines.

When comparing average annual sewer service billings for the regional jurisdictions, Fairfax County has a lower average annual sewer service billing at $401. Other regional jurisdictions range from $326 to $633 (as of January 1, 2011). The average sewer service billings for the other regional jurisdictions have been developed by applying each jurisdiction's sewer service rate to appropriate Single Family Residence Equivalents (SFRE) water usage determined from an analysis of Fairfax Water's historical average water usage records for SFREs. Based on the latest rate comparison, Fairfax County had one of the lower annual sewer service charges. The program is able to maintain its competitive rates while providing quality service to its customers, protecting the environment, and maintaining sufficient financial resources to fully fund the program's initiatives.

# Fund 401
# Sewer Operation and Maintenance

## FUND STATEMENT

**Fund Type G40, Enterprise Funds** | **Fund 401, Sewer Operation and Maintenance**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$9,712,141** | **$1,346,715** | **$16,963,699** | **$15,382,697** |
| Transfer In: | | | | |
| Sewer Revenue (400) | $90,000,000 | $98,800,000 | $98,800,000 | $78,000,000 |
| Total Transfer In | $90,000,000 | $98,800,000 | $98,800,000 | $78,000,000 |
| **Total Available** | **$99,712,141** | **$100,146,715** | **$115,763,699** | **$93,382,697** |
| Expenditures: | | | | |
| Personnel Services | $23,398,508 | $29,641,961 | $29,641,961 | $25,430,945 |
| Operating Expenses | 59,707,448 | 70,414,035 | 70,805,676 | 66,819,252 |
| Recovered Costs | (668,617) | (667,567) | (667,567) | (687,567) |
| Capital Equipment | 311,103 | 580,348 | 600,932 | 1,724,974 |
| Total Expenditures | $82,748,442 | $99,968,777 | $100,381,002 | $93,287,604 |
| **Total Disbursements** | **$82,748,442** | **$99,968,777** | **$100,381,002** | **$93,287,604** |
| **Ending Balance[1]** | **$16,963,699** | **$177,938** | **$15,382,697** | **$95,093** |

[1] The Wastewater Management Program maintains fund balances at adequate levels relative to projected operation and maintenance expenses. These costs change annually; therefore, funding for sewer operations and maintenance is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 402
# Sewer Construction Improvements

## Focus

Fund 402, Sewer Construction Improvements, provides for wastewater management construction projects through a transfer of funds from Fund 400, Sewer Revenue. All projects in Fund 402 are fully supported by sewer system revenues.

***Photo of the Noman M. Cole Jr. Pollution Control Plant***

In FY 2012, an amount of $29,000,000 is included in Fund 402, Sewer Construction Improvements. Funding will provide for the replacement of power generators and aging equipment at several pumping stations; replacement of the Dogue Creek Force Main; the installation, repair, replacement and renovation of 20 miles of sewer lines using predominantly "no dig" technologies; and the replacement of equipment and facilities at the Noman M. Cole, Jr. Pollution Control Plant including clarifier mechanisms, wastewater and sludge pumps, motor and pump drives, chemical feed systems, motor control centers, HVAC systems, incinerator rehabilitation and the Supervisory Control and Data Acquisition (SCADA) system.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

♦ As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $25,948,189 due to the carryover of unexpended project balances.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 402
# Sewer Construction Improvements

## FUND STATEMENT

**Fund Type G40, Enterprise Funds** **Fund 402, Sewer Construction Improvements**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$24,969,800** | **$0** | **$25,948,189** | **$0** |
| Transfers In: | | | | |
| Sewer Revenue (400) | $18,000,000 | $24,500,000 | $24,500,000 | $29,000,000 |
| Total Transfer In | $18,000,000 | $24,500,000 | $24,500,000 | $29,000,000 |
| **Total Available** | **$42,969,800** | **$24,500,000** | **$50,448,189** | **$29,000,000** |
| Total Expenditures | $17,021,611 | $24,500,000 | $50,448,189 | $29,000,000 |
| **Total Disbursements** | **$17,021,611** | **$24,500,000** | **$50,448,189** | **$29,000,000** |
| **Ending Balance**[1] | **$25,948,189** | **$0** | **$0** | **$0** |

[1] The capital projects in this sewer fund are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 402
# Sewer Construction Improvements

## FY 2012 Summary of Capital Projects

### Fund: 402 Sewer Construction Improvements

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| G00903 | Arlington Wastewater Treatment | $18,416,498 | $0.00 | $0.00 | $0 |
| I00351 | Pump Station Renovations | | 2,007,171.88 | 0.00 | 0 |
| I00353 | Pumping Stations | 12,440,586 | 119,882.33 | 7,320,704.00 | 5,000,000 |
| I00904 | ASA Wastewater Treatment Plant | 208,919,898 | 0.00 | 0.00 | 0 |
| L00117 | Dogue Creek Rehab/Replacement | | 1,620,967.00 | 11,107,805.99 | 4,300,000 |
| N00321 | Lower Potomac Exp. 54 MGD | 61,396,181 | 0.00 | 458,884.60 | 0 |
| T00125 | Rocky Run Pump Station Upgrade | | 118,084.64 | 257,780.36 | 0 |
| X00442 | Freds Oak Facility Improvement | 560,000 | 108,188.59 | 451,811.41 | 0 |
| X00445 | Integrated Sewer Metering | | 0.00 | 114,019.40 | 0 |
| X00826 | Extension Project FY 1996 | 23,589,879 | 584,095.53 | 13,939.77 | 0 |
| X00828 | Extension and Improvement Projects | | 359,870.37 | 1,639,038.76 | 0 |
| X00903 | Replacement and Transmission Programmed Rehab | | 1,325,150.59 | 9,274,849.41 | 14,400,000 |
| X00904 | Sewer Line Enlargement | | 65,591.84 | 69,003.07 | 0 |
| X00905 | Replacement & Transmission | | 7,619,698.16 | 3,123,111.32 | 0 |
| X00906 | Sewer Line Enlargement | | 442,342.44 | 0.00 | 0 |
| X00910 | Replacement and Renewal | | 1,630,433.51 | 1,896,425.03 | 0 |
| X00912 | Replacement and Renewal-Treatment | | 976,833.76 | 3,148,170.09 | 5,300,000 |
| X00930 | Sewer Relocation - VADOT | | 43,300.41 | 2,838.20 | 0 |
| X00998 | Sewer Contingency Project | | 0.00 | 11,569,807.10 | 0 |
| **Total** | | **$325,323,042** | **$17,021,611.05** | **$50,448,188.51** | **$29,000,000** |

# Fund 403
# Sewer Bond Parity Debt Service

## Focus

Fund 403, Sewer Bond Parity Debt Service, records debt service obligations incurred from bonds issued in accordance with the 1986 Sewer Bond Resolution. Bond proceeds are used to fund capital improvement requirements in the Wastewater Management Program including upgrades to the treatment facilities serving the County and construction of nutrient removal facilities for the removal of nitrogen as required by the State Water Control Board. The removal of nitrogen will improve the quality of the effluent produced at all of the treatment plants.

An amount of $26,104,805 is required for this fund in FY 2012 including $7,700,677 in principal payments and $18,399,128 in interest payments associated with outstanding 2004, 2009 and planned 2011 Sewer Revenue Bonds, as well as $5,000 in fiscal agent fees. FY 2012 interest payments reflect an increase due to the full year impact of the 2011 bond sale, as well as the structuring of the amortization schedule which requires higher interest payments for the first several years which decrease over the life of the bonds. Fiscal agent fees are included for the management of all sewer bond accounts. All debt service payments are supported by Sewer System Revenues.

| | Principal | Interest | Fees | Total |
|---|---|---|---|---|
| **Sewer Revenue Bonds:** | | | | |
| 2004 | $2,935,000 | $3,748,506 | | $6,683,506 |
| 2009 | 2,532,083 | 7,178,197 | | 9,710,280 |
| 2011 | 2,233,594 | 7,472,425 | | 9,706,019 |
| **Subtotal-Debt Service** | **$7,700,677** | **$18,399,128** | | **$26,099,805** |
| **Fiscal Agent Fees** | | | $5,000 | $5,000 |
| **Total** | **$7,700,677** | **$18,399,128** | **$5,000** | **$26,104,805** |

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- There have been no adjustments to this fund since approval of the FY 2011 Adopted Budget Plan.

# Fund 403
# Sewer Bond Parity Debt Service

## FUND STATEMENT

**Fund Type G40, Enterprise Funds** | **Fund 403, Sewer Bond Parity Debt Service**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$4,536,296** | **$292,485** | **$577,376** | **$574,845** |
| Transfer In: | | | | |
| Sewer Revenue (400)[1] | $6,650,000 | $19,850,000 | $19,850,000 | $25,554,960 |
| Total Transfer In | $6,650,000 | $19,850,000 | $19,850,000 | $25,554,960 |
| **Total Available** | **$11,186,296** | **$20,142,485** | **$20,427,376** | **$26,129,805** |
| Expenditures: | | | | |
| Principal Payment[2] | $2,740,000 | $5,215,000 | $5,215,000 | $7,700,677 |
| Interest Payments[2] | 7,844,562 | 14,607,531 | 14,607,531 | 18,399,128 |
| Fiscal Agent Fees | 16,729 | 5,000 | 5,000 | 5,000 |
| Total Expenditures | $10,601,291 | $19,827,531 | $19,827,531 | $26,104,805 |
| Non Appropriated: | | | | |
| Amortization Expense[3] | $7,629 | $25,000 | $25,000 | $25,000 |
| **Total Disbursements** | **$10,608,920** | **$19,852,531** | **$19,852,531** | **$26,129,805** |
| | | | | |
| **Ending Balance[4]** | **$577,376** | **$289,954** | **$574,845** | **$0** |

[1] This fund is supported by a transfer in from Fund 400, Sewer Revenue.

[2] The bond principal and interest payments are shown as expenditures. However, for accounting purposes, the Comprehensive Annual Financial Report will show these disbursements as "Construction in Progress" to be capitalized.

[3] In order to capitalize bond costs, this category is designated as an annual non-appropriated amortization expense. FY 2010 is based on amortization expenses associated with the 2004 bond series. The FY 2011 amount of $25,000 includes the 2004 bond series, FY 2009 bond series and the planned 2011 sewer revenue bond sale.

[4] The Wastewater Management Program maintains fund balances at adequate levels relative to projected debt service requirements. Fund balances fluctuate from year to year based on actual debt requirements and are used to cover amortization of issuance costs.

# Fund 406
# Sewer Bond Debt Reserve

## Focus

Fund 406, Sewer Bond Debt Reserve, fulfills the County's requirement to maintain a Reserve Fund for existing and planned sewer bonds. As outlined in the 1986 Bond Resolution, this reserve is required to be the lesser of the maximum principal and interest requirements for any bond year or 125 percent of the average annual principal and interest requirements for the bonds.

No funding is required for Fund 406, Sewer Bond Debt Reserve in FY 2012. The current balance of $26,261,123 is a sufficient level to satisfy the legal reserve requirements of $6,900,348 for the 2004 Sewer Revenue Refunding bonds, $9,654,775 for the 2009 Sewer Revenue Bonds and $9,706,000 for the planned FY 2011 Sewer Revenue Bond sale. In FY 2011, a Sewer Revenue Bond sale in the amount of $150 million is planned to support capital projects including enhanced nutrient removal upgrades, replacement and rehabilitation of sewer lines and plant upgrades at both the Noman M. Cole, Jr. Pollution Control Plant and other treatment by contract wastewater treatment facilities. This includes bond proceeds of $9,706,000 in this fund and $140,294,000 in Fund 408, Sewer Bond Construction. Bond proceeds will be used to fund a portion of the County's share of construction costs for Enhanced Nutrient Removal (ENR) facilities to meet current environmental regulations, renovation and replacement (R&R) of aging Integrated Sewer System (System) infrastructure, purchase of additional treatment capacity, if needed by the System, and required deposits to bond reserves.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- There have been no adjustments to this fund since approval of the FY 2011 Adopted Budget Plan.

# Fund 406
# Sewer Bond Debt Reserve

## FUND STATEMENT

**Fund Type G40, Enterprise Funds** | **Fund 406, Sewer Bond Debt Reserve**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$16,555,123** | **$16,555,123** | **$16,555,123** | **$26,261,123** |
| Revenue: | | | | |
| Bond Proceeds | $0 | $9,706,000 | $9,706,000 | $0 |
| Total Revenue | $0 | $9,706,000 | $9,706,000 | $0 |
| **Total Available** | **$16,555,123** | **$26,261,123** | **$26,261,123** | **$26,261,123** |
| Total Expenditures | $0 | $0 | $0 | $0 |
| **Total Disbursements** | **$0** | **$0** | **$0** | **$0** |
| | | | | |
| **Ending Balance**[1] | **$16,555,123** | **$26,261,123** | **$26,261,123** | **$26,261,123** |

[1] The fund balance provides a sufficient level to satisfy the legal reserve requirements of $6,900,348 for the 2004 Sewer Revenue Refunding bonds, $9,654,775 for the 2009 Sewer Revenue Bonds and $9,706,000 for the planned FY 2011 Sewer Revenue Bond sale. These reserves provide for one year of principal and interest as required by the Sewer System's General Bond Resolution.

# Fund 407
# Sewer Bond Subordinate Debt Service

## Focus

Fund 407, Sewer Bond Subordinate Debt Service, provides debt service funding for the Upper Occoquan Sewage Authority (UOSA) Bond Series and the Virginia Resources Authority (VRA) loans. The UOSA Bond Series is based on the County's portion of the UOSA plant expansion from 27.0 million gallons per day (mgd) to 54.0 mgd. Two low-interest VRA loans from the State Revolving Fund Program were used to fund the County's share of construction costs for the Alexandria Sanitation Authority treatment plant upgrade for ammonia removal as required by the State Water Control Board.

All debt service payments are supported by Sewer System Revenues through a transfer from Fund 400, Sewer Revenue. Pursuant to the Sewer Bond resolution and respective agreements, these debt obligations are subordinate to the County's Sewer Revenue Bonds and therefore, the payments are made from this fund.

Funding in the amount of $26,724,284 will provide for the FY 2012 principal and interest requirements, including an amount of $20,087,212 for the UOSA plant requirements, and $6,637,072 for the VRA debt requirements**.** UOSA debt is structured so that no principal payments are made during the construction phase of the project. Interest is capitalized and principal payments begin once construction is substantially complete.

The following table identifies the payments required in FY 2012.

| | Principal | Interest | Total |
|---|---|---|---|
| **UOSA PLANT EXPANSION:** | | | |
| 1995A | $0 | $1,568,697 | $1,568,697 |
| 2003 | 2,160,827 | 1,332,299 | 3,493,126 |
| 2004 | 4,444,992 | 931,948 | 5,376,940 |
| 2005 | 0 | 2,660,060 | 2,660,060 |
| 2007A | 0 | 2,621,743 | 2,621,743 |
| 2007B | 0 | 2,552,749 | 2,552,749 |
| 2010 | 0 | 1,813,897 | 1,813,897 |
| **Subtotal – UOSA** | **$6,605,819** | **$13,481,393** | **$20,087,212** |
| **VRA DEBT PAYMENTS:** | | | |
| FY 2001 VRA Loan | $2,019,232 | $980,052 | $2,999,284 |
| FY 2002 VRA Loan | 2,440,070 | 1,197,718 | 3,637,788 |
| **Subtotal – VRA** | **$4,459,302** | **$2,177,770** | **$6,637,072** |
| **Total** | **$11,065,121** | **$15,659,163** | **$26,724,284** |

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- There have been no adjustments to this fund since approval of the FY 2011 Adopted Budget Plan.

# Fund 407
# Sewer Bond Subordinate Debt Service

## FUND STATEMENT

**Fund Type G40, Enterprise Funds** **Fund 407, Sewer Bond Subordinate Debt Service**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$1,490,263** | **$1,456,872** | **$1,501,400** | **$1,090,660** |
| Transfer In: | | | | |
| Sewer Revenue (400) | $24,300,000 | $24,500,000 | $24,500,000 | $25,633,624 |
| Total Transfer In | $24,300,000 | $24,500,000 | $24,500,000 | $25,633,624 |
| **Total Available** | **$25,790,263** | **$25,956,872** | **$26,001,400** | **$26,724,284** |
| Expenditures: | | | | |
| Principal Payment[1] | $9,553,871 | 10,581,593 | $10,581,593 | $11,065,121 |
| Interest Payment[1] | 14,734,992 | 14,329,147 | 14,329,147 | 15,659,163 |
| Total Expenditures | $24,288,863 | $24,910,740 | $24,910,740 | $26,724,284 |
| **Total Disbursements** | **$24,288,863** | **$24,910,740** | **$24,910,740** | **$26,724,284** |
| | | | | |
| **Ending Balance[2]** | **$1,501,400** | **$1,046,132** | **$1,090,660** | **$0** |

[1] The bond principal and interest payments are shown here as expenditures. However, for accounting purposes, the Comprehensive Annual Financial Report will show these disbursements as "Construction in Progress" to be capitalized.

[2] The Wastewater Management Program maintains fund balances at adequate levels relative to projected debt service requirements. These costs change annually and therefore, fund balances fluctuate from year to year based on actual debt service requirements.

# Fund 408
# Sewer Bond Construction

## Focus

Fund 408, Sewer Bond Construction, provides for major sewer system construction projects including upgrades and expansions of sewer treatment plants utilized by Fairfax County residents that are funded primarily from the sale of sewer revenue bonds. Funding has been provided in recent years in Fund 408, Sewer Bond Construction, to begin to meet state regulatory requirements for nitrogen removal and plant upgrades at the District of Columbia Water and Sewer Authority (DCWASA), the Alexandria Sanitation Authority (ASA), the Arlington County Treatment Plant, and the Noman M. Cole, Jr. Pollution Control Plant.

The Chesapeake Bay water quality program requires reductions in the amount of nutrient pollutants. In December 2004, the state notified the County that the renewal of County's National Pollutant Discharge Elimination System (NPDES) permit includes a requirement that nutrient removal be performed at the "Limits of Technology." Current technology allows for discharge limits of 3.0 milligrams per liter for nitrogen and 0.1 milligrams per liter for phosphorus. The County has a nitrogen discharge requirement of 7.0 milligrams per liter. A phased approach has been under way to renovate and upgrade current plant facilities to accommodate new more stringent nutrient discharge requirements.

The next Sewer Revenue Bond sale is scheduled during FY 2011 in the amount of $150 million to support capital projects including enhanced nutrient removal upgrades, replacement and rehabilitation of sewer lines, plant upgrades at the Noman M. Cole, Jr. Pollution Control Plant and upgrades at treatment by contract wastewater treatment facilities. No funding is included for Fund 408 in FY 2012. Work will continue on existing and previously funded projects.

## Changes to <u>FY 2011 Adopted Budget Plan</u>

The following funding adjustments reflect all approved changes in the *FY 2011 Revised Budget Plan* since passage of the <u>FY 2011 Adopted Budget Plan</u>. Included are all adjustments made as part of the *FY 2010 Carryover Review*, and all other approved changes through December 31, 2010:

♦ As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $86,455,752 due to the carryover of unexpended project balances in the amount of $49,355,752 and the appropriation of additional revenues of $37,100,000. Revenues in the amount of $6,500,000 were appropriated based on a grant from the Department of Environmental Quality (DEQ) for the construction of a reclaimed water system for the reuse of highly treated wastewater from the Noman M. Cole, Jr. Pollution Control Plant to the Energy Resource Recovery Facility (ERRF). This grant funding was awarded to Fairfax County as a result of the American Recovery and Reinvestment Act on December 7, 2009. Of the total $6.5 million awarded, $1.1 million was received in FY 2010 and $5.4 million is anticipated in FY 2011. In addition, grant funding in the amount of $30,600,000 was associated with the Virginia Water Quality Improvement Fund Point Source Grant for nitrogen removal requirements associated with the Chesapeake Bay Program as approved by the Board of Supervisors on February 23, 2009. An amount of $4.1 million in revenue for this grant was received in FY 2010, with an additional $26.5 million anticipated in FY 2011.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 408
# Sewer Bond Construction

## FUND STATEMENT

**Fund Type G40, Enterprise Funds** **Fund 408, Sewer Bond Construction**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$110,953,222** | **$11,247,495** | **$65,302,690** | **$11,703,970** |
| Revenue: | | | | |
| Sale of Bonds[1] | $0 | $140,294,000 | $140,294,000 | $0 |
| Interest on Investments | 456,475 | 1,000,000 | 1,000,000 | 500,000 |
| Virginia Water Quality Improvement Grant[2] | 4,100,498 | 0 | 26,499,502 | 0 |
| American Recovery and Reinvestment Act (ARRA)[3] | 1,142,470 | 0 | 5,357,530 | 0 |
| Total Revenue | $5,699,443 | $141,294,000 | $173,151,032 | $500,000 |
| **Total Available** | **$116,652,665** | **$152,541,495** | **$238,453,722** | **$12,203,970** |
| Total Expenditures | $51,349,975 | $140,294,000 | $226,749,752 | $0 |
| **Total Disbursements** | **$51,349,975** | **$140,294,000** | **$226,749,752** | **$0** |
| **Ending Balance[4]** | **$65,302,690** | **$12,247,495** | **$11,703,970** | **$12,203,970** |

[1] In FY 2011, an amount of $150 million in revenue bonds is anticipated to be issued to support enhanced nitrogen removal projects and upgrades within the Capital Improvement Program including $140.3 million in this fund and $9.7 million to be reserved in Fund 406, Sewer Bond Debt Reserve for legal requirements.

[2] Reflects Virginia Water Quality Improvement Fund Point Source grant approved by the Board of Supervisors on February 23, 2009 for nitrogen removal requirements associated with the Chesapeake Bay Program. In FY 2010 an amount of $4,100,498 was received and $26,499,502 is anticipated in FY 2011 and beyond.

[3] On December 7, 2009, the Board of Supervisors approved funding in the amount of $6,500,000 associated with a grant award from the Department of Environmental Quality for the construction of a reclaimed water system from the Noman M. Cole, Jr. Pollution Control Plant to the Energy Resource Recovery Facility (ERRF). This grant funding was awarded to Fairfax County as a result of the American Recovery and Reinvestment Act of 2009. In FY 2010 an amount of $1,142,470 was received and $5,357,530 is anticipated in FY 2011 and beyond.

[4] The capital projects in this sewer fund are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 408
# Sewer Bond Construction

## FY 2012 Summary of Capital Projects

### Fund: 408 Sewer Bond Construction

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| FS0001 | Noman Cole Water Reuse | $16,720,000 | $2,475,550.00 | $14,244,450.00 | $0 |
| G00902 | DC Blue Plains Exp 309 MGD | | 12,851,793.41 | 21,160,156.58 | 0 |
| G00904 | Arlington Treatment Plant Upgrade | 34,750,000 | 7,056,696.88 | 11,585,558.29 | 0 |
| I00352 | Pumping Station Improvements | | 0.00 | 499,999.90 | 0 |
| I00906 | ASA Plant Improvements | | 11,865,567.84 | 21,825,051.75 | 0 |
| J00901 | LCSA Plant Upgrade | 14,000,000 | 0.00 | 13,999,999.90 | 0 |
| N00322 | Lower Potomac 67 MGD | 130,366,502 | 2,306,865.98 | 623,626.98 | 0 |
| N00323 | Noman M. Cole, Jr. Pollution Control Plant Upgrades | | 13,604,213.87 | 101,735,221.18 | 0 |
| X00909 | Sewer Line Improvements | | 0.00 | 500,000.00 | 0 |
| X00911 | Noman M. Cole, Jr. Pollution Control Plant Renovations | 42,794,000 | 1,189,286.56 | 40,575,687.42 | 0 |
| **Total** | | **$238,630,502** | **$51,349,974.54** | **$226,749,752.00** | **$0** |


1742

## Overview

Internal Service Funds account for services provided by specific County agencies to other County agencies on a cost reimbursement basis. The services consist of insurance, central acquisition of commonly used supplies and equipment, vehicle fleet maintenance, communications, and data processing. Revenues of these funds consist primarily of charges to County agencies for these services. Specific funds included in this group are:

**FAIRFAX COUNTY INTERNAL SERVICE FUNDS**

- **Fund 501, County Insurance Fund**, is utilized to meet the County's casualty obligations, liability exposures, and worker's compensation requirements.

- **Fund 503, Department of Vehicle Services**, ensures that the County, School and Park Authority vehicle fleet is responsive to the transportation needs of all customer agencies and is operated in a safe and cost-effective manner.

- **Fund 504, Document Services Division**, supports the printing, copier, and micrographic services to County and School agencies.

- **Fund 505, Technology Infrastructure Services**, is managed by the Department of Information Technology and provides Data Center and Network Services to County agencies. Infrastructure costs associated with the operation and maintenance of the mainframe, data communications, PC replacements, and radio networks are billed to user agencies.

- **Fund 506, Health Benefits Fund**, is the County's self-insurance fund which provides health insurance benefits to Fairfax County employees.

**FAIRFAX COUNTY PUBLIC SCHOOLS INTERNAL SERVICE FUNDS**

- **Fund 590, Public School Insurance Fund,** is an insurance fund that provides administration of workers' compensation accounts, centralization of self-insurance accounts for automobile and general liability, and commercial insurance for other liabilities.

- **Fund 591, Public School Health and Flexible Benefits Fund,** is the Fairfax County Public Schools self-insurance fund which provides health insurance benefits to its employees.

- **Fund 592, Public School Central Procurement,** facilitates accounting of orders for textbooks, supplies, and equipment for the Fairfax County Public Schools (FCPS).

# Fund 501
# County Insurance Fund

- Financial Control and Compliance
- Investing and Cash Flow Management
- Accounting and Financial Reporting
- Payment of Countywide Obligations
- Risk Management

## Mission

To ensure the health and safety of County residents, employees, and public officials, and to protect the County's financial assets. The agency is committed to providing the highest quality customer service in managing the County's risks and exposures.

## Focus

Fairfax County has a statutory responsibility to provide Workers' Compensation benefits, including medical treatment and loss of wages due to related disability, to employees who sustain occupational injuries and illnesses. The County Insurance Fund was established to fulfill this obligation. The Fund also provides for countywide commercial insurance and self-insurance. The County self-insures automobile and general liability claims. Special commercial coverage is provided for aviation insurance on County helicopters, real property coverage, and Fire and Rescue Department vehicle damage insurance. Administrative expenses of risk management programs are paid through this Fund.

Fairfax County provides a wide range of services to its employees and residents, which in turn create potential risks and exposures to the County. Some of these risks include injuries involving County employees or damage to County property; injuries to residents or damage to residents' property; automobile accidents; incidents arising from police activity; the actions of public officials; and the operation and maintenance of sewage and storm management systems.

The Risk Management Division approaches its mission from both internal and external perspectives. Recognizing that an organization the size of Fairfax County will experience losses, Risk Management staff work to mitigate losses and manage financial liabilities. This is accomplished through both self-insurance (which generally applies to losses expected to occur regularly, such as Workers' Compensation, automobile and general liability, and police professional and public officials liability) and commercial insurance (for losses which occur infrequently but tend to be large exposures, such as real property losses, aircraft liability, and damage to high-value vehicles). Recognizing the importance of prompt and fair resolution of claims against the County, claims administration uses both in-house staff and a contract claims administrator. Finally, Risk Management staff focuses on building and using partnerships with other County agencies, the community, and neighboring jurisdictions to mitigate risks and to ensure excellent communication with the residents of Fairfax County.

# Fund 501
# County Insurance Fund

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 13/ 13 | 13/ 13 | 14/ 14 | 14/ 14 |
| Expenditures: | | | | |
| Personnel Services | $1,080,127 | $1,271,533 | $1,271,533 | $1,271,533 |
| Operating Expenses | 18,546,543 | 15,483,185 | 19,273,023 | 20,881,143 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Subtotal** | **$19,626,670** | **$16,754,718** | **$20,544,556** | **$22,152,676** |
| Less: | | | | |
| Recovered Costs | ($217,108) | ($375,000) | ($375,000) | ($375,000) |
| **Total Expenditures** | **$19,409,562** | **$16,379,718** | **$20,169,556** | **$21,777,676** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| 1 | Risk Manager | 2 | Loss Prevention Analysts II | 1 | Administrative Assistant IV |
| 1 | Insurance Manager | 1 | Claims Manager | 2 | Administrative Assistants III |
| 1 | Loss Prevention Analyst IV | 1 | Claims and Rehabilitation Supervisor | | |
| 2 | Loss Prevention Analysts III | 2 | Claims Specialists | | |

**TOTAL POSITIONS**
**14 Positions / 14.0 Staff Years**

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- **Reductions** **$0**
  It should be noted that no reductions to balance the FY 2012 budget are included in this agency, based on both mandated requirements and the limited ability to generate personnel savings from vacancies given the small number of personnel that staff this agency.

- **General Insurance Costs** **$5,397,958**
  A net increase of $5,397,958 in Operating Expenses is primarily due to an increase in costs associated with Worker's Compensation and other self-insurance coverage. A number of significant injuries requiring long-term care and surgeries are driving an increase in medical costs in Workers' Compensation claims and potentially significant liability losses are projected based on pending self-insurance claims in litigation.

# Fund 501
# County Insurance Fund

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$3,789,838**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved a net increase of $3,789,838 in Operating Expenses, including an increase of $3,372,735 in support of Workers' Compensation due primarily to significant hospitalization costs and an increase of $747,103 in Self Insurance costs due to an approved settlement and legal costs incurred for outside counsel defending the County in litigation. These increases are partially offset by a savings of $330,000 in Commercial Insurance Premiums as a direct result of a joint renewal by the County and Fairfax County Public Schools due to the efforts of the Smart Savings Task Force.

- **Position Changes** **$0**
  As part of the FY 2011 review of County position categories, a conversion of 1/1.0 SYE position has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

## Key Performance Measures

### Goal

To identify and limit potential financial losses to the County arising in the normal course of business or as a result of accidents, acts of nature, and any action for which the County can be held liable; to provide prompt and efficient resolution of claims resulting from such losses; and to manage financial resources and commercial insurance options to limit the impact of losses on current operations.

### Objectives

- To process 98 percent of all claims within 30 business days from date of incident.
- To reduce the overall rate of preventable automobile accidents from 0.90 to 0.60 per 100,000 miles driven through an aggressive program of driver education.
- To maintain the ratio of premium paid to the value of assets covered at 0.150 percent or less in order to maximize the value of County assets insured in relation to the total premium dollars expended.

| | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| **Indicator** | **FY 2008 Actual** | **FY 2009 Actual** | **FY 2010 Estimate/Actual** | **FY 2011** | **FY 2012** |
| **Output:** | | | | | |
| Claims requiring investigation | 2,490 | 2,492 | 3,000 / 3,103 | 3,000 | 3,000 |
| County drivers receiving training | 834 | 935 | 1,080 / 970 | 1,080 | 1,080 |
| Total insurance premiums paid | $3,495,306 | $3,544,993 | $3,654,500 / $3,463,335 | $3,136,637 | $3,278,700 |
| **Efficiency:** | | | | | |
| Cost per claim processed | $118 | $114 | $107 / $81 | $80 | $80 |
| Cost per driver trained | $121 | $184 | $102 / $120 | $112 | $112 |
| Cost per insurance policy | $565 | $554 | $601 / $545 | $601 | $560 |

# Fund 501
# County Insurance Fund

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Service Quality:** | | | | | |
| Average claims processing time (days) | 5 | 5 | 7 / 6 | 5 | 5 |
| Preventable accidents | 265 | 240 | 250 / 281 | 225 | 225 |
| Value of County assets covered (in billions) | $2.368 | $2.503 | $2.463 / $2.346 | $2.560 | $2.560 |
| **Outcome:** | | | | | |
| Percentage of claims processed within 30 days | 98% | 98% | 98% / 97% | 98% | 98% |
| Preventable accidents per 100,000 miles driven | 0.72 | 0.69 | 0.60 / 0.90 | 0.60 | 0.60 |
| Ratio of premium paid to value of assets covered | 0.148% | 0.142% | 0.185% / 0.148% | 0.150% | 0.150% |

## Performance Measurement Results

Driver safety and accident prevention programs remain a priority to the County. There was a slight increase in preventable accidents in FY 2010. Stability is anticipated in this area for FY 2012 and County staff continues to maintain the goal of reducing accident rates.

The commercial insurance portfolio is a key element in protecting the assets of the County against losses in a major event; it ensures that the County is not faced with major property, Workers' Compensation, and liability losses during periods when it cannot afford the costs associated with losses. While the actual premiums tend to increase, County staff successfully continues to lower the rates for those premiums, surpassing the goal of a 0.185 percent premium rate by attaining a 0.148 percent rate in FY 2010.

Workers' Compensation costs are the single greatest challenge to the Insurance Fund. A key factor in containing costs and expediting the return to work of injured employees is prompt and adequate medical evaluation. Awareness of the County's programs in this area, coupled with efficient reporting systems, serve both employee and County interests. The Risk Management Division has exceeded the seven-day average reporting goal and now averages six days reporting time. With 97 percent of all claims being processed within 30 days, the program approaches its ambitious goal of processing 98 percent of all claims within 30 business days from the date of incident.

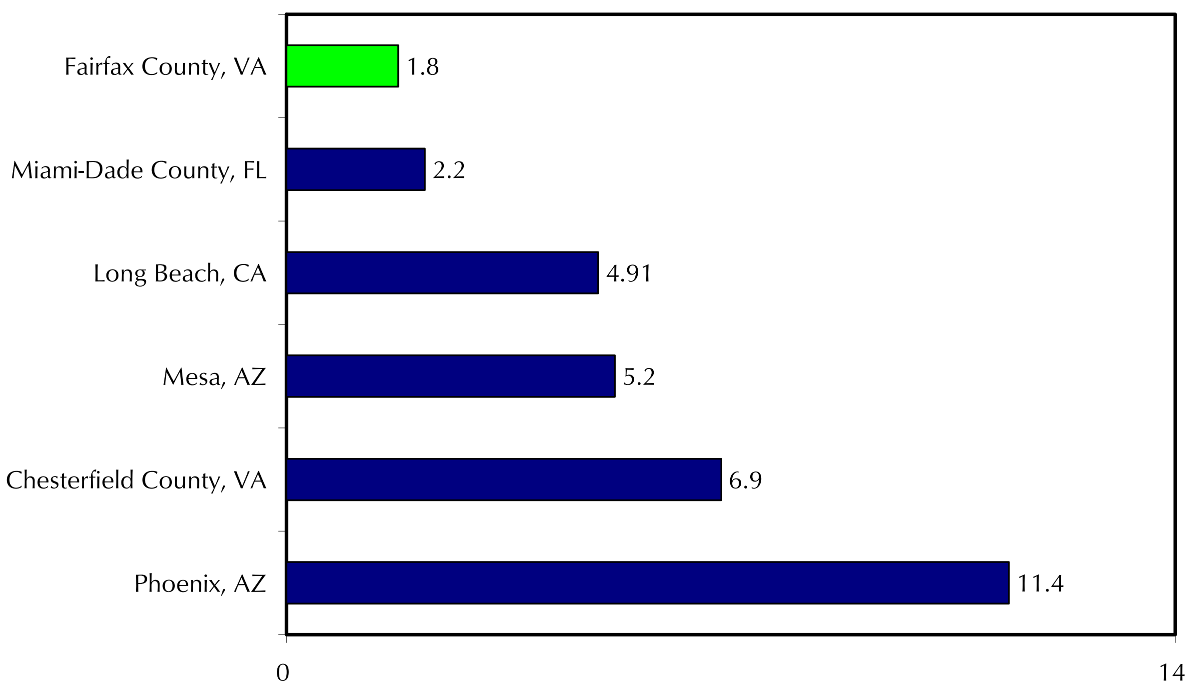
Risk Management has now consolidated its business areas into three key lines of business – Claims, Loss Prevention, and Insurance.

# Fund 501
# County Insurance Fund

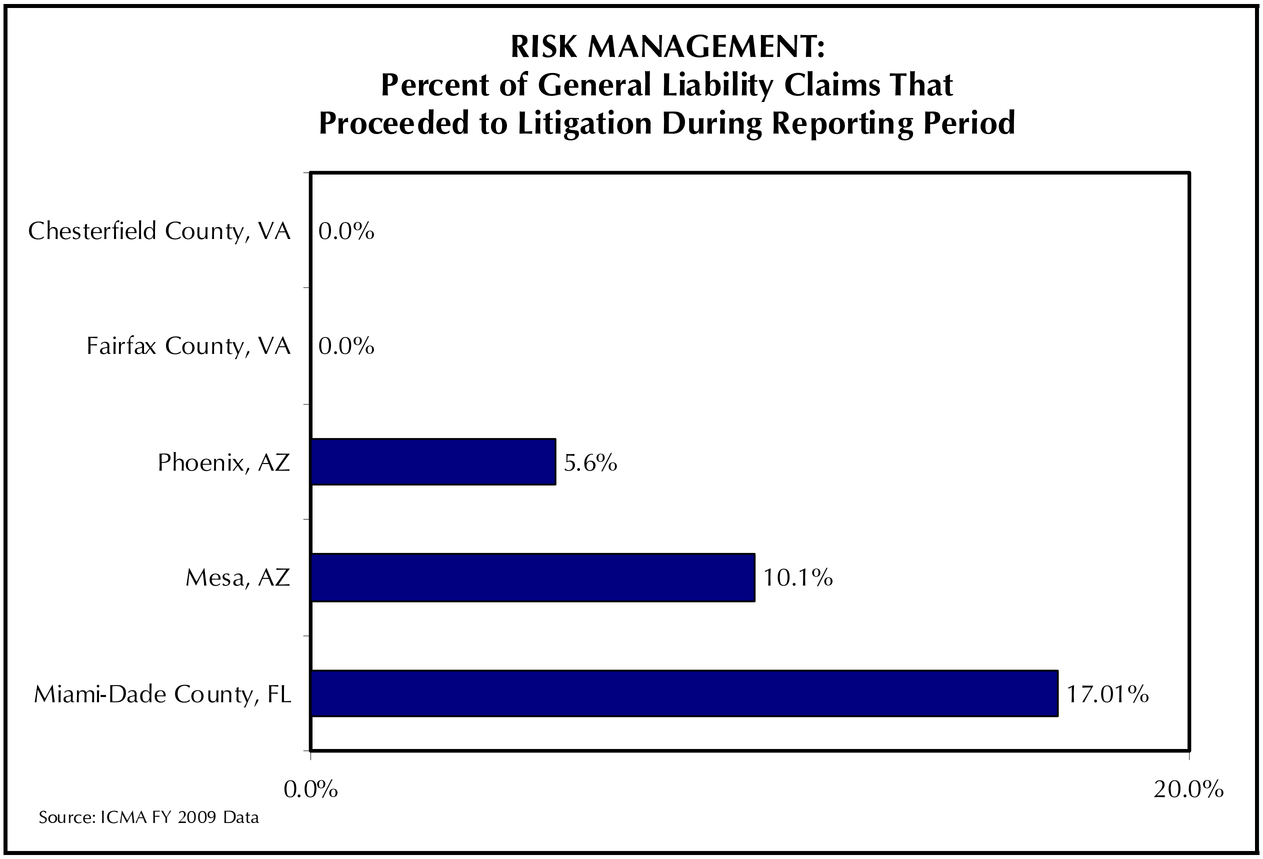
## Benchmarking

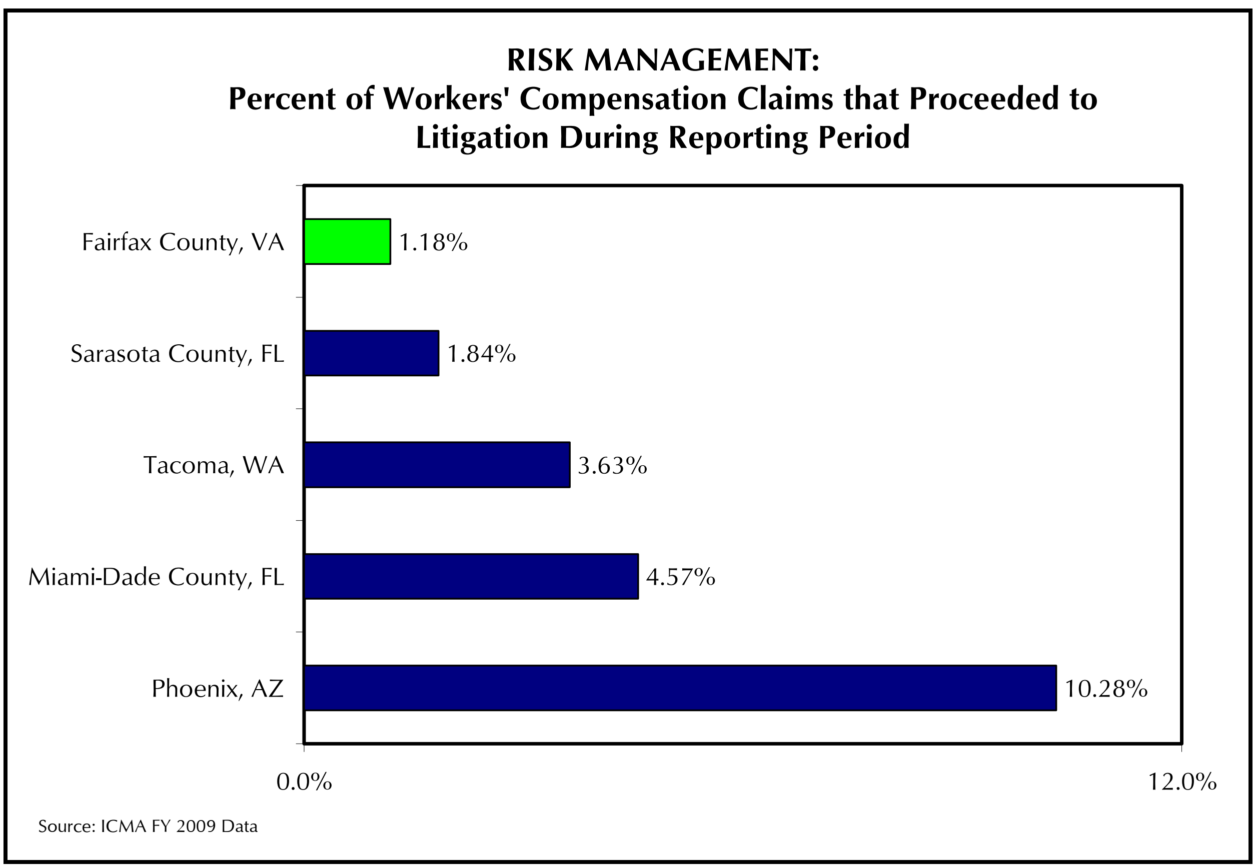
As a means of enhancing accountability, benchmarking data have been included in the annual budget since FY 2005. These data are included in each of the Program Area Summaries in Volume 1 and now in Other Funds (Volume 2) as available. The majority of this benchmarking data come from the International City/County Management Association's (ICMA) benchmarking effort in which Fairfax County has participated since 2000. Approximately 220 cities, counties and towns provide comparable data annually in 15 service areas. However, not all jurisdictions provide data for every service area. As part of the ICMA benchmarking effort, participating local governments (cities, counties and towns) provide data on standard templates provided by ICMA in order to ensure consistency. ICMA then performs extensive checking and data cleaning to ensure the greatest possible accuracy and comparability of data. As a result of the time required to collect the data and undergo ICMA's comprehensive data cleaning processes, information is always available with a one-year delay. FY 2009 data represent the latest available information. The jurisdictions presented in the graphs on the following pages generally show how Fairfax County compares to other large jurisdictions (population over 500,000). In cases where other Virginia localities provided data, they are shown as well. Risk Management is one of the service areas for which Fairfax County provides data. As can be seen on the following pages, Fairfax County compares favorably to the other large jurisdictions that provided data for this template. The County's General Liability claims, expenditures and percent that proceeded to litigation during the reporting period are all relatively low compared to the other responding cities and counties. An important point to note about the ICMA comparative data effort is that since participation is voluntary, the jurisdictions that provide data have demonstrated that they are committed to becoming/remaining high performance organizations. Therefore, comparisons made through this program should be considered in the context that the participants have self-selected and are more inclined to be among the higher performers than a random sample among local governments nationwide. It is also important to note that not all jurisdictions respond to all questions. In some cases, the question or process is not applicable to a particular locality or data are not available. For those reasons, the universe of jurisdictions with which Fairfax County is compared is not always the same for each benchmark.

**RISK MANAGEMENT:**
**General Liability Claims Per 10,000 Population Served**




Source: ICMA FY 2009 Data


RISK MANAGEMENT:
Percent of General Liability Claims That Proceeded to Litigation During Reporting Period
Chesterfield County, VA
0.0%
Fairfax County, VA
0.0%
Phoenix, AZ
5.6%
Mesa, AZ
10.1%
Miami-Dade County, FL
17.01%
0.0%
20.0%
Source: ICMA FY 2009 Data


RISK MANAGEMENT:
Percent of Workers' Compensation Claims that Proceeded to Litigation During Reporting Period
Fairfax County, VA
1.18%
Sarasota County, FL
1.84%
Tacoma, WA
3.63%
Miami-Dade County, FL
4.57%
Phoenix, AZ
10.28%
0.0%
12.0%
Source: ICMA FY 2009 Data

# Fund 501
# County Insurance Fund

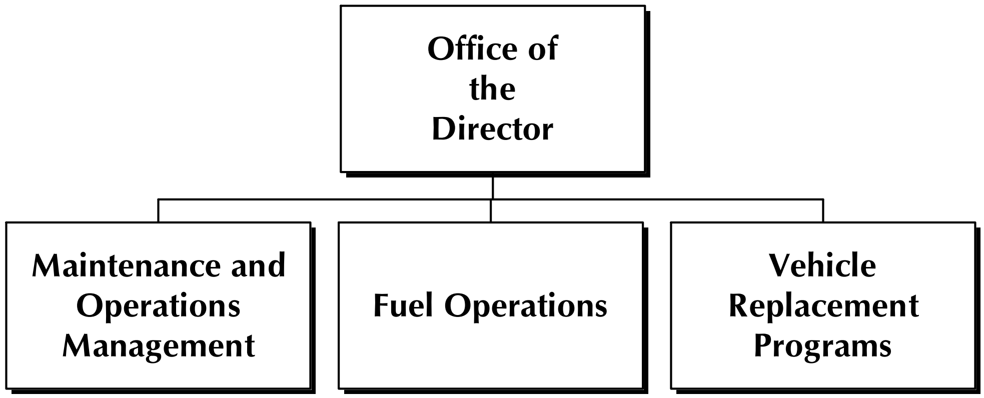
## FUND STATEMENT

**Fund Type G50, Internal Service Funds** | **Fund 501, County Insurance Fund**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$42,111,511** | **$41,875,097** | **$40,515,660** | **$42,259,280** |
| Revenue: | | | | |
| Interest | $267,577 | $832,774 | $275,000 | $275,000 |
| Workers' Compensation | 514,237 | 664,034 | 515,000 | 515,000 |
| Other Insurance | 120,663 | 105,859 | 105,859 | 105,859 |
| Total Revenue | $902,477 | $1,602,667 | $895,859 | $895,859 |
| Transfer In: | | | | |
| General Fund (001) | $15,616,251 | $13,866,251 | $21,017,317 | $21,017,317 |
| Total Transfer In | $15,616,251 | $13,866,251 | $21,017,317 | $21,017,317 |
| **Total Available** | **$58,630,239** | **$57,344,015** | **$62,428,836** | **$64,172,456** |
| Expenditures: | | | | |
| Administration | $1,328,810 | $1,459,383 | $1,459,383 | $1,453,713 |
| Workers' Compensation | 10,992,094 | 8,867,400 | 12,240,135 | 13,020,000 |
| Self Insurance Losses | 2,260,282 | 2,507,000 | 3,254,103 | 3,881,465 |
| Commercial Insurance Premium | 3,463,335 | 3,466,637 | 3,136,637 | 3,278,700 |
| Automated External Defibrillator | 70,058 | 79,298 | 79,298 | 143,798 |
| Total Expenditures | $18,114,579 | $16,379,718 | $20,169,556 | $21,777,676 |
| Expense for Net Change in Accrued Liability [1] | $1,294,983 | $0 | $0 | $0 |
| **Total Disbursements** | **$19,409,562** | **$16,379,718** | **$20,169,556** | **$21,777,676** |
| **Ending Balance** | **$40,515,660** | **$40,964,297** | **$42,259,280** | **$42,394,780** |
| Restricted Reserves: | | | | |
| Accrued Liability [1] | $34,379,609 | $33,084,626 | $34,379,609 | $34,379,609 |
| AED Replacement Reserve | 600,000 | 800,000 | 800,000 | 935,500 |
| PC Replacement Reserve | 7,200 | 7,200 | 7,200 | 7,200 |
| Reserve for Catastrophic Occurrences | 5,528,851 | 7,072,471 | 7,072,471 | 7,072,471 |

[1] FY 2010 actuals reflect an accrued liability adjustment of $1,294,983 based on an annual independent actuarial valuation. This adjustment results in a corresponding adjustment to the FY 2010 total Disbursements, total Accrued Liability Reserve, and Reserve for Catastrophic Occurrences, but it does not affect the cash balance or the Ending Balance, which is calculated using Total Available less total Expenditures, not Disbursements. It should be noted that this adjustment has been included in the FY 2010 Comprehensive Annual Financial Report (CAFR).

# Fund 503
# Department of Vehicle Services




## Mission

To establish efficient and effective delivery of fleet services by providing customer agencies with safe, reliable, economical, and environmentally-sound transportation and related support services which are responsive to the needs of customer departments, and which conserve the value of the vehicle and equipment investment.

## Focus

The Department of Vehicle Services (DVS) provides management and maintenance services to the County's vehicle fleet and maintenance support to the Fairfax County Public Schools (FCPS). At the end of FY 2010, there was a combined County and School fleet of approximately 5,820 units, of which 5,768 are maintained by DVS. Of these units, approximately 2,270 units belong to FCPS. The remaining 3,550 County units consist of approximately 1,510 units with specialized equipment (i.e., dump trucks, wreckers) or a police package, 730 vehicles over one half ton in capacity, 680 "light fleet" vehicles supporting programs and services, and 630 non-motorized units (trailers, mowers, snow plow blades). Not included in the County fleet count are vehicles owned by Fairfax Water, FASTRAN programs, or FAIRFAX CONNECTOR buses.

The department has four maintenance facilities. The Jermantown and West Ox facilities are located on the western side of the County, and the Newington and Alban facilities are located on the south end of the County. These facilities provide timely, responsive and efficient vehicle repairs/services, including effective towing and road services at competitive prices. Modifications to the Alban facility to increase vehicle maintenance capacity and efficiency were completed in FY 2010.

DVS manages the County's Vehicle Replacement Fund, which accumulates funding over a vehicle's life in order to pay for the replacement of that vehicle when it meets replacement criteria. The current replacement criteria include the age, mileage, and condition of the vehicle. This fund is intended primarily for General Fund agencies. As of July 2010, 33 agencies participate in the fund, which includes approximately 2,289 units. Additionally, DVS manages funds for Helicopter, Boat, and Police Specialty Vehicle Replacement for the Police Department; an Ambulance and a Large Apparatus Replacement Fund for the Fire and Rescue Department; and a FASTRAN Bus Replacement Fund for the Department of Neighborhood and Community Services. These funds allow the Police Department, Fire and Rescue Department, and Department of Neighborhood and Community Services to make fixed annual payments to ensure the availability of future funds for a regular replacement program.

DVS manages the County's fuel program, including maintenance of the County's 52 fuel sites. These sites are located at police stations, fire stations, schools, DVS maintenance facilities, Public Works facilities and Park Authority maintenance centers. In late FY 2009, the McConnell Public Safety and Transportation Operations Center (MPSTOC) opened with three 20,000 gallon diesel tanks. These tanks provide fuel for Metro and Connector buses. DVS works with Agency Directors to maintain tight controls over fuel issues to ensure agencies charge fuel directly to their agency vehicle codes and minimize the use of miscellaneous fuel codes.

Other services provided by DVS include: emergency roadside repair; oversight and records maintenance, including security administration for the County's Fleet Maintenance System; analysis of current fleet mileage and usage; evaluation of new technologies; operation of the County's motor pool; technical support/review of vehicle and equipment specifications; and initiating purchase requests for County vehicles and related equipment.

DVS continues to maintain the M4 Fleet maintenance system, provide regular training on all relevant modules to staff and customer departments and explore the utilization of other available modules (such as the Replacement module). The M4 system tracks all parts issues, commercial charges and labor charges to vehicles and equipment, and provides customer departments a regular preventive maintenance schedule.

In FY 2005, DVS and the Department of Management and Budget finalized a procedural memorandum for a fleet utilization policy with the goal of balancing the investment in the fleet while ensuring that departments and agencies have the fleet means to support their missions. This policy established a Fleet Utilization Management Committee (FUMC) with the responsibility to routinely review the vehicle and equipment fleet to ensure that fleet use and practices are in compliance with the procedural memorandum. In FY 2012, the FUMC will continue its annual review of the County fleet to ensure that fleet levels currently in place within each agency are appropriate to actual program and service requirements. It should be noted that a total of 189 vehicles have been rotated, reassigned, or sold as part of previous reviews. The FUMC also routinely reviews agency requests for fleet additions to ensure a legitimate need for fleet growth.

DVS continues to strive for economically responsible environmental stewardship by working increased fuel efficiency and reduced emissions and petroleum consumption characteristics into vehicle specifications. Specifications for new, heavy duty trucks favor the cleanest diesel engines. In anticipation of the possible adoption of ethanol as a motor fuel, DVS continues to add "flex-fuel" vehicles that can use either E85, gasoline, or any combination. The hybrid fleet now includes 111 cars and one heavy-duty truck, and with grant funding DVS has ordered a plug-in hybrid school bus and a hydraulic hybrid refuse collection truck. As plug-in hybrids and electric vehicles come to market near the end of 2010, the department will procure small numbers for evaluation. DVS is active in the County's efforts to prepare the community for the introduction of these vehicles, exploring viable plans to provide supporting infrastructure, primarily charging stations; and authoritative and reliable information is available to potential buyers, county staff and many other stakeholders. DVS continues to retrofit the County and FCPS diesel fleets with emissions-reducing technologies, and is evaluating or implementing a variety of fuel reduction measures in targeted, high fuel use sub-fleets.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| **Category** | **FY 2010 Actual** | **FY 2011 Adopted Budget Plan** | **FY 2011 Revised Budget Plan** | **FY 2012 Advertised Budget Plan** |
| Authorized Positions/Staff Years | | | | |
| Regular | 258/ 258 | 258/ 258 | 258/ 258 | 258/ 258 |
| Expenditures: | | | | |
| Personnel Services | $18,450,121 | $19,275,270 | $19,275,270 | $19,275,270 |
| Operating Expenses | 35,999,778 | 40,400,292 | 41,509,540 | 41,601,478 |
| Capital Equipment | 7,947,766 | 9,891,685 | 16,273,854 | 8,521,553 |
| Capital Projects | 590,866 | 0 | 106,527 | 0 |
| **Total Expenditures** | **$62,988,531** | **$69,567,247** | **$77,165,191** | **$69,398,301** |

# Fund 503
# Department of Vehicle Services

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- **Operating Expenses** **$1,201,186**
  A net increase of $1,201,186 is due primarily to $608,150 in fuel-related costs based on slightly higher gallons and price per gallon estimates as well as $593,036 in higher costs for non-fuel related Operating Expenses primarily in the area of oil, parts, and tires.

- **Capital Equipment** **$8,521,553**
  Capital Equipment funding of $8,521,553 includes the following: $5,195,013 for the purchase of 215 vehicles that were eligible but not replaced in FY 2010 due to the decision to delay vehicle replacement by two years; $2,400,000 for the purchase of four vehicles out of the Fire Apparatus Replacement Fund; $810,000 for the replacement of three vehicles out of the ambulance replacement fund; and $116,540 for the replacement of two aging fuel tanks located at the Frying Pan and McLean Fire Stations as well as a fluid dispensing system at the Alban Maintenance Facility.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$7,597,944**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved encumbered funding of $1,988,144. The remaining $5,609,800 was approved to allow the Police Department to purchase one dual engine helicopter in FY 2011. Originally, it was anticipated that this helicopter would be purchased in FY 2010; however, due to a delay in the bid process and the possible impact of FY 2011 budget reductions, the Police Department postponed procurement during FY 2010.

## Cost Centers

The Department of Vehicle Services provides services in support of the County's fleet in three distinct cost centers: Maintenance and Operations Management, Vehicle Replacement Program, and Fueling Operations. The majority of the agency's positions and funding is centered in Maintenance and Operations Management.

## Maintenance and Operations Management

**Funding Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 256/ 256 | 256/ 256 | 256/ 256 | 256/ 256 |
| **Total Expenditures** | **$33,160,329** | **$33,496,370** | **$33,787,512** | **$34,097,562** |

# Fund 503
# Department of Vehicle Services

| Position Summary | | | | | |
|---|---|---|---|---|---|
| 1 | Director | 12 | Auto Parts Specialists I | 1 | Maintenance Trade Helper II |
| 2 | Assistant Directors | 6 | Assistant Superintendents | 1 | Business Analyst III |
| 3 | Administrative Assistants IV | 1 | Inventory Mgmt. Supervisor | 1 | Network Telecom Analyst II |
| 2 | Administrative Assistants III | 1 | Management Analyst IV | 1 | Information Technology Tech. II |
| 8 | Administrative Assistants II | 2 | Management Analysts III | 17 | Sr. Motor Mech. Supervisors |
| 3 | Auto Body Repairers II | 1 | Management Analyst II | 4 | Motor Equipment Superintendents |
| 3 | Auto Body Repairers I | 1 | Management Analyst I | 3 | Warehouse Supervisors |
| 8 | Auto Parts Specialists II | 101 | Mechanics II | 1 | Warehouse Specialist |
| | | 71 | Mechanics I | 1 | Warehouse Worker Driver Helper |

**TOTAL POSITIONS**
**256 Positions / 256.0 Staff Years**

## Key Performance Measures

### Goal
To provide timely, responsive, and efficient vehicle repairs/services, including effective towing and road services, at competitive prices for County-owned vehicles.

### Objectives
- To maintain a vehicle availability rate of at least 97 percent on 100 percent of operating days.

| Indicator | Prior Year Actuals: FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Units maintained | 5,784 | 5,814 | 5,836 / 5,768 | 5,767 | 5,767 |
| Vehicle equivalents maintained | 22,288 | 22,635 | 22,664 / 22,402 | 22,333 | 22,333 |
| **Efficiency:** | | | | | |
| Maintenance cost per vehicle equivalent | $1,443 | $1,447 | $1,491 / $1,451 | $1,508 | $1,529 |
| Parts inventory value per vehicle | $251 | $249 | $249 / $282 | $282 | $282 |
| Parts inventory fill rate | 90.4% | 91.3% | 91.0% / 91.5% | 91.0% | 91.0% |
| Parts inventory turnover | 5.18 | 5.32 | 5.00 / 4.83 | 5.00 | 5.00 |
| **Service Quality:** | | | | | |
| Parts inventory accuracy | 96.4% | 98.7% | 99.5% / 98.8% | 99.5% | 99.5% |
| Percent of customers satisfied | 100.0% | 98.0% | 97.0% / 97.0% | 95.0% | 92.0% |
| **Outcome:** | | | | | |
| Vehicle availability rate | 98.0% | 98.2% | 97.0% / 98.1% | 97.0% | 97.0% |
| Percent of days 97 percent target was achieved | 100.0% | 99.2% | 100.0% / 100.0% | 100.0% | 100.0% |

## Performance Measurement Results
In FY 2010, DVS was able to ensure, on a countywide basis, that customer agency vehicles were available for use and were in safe operational condition. A total of 5,768 County and School units (motorized and non-motorized) were maintained. The FY 2010 decreases in maintained units and in vehicle equivalents was primarily related to customer agency budget constraints. It should be noted that "units maintained" in any given year may include vehicles authorized as additions in a previous year, but not received until the indicated year.

# Fund 503
# Department of Vehicle Services

The DVS FY 2010 maintenance cost per vehicle equivalent was below the rate estimated primarily due to the decrease in maintained units described above. Due to an increase in the parts inventory for FY 2010, the parts inventory value per vehicle increased and the FY 2010 inventory turnover decreased. In FY 2010, DVS achieved a slightly lower customer satisfaction rating compared to prior years. This rate is expected to decrease marginally throughout FY 2011 and FY 2012 primarily due to continued budget constraints facing customer agencies.

## Vehicle Replacement Programs

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 1/ 1 | 1/ 1 | 1/ 1 | 1/ 1 |
| **Total Expenditures** | **$7,900,821** | **$9,891,685** | **$16,273,854** | **$8,405,013** |

| Position Summary |
|---|
| 1 Engineer III |
| **TOTAL POSITIONS** |
| **1 Position / 1.0 Staff Year** |

## Key Performance Measures

### Goal
To provide administrative and financial oversight for the Vehicle Replacement, Large Apparatus, Ambulance, Vehicle Specialty, FASTRAN, and other replacement funds and to ensure that vehicles are replaced within the established criteria (i.e., miles, years and condition).

### Objectives
- To order vehicles that meet replacement criteria within the fiscal year. Given the two year moratorium on the majority of vehicle replacements from October 2008 through October 2010, this total is estimated at 39 percent in FY 2011 and 50 percent in FY 2012.

| | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| Indicator | FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | FY 2011 | FY 2012 |
| **Output:** | | | | | |
| Vehicles in Vehicle Replacement Reserve (VRR) | 2,303 | 2,342 | 2,342 / 2,289 | 2,290 | 2,290 |
| Technical reviews processed | 173 | 96 | 110 / 93 | 115 | 120 |
| Vehicles meeting VRR criteria | 299 | 281 | 530 / 210 | 262 | 195 |
| Vehicles ordered/replaced | 299 | 101 | 52 / 57 | 262 | 195 |
| **Efficiency:** | | | | | |
| VRR administrative cost per vehicle | $26.58 | $28.01 | $29.29 / $29.97 | $29.60 | $29.60 |

# Fund 503
# Department of Vehicle Services

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Service Quality:** | | | | | |
| Percent of customers satisfied | 100.0% | 94.0% | 90.0% / 90.0% | 80.0% | 75.0% |
| **Outcome:** | | | | | |
| Percent of vehicles meeting criteria that are replaced | 100.0% | 9.0% | 10.0% / 27.0% | 39.0% | 50.0% |

## Performance Measurement Results

The number of vehicles in the Vehicle Replacement Reserve (VRR) decreased in FY 2010 primarily due to the two year extension of vehicle replacement criteria initiated in FY 2009. As a result of the criteria extensions, DVS replaced only 27 percent of FY 2010 VRR vehicles that met the established criteria. The VRR administrative cost per vehicle increased slightly primarily due to the decrease in the number of vehicles in the reserve. As estimated, customer satisfaction decreased in FY 2010 due to the criteria extension. Replacement activity will resume in November of FY 2011 with the replacement of vehicles that were not replaced in FY 2009. However, a lower level of customer satisfaction is still expected through FY 2011 and FY 2012, as a result of continuing impacts from the extension.

## Fueling Operations

**Funding Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 1/ 1 | 1/ 1 | 1/ 1 | 1/ 1 |
| **Total Expenditures** | **$21,927,381** | **$26,179,192** | **$27,103,825** | **$26,895,726** |

**Position Summary**

1 Heavy Equipment Operator

**TOTAL POSITIONS**
**1 Position / 1.0 Staff Year**

## Key Performance Measures

### Goal

To provide County-owned vehicle operators with effective and efficient fueling services in accordance with all federal, state, and County regulations.

### Objectives

- To provide in-house fueling services that support fleet operations in order to achieve a cost savings of 5.0 cents per gallon for unleaded gasoline and 15.0 cents per gallon for diesel fuel compared to commercial fuel stations.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Gallons of unleaded gasoline purchased | 2,596,988 | 2,556,430 | 2,659,619 / 2,486,339 | 2,637,519 | 2,606,070 |
| Gallons of diesel purchased | 7,003,767 | 7,117,985 | 7,708,589 / 7,529,446 | 8,347,651 | 8,428,833 |
| **Efficiency:** | | | | | |
| Average cost per gallon (all fuel types) | $2.95 | $2.38 | $2.20 / $2.22 | $2.37 | $2.40 |
| **Service Quality:** | | | | | |
| Percent of customers satisfied | 100.0% | 99.0% | 99.0% / 100.0% | 99.0% | 99.0% |
| **Outcome:** | | | | | |
| Price savings between in-house and commercial stations: unleaded gasoline | $0.108 | $0.192 | $0.050 / $0.160 | $0.050 | $0.050 |
| Price savings between in-house and commercial stations: diesel | $0.115 | $0.498 | $0.150 / $0.250 | $0.150 | $0.150 |

## Performance Measurement Results

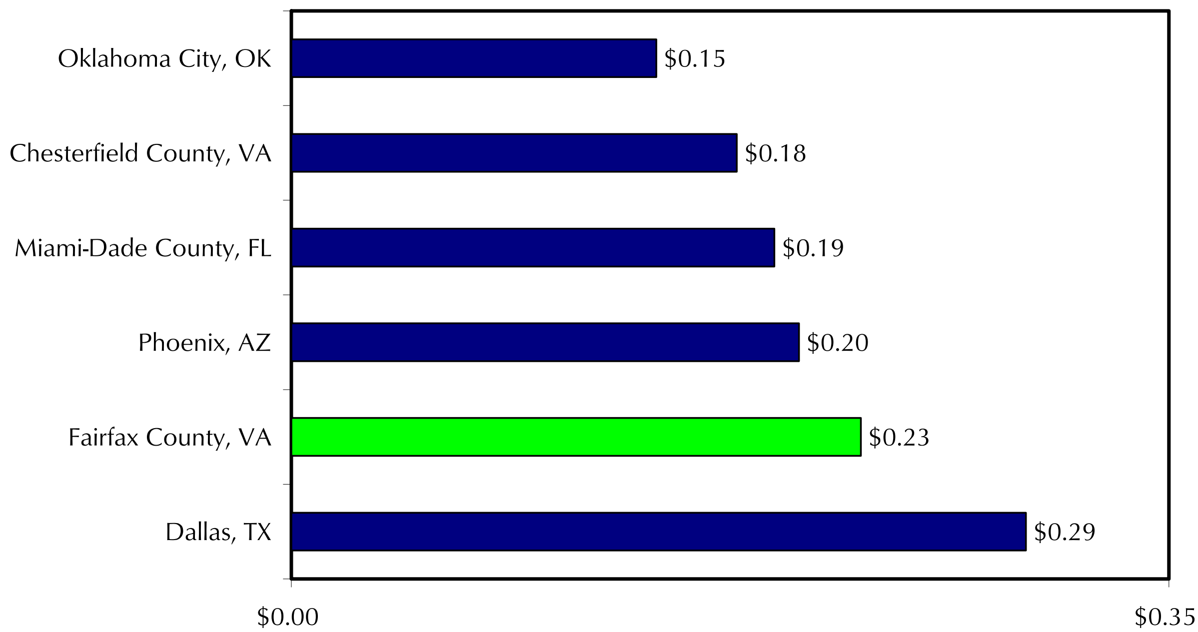
The Fueling Operations measures examine the cost savings between County contracts and private providers, as well as how satisfied County vehicle drivers are with fueling operations. In FY 2010, fuel prices have remained relatively stable compared to prior years when prices were erratic and reached very high levels. Given the amount of fuel gallons used by the County, the savings were significant. As in past years, County customers purchasing unleaded gasoline and diesel fuel continued to benefit from significant cost savings per gallon compared to commercial prices. In FY 2010, excellent customer satisfaction ratings were achieved and are expected to remain in FY 2011 and FY 2012. It should be noted that the average cost per gallon (all fuel types) indicator includes appropriate markup to cover overhead.

## Benchmarking

As a means of enhancing accountability, benchmarking data have been included in the annual budget since FY 2005. These data are included in each of the Program Area Summaries in Volume 1 and now in Other Funds (Volume 2) as available. The majority of this benchmarking data come from the International City/County Management Association's (ICMA) benchmarking effort in which Fairfax County has participated since 2000. Approximately 220 cities, counties and towns provide comparable data annually in 15 service areas. However, not all jurisdictions provide data for every service area. An example of which is the Roads/Highways template that Fairfax County does not complete since the Commonwealth has primary responsibility for roadways in Virginia counties.

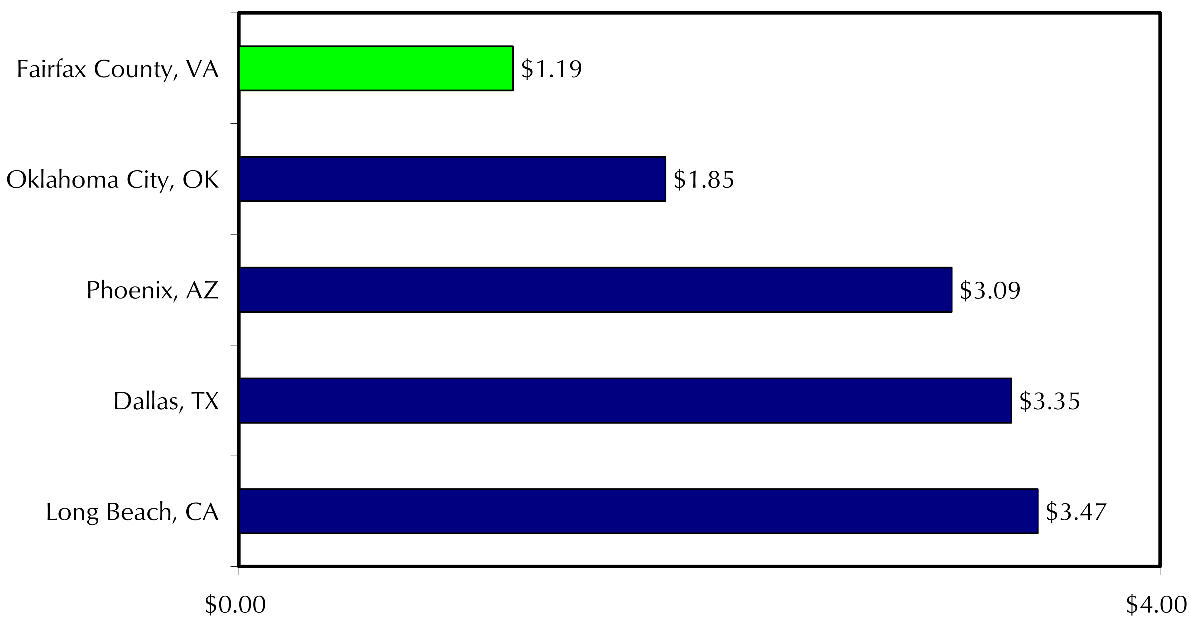
As part of the ICMA benchmarking effort, participating local governments (cities, counties and towns) provide data on standard templates provided by ICMA in order to ensure consistency. ICMA then performs extensive checking and data cleaning to ensure the greatest accuracy and comparability of data. As a result of the time to collect the data and undergo ICMA's rigorous data cleaning processes, information is always available with a one-year delay. FY 2008 data represent the latest available information. The jurisdictions presented in the graphs on the following pages generally show how Fairfax County compares to other large jurisdictions (population over 500,000). In cases where other Virginia localities provided data, they are shown as well. Fleet Management is one of the service areas for which Fairfax County provides data. An important point to note about the ICMA comparative data effort is that since participation is voluntary, the jurisdictions that provide data have demonstrated that they are committed to becoming/remaining high performance organizations. Therefore, comparisons made through this program should be considered in the context that the participants have self-selected and are inclined to be among the higher performers than a random sample among local governments nationwide. It is also important to note that not all jurisdictions respond to all questions. In some cases, the question or process is not applicable to a particular locality or data are not available. For those reasons, the universe of jurisdictions with which Fairfax County is compared is not always the same for each benchmark.

**VEHICLE SERVICES:**
**Fleet Maintenance Expenditures Per Mile Driven:**
**Police Vehicles**



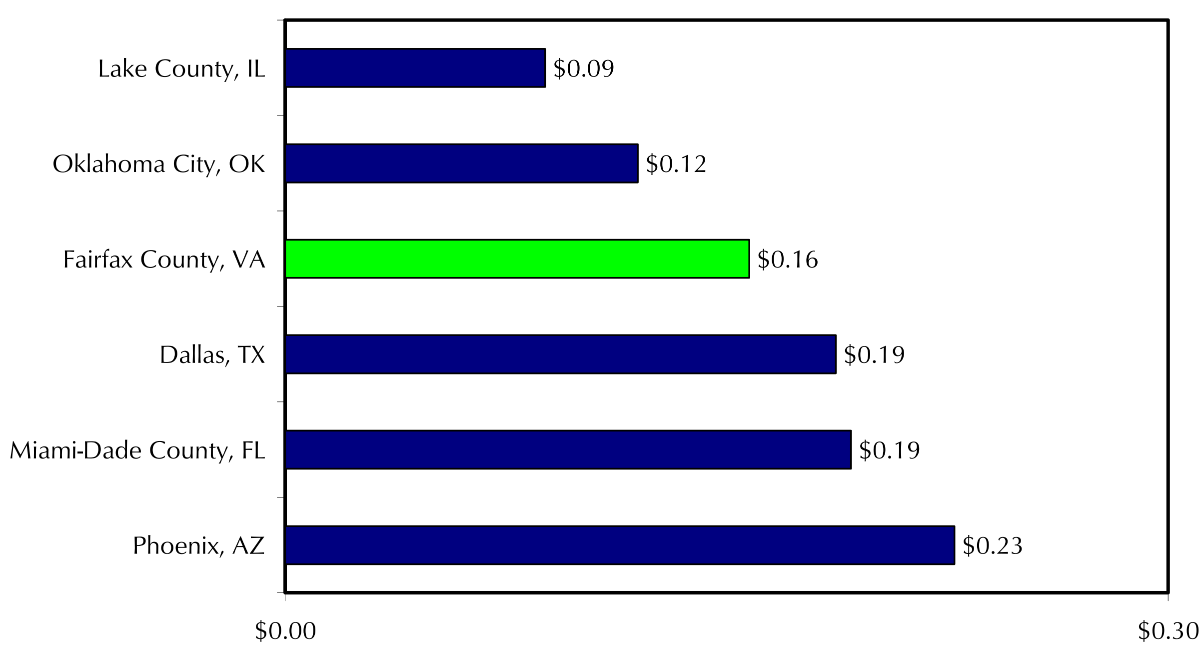

Source: ICMA FY 2009 Data

**VEHICLE SERVICES:**
**Fleet Maintenance Expenditures Per Mile Driven:**
**Solid Waste Packers**



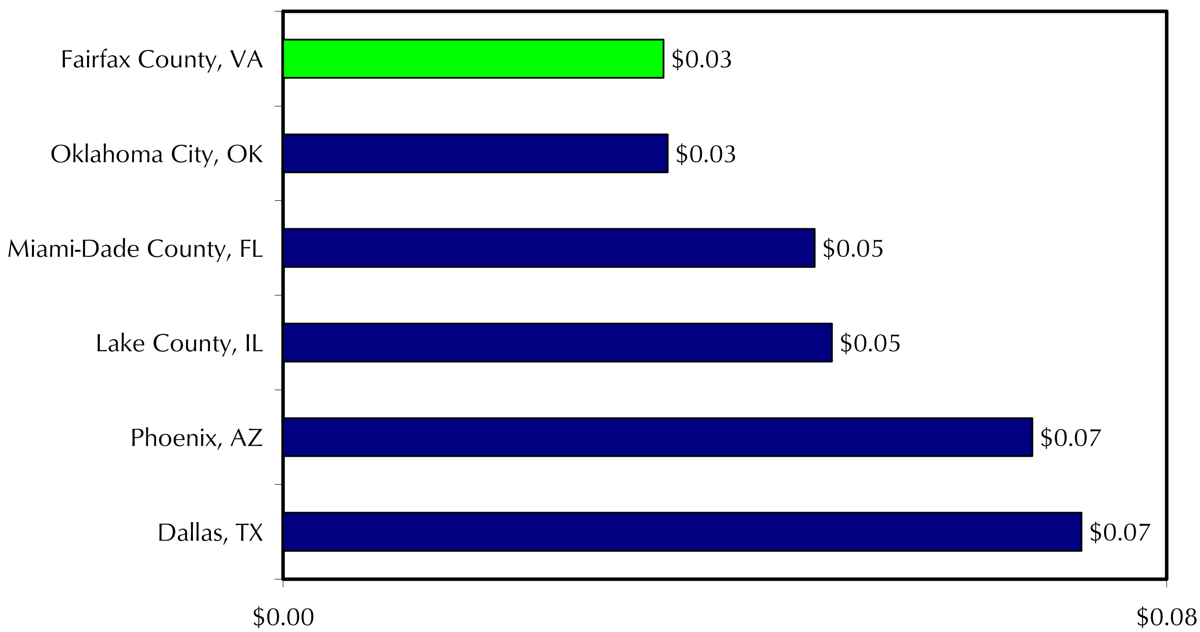

Source: ICMA FY 2009 Data

**VEHICLE SERVICES:**
**Maintenance Expenditures Per Mile Driven - Light Vehicles I**
**(8,500 or Less Gross Vehicle Weight)**



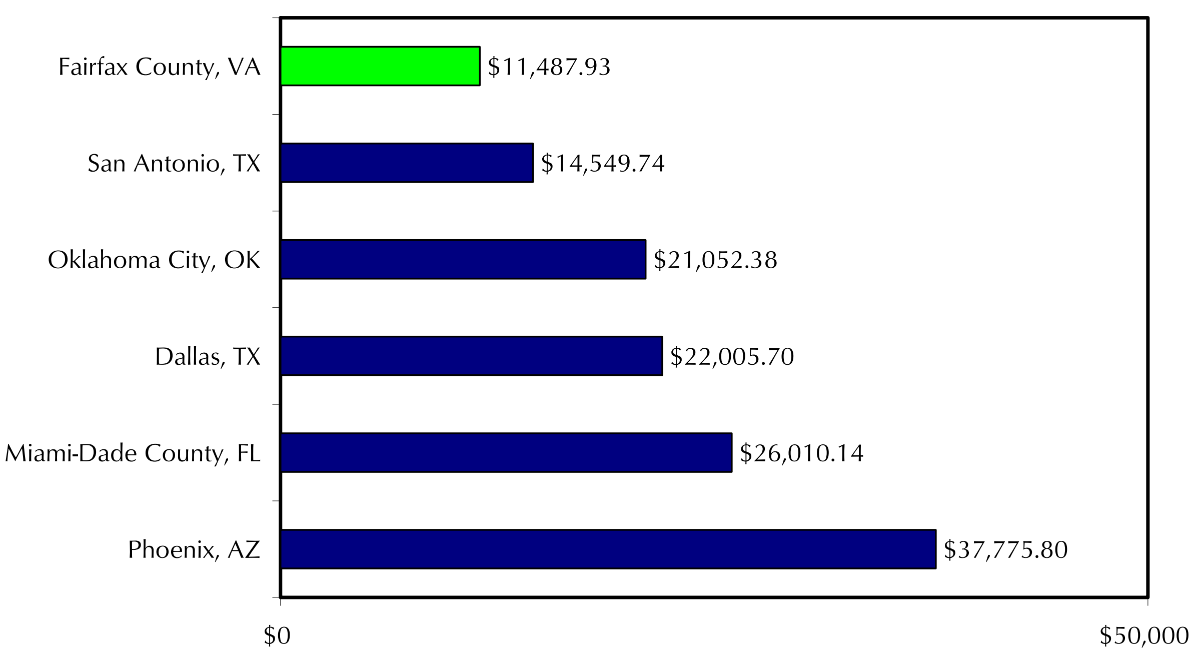

Source: ICMA FY 2009 Data

**VEHICLE SERVICES:**
**Maintenance Expenditures Per Mile Driven - Light Vehicles II**
**(8,501-10,000 Gross Vehicle Weight)**



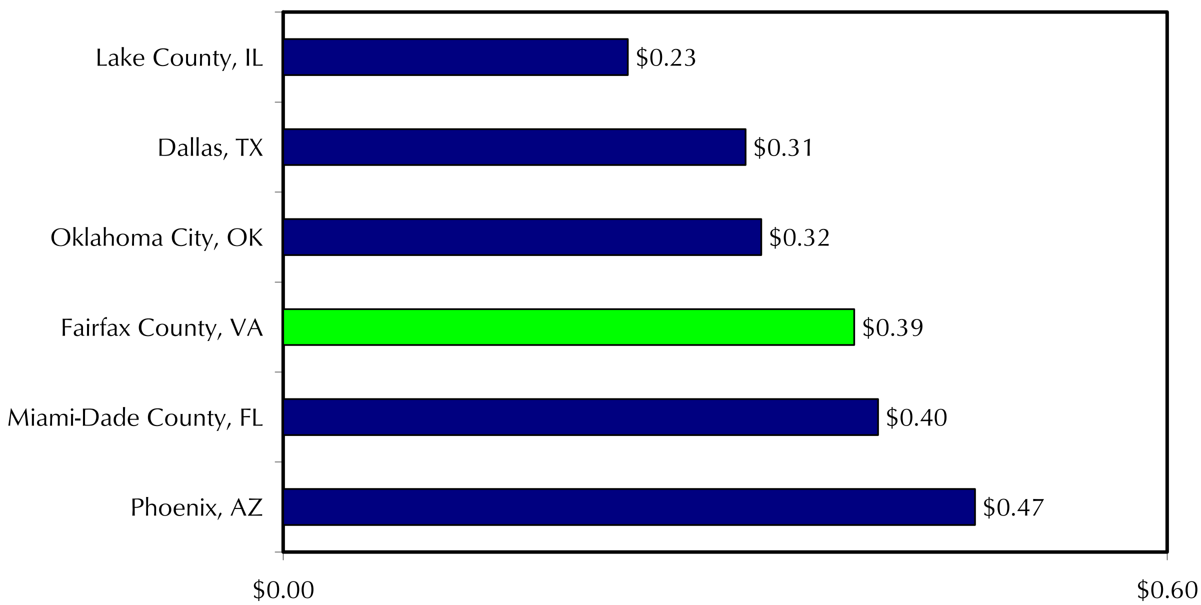

Source: ICMA FY 2009 Data

**VEHICLE SERVICES:**
**Fleet Maintenance Expenditures Per Vehicle:**
**Solid Waste Packers**



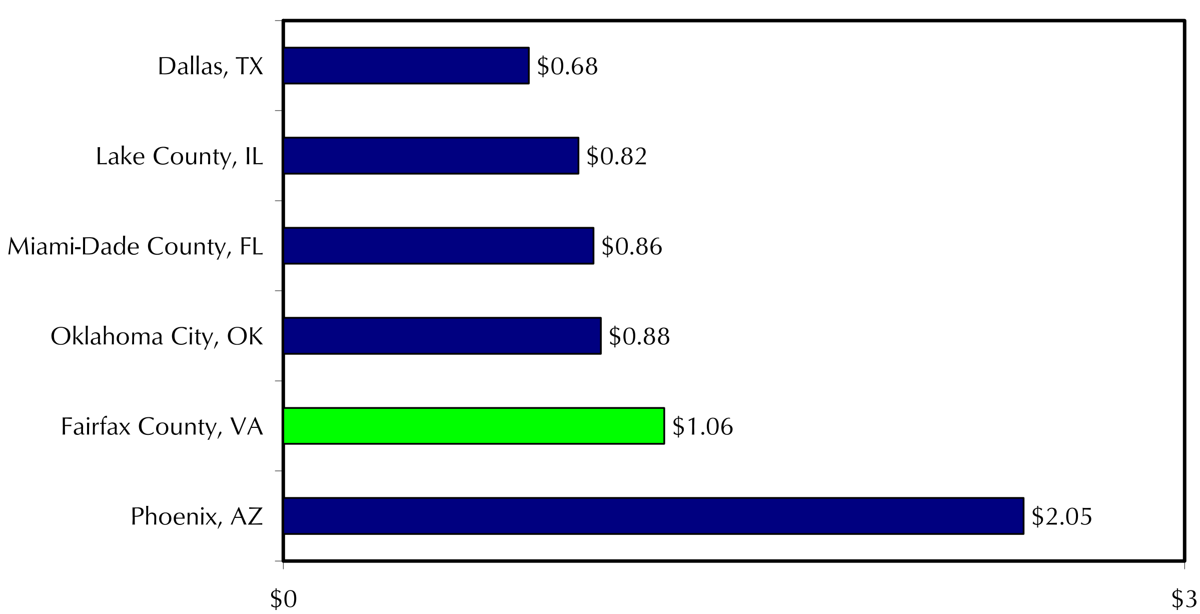

Source: ICMA FY 2009 Data

**VEHICLE SERVICES:**
**Maintenance Expenditures Per Mile Driven**
**- Medium Duty Vehicles**
**(10,001-19,500 Gross Vehicle Weight)**



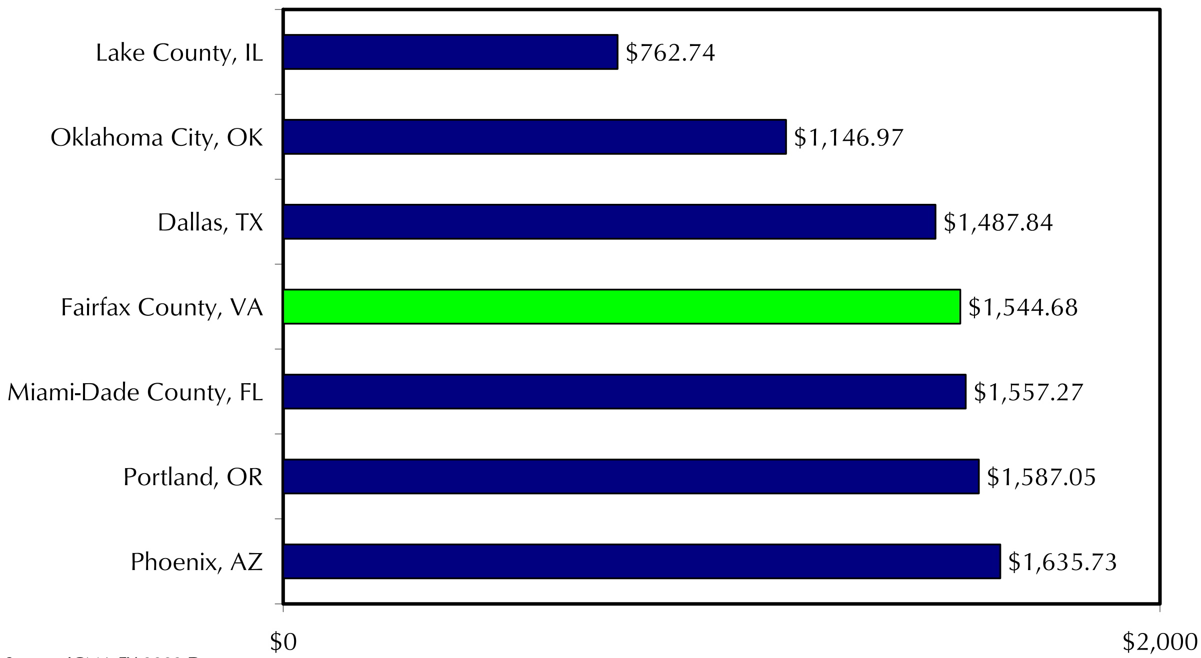

Source: ICMA FY 2009 Data

**VEHICLE SERVICES:**
**Maintenance Expenditures Per Mile Driven**
**- Heavy Duty Vehicles**
**(19,501 or Greater Gross Vehicle Weight)**



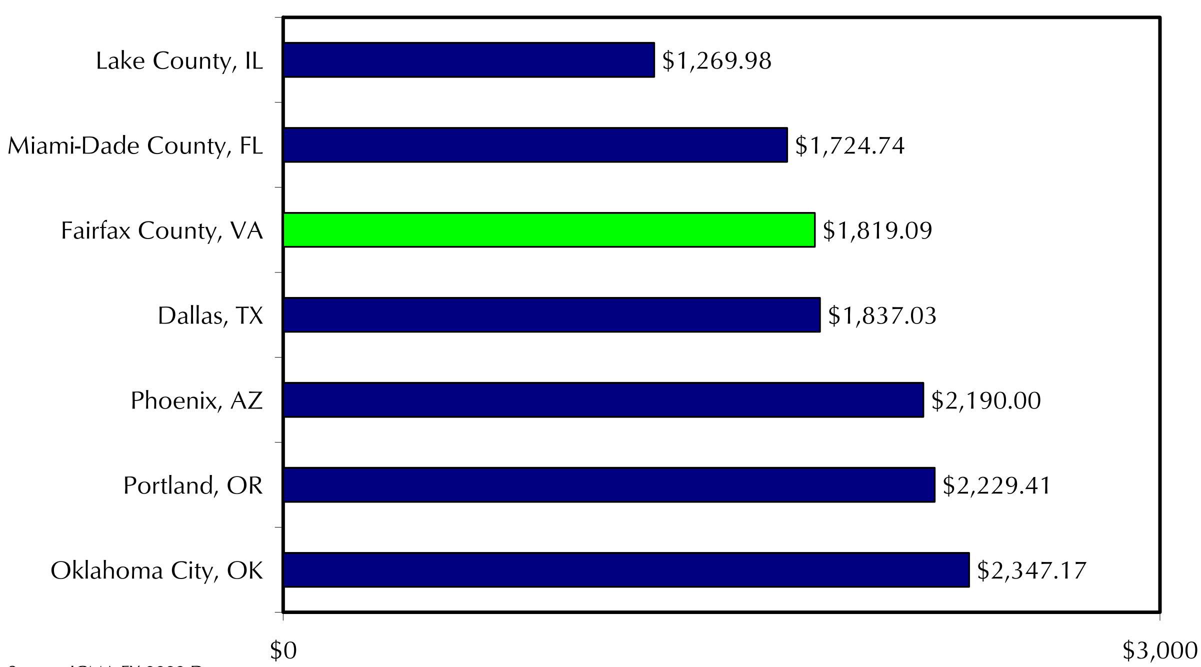

Source: ICMA FY 2009 Data

**VEHICLE SERVICES:**
**Maintenance Expenditures Per Light Vehicle I**
**(8,500 or Less Gross Vehicle Weight)**




Source: ICMA FY 2009 Data

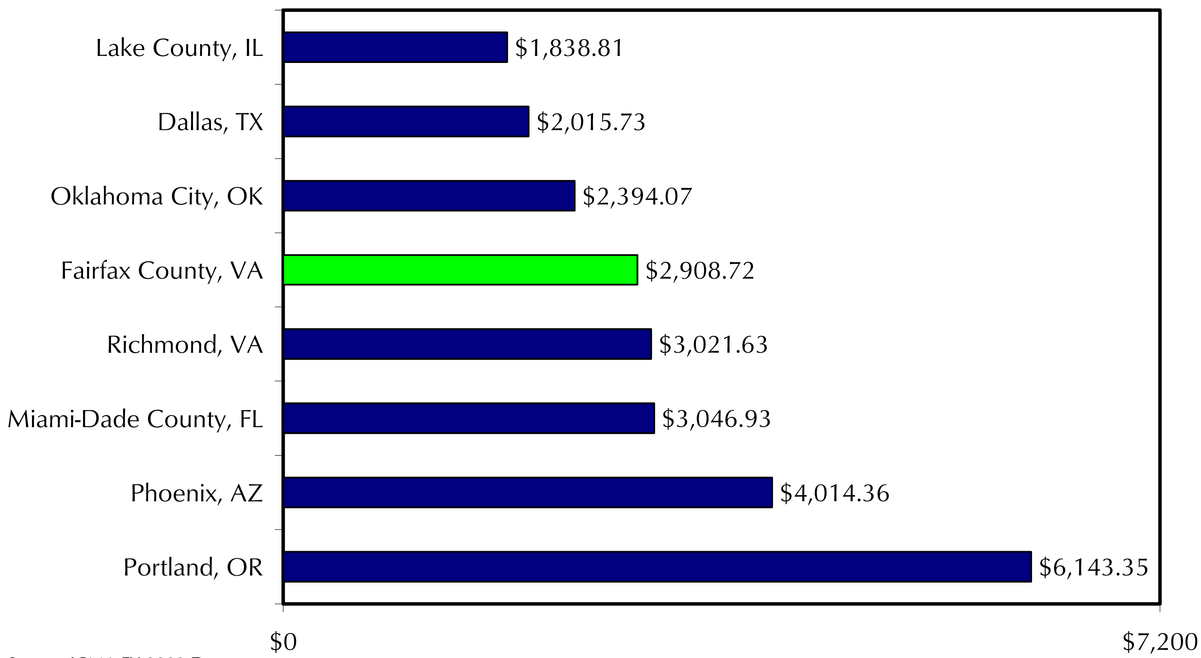
**VEHICLE SERVICES:**
**Maintenance Expenditures Per Light Vehicle II**
**(8,501-10,000 Gross Vehicle Weight)**




Source: ICMA FY 2009 Data

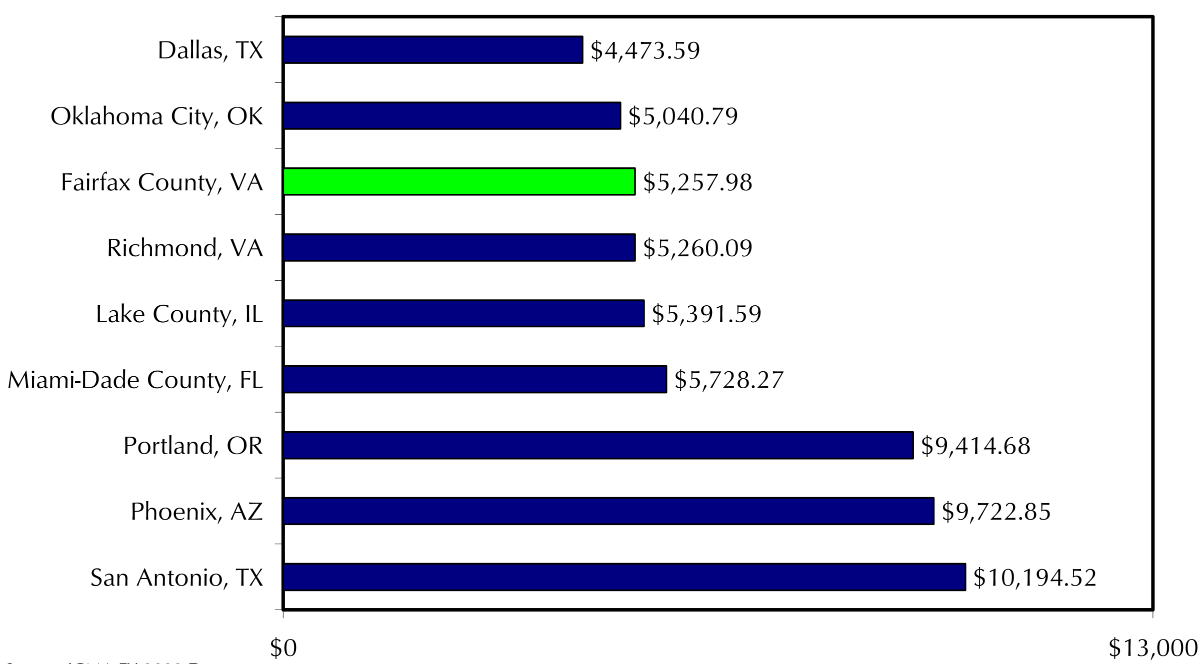
# Fund 503
# Department of Vehicle Services

**VEHICLE SERVICES:**
**Maintenance Expenditures Per Medium Vehicle**
**(10,001-19,500 Gross Vehicle Weight)**



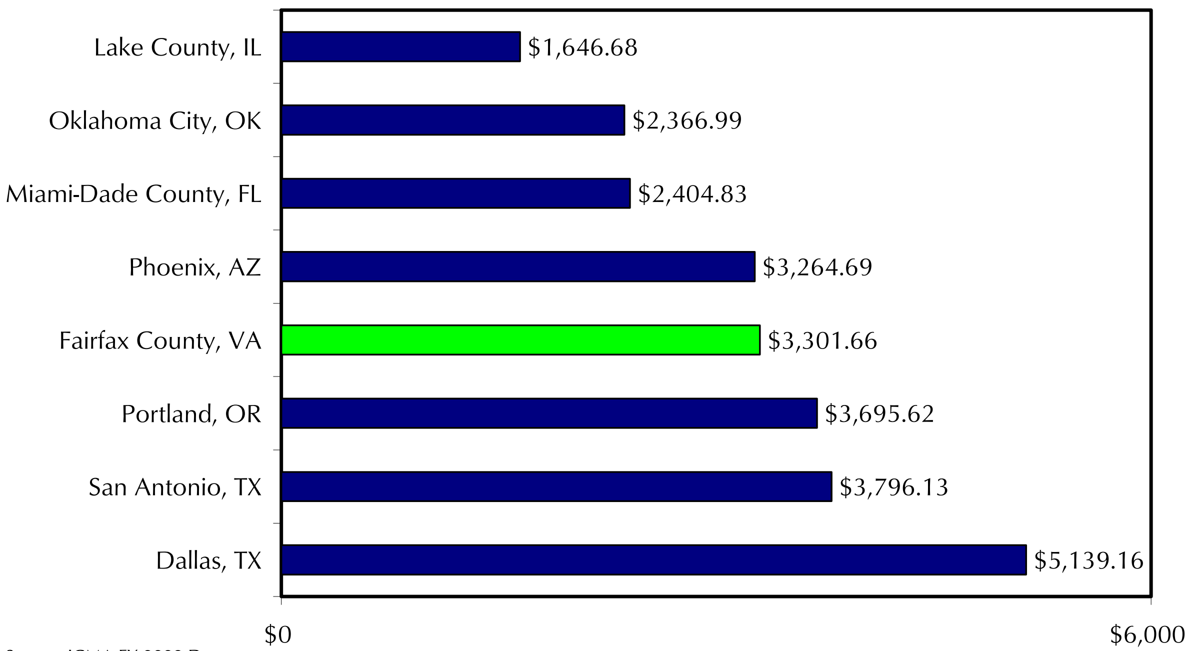

Source: ICMA FY 2009 Data

**VEHICLE SERVICES:**
**Maintenance Expenditures Per Heavy Vehicle**
**(19,501 or Greater Gross Vehicle Weight)**




Source: ICMA FY 2009 Data

**VEHICLE SERVICES:**
**Fleet Maintenance Expenditures Per Vehicle:**
**Police Vehicles**




Source: ICMA FY 2009 Data

# Fund 503
# Department of Vehicle Services

## FUND STATEMENT

**Fund Type G50, Internal Service Fund** **Fund 503, Department of Vehicle Services**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$48,433,607** | **$30,693,643** | **$44,890,336** | **$32,982,122** |
| Vehicle Replacement Reserve | $7,761,514 | $10,606,432 | $11,180,909 | $7,576,819 |
| Facility Infr./Renewal Reserve | 3,152,780 | 1,203,162 | 1,294,678 | 1,260,827 |
| Ambulance Replacement Reserve | 4,557,922 | 2,969,422 | 3,055,744 | 2,489,744 |
| Fire Apparatus Repl. Reserve | 8,068,182 | 4,854,914 | 6,522,977 | 6,213,964 |
| School Bus Replacement Reserve | 17,019 | 17,019 | 17,019 | 17,019 |
| FASTRAN Bus Repl. Reserve | 1,698,077 | 1,698,077 | 1,717,610 | 1,717,610 |
| Helicopter Replacement Reserve | 6,654,637 | 1,044,837 | 7,433,900 | 2,533,363 |
| Boat Replacement Reserve | 327,646 | 77,646 | 160,027 | 237,673 |
| Police Specialty Vehicle Reserve | 2,545,167 | 2,545,167 | 2,897,293 | 3,206,843 |
| Fuel Operations Reserve | 3,401,505 | 62,386 | 1,844,473 | 907,454 |
| Fuel Price Stabilization Reserve | 4,000,000 | 4,000,000 | 4,000,000 | 4,000,000 |
| Other | 6,249,158 | 1,614,581 | 4,765,705 | 2,820,805 |
| **Unreserved Beginning Balance** | **$0** | **$0** | **$0** | **$0** |
| Revenue: | | | | |
| Vehicle Replacement Charges | $6,909,912 | $7,086,951 | $7,086,951 | $6,710,525 |
| Ambulance Repl. Charges | 224,010 | 214,000 | 214,000 | 214,000 |
| Fire Apparatus Repl. Charges | 2,993,647 | 2,884,000 | 2,884,000 | 2,884,000 |
| FASTRAN Bus Repl Charges | 19,533 | 0 | 0 | 0 |
| Helicopter Repl. Charges | 779,263 | 709,263 | 709,263 | 894,256 |
| Boat Replacement Charges | 77,646 | 77,646 | 77,646 | 69,019 |
| Police Specialty Veh. Charges | 352,126 | 309,550 | 309,550 | 245,760 |
| Vehicle Fuel Charges | 22,570,349 | 26,166,806 | 26,166,806 | 26,699,369 |
| Other Charges | 32,214,092 | 31,808,761 | 31,808,761 | 31,241,757 |
| Total Revenue | $66,140,578 | $69,256,977 | $69,256,977 | $68,958,686 |
| **Total Available** | **$114,574,185** | **$99,950,620** | **$114,147,313** | **$101,940,808** |
| Expenditures: | | | | |
| Vehicle Replacement | $1,390,517 | $6,341,685 | $6,691,041 | $5,195,013 |
| Facility Infrastructure/Renewal | 590,866 | 0 | 33,851 | 0 |
| Ambulance Replacement | 1,726,188 | 780,000 | 780,000 | 810,000 |
| Fire Apparatus Replacement | 4,538,852 | 2,770,000 | 3,193,013 | 2,400,000 |
| Helicopter Replacement | 0 | 0 | 5,609,800 | 0 |
| Boat Replacement | 245,265 | 0 | 0 | 0 |
| Fuel Operations: | | | | |
| Fuel | 21,301,950 | $25,394,126 | 26,228,550 | $26,088,837 |
| Other Fuel Related Expenses | 625,431 | 785,066 | 875,275 | 806,889 |
| Other: | | | | |
| Personnel Services | 18,418,625 | 19,212,559 | 19,212,559 | 19,212,559 |
| Operating Expenses[1] | 14,103,893 | 14,283,811 | 14,541,102 | 14,876,847 |
| Capital Equipment | 46,945 | 0 | 0 | 8,156 |
| Total Expenditures | $62,988,531 | $69,567,247 | $77,165,191 | $69,398,301 |

# Fund 503
# Department of Vehicle Services

## FUND STATEMENT

**Fund Type G50, Internal Service Fund** | **Fund 503, Department of Vehicle Services**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Transfers Out: | | | | |
| General Fund (001)[2] | $2,000,000 | $4,000,000 | $4,000,000 | $0 |
| County Bond Constr. (311)[3] | 4,695,318 | 0 | 0 | 0 |
| Total Transfers Out | $6,695,318 | $4,000,000 | $4,000,000 | $0 |
| **Total Disbursements** | **$69,683,849** | **$73,567,247** | **$81,165,191** | **$69,398,301** |
| | | | | |
| **Ending Balance[4]** | **$44,890,336** | **$26,383,373** | **$32,982,122** | **$32,542,507** |
| Vehicle Replacement Reserve | $11,180,909 | $7,278,670 | $7,576,819 | $9,057,331 |
| Facility Infr./Renewal Reserve | 1,294,678 | 1,203,162 | 1,260,827 | 1,260,827 |
| Ambulance Replacement Reserve | 3,055,744 | 2,403,422 | 2,489,744 | 1,893,744 |
| Fire Apparatus Replacement Reserve | 6,522,977 | 4,968,914 | 6,213,964 | 6,697,964 |
| School Bus Replacement Reserve | 17,019 | 17,019 | 17,019 | 17,019 |
| FASTRAN Bus Repl. Reserve | 1,717,610 | 1,698,077 | 1,717,610 | 1,717,610 |
| Helicopter Replacement Reserve | 7,433,900 | 1,754,100 | 2,533,363 | 3,427,619 |
| Boat Replacement Reserve | 160,027 | 155,292 | 237,673 | 306,692 |
| Police Specialty Veh. Reserve | 2,897,293 | 2,854,717 | 3,206,843 | 3,452,603 |
| Fuel Operations Reserve | 1,844,473 | 50,000 | 907,454 | 711,097 |
| Fuel Price Stabilization Reserve | 4,000,000 | 4,000,000 | 4,000,000 | 4,000,000 |
| Other | 4,765,705 | 0 | 2,820,805 | 0 |
| **Unreserved Ending Balance** | **$0** | **$0** | **$0** | **$0** |

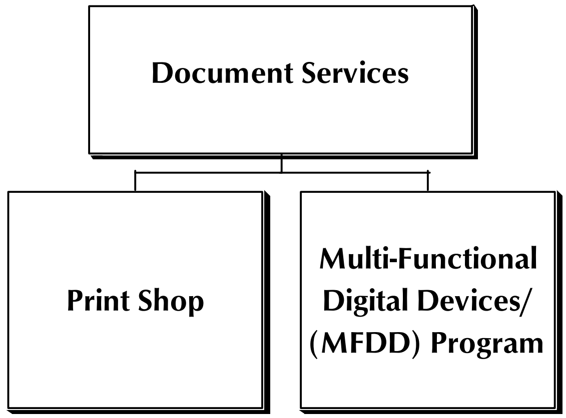
[1]In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of $35,000 has been reflected as an increase to FY 2010 expenditures to properly reflect a maintenance-related charge on a gas-to-heat project. This audit adjustment has been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments will be included in the FY 2011 Third Quarter Package.

[2]Over the FY 2010 to FY 2011 timeframe, Transfers Out to the General Fund totaling $6.0 million have been made associated with deferring replacement of the majority of vehicles in the County fleet for two years effective October 14, 2008. An additional $3.0 million was transferred to the General Fund as part of the FY 2009 Third Quarter Review, bringing the entire savings associated with deferring vehicle replacement to $9.0 million.

[3] In FY 2010, an amount of $4,695,318 was transferred to Fund 311, County Bond Construction, to supplement bond funds appropriated from the FY 2007 School Bond Referendum associated with the planned expansion and renovation of the Newington Maintenance Facility including the installation of sound barriers at the facility. Combined with $2.7 million transferred to Fund 311 in FY 2009, a total amount of $7,395,318 has been transferred to Fund 311 for this purpose. It should be noted that funds used to support these transfers were redirected from savings in the Fuel Operations Reserve, Vehicle Replacement Reserve, Facility Infrastructure/Renewal Reserve and the Other Reserve.

[4] The Ending Balance in Fund 503, Department of Vehicle Services, fluctuates based on vehicle replacement requirements in a given year. Except in rare cases, vehicles are not replaced until they have met both established age and mileage criteria. In years where more vehicles meet their criteria and are replaced, the ending balance will be lower (and vice versa).

# Fund 504
# Document Services




## Mission

To provide and coordinate high speed production printing services to County agencies as well as to the Fairfax County Public School System.

## Focus

As part of the FY 2011 Adopted Budget Plan, the Print Shop, previously managed by the Department of Cable and Consumer Services (DCCS), was consolidated under the Department of Information Technology (DIT). The Print Shop is responsible for providing high speed digital black and white and color printing, offset printing, and bindery services. In FY 2010 Printing and Duplicating Services produced over 16.7 million digital black and white impressions, over 1.6 million digital color impressions, and over 5,000 billable hours in offset printing. The Print Shop fully recovered over 100 percent of expenses while maintaining a 100 percent customer satisfaction rating for all printing jobs. The Print Shop also conducts printing consultations to advise County and Fairfax County Public Schools staff regarding printing requirements and provides recommendations on available printing methods, document layout, and bindery options. All direct labor and material costs associated with these services, as well as an equipment replacement reserve fee, are recovered from customer agencies.

The Department of Information Technology is also responsible for the authorized fleet of large and mid-size Multi-Functional Digital Devices (MFDDs) that are used throughout County government for copying, printing, faxing, and scanning. MFDDs are installed in buildings across the County and are linked to individual workstations via the County's enterprise network. Program activities include administration of the County's MFDD fleet contract; day-to-day management of the service delivery; and integration with the County's technology infrastructure, including network and Microsoft applications. DIT also uses job-based accounting and tracking software to help identify program costs that can be recovered from non-General Fund sources. In late FY 2010, the County signed a contract with Meridian Imaging Solutions to provide these services.

Currently, DIT is exploring opportunities to maximize the digital capabilities and overall efficiency of the Print Shop and MFDD fleet within Document Services.

# Fund 504
# Document Services

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 13/ 13 | 10/ 10 | 10/ 10 | 10/ 10 |
| Expenditures: | | | | |
| Personnel Services | $883,347 | $816,364 | $816,364 | $816,364 |
| Operating Expenses | 4,248,637 | 3,292,607 | 4,694,923 | 3,734,423 |
| Capital Equipment | 902,184 | 1,941,816 | 2,129,222 | 1,500,000 |
| **Total Expenditures** | **$6,034,168** | **$6,050,787** | **$7,640,509** | **$6,050,787** |

| Position Summary | | |
|---|---|---|
| 1 Printing Services Manager | 1 Printing Shift Supervisor | 4 Print Shop Operators II |
| 2 Customer Services Specialists | 1 Digital Printing Analyst | 1 Print Shop Operator I |
| **TOTAL POSITIONS**<br>**10 Positions / 10.0 Staff Years** | | |

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- FY 2012 funding remains at the same level as the FY 2011 Adopted Budget Plan; however, it should be noted that an amount of $441,816 is being reallocated from Capital Equipment to Operating Expenses in order to properly align the budget with how MFDD capital lease expenditures are recorded.

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$1,589,722**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved encumbered funding of $251,615. The remaining increase of $1,338,107 is required for the County's Multi-Functional Digital Device (MFDD) program to continue to support County agencies by allocating devices due to usage, program requirements and workload. These funds were unspent during FY 2010 due to the deployment schedule of new devices tied to a new MFDD contract.

## Key Performance Measures

### Goal

To provide high-speed production printing services to all County agencies and the Fairfax County Public Schools in order to fulfill their informational and educational objectives with printed material.

# Fund 504
# Document Services

## Objectives

- To provide quality printing and duplicating services in a cost-effective and timely manner by recovering 100 percent of offset and digital expenses.

- To provide an efficient cost per copy charge by managing the Multi-Functional Digital Devices program, while limiting increases in cost per copy and achieving a customer satisfaction rate of 87 percent.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Offset printing hours billed | 4,982 | 6,086 | 5,000 / 5,009 | 4,750 | 4,750 |
| Digital black and white impressions produced (in millions) | 22.3 | 21.2 | 19.1 / 16.7 | 16.7 | 16.7 |
| Digital color impressions produced (in millions) | 2.4 | 2.3 | 2.0 / 1.6 | 1.6 | 1.6 |
| Office copies made (in millions) | 55.8 | 53.8 | 54.0 / 57.5 | 54.0 | 54.0 |
| **Efficiency:** | | | | | |
| Cost per offset printing hour billed | $179.57 | $122.31 | $115.51 / $73.78 | $73.78 | $73.78 |
| Cost per digital black and white impression produced | $0.057 | $0.054 | $0.057 / $0.059 | $0.057 | $0.057 |
| Cost per digital color impression produced | $0.148 | $0.143 | $0.191 / $0.185 | $0.200 | $0.200 |
| Cost per office copy | $0.045 | $0.045 | $0.048 / $0.045 | $0.045 | $0.045 |
| Client charge per office copy | $0.045 | $0.045 | $0.048 / $0.045 | $0.045 | $0.045 |
| **Service Quality:** | | | | | |
| Percent of clients satisfied with offset printing services | 97% | 100% | 95% / 100% | 95% | 95% |
| Percent of clients satisfied with digital black and white jobs | 97% | 100% | 95% / 100% | 95% | 95% |
| Percent of clients satisfied with digital color jobs | 97% | 100% | 95% / 100% | 95% | 95% |
| Percent of office copier clients satisfied with services | 85% | 85% | 85% / 80% | 82% | 87% |
| **Outcome:** | | | | | |
| Percent of offset expenses recovered | 92% | 89% | 100% / 99% | 100% | 100% |
| Percent of digital black and white expenses recovered | 101% | 107% | 100% / 116% | 100% | 100% |
| Percent of digital color expenses recovered | 151% | 137% | 100% / 114% | 100% | 100% |
| Percent change in cost per copy | 0.00% | 0.00% | 6.67% / 0.00% | 0.00% | 0.00% |

## Performance Measurement Results

In FY 2010, the Print Shop recovered 99 percent of offset expenses and well over 100 percent of digital black and white and digital color expenses. The Print Shop also conducted a customer satisfaction survey in FY 2010 and found the current satisfaction rate to be 100 percent.

In FY 2010, the County entered into a 4-year contract with Meridian Imaging Solutions introducing new Ricoh hardware, software and increased functionality. Cost per copy and client charge per copy in FY 2011 and FY 2012 are anticipated to remain flat at $0.045. Upon the phase out of the 10 year relationship with the previous vendor, "cost per copy" and "client charge per cost" remained consistent $0.045. Office copies produced using printers in the MFDD program increased 3.5 million over the estimated 54 million in FY 2010 as agencies began transitioning document output from more expensive less efficient desktop printers to the less expensive more feature rich new copiers. FY 2011 and FY 2012 estimates remain flat from FY 2010 as added paper reduction measures are in place helping to offset the increased usage. The transition from the previous vendor and paper reductions contributed to a decrease in satisfied MFD clients in FY 2010 but remained within the objective rate of 80 percent as the aging fleet had exceeded its useful life and required more maintenance. Resistance to change is expected during FY 2011 but a slight increase in satisfied MFD clients to 82 percent is estimated for FY 2011. Through additional training and adoption, custom workflow rollout and system stabilization, customer satisfaction is estimated to increase to 87 percent in FY 2012. The MFD Program has not seen an increase in cost per copy over the last three fiscal years nor is it estimated to increase through FY 2012. This estimate is based on the cost of paper remaining relatively stable during this same timeframe. If paper costs increase, then there will likely be a corresponding increase in the cost per copy.

# Fund 504
# Document Services

## FUND STATEMENT

**Fund Type G50, Internal Service Funds** | **Fund 504, Document Services**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$2,459,629** | **$464,436** | **$2,298,809** | **$646,001** |
| Revenue: | | | | |
| County Receipts[1] | $2,384,786 | $2,491,743 | $2,491,743 | $2,384,771 |
| School Receipts | 977,767 | 995,492 | 995,492 | 977,767 |
| Equipment Replacement Reserve | 112,562 | 102,233 | 102,233 | 112,577 |
| Total Revenue | $3,475,115 | $3,589,468 | $3,589,468 | $3,475,115 |
| Transfer In: | | | | |
| General Fund (001)[2] | $2,398,233 | $2,398,233 | $2,398,233 | $2,398,233 |
| Total Transfer In | $2,398,233 | $2,398,233 | $2,398,233 | $2,398,233 |
| **Total Available** | **$8,332,977** | **$6,452,137** | **$8,286,510** | **$6,519,349** |
| Expenditures: | | | | |
| Personnel Services | $883,347 | $816,364 | $816,364 | $816,364 |
| Operating Expenses[1] | 4,248,637 | 3,292,607 | 4,694,923 | 3,734,423 |
| Capital Equipment | 902,184 | 1,941,816 | 2,129,222 | 1,500,000 |
| Total Expenditures | $6,034,168 | $6,050,787 | $7,640,509 | $6,050,787 |
| **Total Disbursements** | **$6,034,168** | **$6,050,787** | **$7,640,509** | **$6,050,787** |
| | | | | |
| **Ending Balance[3]** | **$2,298,809** | **$401,350** | **$646,001** | **$468,562** |
| Print Shop Replacement Equipment Reserve | $685,053 | $109,436 | $109,436 | $158,562 |
| PC Replacement Reserve[4] | 5,000 | 5,000 | 5,000 | 10,000 |
| Print Shop Operating Reserve[5] | 350,000 | 286,914 | 286,914 | 300,000 |
| **Unreserved Ending Balance** | **$1,258,756** | **$0** | **$244,651** | **$0** |

[1] In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of $302.83 has been reflected as an increase to FY 2010 revenue and an audit adjustment in the amount of $79,653 has been reflected as an increase to FY 2010 expenditures to properly reflect the recording of revenue and expenditures in this fund. These audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2011 Third Quarter package.

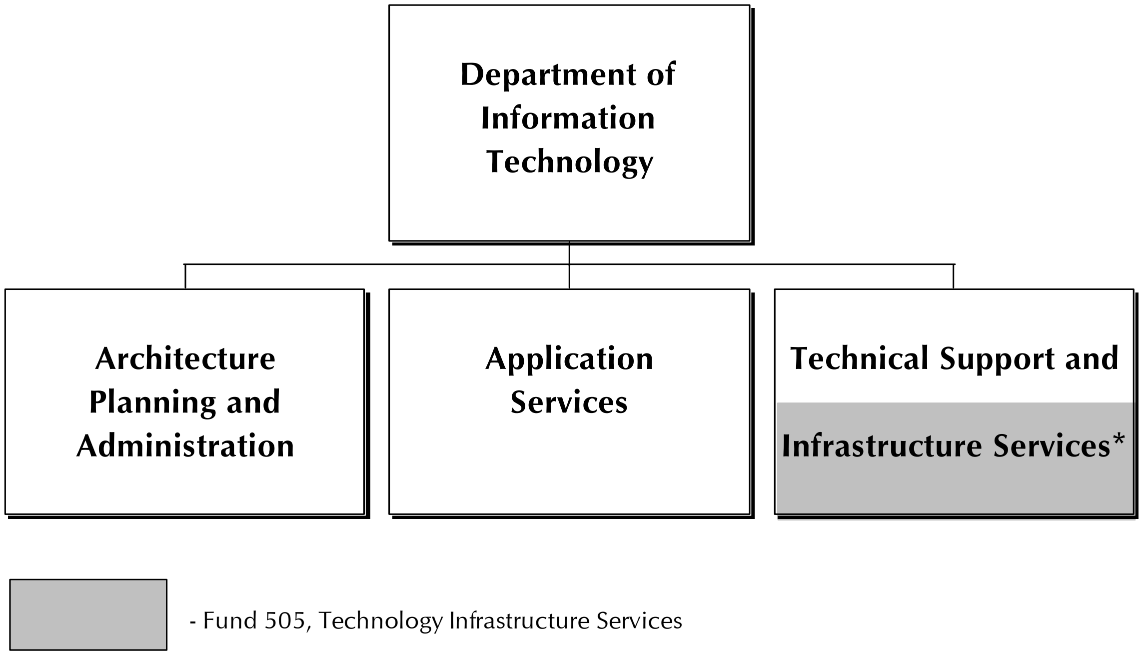
[2] The General Fund transfer supports the equipment lease for the County's Multi-Functional Digital Device (MFDD) program.

[3] The ending balance supports the three reserves for the agency and fluctuates depending upon the needs of the fund in a given year.

[4] The PC Replacement Reserve provides for the timely replacement of computer equipment for the activities in this fund.

[5] The Print Shop Operating Reserve is used to provide financial support to the Print Shop program as the technical and business practices in the industry evolve.

# Fund 505
# Technology Infrastructure Services




* All staffing and operating support for Infrastructure Services is found in Volume 2, Fund 505.

## Mission

To provide a reliable and secure technology infrastructure foundation required to support County business processes and systems that strengthen the public service commitment of Fairfax County.

## Focus

Fund 505, Technology Infrastructure Services, provides the underlying technology foundation supporting information systems and communications for Fairfax County Government. This consists of the enterprise portfolio of computers, telecommunications equipment, and infrastructure providing the essential foundational technology that supports Fairfax County government. The Department of Information Technology (DIT) coordinates all aspects of information technology for the County and plays an enabling role in advancing the strategic value of technology to transform work processes and provide quality services to customers. DIT manages technology as an enterprise asset and is responsible for direction and execution of information technology and communications systems by supporting and managing services and Fund 505.

The County's centralized approach to common infrastructure systems and operations provides economies and efficiencies through consolidation and leveraging of resources. Optimum performance is achieved by automated information technology (IT) support processes and enterprise-wide security tools, ensuring data integrity and system-use accountability. County IT architecture employs industry-standard products and best practices for efficient solution delivery and support. Fund 505, Technology Infrastructure Services, includes technology activities and communication services that support systems and operations for County agencies, including the management of County desktop computers (PCs and mobiles), servers, enterprise office-productivity software, e-mail and messaging system (Microsoft suite) and databases (1,000). Fund 505 also supports the operations of the County's primary data center (the Enterprise Technology Operation Center, or ETOC), monitoring and maintenance of enterprise data communications networks, and the Public Service Radio System and Radio Center services. One of the County's major assets is the fiber Institutional Network (I-Net) which provides a private secure network infrastructure connecting 400 County and Fairfax County Public Schools buildings serving data, voice and video transport. DIT manages the I-Net infrastructure and services. The equipment associated with this initiative is directly supported from the I-Net program funds within Fund 105, Cable Communications. New IT projects are implemented through Fund 104, IT Projects, while other IT systems are installed by agencies. However, all new IT systems require infrastructure services, thus increasing service obligations and putting upward pressure on costs.

Fund 505 is an internal service fund supported by revenues from County agencies and other entities such as the Fairfax County Public Schools. Expenditures are primarily driven by the customer agencies' use of the IT utility, software licenses, data center operations, computer equipment refresh, PC replacement program, network carrier services, and support staff.

DIT also provides intra-governmental services including the operation and maintenance of the County data center, mainframe, servers and data storage and back-up 24 hours a day, seven days per week; the safeguarding of County software license obligations, data repositories and information assets; and the on-going maintenance of County data and radio communication networks. The County's enterprise network provides bandwidth securely connecting county agencies to the vast array of business applications available on the County mainframe or server platforms (over 11,000 desktops, over 1,000 servers and 400 production databases). Data center charge-back also supports the legacy corporate systems on the current mainframe used by county and schools. A transfer from Fund 105, Cable Communications, is provided to offset I-Net costs for General Fund and General Fund-supported agencies.

Another activity within Fund 505 is the management of the PC Replacement Reserve, which ensures that funding is available for scheduled desktop device technology refreshes. The regularly scheduled replacement of this equipment helps County agencies remain current with advancements in technology that could affect their services. The PC Replacement schedule was deferred for one year in FY 2010 to allow for necessary budget reductions. Beginning in FY 2011, the program moved from a four-year to a five-year replacement cycle in order to achieve additional annual savings, and DIT continually reviews various service options to try to identify efficiencies in the acquisition and deployment of equipment. The cost per PC in the program includes PC hardware, required software licenses, IT security agent, protected disposal, and desk-side staff support of County PCs. The County's program has been recognized as a cost-effective value, best-practice model in both the governmental and commercial sectors, fully optimizing the allocation of IT assets and providing efficient and predictable desktop maintenance and support. DIT staff is studying several options for future restructuring of the PC Replacement Program, such as separate replacement charges and schedules to accommodate desktop and laptop PCs, with possible implementation as early as the FY 2013 budget process.

In addition to the areas mentioned above, Fund 505 also supports the staff positions dedicated to coordinating radio repair and providing radio engineering services to County agencies and the Fairfax County Public School (FCPS) system. Operational maintenance of the radio network is of primary importance to the County public safety agencies, public works agencies, FCPS, and other County agencies. With the deployment of both the new public safety and public service radio systems, the operations of the Radio Center now include interoperability management to ensure 24/7 communication with other jurisdictions. To support the operational and maintenance requirements of the systems, costs are recovered from the County user agencies, FCPS and Fairfax Water.

# Fund 505
# Technology Infrastructure Services

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 64/ 64 | 63/ 63 | 73/ 73 | 73/ 73 |
| Expenditures: | | | | |
| Personnel Services | $6,279,630 | $6,224,403 | $6,224,403 | $6,524,403 |
| Operating Expenses | 16,097,825 | 20,735,745 | 22,498,256 | 21,509,761 |
| Capital Equipment | 1,317,299 | 1,200,000 | 1,932,754 | 1,449,400 |
| **Total Expenditures** | **$23,694,754** | **$28,160,148** | **$30,655,413** | **$29,483,564** |

### Position Summary

**Communication/Infrastructure Program Management**
- 1 Info. Tech. Program Director III
- 2 Network/Telecom Analysts IV
- 1 Management Analyst I

**Server/SAN Infrastructure**
- 2 Network/Telecom Analysts IV
- 2 Network/Telecom Analysts III
- 1 Network/Telecom Analyst I

**Desktop Support/ PC Replacement**
- 10 Enterprise IT Technicians

**Data Center Services**
- 1 Info. Tech. Program Manager II
- 2 Systems Programmers III
- 5 Systems Programmers II
- 2 Systems Programmers I
- 1 Programmer Analyst III
- 1 Programmer Analyst II
- 1 Programmer Analyst I
- 1 Database Administrator II
- 1 Business Analyst I
- 5 IT Technicians III
- 8 IT Technicians II
- 1 IT Technician I

**Radio Center Services**
- 1 Info. Tech. Program Manager II
- 1 Network/Telecom Analyst IV
- 3 Network/Telecom Analysts III
- 2 Network/Telecom Analysts II
- 1 Communications Engineer
- 2 Communications Technicians
- 1 Administrative Assistant III

**Network/I-Net**
- 1 Info. Tech. Program Manager II
- 1 Info. Tech. Program Manager I
- 1 Network/Telecom Analyst IV
- 7 Network/Telecom Analysts III
- 4 Network/Telecom Analysts II

**TOTAL POSITIONS**
**73 Positions / 73.0 Staff Years**

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

♦ **Employee Compensation** **$0**
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

♦ **Chargeback Adjustments** **$1,323,416**
An increase of $1,023,416 is included to support annual SAP software license and Oracle database license maintenance starting in FY 2012. The SAP software, which resides on an Oracle database, is the backbone of the Fairfax County Unified System (FOCUS) which will be replacing the existing legacy County and School financial, procurement and human resources applications. Now that initial licenses have been purchased, it is standard in the technology industry for the customer to pay an annual amount to support basic operational maintenance such as normal product fixes and corrections, product updates, and access to the manufacturer support center. An additional $300,000 is included to properly align personnel costs of infrastructure technology staff. This total amount will be billed to Agency 70, Department of Information Technology.

♦ **Capital Equipment** **$1,449,400**
Funding of $1,200,000 is included for capitalized lease payments primarily associated with network equipment, server replacement, and Storage Area Network capacity. In addition, $249,400 has been

reallocated from Operating Expenses to Capital Equipment to properly reflect software license expenditures in the category in which they will be expended.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

♦ **Carryover Adjustments** **$2,495,265**
As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved encumbered funding of $2,119,159. The remaining increase of $376,106 will support the purchase of enterprise platform and server management and network access control tools necessary to support County initiatives in the areas of network security, teleworking, and Continuity of Operations (COOP).

♦ **Position Changes** **$0**
As part of the FY 2011 review of County position categories, a conversion of 10/10.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

## Key Performance Measures

### Objectives

- ♦ To maintain the number of business days to fulfill Telecommunications service requests for a) non-critical requests at a standard of 4 days; b) critical requests at a standard of next business day; and c) emergency requests at a standard of the same day.

- ♦ To maintain the percentage of LAN/PC workstation calls to Technical Support Services closed within 72 hours at 87 percent.

- ♦ To achieve an 87 percent resolution rate for the average first-call problem for the Technical Support Center (TSC), DIT Help Desk.

| | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| Indicator | FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | FY 2011 | FY 2012 |
| **Output:** | | | | | |
| Responses to calls for repairs on voice devices | 2,359 | 1,691 | 1,700 / 1,778 | 1,700 | 1,700 |
| Moves, adds or changes (voice and data) | 5,114 | 8,711 | 6,200 / 2,575 | 3,000 | 3,000 |
| Calls resolved | 16,152 | 15,184 | 17,200 / 14,892 | 15,400 | 16,100 |
| Customer requests for service fulfilled by Technical Support Center (TSC) | 72,002 | 77,186 | 80,000 / 86,402 | 87,500 | 88,500 |
| **Efficiency:** | | | | | |
| Cost per call | $110 | $110 | $110 / $110 | $110 | $110 |
| Average number of hours annually spent per staff member to resolve calls | 1,230 | 1,240 | 1,230 / 1,280 | 1,280 | 1,280 |
| Customer requests for service per TSC staff member | 5,538 | 6,223 | 5,761 / 7,200 | 7,200 | 7,200 |

# Fund 505
# Technology Infrastructure Services

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Service Quality:** | | | | | |
| Customer satisfaction with telecommunication services | 95.0% | 95.0% | 95.0% / 95.0% | 95.0% | 95.0% |
| Percent of customers reporting satisfaction with resolution of LAN/PC workstation calls | 80% | 91% | 91% / 91% | 92% | 92% |
| Percent satisfaction of County employees with support from Technical Support Center | 85% | 92% | 92% / 97% | 97% | 97% |
| **Outcome:** | | | | | |
| Business days to fulfill service requests from initial call to completion of request for non-critical requests | 4 | 4 | 4 / 4 | 4 | 4 |
| Business days to fulfill service requests from initial call to completion of request for critical calls | 2 | 1 | 2 / 2 | 2 | 2 |
| Business days to fulfill Telecommunications service requests for emergencies | 1 | 1 | 1 / 1 | 1 | 1 |
| Percent of calls closed within 72 hours | 85% | 83% | 92% / 86% | 87% | 87% |
| Percent of first-contact problem resolution | 71% | 70% | 72% / 85% | 86% | 87% |

## Performance Measurement Results

This cost center provides infrastructure services, communication service to all county agencies and other government customers, response to help desk service requests and maintenance of the county data communication networks. In FY 2010 Voice Communication Services continued the installation and the transition of several sites to the new Avaya networked enterprise-wide platform, resulting in a decrease to the repair calls handled by Voice Communication Services. This on-going project incorporating new equipment and the I-Net backbone are stable systems with redundancy built-in to allow for greater efficiencies in site functionality. The Avaya voice platform is being completed without any additional staff. In FY 2010 MACDs (Moves, Adds, Changes and Deletions) continued to decrease due to budget constraints which slowed down the number of MACDs within the County. The FY 2011 and FY 2012 forecasts project a slight increase in MACDs due to agency relocation and realignments and agencies operational efficiencies. Customer satisfaction levels remained steady.

The Technical Support Center Help Desk requests for service have increased; however, remote resolution of service problems had a direct correlation to the increase in first contact resolution as well as to the calls resolved in less than 72 hours. Workstation lockdowns and image control have favorably impacted the time required to resolve workstation issues. FY 2010 customer satisfaction increased due to internal quality control measures and remote resolution capabilities. The FY 2011 and FY 2012 focus will emphasize remote resolution and Infra-workflow services to streamline routine processes. With the County moving towards Windows 7 and Office 2010, DIT anticipates increases in call volume as users adjust to the new operating system and application. In FY 2012, the implementation of the FOCUS project is anticipated to increase support calls to the Service Desk upon implementation.

# Fund 505
# Technology Infrastructure Services

## FUND STATEMENT

**Fund Type G50, Internal Service Funds** | **Fund 505, Technology Infrastructure Services**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$5,735,303** | **$2,890,816** | **$5,641,038** | **$3,051,065** |
| Revenue: | | | | |
| Radio Services Charges[1] | $576,047 | $639,630 | $639,630 | $576,350 |
| PC Replacement Charges | 5,881,114 | 5,884,782 | 5,884,782 | 5,884,782 |
| DIT Infrastructure Charges | | | | |
| County Agencies and Funds | 18,323,918 | 18,046,545 | 18,046,545 | 19,369,961 |
| Fairfax County Public Schools | 1,526,933 | 1,588,010 | 1,588,010 | 1,651,530 |
| Outside Customers | 88,817 | 92,370 | 92,370 | 96,065 |
| Subtotal DIT Infrastructure Charges | $19,939,668 | $19,726,925 | $19,726,925 | $21,117,556 |
| Total Revenue | $26,396,829 | $26,251,337 | $26,251,337 | $27,578,688 |
| Transfers In: | | | | |
| Cable Communications (105)[2] | $1,814,103 | $1,814,103 | $1,814,103 | $1,814,103 |
| Total Transfers In | $1,814,103 | $1,814,103 | $1,814,103 | $1,814,103 |
| **Total Available** | **$33,946,235** | **$30,956,256** | **$33,706,478** | **$32,443,856** |
| Expenditures: | | | | |
| Infrastructure Services[1] | $19,184,349 | $20,676,177 | $22,531,171 | $21,999,593 |
| Radio Center Services | 1,044,616 | 1,004,020 | 1,009,217 | 1,004,020 |
| Computer Equipment Replacement Program | 2,605,013 | 5,779,951 | 5,950,211 | 5,779,951 |
| Upgrade/Replacement of Technology Infrastructure Equipment | 860,776 | 700,000 | 1,164,814 | 700,000 |
| Total Expenditures | $23,694,754 | $28,160,148 | $30,655,413 | $29,483,564 |
| Transfer Out: | | | | |
| General Fund (001)[3] | $4,610,443 | $0 | $0 | $0 |
| Total Transfers Out | $4,610,443 | $0 | $0 | $0 |
| **Total Disbursements** | **$28,305,197** | **$28,160,148** | **$30,655,413** | **$29,483,564** |
| | | | | |
| **Ending Balance[4]** | **$5,641,038** | **$2,796,108** | **$3,051,065** | **$2,960,292** |
| Infrastructure Replacement Reserve (CERF)[5] | $3,193,283 | $440,965 | $440,965 | $500,621 |
| PC Replacement Reserve[6] | 2,192,798 | 2,355,143 | 2,355,143 | 2,204,714 |
| **Unreserved Balance** | **$254,957** | **$0** | **$254,957** | **$254,957** |

# Fund 505
# Technology Infrastructure Services

[1] In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of $302.83 has been reflected as a decrease to FY 2010 revenue and an audit adjustment in the amount of $255,259.53 has been reflected as a decrease to FY 2010 expenditures to properly reflect the recording of revenue and expenditures in this fund. These audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the audit adjustments will be included in the FY 2011 Third Quarter package.

[2] A Transfer In from Fund 105, Cable Communications, is used to offset costs related to the I-Net for General Fund and General Fund supported agencies. This funding supports the system wide charges of the new Public Safety and Public Service radio program, as well as maintains funding for the replacement and upgrade of enterprise computer equipment.

[3] In FY 2010, a Transfer Out from the PC Replacement Reserve was utilized to offset General Fund requirements.

[4] The fluctuation in ending balance is primarily due to the operation of the PC Replacement and Computer Equipment Reserve Programs. The programs collect funding each year, hold it in reserve until needed, and then expend the funds for replacement equipment. The time period for this action varies based on the needs of the programs.

[5] This reserve is designed to assist in the scheduled replacement of enterprise computer and network assets. The funds are held in this Computer Equipment Replacement Fund (CERF).

[6] The balance in the PC Replacement Reserve fluctuates annually based on scheduled PC replacements which were previously on a four year replacement cycle. PC Replacement was deferred in FY 2010, effectively extending the program to a five year cycle. This action resulted in a Transfer Out to the General Fund of $3,048,543. This amount was combined with other expenditure reductions within Fund 505, resulting in a total Transfer Out of $4,610,443 to the General Fund.

# Fund 506
# Health Benefits Fund

## Focus

Fund 506, Health Benefits Fund, is the administrative unit for the County's self-insured health plans. For the self-insured plans, the County pays only for claims and third party administrative fees. The cost to fund claims expenses is covered by premiums from active employees, the employer, retirees, and retention of interest earnings.

Fairfax County Government offers its employees and retirees four health insurance choices providing various coverage options and competitive premium rates:

- Self-Insured point-of-service (POS) plan – Features a local network of providers with a co-pay structure for office visits and other services.
- Self-Insured open access plan (OAP) with two levels of coverage – Features a national network of providers. High option coverage features a co-pay structure for office visits and other services, while the Low option coverage features co-insurance and modest deductibles.
- Fully-insured health maintenance organization (HMO) – Features care centers located in communities throughout the area with a co-pay structure for office visits and other services.

In calendar year 2007, self-insured vision benefits were added to all health insurance plans with no impact to premium rates for the self-insured plans. A disease management program was implemented in CY 2009 as part of the County's wellness initiative. This program is used to detect chronic conditions early and provide assistance to those affected to help manage their disease, resulting in healthier outcomes. In CY 2011, the County's health insurance program was revised to consolidate plans similar in design and implement a new lower cost option. In addition, all plans were changed to offer eligible preventive care services on a zero-cost basis. This change is expected to help stem the cost of coverage for participants while also providing early intervention for chronic conditions or illness. All of the County's health insurance plans are self-insured, with the exception of the HMO plan. Self-insurance allows the County to more fully control all aspects of the plans, including setting premiums to smooth out the impact of increases on employees while maintaining adequate funding to cover claims expenses and reserves. It should be noted that, in FY 2012, the County will begin the process of selecting new vendors for all health insurance products and will be reviewing options for partnering with Fairfax County Public Schools to leverage the County's position in the marketplace.

As part of the March 2010 passage of comprehensive health care reform legislation, the Early Retiree Reinsurance Program (ERRP) was established to provide reimbursements to participating employers for a portion of the costs of health benefits for early retirees. The County applied and was approved for participation in the ERRP, with the first reimbursement of $1.2 million received in January 2011. This revenue will be posted to the Health Benefits Fund and will be reflected as part of the *FY 2011 Third Quarter Review*. As there is uncertainty surrounding how long the $5 billion allocated to the ERRP will last, it is difficult to project how much total revenue the County may receive under this program. As a result, no anticipated revenues from the ERRP have been included in the FY 2012 Advertised Budget Plan; any additional revenues received will be reflected at future quarterly reviews. All revenues received under the ERRP will be used to offset increases in health insurance costs for all participants in the County's self-insured plans.

As the health care environment is in the midst of significant reform, staff is monitoring changes in the health plan market, incorporating required changes in the County's plans and processes, and examining the overall impact of reform on the County's benefits package. Upon a thorough examination, staff will be developing a long-term strategy to continue to provide cost-effective and comprehensive health care coverage to employees and retirees within the parameters of the new health care laws.

The County continues to contribute 85 percent of the total premium for employees enrolled as an individual and 75 percent of the total premium for employees enrolled under either the two-party or family plan. Retirees over the age of 55 currently receive a subsidy from the County toward the cost of health insurance. The current monthly subsidy, approved in FY 2006, commences at age 55 and varies by length of service.

Details on the retiree health subsidy can be found in the narrative for Fund 603, OPEB (Other Post-Employment Benefits) Trust Fund, in Volume 2 of the FY 2012 Advertised Budget Plan.

As with many employers nationwide, the County has experienced considerable fluctuations in medical costs. Prescription drugs, new medical technologies and increased utilization, as well as the cost of medical malpractice and liability insurance, continue to drive increases in medical costs. After significant increases in claims expenses at the beginning of the decade, cost growth was moderate (at or below 5 percent) in FY 2005 and FY 2006, but has fluctuated within a range of 10-12 percent since FY 2007. Based on estimated FY 2011 average cost growth of over 14 percent, premium increases for January 2011 were set at 10.1 percent for the POS plan and 21.2 percent for the OAP (High Option) plan. These rates were set in accordance with the Board's FY 2011 budget guidance, with consideration of balancing the impact to employees with ensuring that the premiums for each plan would cover the associated expenses, as each plan has experienced different participation trends and claims experience. Additionally, premiums were set taking into consideration the potential impacts on the County's GASB 45 liability. If premiums are not set appropriately, and increases in retiree claims outpace the growth in premiums, the County's liability under GASB 45 and, consequently, the annual required contribution for OPEB, may increase. It is the County's policy to maintain a net OPEB asset, which demonstrates that the County has met its obligations to adequately fund the annual required contribution each year. For more information on GASB 45 and other post-employment benefits (OPEB), please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Advertised Budget Plan.

The January 2011 premium increases were also implemented in conjunction with the changes in the County's health insurance program described above, which provided lower-cost options to employees and retirees. Furthermore, recognizing the impact of health insurance costs on employees, especially in light of the suspension of compensation increases in FY 2010 and FY 2011, the Board of Supervisors approved two premium "holidays" as part of the *FY 2010 Carryover Review*. For two pay periods in December 2010, the County paid the employee share of health insurance premiums for active County employees who were eligible for and enrolled in County health insurance plans. Funding for the premium holidays was appropriated in Agency 89, Employee Benefits, in the General Fund, with no impact on the revenue or maintenance of reserves in the Health Benefits Fund.

As a result of continuing increases in cost growth, it is projected that the County will raise premiums by 10 percent for all plans, effective January 1, 2012 for the final six months of FY 2012. It should be noted that these premium increases are budgetary projections only; final premium decisions will be made in the fall of 2011 based on updated experience. Premium decisions will be based on the impact to employees and retirees, the actual claims experience of each plan, the maintenance of adequate reserves, and the impact on the County's GASB 45 liability.

***Premium Stabilization Reserve***

To help mitigate the impact of unanticipated cost increases in future years, the County created a premium stabilization reserve in FY 2005. This reserve allows the County to maintain premium increases at manageable levels and smooth out the employer and employee impact of dramatic cost growth swings.

During the years of moderate cost growth, the County was able to accumulate funds within the Premium Stabilization Reserve and these funds were utilized to mitigate premium increases, especially during calendar years 2007 and 2009 when premiums were held flat for the self-insured plans. In order to maintain an appropriate funding level within the reserve, guidelines were developed in FY 2010 for the future use of and target funding for the reserve:

- The Premium Stabilization Reserve should remain at 10-15 percent of claims expenses in order to maintain appropriate funding to offset unanticipated increases in cost growth or individual high-cost claims. If the reserve balance is within the target corridor, these balances may be used to mitigate premium increases. At no point should reserve balances be utilized which would bring the balance to less than 5 percent of claims.

- If the reserve falls below 10 percent of claims, the County will take actions to increase the reserve amount in order to reach the 10-15 percent target corridor within 3 years. These actions may include making additional General Fund contributions to the fund or increasing premiums.

- Any amount above 15 percent of claims may also be used for contributions towards the County's OPEB requirements under GASB 45 or to offset other County benefit expenses.

By following these parameters, an appropriate amount should be held within the reserve to ensure that funds are available to mitigate premium increases when necessary, although increases may still be significant based on claims trends within each plan. It should be noted that the premium increases projected for January 2012 were developed under these guidelines.

In addition to the Premium Stabilization Reserve, the fund maintains an unreserved ending balance based on a percent of claims paid of at least 10 percent. An ending balance of 10 to 15 percent of claims paid is the targeted industry standard based on potential requirements in the event of a plan termination.

***LiveWell Workforce Wellness Program***

In FY 2009, the LiveWell Workforce Wellness Program began as an effort to significantly improve employees' overall health and well-being, while also serving to curb rising health care costs. The program currently includes reduced membership fees at County RECenters, influenza vaccinations, and other wellness programming. In FY 2011, the Employee Fitness and Wellness Center (EFWC) was integrated into the LiveWell Program. The EFWC, located at the Government Center, provides convenient access for employees and retirees to cardiovascular and strength training equipment, as well as a variety of fitness classes at a reasonable monthly rate. The center is operated by the Park Authority, but all associated personnel and operating costs are charged to Fund 506.

Other components of the LiveWell program include:

- *Reduced membership fees at County RECenters.* In response to employee demand and to promote the importance of overall physical health, a 50 percent subsidy for annual memberships at County RECenters is included in the program. As workplace sites for employees are spread throughout the County and, thus, all employees are not located near the EFWC, this benefit allows merit employees and retirees to use all nine County RECenters at a reduced rate.

- *Influenza vaccinations for employees and retirees.* Providing flu shots to employees is a simple mechanism to reduce absenteeism due to flu outbreaks and protect the overall health of employees and retirees.

- *Health & Wellness Programming.* LiveWell sponsors workshops throughout the year, at various employee worksites, on a variety of health and wellness topics, including nutrition, stress, and exercise. In March 2010, weight management and smoking cessation support will be added to the programming offered to employees through LiveWell.

- *Health Risk Assessments (HRAs).* The County is still in the process of integrating Health Risk Assessments with the current benefit plans. Health Risk Assessments gather information on participants' personal medical history, preventative services, and emotional health and lifestyle choices. Health plan participants can use the HRA to help determine their personal health risks and take preventative measures, while allowing the County to use aggregate data to create targeted programming towards health conditions that most affect County employees.

# Fund 506
# Health Benefits Fund

In June 2010, LiveWell was awarded with a 2010 Achievement Award from the National Association of Counties (NACo) for a summer, team-based challenge which encouraged employees to walk more, exercise, and lose weight. In recognition of the budgetary constraints facing the County, this program was primarily funded through employee participation fees.

A comprehensive wellness program has the potential to reduce the rate of escalation of health care costs, resulting in savings for self-insured plans through cost avoidance. As such, expenses related to the LiveWell initiative are included in Fund 506, Health Benefits Fund, as it is anticipated that increases in self-insured claims expenses will be mitigated as benefits of the program begin to materialize.

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Health Insurance Requirements** **$746,652**
  A net increase of $746,652 is attributable to an increase of $1,102,243 in administrative expenses and an increase of $1,109,737 for Incurred But Not Reported (IBNR) claims, partially offset by a decrease of $1,465,328 in benefits paid. These adjustments are based on prior year experience and projected claims as a result of health insurance plan changes implemented in January 2011.

- **Premium Stabilization Reserve** **$2,359,782**
  An increase of $2,359,782 is attributable to the appropriation of fund balance to the Premium Stabilization Reserve. Any balances above the funding equivalent to two months of claims set aside in the Unreserved Ending Balance are appropriated to the Premium Stabilization Reserve to provide the fund flexibility in managing unanticipated increases in claims.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$6,966,065**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $6,966,065 to reflect an appropriation from fund balance to increase the Premium Stabilization Reserve, which allows the fund flexibility in maintaining premium increases at manageable levels.

# Fund 506
# Health Benefits Fund

## FUND STATEMENT

**Fund Type G50, Internal Service Funds** **Fund 506, Health Benefits Fund**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$28,275,238** | **$17,412,681** | **$27,473,477** | **$20,103,230** |
| Revenue: | | | | |
| Employer Share of Premiums | $69,106,154 | $82,095,319 | $82,095,319 | $82,831,438 |
| Employee Share of Premiums | 20,364,042 | 22,363,286 | 22,363,286 | 24,479,380 |
| Retiree Premiums | 20,298,207 | 21,064,332 | 21,064,332 | 21,547,889 |
| Interest Income | 233,010 | 268,827 | 268,827 | 211,188 |
| Administrative Service Charge/ COBRA Premiums | 575,548 | 489,926 | 489,926 | 477,701 |
| Employee Fitness Center Revenue | 0 | 61,000 | 61,000 | 61,000 |
| Total Revenue | $110,576,961 | $126,342,690 | $126,342,690 | $129,608,596 |
| **Total Available** | **$138,852,199** | **$143,755,371** | **$153,816,167** | **$149,711,826** |
| Expenditures: | | | | |
| Benefits Paid | $105,022,478 | $120,378,621 | $120,378,621 | $118,913,293 |
| Administrative Expenses | 4,767,266 | 4,243,949 | 4,243,949 | 5,346,192 |
| Premium Stabilization Reserve [1] | 0 | 0 | 6,966,065 | 2,359,782 |
| Incurred but not Reported Claims (IBNR) | 1,405,815 | 1,382,302 | 1,382,302 | 2,492,039 |
| LiveWell Program | 183,163 | 742,000 | 742,000 | 742,000 |
| Total Expenditures | $111,378,722 | $126,746,872 | $133,712,937 | $129,853,306 |
| **Total Disbursements** | **$111,378,722** | **$126,746,872** | **$133,712,937** | **$129,853,306** |
| Ending Balance: | | | | |
| Fund Equity | $40,299,483 | $31,453,933 | $34,548,664 | $35,317,249 |
| IBNR | 12,826,006 | 14,445,434 | 14,445,434 | 15,458,729 |
| **Ending Balance** | **$27,473,477** | **$17,008,499** | **$20,103,230** | **$19,858,520** |
| Premium Stabilization Reserve | $9,934,724 | $0 | $0 | $0 |
| **Unreserved Ending Balance** | **$17,538,753** | **$17,008,499** | **$20,103,230** | **$19,858,520** |
| **Percent of Claims** | **16.7%** | **14.1%** | **16.7%** | **16.7%** |

[1] Fluctuations in the Premium Stabilization Reserve are the result of reconciliations of budget to actual experience. For example it is anticipated that a significant portion of the Revised Budget Plan Premium Stabilization Reserve will be carried forward from one year to the next with adjustments as a result of final year-end experience.

# Fund 590
# Public School Insurance Fund

## Focus

Fund 590, Public School Insurance Fund, provides administration for workers' compensation insurance, self-insurance funds for automobile and general liability, and the purchase of commercial insurance for other liabilities. FY 2012 expenditures are estimated at $18.9 million.

# Fund 590
# Public School Insurance Fund

## FUND STATEMENT

**Fund Type G50, Internal Service Funds** **Fund 590, Public School Insurance Fund**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan[1] | FY 2012 Superintendent's Proposed |
|---|---|---|---|---|
| **Beginning Balance[2]** | **$27,605,581** | **$27,218,288** | **$27,909,626** | **$27,600,355** |
| Revenue: | | | | |
| Workers' Compensation: | | | | |
| School Operating Fund (090) | $7,275,947 | $7,926,080 | $7,926,080 | $9,238,928 |
| School Food & Nutrition Serv. Fund (191) | 277,166 | 277,166 | 277,166 | 277,166 |
| Other Insurance | | | | |
| School Operating Fund (090) | 4,463,682 | 4,468,127 | 4,468,127 | 4,468,127 |
| Insurance Proceeds | 141,973 | 50,000 | 50,000 | 50,000 |
| Total Revenue | $12,158,768 | $12,721,373 | $12,721,373 | $14,034,221 |
| **Total Available** | **$39,764,349** | **$39,939,661** | **$40,630,999** | **$41,634,576** |
| Expenditures: | | | | |
| Administration | $561,160 | $875,246 | $607,500 | $875,246 |
| Workers' Compensation | 5,886,722 | 6,648,961 | 5,806,450 | 6,883,339 |
| Other Insurance | 4,671,417 | 5,877,473 | 5,855,444 | 5,921,615 |
| Claims Management | 735,424 | 650,000 | 761,250 | 686,132 |
| Allocated Reserves[2] | 0 | 5,060,810 | 4,842,320 | 4,518,395 |
| Subtotal Expenditures | $11,854,723 | $19,112,490 | $17,872,964 | $18,884,727 |
| Net Change in Accrued Liabilities | | | | |
| Workers' Compensation | $1,338,073 | $0 | $0 | $0 |
| Other Insurance | 584,605 | 0 | 0 | 0 |
| Net Change in Accrued Liabilities | $1,922,678 | $0 | $0 | $0 |
| Total Expenditures | $13,777,401 | $19,112,490 | $17,872,964 | $18,884,727 |
| **Total Disbursements** | **$13,777,401** | **$19,112,490** | **$17,872,964** | **$18,884,727** |
| | | | | |
| **Ending Balance** | **$27,909,626** | **$20,827,171** | **$22,758,035** | **$22,749,849** |
| Restricted Reserves: | | | | |
| Workers' Comp Accrued Liability | ($19,426,073) | ($18,088,000) | ($19,426,073) | ($19,426,073) |
| Other Insurance Accrued Liability | (3,323,776) | (2,739,171) | (3,323,776) | (3,323,776) |
| Reserve for Catastrophic Occurrences | (5,159,777) | 0 | 0 | 0 |
| **Unreserved Balance** | **$0** | **$0** | **$8,186** | **$0** |

[1] The *FY 2011 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on December 2, 2010 during their *FY 2011 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's FY *2011 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 12, 2011.

[2] Any unused portion of the allocated reserves is carried forward into the subsequent budget year. Accordingly, the FY 2012 beginning balance is the projected ending balance for FY 2011 plus the estimated ending balance for the allocated reserves, for a total of $27,600,355.

# Fund 591
# Public School Health and Flexible Benefits

## Focus

Fund 591, Health and Flexible Benefits, provides for the administration of health and dental care benefit plans for employees and retirees. In addition, the Health and Flexible Benefits Fund administers two Flexible Spending Accounts, which enable employees to realize savings by setting aside pre-tax dollars, through Fairfax County Public Schools (FCPS) payroll deductions, for eligible health care and dependent care costs. FY 2012 expenditures are estimated at $336.3 million.

# Fund 591
# Public School Health and Flexible Benefits

## FUND STATEMENT

**Fund Type G50, Internal Service Funds**

**Fund 591, Public School Health and Flexible Benefits**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan[1] | FY 2012 Superintendent's Proposed |
|---|---|---|---|---|
| **Beginning Balance** | **$51,971,268** | **$52,446,696** | **$49,660,180** | **$46,713,537** |
| Revenue: | | | | |
| Employer/Employee Premiums | $210,487,988 | $225,276,283 | $225,276,284 | $237,074,379 |
| Retiree/Other Health Premiums | 35,710,746 | 35,566,516 | 35,566,516 | 39,339,515 |
| Interest Income | 2,795,962 | 4,260,479 | 4,260,479 | 3,571,200 |
| Medicare Part D | 3,225,379 | 2,400,000 | 2,400,000 | 2,773,827 |
| Flexible Account Withholdings | 6,658,193 | 6,449,893 | 6,449,893 | 6,814,957 |
| Total Revenue | $258,878,268 | $273,953,171 | $273,953,172 | $289,573,878 |
| **Total Available** | **$310,849,536** | **$326,399,867** | **$323,613,352** | **$336,287,415** |
| Expenditures: | | | | |
| Health Benefits Paid | $193,367,825 | $205,900,000 | $205,900,000 | $217,553,940 |
| Premiums Paid | 49,961,706 | 52,800,000 | 52,800,000 | 55,788,480 |
| Health Administration Expenses | 9,881,312 | 10,892,896 | 10,817,541 | 11,512,874 |
| Flexible Accounts Reimbursements | 6,560,029 | 6,404,575 | 6,404,577 | 6,689,875 |
| FSA Administrative Expenses | 123,484 | 121,000 | 121,000 | 125,082 |
| Claims Incurred but not Reported (IBNR) | 17,325,000 | 16,886,697 | 16,886,697 | 19,550,000 |
| IBNR Prior Year Credit | (16,030,000) | (16,030,000) | (16,030,000) | (18,446,000) |
| Claims Stabilization Reserve[2] | 0 | 49,424,699 | 46,713,537 | 43,513,164 |
| Total Expenditures | $261,189,356 | $326,399,867 | $323,613,352 | $336,287,415 |
| **Total Disbursements** | **$261,189,356** | **$326,399,867** | **$323,613,352** | **$336,287,415** |
| | | | | |
| **Ending Balance** | **$49,660,180** | **$0** | **$0** | **$0** |

[1] The *FY 2011 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on December 2, 2010 during their *FY 2011 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2011 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 12, 2011.

[2] The Claims Stabilization Reserve is appropriated for budgeting purposes to offset fluctuations in health insurance costs during the fiscal year. This reserve is to be carried forward as beginning balance for FY 2012.

# Fund 592
# Public School Central Procurement

## Focus

Fund 592, Public School Central Procurement, facilitates accounting of orders for textbooks, supplies, library materials, printing and equipment for the Fairfax County Public Schools (FCPS). Central purchases processed through this fund will be charged to individual school accounts; therefore, this Internal Service clearing account does not increase the total FCPS budget. FY 2012 expenditures are estimated at $14.0 million.

# Fund 592
# Public School Central Procurement

## FUND STATEMENT

**Fund Type G50, Internal Service Funds** **Fund 592, Public School Central Procurement**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan[1] | FY 2012 Superintendent's Proposed |
|---|---|---|---|---|
| **Beginning Balance** | **$718,373** | **$718,372** | **$457,516** | **$457,516** |
| Revenue: | | | | |
| Sales to Schools/Departments | $11,023,393 | $14,000,000 | $14,000,000 | $14,000,000 |
| Total Revenue | $11,023,393 | $14,000,000 | $14,000,000 | $14,000,000 |
| **Total Available** | **$11,741,766** | **$14,718,372** | **$14,457,516** | **$14,457,516** |
| Expenditures: | | | | |
| Purchase for Resale | $11,284,250 | $14,000,000 | $14,000,000 | $14,000,000 |
| Total Expenditures | $11,284,250 | $14,000,000 | $14,000,000 | $14,000,000 |
| **Total Disbursements** | **$11,284,250** | **$14,000,000** | **$14,000,000** | **$14,000,000** |
| Inventory Change | $0 | $0 | $0 | $0 |
| **Ending Balance** | **$457,516** | **$718,372** | **$457,516** | **$457,516** |

[1] The *FY 2011 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on December 2, 2010 during their *FY 2011 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2011 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 12, 2011.

1742

# Trust Funds

## Overview

Trust Funds account for assets held by the County in a trustee capacity and include four retirement trust funds, two trust funds to pre-fund other post-employment benefits, and a holding fund for revenue collected for the Route 28 Tax District.

## Retirement Trust Funds

- Each of the four retirement funds derives income from employer contributions, employee contributions, and returns on investments. Payments are made from these funds to eligible retirees based on established benefit formulas. Three retirement trust funds comprise the Fairfax County Employee Retirement Systems and are administered by the Fairfax County Retirement Administration Agency. The fourth retirement fund is for educational employees and is administered by Fairfax County Public Schools.

  - **Fund 600 - Uniformed Retirement System**
  - **Fund 601 - Fairfax County Employees' Retirement System**
  - **Fund 602 - Police Officers Retirement System**
  - **Fund 691 - Educational Employees' Supplementary Retirement**

## Other Post-Employment Benefits (OPEB) Trust Funds

- Beginning in FY 2008, Fairfax County and Fairfax County Public Schools were required to implement Governmental Accounting Standards Board (GASB) Statement No. 45 for other post-employment benefits (OPEBs). GASB 45 requires that the County and Schools accrue the cost of the retiree health subsidy and other post-employment benefits during the period of employees' active employment, while the benefits are being earned, and disclose the unfunded actuarial accrued liability in order to accurately account for the total future cost of post-employment benefits and the financial impact on the County. This funding methodology mirrors the funding approach used for pension benefits. Fund 603, OPEB Trust Fund, and Fund 692, Public School OPEB Trust Fund, will allow the County and Schools to capture long-term investment returns, make progress towards reducing the unfunded liability, and pre-fund the cost of post-employment health care and other non-pension benefits.

  - **Fund 603 - OPEB Trust Fund**
  - **Fund 692 - Public School OPEB Trust Fund**

## Route 28 Tax District

- Fairfax County, in partnership with Loudoun County, formed the Route 28 Highway Transportation Improvement District in 1987. The District was formed to accelerate planned highway improvements to State Route 28 that relied on slower pay-as-you-go financing. The owners of industrial and commercial property within the District are subject to an additional tax assessment of 18 cents per $100 of assessed value.

  - **Fund 700 - Route 28 Tax District**

# Employee Retirement Systems Overview

Fairfax County employee retirement systems include the Uniformed Retirement System (Fund 600), the Fairfax County Employees' Retirement System (Fund 601), and the Police Officers Retirement System (Fund 602). Each of these systems is funded from employees' contributions based on a fixed percentage of pay, County contributions based on a variable percentage of employee pay as determined by actuarial analysis, and return on investments. In order to assure the continued soundness of each fund, an actuarial valuation is conducted annually and, if appropriate, an adjustment is made to the employer contribution rate.

For the Uniformed Retirement Trust Fund, the employer's contribution comes from two sources: Agency 89, Employee Benefits, in the County's General Fund, for uniformed public safety employees in General Fund agencies and Fund 120, E-911, for the non-administrative staff in the Department of Public Safety Communications. For the Fairfax County Employees' Retirement Trust Fund, the employer's contribution comes from two sources: Agency 89, Employee Benefits, for County employees and Fairfax County Public Schools (FCPS) for school employees. For the Police Officers Retirement Trust Fund, the full amount of the employer's contribution comes from Agency 89, Employee Benefits, in the County's General Fund.

On March 18, 2002 the Board of Supervisors adopted a corridor approach to employer contributions. The corridor approach adds further stability to the employer contribution rates and continues to adequately fund the retirement systems. In the corridor method of funding, a fixed contribution rate is assigned to each system and the County contributes at the fixed rate unless the system's funding ratio falls outside the pre-selected corridor of 90-120 percent or if benefit enhancements are approved. At the Board of Supervisors' direction, staff conducted a comprehensive examination of the corridor policy in FY 2010 and concluded that the corridor approach should be maintained, as it has cushioned the County from dramatic rate increases in the past and is currently providing insulation from the global financial crisis. However, recognizing the difficult economic environment and the impact on investment returns, it is unlikely that the funding ratios for the three systems will increase significantly over the next few years based on the current corridor parameters. Consequently, the corridor will remain at 90-120 percent, as codified in the Fairfax County Code, but every effort will be made to gradually move towards a narrower corridor of 95-105 percent. This solution will allow the County to maintain the flexibility afforded by the current policy with the understanding that increasing contributions to the retirement systems, when feasible from a budgetary perspective, will improve the systems' financial position. At a future date, when the funding ratios of the systems have risen above 95 percent, consideration will be given to formally revising the corridor to 95-105 percent.

Retirees are eligible to receive a Cost-of-Living Adjustment (COLA) composed of a base COLA which is the lesser of the Consumer Price Index (CPI) for the 12 months ending on the previous year's March 31, or 4.0 percent. If certain conditions are met, an additional 1.0 percent COLA can be awarded at the discretion of each retirement system's Board of Trustees. This additional ad-hoc COLA results in an increase in the employer contribution rate. Staff reviewed the ad-hoc COLA policy at the Board of Supervisors' direction in FY 2010 and concluded that it is important for an individual Board of Trustees to maintain the discretion to grant an ad-hoc COLA for its retirees and that the criteria used to grant a COLA among the three systems be consistent. However, it was determined that the financial conditions that must be met in order for a Board of Trustees to consider granting an ad-hoc COLA should be strengthened, especially since the granting of such a COLA impacts the employer contribution rates and, thus, requires County funding. As a result, the Fairfax County Code was changed to require that the retirement system must have an actuarial surplus - demonstrated by having a funding ratio exceeding 100 percent - before an ad-hoc COLA can be considered.

A Deferred Retirement Option Plan (DROP) was added as a benefit for members of the Uniformed and Police Officers Retirement Systems in FY 2004 and was added for members of the Employees' Retirement System in FY 2006. It should be noted that when the DROP program was initially implemented, a sunset provision was put into place in order to give the Board of Supervisors the opportunity to examine the impact of the program. This sunset provision was eliminated by the Board of Supervisors in September 2010.

In their budget guidance approved with the adoption of the FY 2011 budget, the Board of Supervisors directed staff to continue to review the County's retirement policies and programs, including the Social Security offset for service-connected disability retirements. With funding designated at the *FY 2010 Carryover Review*, the Department of Human Resources is currently conducting a comprehensive retirement study with results expected to be presented to the Board of Supervisors in Summer 2011.

# Employee Retirement Systems Overview

The proposed FY 2012 employer contribution rates for each of the three retirement systems are as follows:

| Fund | FY 2011 Rates (%) | FY 2012 Rates (%) | Percentage Point Change (%) | Net General Fund Impact |
|---|---|---|---|---|
| **Uniformed** | 30.56 | 33.81 | 3.25 | $4,501,129 |
| **Employees'** | 14.70 | 17.20 | 2.50 | $7,797,254 |
| **Police Officers** | 28.31 | 31.30 | 2.99 | $3,052,554 |
| **Total** | | | | **$15,350,937** |

Following the actuarial funding policy currently in effect, contribution rates are adjusted only to fund approved benefits, to acknowledge changes in actuarial assumptions, both financial and demographic, and to recognize funding adjustments required when the funding ratio falls below 90 percent or rises above 120 percent. The global financial crisis during FY 2009 resulted in significant losses in the value of the invested assets of all three retirement systems. Capital markets rebounded significantly in FY 2010, and the retirement systems achieved strong positive results for the year. While the very high investment returns achieved in FY 2010 have strengthened the financial position of the systems, the impact of FY 2009 results will continue to affect actuarial funding ratios and contribution requirements. The funding ratio for the Employees' System dropped from 76.0 percent to 72.0 percent; the Police Officers system ratio dropped from 85.0 percent to 82.1 percent; and the Uniformed System ratio dropped from 85.7 percent to 82.1 percent. As the funding ratio of each system fell further outside of the corridor, following established funding policy, the employer contribution rates for each of the systems must be increased to amortize the unfunded liabilities.

In line with the recommendation to move gradually to a 95-105 percent corridor and in recognition of the need to increase the employer contribution rates in order to improve the systems' financial position, additional increases are included based on a change to the amortization schedule. Prior to FY 2011, if the funding ratio fell below 90 percent, the unfunded actuarial accrued liability (UAAL) below 90 percent was amortized over 15 years in order to get back to a 90 percent level. For FY 2011, the employer contribution rates were increased to allow for an amortization to a 91 percent level, in accordance with the phased approach to move towards the 95 percent target. For FY 2012, this change has been maintained to continue to allow for an amortization to a 91 percent level.

- The employer contribution rate for the Uniformed system is required to increase by 3.25 percentage points based on the funding ratio falling further below the 90 percent threshold and maintaining the change in the amortization schedule.

- The employer contribution rate for the Employees' system is required to increase by 2.50 percentage points based on the funding ratio falling further below the 90 percent threshold and maintaining the change in the amortization schedule.

- The employer contribution rate for the Police Officers system is required to increase by 2.99 percentage points based on the funding ratio falling further below the 90 percent threshold and maintaining the change in the amortization schedule.

For more information on the General Fund impact of these employer contribution rate changes, please refer to the Agency 89, Employee Benefits, narrative in the Nondepartmental program area section of Volume 1.

# Employee Retirement Systems Overview

The following table displays relevant information about each retirement system:

| EMPLOYEES COVERED | | |
|---|---|---|
| **Uniformed Retirement** | **Fairfax County Employees'** | **Police Officers Retirement** |
| Fire and Rescue Personnel; Uniformed Office of Sheriff employees; Animal Control Officers; Helicopter Pilots; Non-administrative staff in the Department of Public Safety Communications. | County employees not covered under Uniformed or Police Officers system; certain FCPS employees including food service, custodial, bus drivers, part-time and substitute teachers, maintenance staff. | Fairfax County Police Officers. |

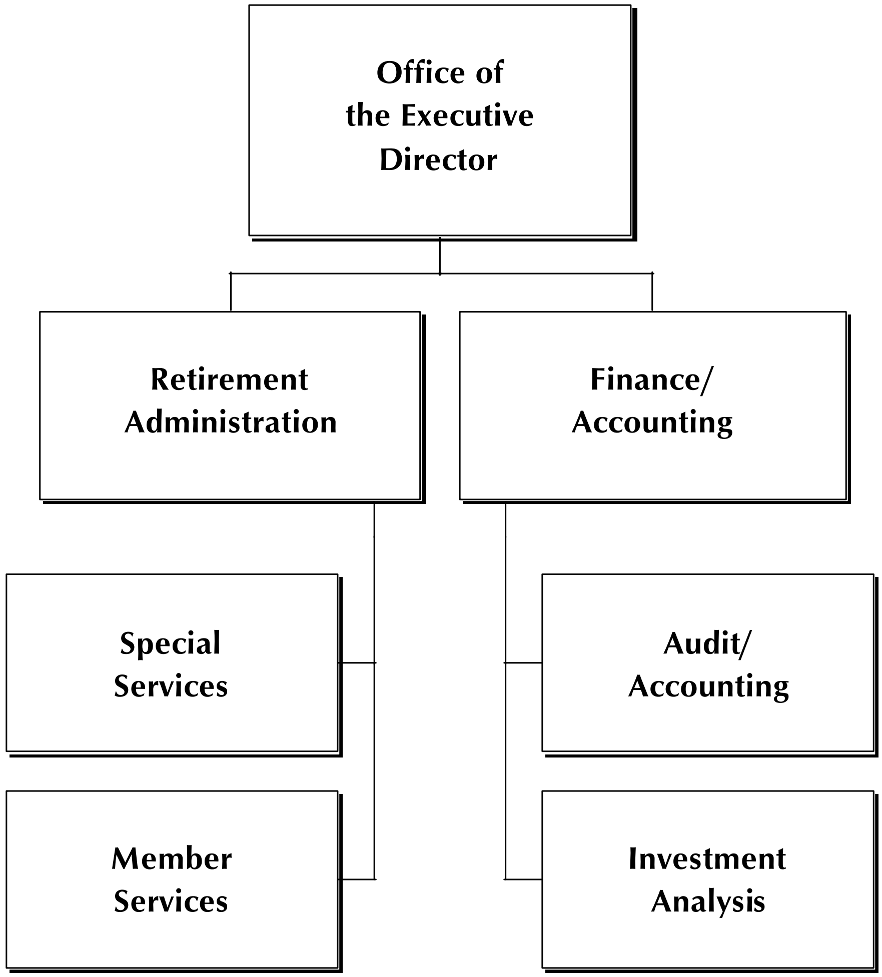
| CONDITIONS OF COVERAGE | | |
|---|---|---|
| **Uniformed Retirement** | **Fairfax County Employees'** | **Police Officers Retirement** |
| At age 55 with 6 years of service or after 25 years of service. | At age 65 with 5 years of service or earlier when age and years of service combined equal 80 or, for reduced "early retirement" benefits, 75. Not before age 50. | At age 55 or after 20 years of police service if hired before 7/1/81; or 25 years of service if hired on or after 7/1/81. |

<table>
<tr><th colspan="6">EMPLOYEE CONTRIBUTION</th></tr>
<tr><th rowspan="2"></th><th colspan="2">Uniformed Retirement</th><th colspan="2">Fairfax County Employees' Retirement</th><th>Police Officers Retirement</th></tr>
<tr><td>Plan A</td><td>Plan B</td><td>Plan A</td><td>Plan B</td><td rowspan="3">10.00% of Pay</td></tr>
<tr><td>Up to Wage Base</td><td>4.00%</td><td>7.08%</td><td>4.00%</td><td>5.33%</td></tr>
<tr><td>Above Wage Base</td><td>5.33%</td><td>8.83%</td><td>5.33%</td><td>5.33%</td></tr>
<tr><td>Plan C</td><td colspan="2">4.00%</td><td colspan="3" rowspan="2"></td></tr>
<tr><td>Plan D</td><td colspan="2">7.08%</td></tr>
</table>

| EMPLOYER CONTRIBUTION<br>Rate Structure / FY 2012 | | |
|---|---|---|
| **Uniformed Retirement** | **Fairfax County Employees' Retirement** | **Police Officers Retirement** |
| 33.81% | 17.20% | 31.30% |

| INVESTMENT MANAGERS AS OF JUNE 30, 2010 | | |
|---|---|---|
| **Uniformed Retirement** | **Fairfax County Employees' Retirement** | **Police Officers Retirement** |
| Acadian Asset Management | Artio Global Investors | Acadian Asset Management |
| Advisory Research | BlackRock, Inc. | AQR Capital Management |
| Artio Global Investors | Brandywine Global Investment Management | Bridgewater Associates |
| Ashmore Investment Management | Bridgewater Associates | Clarivest Asset Management |
| BlackRock, Inc. | The Clifton Group | The Clifton Group |
| Brandywine Global Investment Management | Cohen & Steers Capital Management | Cohen & Steers Capital Management |
| Bridgewater Associates | Columbia Wanger Asset Management | Dodge & Cox Investment Managers |
| Cohen & Steers Capital Management | Deerfield Capital Management | DoubleLine Capital |
| Harbourvest Partners | DePrince, Race & Zollo | Goldman Sachs |
| JP Morgan Investment Management | DoubleLine Capital | Grantham, Mayo, Van Otterloo |
| King Street Capital | Enhanced Investment Technologies | King Street Capital |
| Marathon Asset Management | First Quadrant | Loomis Sayles |
| Morgan Stanley | Grammercy Advisors | Mariner Investment Group |
| NCM Capital Management | JP Morgan Investment Management | McKinley Capital Management |
| Optima Management | LSV Asset Management | MetWest Asset Management |
| Orbimed Advisors | MacKay Shields | Morgan Stanley |
| Pacific Investment Management Co. | Marathon Asset Management | Oaktree Capital Management |
| Pantheon Ventures | Morgan Stanley | Pacific Investment Management Co. |
| Ramius, LLC | Pacific Investment Management Co. | Pzena Investment Management |
| Standish Mellon Asset Management | Post Advisory Group | Ramius, LLC |
| UBS Realty Advisors | Pzena Investment Management | Standish Mellon Asset Management |
| Victory Capital Management | Sands Capital Management | |
| | Shenkman Capital Management | |
| | Standish Mellon Asset Management | |
| | Stark Investments | |
| | Trust Company of the West | |

# Retirement Administration Agency




## Mission

As an agent of the Boards of Trustees of the Employees', Police Officers, and Uniformed Retirement Systems, the mission of the Retirement Administration Agency is to administer the systems according to the terms established by the County of Fairfax and to do so in a manner that:

- Safeguards and invests the assets of the systems;
- Maximizes cost effectiveness of the retirement programs by optimizing long-term investment returns within an acceptable level of variation in required funding and by maintaining efficient administrative operations;
- Maximizes the value of retirement plans in retaining County personnel through communications, education, and counseling programs and by providing quality service;
- Fulfills the obligations of the systems to retirees by providing timely and accurate payments and by providing quality service; and
- Provides technical support and advice to County management and the Board of Supervisors regarding retirement benefits.

## Focus

The Retirement Administration Agency contributes to the County's corporate stewardship through sound management of County resources and assets. To accomplish its specific mission, the Retirement Administration Agency will focus on:

- Support for the Boards of Trustees;
- Services to active employees and retirees;
- Accurate accounting and control of plan assets;
- Accuracy of data;
- Cost efficiency of processes; and
- Investment return and risk control.

Under the direction of the Boards of Trustees for the Fairfax County Employees' Retirement, Police Officers Retirement, and Uniformed Retirement Systems, the Retirement Administration Agency processes benefit payments to eligible Fairfax County retirees and beneficiaries. The agency also processes payments for the retiree health benefit subsidy and provides counseling and comprehensive information pertaining to benefits to active and retired County employees.

The agency receives revenues from various sources, including employee and employer contributions to the various retirement systems, employee payback, and return on investments, to finance the three employee retirement systems. Employee contributions are based on a fixed percentage of pay. For the Uniformed Retirement System, employer contributions come from two sources: Agency 89, Employee Benefits, for uniformed public safety employees in General Fund agencies and Fund 120, E-911, for the non-administrative staff in the Department of Public Safety Communications. For the Employees' Retirement System, employer contributions come from Agency 89, Employee Benefits, for County employees and from Fairfax County Public Schools (FCPS) for school employees. Employer contributions for the Police Officers Retirement System come solely from Agency 89, Employee Benefits, in the County's General Fund. Adjustments are made to the employer's contribution rate only to fund approved benefits, to acknowledge changes in actuarial assumptions, both financial and demographic, and to recognize funding adjustments required when the funding ratio falls out of the 90 to 120 percent funding corridor. It should be noted that staff conducted a comprehensive examination of the corridor policy in FY 2010 and concluded that the corridor approach should be maintained. However, recognizing the difficult economic environment and the impact on investment returns, it is unlikely that the funding ratios for the three systems will increase significantly over the next few years based on the current corridor parameters. Consequently, the corridor will remain at 90-120 percent, as codified in the Fairfax County Code, but every effort will be made to gradually move towards a narrower corridor of 95-105 percent. At a future date, when the funding ratios of the systems have risen above 95 percent, consideration will be given to formally revising the corridor to 95-105 percent.

Some revenues are also generated through employee payback, a process by which employees who have left the County can make a "payback" contribution and return to their previous standing in the retirement system upon their return to County employment. Additionally, significant revenues are achieved through returns on fund investments. Revenue projections are based on an assumed actuarial rate of return of 7.5 percent. An actuarial valuation is conducted annually for each of the three funds to assure the continued soundness of the retirement systems. In addition, an experience study – which compares actual experience to actuarial assumptions, both economic and demographic – is conducted once every five years to ensure that the plan is being valued appropriately. A study is currently underway, and any changes made will be reflected in the required contribution rates for FY 2013.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 24/ 24 | 24/ 24 | 25/ 25 | 25/ 25 |
| Expenditures: | | | | |
| Personnel Services | $2,437,218 | $2,483,684 | $2,483,684 | $2,722,119 |
| Operating Expenses | 294,880,837 | 348,226,472 | 348,226,472 | 359,468,352 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Total Expenditures** | **$297,318,055** | **$350,710,156** | **$350,710,156** | **$362,190,471** |

**Position Summary**

| | | | | | |
|---|---|---|---|---|---|
| | **OFFICE OF THE DIRECTOR** | | **Special Services** | | **FINANCE/ACCOUNTING** |
| 1 | Executive Director | 1 | Programmer Analyst III | 1 | Financial Specialist IV |
| 1 | Administrative Assistant IV | 1 | Programmer Analyst II | | |
| | | 1 | Communications Specialist II | | **Audit/Accounting** |
| | **RETIREMENT ADMINISTRATION** | | | 1 | Accountant I |
| 1 | Deputy Director | | **Membership Services** | | |
| 1 | Administrative Assistant II | 1 | Management Analyst III | | **Investment Analysis** |
| 1 | Administrative Assistant I | 1 | Management Analyst II | 1 | Chief Investment Officer |
| | | 4 | Retirement Counselors | 1 | Senior Investment Manager |
| | | 4 | Administrative Assistants V | 1 | Investment Manager |
| | | 1 | Senior Payroll Specialist | 1 | Investment Analyst |

**TOTAL POSITIONS**[1]
**25 Positions / 25.0 Staff Years**

[1] It should be noted that 1/1.0 SYE Accountant III resides in the Retirement Administration Agency, but is accounted for and financed by Fund 603, OPEB Trust Fund. The 25/25.0 SYE positions shown above are financed jointly by the three retirement trust funds (Fund 600, Fund 601, and Fund 602).

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- **Fringe Benefits** **$232,683**
  A net increase of $232,683 in Personnel Services is primarily attributable to health insurance expenses, based on actual enrollment and premium increases.

- **Other Post-Employment Benefits** **$5,752**
  An increase of $5,752 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Advertised Budget Plan.

- **Benefit Payments** **$6,331,566**
  An increase of $6,331,566 in Operating Expenses reflects increased payments of $6,077,781 to retirees due to a higher number of retirees and higher individual payment levels and an increase in payments to beneficiaries of $843,785, offset by a decrease of $590,000 in the allowance for refunds based on projected turnover of active members.

- **Investment Management Fees** **$4,950,000**
  An increase of $4,950,000 in Operating Expenses reflects an increase in investment management fees due to anticipated gain in assets and the investment strategies adopted by the Boards of Trustees.

- **Computer Software** **$19,704**
  An increase of $19,704 in Operating Expenses due to an increase in system software expenses and maintenance costs.

- **Actuarial Services** **($26,574)**
  A decrease of $26,574 in Operating Expenses reflects a decrease in the costs associated with conducting an actuarial experience study that is required every five years.

- **Investment Consulting Services** **($28,536)**
  A decrease of $28,536 in Operating Expenses reflects a decrease in investment consulting fees associated with contract renewals.

- **Other Operating Expenses** **($4,280)**
  A net decrease of $4,280 in all other Operating Expenses due to the net impact of several adjustments.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Position Changes** **$0**
  As part of the FY 2011 review of County position categories, a conversion of 1/1.0 SYE position has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

## Key Performance Measures

### Objectives

- To maintain at 100 percent the number of retiree benefit payments processed on time.

- To achieve at least a 7.5 percent return on investment over rolling three year periods.

- To achieve realized return on investment commensurate with the S&P 500 Index and the Barclays Capital Aggregate Bond Index.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Members: Fairfax County Employees | 20,279 | 20,685 | 21,297 / 20,696 | 21,327 | 21,313 |

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Members: Uniformed | 2,907 | 2,926 | 3,127 / 3,040 | 3,043 | 3,145 |
| Members: Police Officers | 2,153 | 2,140 | 2,239 / 2,182 | 2,199 | 2,229 |
| Return on investment: Fairfax County Employees | $31,057,752 | ($637,156,651) | $213,953,906 / $516,765,952 | $182,360,175 | $205,951,893 |
| Return on investment: Uniformed | ($22,896,664) | ($206,666,367) | $86,391,273 / $135,620,783 | $78,443,625 | $83,795,904 |
| Return on investment: Police Officers | ($52,849,694) | ($148,302,988) | $68,774,822 / $146,351,038 | $62,907,327 | $70,433,212 |
| **Efficiency:** | | | | | |
| Cost per member: Fairfax County Employees | $60 | $71 | $75 / $74 | $80 | $85 |
| Cost per member: Uniformed | $101 | $107 | $118 / $110 | $129 | $131 |
| Cost per member: Police Officers | $138 | $152 | $170 / $155 | $183 | $186 |
| Investment costs as a percent of assets: Fairfax County Employees | 0.46% | 0.47% | 0.54% / 0.40% | 0.54% | 0.57% |
| Investment costs as a percent of assets: Uniformed | 0.49% | 0.50% | 0.54% / 0.42% | 0.56% | 0.58% |
| Investment costs as a percent of assets: Police Officers | 0.39% | 0.42% | 0.47% / 0.39% | 0.50% | 0.54% |
| **Service Quality:** | | | | | |
| Percent of retiree checks issued within schedule time frame: Fairfax County Employees | 100% | 100% | 100% / 100% | 100% | 100% |
| Percent of retiree checks issued within schedule time frame: Uniformed | 100% | 100% | 100% / 100% | 100% | 100% |
| Percent of retiree checks issued within schedule time frame: Police Officers | 100% | 100% | 100% / 100% | 100% | 100% |
| Return compared to assumed actuarial rate (7.5%): Fairfax County Employees | 1.20% | (23.65%) | 7.50% / 25.21% | 7.50% | 7.50% |
| Return compared to assumed actuarial rate (7.5%): Uniformed | (2.10%) | (19.96%) | 7.50% / 15.53% | 7.50% | 7.50% |
| Return compared to assumed actuarial rate (7.5%): Police Officers | (5.70%) | (17.41%) | 7.50% / 20.78% | 7.50% | 7.50% |
| Large cap domestic equity return compared to S&P 500 Index: S&P 500 Index | (13.10%) | (26.21%) | NA / 14.43% | NA | NA |

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Service Quality:** | | | | | |
| Large cap domestic equity return compared to S&P 500 Index: Fairfax County Employees | (14.80%) | (24.47%) | NA / 21.74% | NA | NA |
| Large cap domestic equity return compared to S&P 500 Index: Uniformed | (10.50%) | (30.41%) | NA / 15.74% | NA | NA |
| Large cap domestic equity return compared to S&P 500 Index: Police Officers | (11.70%) | (30.44%) | NA / 20.59% | NA | NA |
| Fixed income return compared to the Barclays Capital Aggregate Bond Index: Barclays Capital Aggregate Bond Index | 7.10% | 6.05% | NA / 9.50% | NA | NA |
| Fixed income return compared to the Barclays Capital Aggregate Bond Index: Fairfax County Employees | 9.20% | 8.96% | NA / 30.96% | NA | NA |
| Fixed income return compared to the Barclays Capital Aggregate Bond Index: Uniformed | 10.40% | 9.20% | NA / 20.83% | NA | NA |
| Fixed income return compared to the Barclays Capital Aggregate Bond Index: Police Officers | 7.40% | 11.91% | NA / 22.22% | NA | NA |
| **Outcome:** | | | | | |
| Percent of retiree payments processed on time: Fairfax County Employees | 100% | 100% | 100% / 100% | 100% | 100% |
| Percent of retiree payments processed on time: Uniformed | 100% | 100% | 100% / 100% | 100% | 100% |
| Percent of retiree payments processed on time: Police Officers | 100% | 100% | 100% / 100% | 100% | 100% |
| Deviation from actuarial rate of return (total plan): Fairfax County Employees | (6.3%) | (31.2%) | 0.0% / 17.7% | 0.0% | 0.0% |
| Deviation from actuarial rate of return (total plan): Uniformed | (9.6%) | (27.5%) | 0.0% / 8.0% | 0.0% | 0.0% |
| Deviation from actuarial rate of return (total plan): Police Officers | (13.2%) | (24.9%) | 0.0% / 13.3% | 0.0% | 0.0% |
| Deviation from S&P 500 (large cap equities): Fairfax County Employees | (1.7%) | 1.7% | 0.0% / 7.3% | 0.0% | 0.0% |

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Outcome:** | | | | | |
| Deviation from S&P 500 (large cap equities): Uniformed | 2.6% | (4.2%) | 0.0% / 1.3% | 0.0% | 0.0% |
| Deviation from S&P 500 (large cap equities): Police Officers | 1.4% | (4.2%) | 0.0% / 6.2% | 0.0% | 0.0% |
| Deviation from Barclays Capital Aggregate (fixed income): Fairfax County Employees | 2.1% | 2.9% | 0.0% / 21.5% | 0.0% | 0.0% |
| Deviation from Barclays Capital Aggregate (fixed income): Uniformed | 3.3% | 3.2% | 0.0% / 11.3% | 0.0% | 0.0% |
| Deviation from Barclays Capital Aggregate (fixed income): Police Officers | 0.3% | 5.9% | 0.0% / 12.7% | 0.0% | 0.0% |

## Performance Measurement Results

During FY 2010, the markets rebounded from the global financial and economic crisis that began during FY 2009, and while not offsetting all of the FY 2009 declines, the retirement systems were able to generate strong investment returns. The rates of return for all three systems for FY 2010 exceeded the long-term return target of 7.5 percent. The Employees' System returned 25.2 percent; the Police Officers System returned 20.8 percent; and the Uniformed System returned 15.5 percent. To provide a context for these returns, it is helpful to compare them to the returns in the major capital markets. For the year ending June 30, 2010, the S&P 500 Index returned 14.4 percent and U.S. small-cap stocks returned 21.5 percent. Among non-U.S. stocks, developed markets were up 6.4 percent and emerging markets rose 23.5 percent. Investments in real assets also had positive returns. The commodity index rose 2.8 percent and real estate investment trusts increased 53.9 percent. The fixed income markets also produced good results and the Barclays Capital Bond index rose by 9.5 percent

In addition to comparing returns to general market results, they should also be considered relative to the returns achieved by other public pension plans. All three systems had very strong results relative to their peers across the country and were in the top quartile of the BNYMellon public plan universe. The Employees' System ranked first; the Police Officers System ranked second; and the Uniformed System ranked 13th. The dispersion of investment results among the three systems in FY 2010 was attributable to differences in the systems' asset allocation strategies and the varying degrees to which each system's investment management firms added value.

While the very high investment returns achieved in FY 2010 have strengthened the financial position of the systems, the impact of FY 2009 results will continue to affect actuarial funding ratios and contribution requirements. Employer contribution rates and funding ratios are calculated based on a number of actuarial assumptions, including an actuarially determined rate of return. The actuarial rate of return uses a smoothing methodology to phase in total recognition of a given year's returns above or below the long-term expected rate of 7.5 percent. This smoothing is done to mitigate volatility in funding requirements, recognizing the cyclical nature of capital market returns. However, this smoothing process does not include the impact of any liability gains or losses, which are determined by comparing actual experience, such as rates of retirement and death, against actuarial assumptions. Funding policy and calculations include an average compound return of 7.5 percent over the long-term. Including the results through FY 2010, the actual compound annual returns achieved since 1981, the earliest date for which data is available, have been 9.1 percent for the Uniformed System, 9.9 percent for the Police Officers System and 10.0 percent for the Employees' System.

## FUND STATEMENT

**Fund Type G60, Pension Trust Funds** **Fund 600, Uniformed Retirement**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$867,187,220** | **$939,120,537** | **$991,072,541** | **$1,048,886,820** |
| Revenue: | | | | |
| Employer Contributions | $40,771,184 | $45,455,503 | $45,455,503 | $50,121,640 |
| Employee Contributions | 10,936,435 | 11,579,026 | 11,579,026 | 10,521,857 |
| Employee Payback | 158,070 | 100,000 | 100,000 | 100,000 |
| Return on Investments [1] | 47,555,954 | 78,443,265 | 78,443,265 | 83,795,904 |
| Total Realized Revenue | $99,421,643 | $135,577,794 | $135,577,794 | $144,539,401 |
| Unrealized Gain/(Loss) [1,2] | $88,064,829 | $0 | $0 | $0 |
| Total Revenue | $187,486,472 | $135,577,794 | $135,577,794 | $144,539,401 |
| **Total Available** | **$1,054,673,692** | **$1,074,698,331** | **$1,126,650,335** | **$1,193,426,221** |
| Expenditures: | | | | |
| Administrative Expenses | $778,606 | $975,251 | $975,251 | $1,027,095 |
| Investment Services [1] | 3,867,675 | 5,040,000 | 5,040,000 | 5,800,000 |
| Payments to Retirees | 57,716,291 | 70,345,439 | 70,345,439 | 71,368,000 |
| Beneficiaries | 640,624 | 712,825 | 712,825 | 755,000 |
| Refunds | 597,955 | 690,000 | 690,000 | 700,000 |
| Total Expenditures | $63,601,151 | $77,763,515 | $77,763,515 | $79,650,095 |
| **Total Disbursements** | **$63,601,151** | **$77,763,515** | **$77,763,515** | **$79,650,095** |
| **Ending Balance [3]** | **$991,072,541** | **$996,934,816** | **$1,048,886,820** | **$1,113,776,126** |

[1] In order to account for revenues and expenditures in the proper fiscal year, audit adjustments in the amount of $11,372,824.47 have been reflected as a decrease to FY 2010 revenue, primarily associated with adjustments necessary to record interest and dividend revenue in the proper fiscal period, as well as to record a net loss from the unrealized depreciation of investments. In addition, an audit adjustment in the amount of $72,061.10 has been reflected as an increase to FY 2010 expenditures in order to appropriately account for investment management fees. The audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments will be included in the FY 2010 Third Quarter Package.

[2] Unrealized gain/loss will be reflected as an actual revenue at the end of each fiscal year.

[3] The Uniformed Retirement Fund maintains fund balances at adequate levels relative to projected requirements. The fund balance fluctuates annually primarily due to interest on investments.

## FUND STATEMENT

**Fund Type G60, Pension Trust Funds** **Fund 601, Fairfax County Employees' Retirement**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$2,039,051,396** | **$2,154,412,291** | **$2,469,080,090** | **$2,569,612,621** |
| Revenue: | | | | |
| County Employer Contributions | $46,139,349 | $70,133,160 | $70,133,160 | $83,312,528 |
| County Employee Contributions | 22,648,489 | 24,263,211 | 24,263,211 | 23,087,072 |
| School Employer Contributions | 18,010,646 | 27,720,691 | 27,720,691 | 27,720,691 |
| School Employee Contributions | 8,789,230 | 9,638,152 | 9,638,152 | 9,638,152 |
| Employee Payback | 295,797 | 400,000 | 400,000 | 400,000 |
| Return on Investments [1] | 163,087,846 | 182,360,175 | 182,360,175 | 205,951,893 |
| Total Realized Revenue | $258,971,357 | $314,515,389 | $314,515,389 | $350,110,336 |
| Unrealized Gain/(Loss) [1,2] | $353,678,106 | $0 | $0 | $0 |
| Total Revenue | $612,649,463 | $314,515,389 | $314,515,389 | $350,110,336 |
| **Total Available** | **$2,651,700,859** | **$2,468,927,680** | **$2,783,595,479** | **$2,919,722,957** |
| Expenditures: | | | | |
| Administrative Expenses | $2,568,674 | $2,919,394 | $2,919,394 | $3,037,834 |
| Investment Services [1] | 9,624,929 | 10,910,000 | 10,910,000 | 14,100,000 |
| Payments to Retirees | 162,766,575 | 190,785,036 | 190,785,036 | 194,504,000 |
| Beneficiaries | 3,585,429 | 4,058,428 | 4,058,428 | 4,392,000 |
| Refunds | 4,075,162 | 5,310,000 | 5,310,000 | 4,790,000 |
| Total Expenditures | $182,620,769 | $213,982,858 | $213,982,858 | $220,823,834 |
| **Total Disbursements** | **$182,620,769** | **$213,982,858** | **$213,982,858** | **$220,823,834** |
| | | | | |
| **Ending Balance [3]** | **$2,469,080,090** | **$2,254,944,822** | **$2,569,612,621** | **$2,698,899,123** |

[1] In order to account for revenues and expenditures in the proper fiscal year, audit adjustments in the amount of $10,533,862.67 have been reflected as an increase to FY 2010 revenue, primarily associated with adjustments necessary to record interest and dividend revenue in the proper fiscal period, as well as to record a net gain from the unrealized appreciation of investments. In addition, an audit adjustment in the amount of $339,141.85 has been reflected as an increase to FY 2010 expenditures in order to appropriately account for investment management fees. The audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments will be included in the FY 2010 Third Quarter Package.

[2] Unrealized gain/loss will be reflected as an actual revenue at the end of each fiscal year.

[3] The Employees' Retirement Fund maintains fund balances at adequate levels relative to projected requirements. The fund balance fluctuates annually primarily due to interest on investments.

## FUND STATEMENT

**Fund Type G60, Pension Trust Funds** | **Fund 602, Police Retirement**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$706,622,286** | **$756,995,967** | **$836,033,056** | **$879,532,107** |
| Revenue: | | | | |
| Employer Contributions | $23,766,626 | $29,049,707 | $29,049,707 | $31,954,831 |
| Employee Contributions | 10,389,241 | 10,455,800 | 10,455,800 | 10,193,060 |
| Employee Payback | 0 | 50,000 | 50,000 | 0 |
| Return on Investments [1] | 49,108,612 | 62,907,327 | 62,907,327 | 70,433,212 |
| Total Realized Revenue | $83,264,479 | $102,462,834 | $102,462,834 | $112,581,103 |
| Unrealized Gain/(Loss) [1,2] | $97,242,426 | $0 | $0 | $0 |
| Total Revenue | $180,506,905 | $102,462,834 | $102,462,834 | $112,581,103 |
| **Total Available** | **$887,129,191** | **$859,458,801** | **$938,495,890** | **$992,113,210** |
| Expenditures: | | | | |
| Administrative Expenses | $746,773 | $912,077 | $912,077 | $940,542 |
| Investment Services | 2,845,676 | 3,500,000 | 3,500,000 | 4,500,000 |
| Payments to Retirees | 44,379,006 | 50,750,744 | 50,750,744 | 52,087,000 |
| Beneficiaries | 2,717,817 | 3,120,962 | 3,120,962 | 3,589,000 |
| Refunds | 406,863 | 680,000 | 680,000 | 600,000 |
| Total Expenditures | $51,096,135 | $58,963,783 | $58,963,783 | $61,716,542 |
| **Total Disbursements** | **$51,096,135** | **$58,963,783** | **$58,963,783** | **$61,716,542** |
| **Ending Balance [3]** | **$836,033,056** | **$800,495,018** | **$879,532,107** | **$930,396,668** |

[1] In order to account for revenues in the proper fiscal year, audit adjustments in the amount of $17,008,271.57 have been reflected as a decrease to FY 2010 revenue, primarily associated with adjustments necessary to record interest and dividend revenue in the proper fiscal period, as well as to record a net loss from the unrealized depreciation of investments. The audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments will be included in the FY 2010 Third Quarter Package.

[2] Unrealized gain/loss will be reflected as an actual revenue at the end of each fiscal year.

[3] The Police Retirement Fund maintains fund balances at adequate levels relative to projected requirements. The fund balance fluctuates annually primarily due to interest on investments.

# Fund 603
# OPEB Trust Fund

## Focus

Fund 603, OPEB Trust Fund, was created to capture long-term investment returns and make progress towards reducing the unfunded actuarial accrued liability under GASB 45 and funds the cost of other post-employment benefits (OPEBs) including health care, life insurance, and other non-pension benefits offered to retirees, such as the County's retiree health benefit subsidy.

***GASB 45***

Beginning in FY 2008, the County's financial statements were required to implement Governmental Accounting Standards Board (GASB) Statement No. 45 for other post-employment benefits. This standard addresses how local governments should account for and report their costs related to post-employment health care and other non-pension benefits. Historically, the County funded these benefits on a pay-as-you-go basis. GASB 45 requires that the County accrue the cost of other post-employment benefits during the period of employees' active employment, while the benefits are being earned, and disclose the unfunded actuarial accrued liability to accurately account for the total future cost of post-employment benefits and the financial impact on the County. This funding methodology mirrors the funding approach used for pension benefits. A valuation is performed to calculate the County's actuarial accrued liability and the associated annual required contribution (ARC). The liability and ARC are calculated annually, and adjustments are made due to benefit enhancements, medical trend experience, and normal growth assumptions. <u>It is the County's policy to maintain a net OPEB asset, which demonstrates that the County has met its obligations to adequately fund the annual required contribution each year</u>.

The liability includes the retiree health benefit subsidy, which is paid out to County retirees, as well as the liability associated with an "implicit" subsidy provided to retirees. As premiums for the County's self-insured health plans are set using the blended experience of active employees and retirees, retiree premiums are lower than if they were set solely using the experience of the retiree group. GASB 45 requires that the County calculate and include the liability for this implicit subsidy. The differential between actuarial assumptions related to retiree claims and premiums and actual claims experience and premiums is the primary driver behind the liability related to the implicit subsidy. When claims experience is favorable compared to premium increases and actuarial assumptions, the implicit subsidy liability is likely to decline. Conversely, if the County experiences an unanticipated spike in retiree claims expenses, the implicit subsidy liability could increase. The impact of the difference between actuarial assumptions and actual experience is magnified by the fact that, similar to pension benefits, the County must project the impact over a 30-year period. Thus, a small change in the implicit subsidy in a single year is compounded over time.

The actuarial valuation as of July 1, 2010 under GASB 45 calculated the County's actuarial accrued liability (AAL), excluding the Schools portion, at approximately $489.2 million and the unfunded actuarial accrued liability as $428.7 million, as shown below.

| Valuation Results as of July 1, 2010 (in thousands) | |
|---|---|
| Actuarial Accrued Liability (AAL) | $489,203 |
| Plan Assets | $60,473 |
| Unfunded Actuarial Accrued Liability | $428,730 |
| Annual Required Contribution (ARC) | $35,373 |

It should be noted that the July 1, 2010 AAL of $489.2 million increased over the July 1, 2009 AAL of $441.3 million primarily due to actual retiree claims experience.

To begin preparing for the implementation of GASB 45, a reserve was established in Fund 506, Health Benefits Fund, as part of the *FY 2005 Carryover Review* to begin to address the County's unfunded liability. Through excess revenues received from employer contributions and additional General Fund contributions, a reserve of $48.2 million was accumulated and transferred to the newly created Fund 603, OPEB Trust Fund, at the *FY 2007 Carryover Review*. This $48.2 million in initial funding helped reduce the unfunded liability and fully funded the FY 2008 annual required contribution. The *FY 2009 Revised Budget Plan* included an additional $14.9 million transfer from the GASB 45 Liability Reserve in Fund 506 which counted towards the FY 2009 ARC. In FY 2010 and FY 2011, contributions towards the ARC were made through a $9.9 million General Fund transfer. The initial funding in FY 2008 helped to establish a net OPEB asset which has carried forward each year and has helped to offset ARC requirements. The FY 2012 Advertised Budget Plan increases the General Fund transfer to $20.0 million in recognition that the net OPEB asset has diminished and is no longer available to fully offset the annual required contribution. Additionally, in recognition of the fact that the OPEB liability is calculated based on all County positions and not only those funded by the General Fund, beginning in FY 2011, funds not supported by General Fund dollars began making contributions. It is anticipated that these contributions will total approximately $4.0 million in FY 2012.

Primarily due to the carryover of the FY 2009 net OPEB asset of $23.8 million and the $9.9 million General Fund transfer in FY 2010, a net OPEB asset was shown on the County's FY 2010 financial statements of $9.5 million. However, based on preliminary estimates of the implicit subsidy contribution and current funding levels, a net OPEB obligation for FY 2011 is projected as displayed in the chart below.

**Net OPEB Asset**
**(in thousands)**

| | FY 2010 Actual | FY 2011 Estimate |
|---|---|---|
| Annual Required Contribution (ARC) | $32,553 | $35,373 |
| *Adjustments to ARC* | *($462)* | *($184)* |
| Annual OPEB Cost (AOC) | $32,091 | $35,189 |
| Resources to Apply toward the ARC: | | |
| *Transfer from the General Fund* | *$9,900* | *$9,900* |
| *Contributions from Other Funds* | *$0* | *$3,100* |
| *Implicit Subsidy Contribution* | *$7,871* | *$8,800* |
| Carryover of Prior Year Asset | $23,826 | $9,506 |
| Net OPEB Asset/(Obligation) | $9,506 | ($3,883) |

As it is the County's policy to maintain a net OPEB asset, it is anticipated that additional funding will be directed to the OPEB Trust Fund as part of the *FY 2011 Third Quarter Review*. Furthermore, in order to avoid dependence on one-time funding, it is anticipated that the General Fund transfer to the OPEB Trust Fund will be increased in FY 2013 so that the ARC is fully covered through contributions from the General Fund and other funds, as well as the contribution credited for the implicit subsidy. Building adequate funding in the baseline budget is an important step in ensuring that the County can fully fund the ARC each year and meet its OPEB obligations.

After exploring numerous alternatives as to how to prudently invest and accumulate resources for OPEB, County staff recommended, and the Board of Supervisors approved on February 25, 2008, County participation in the Virginia Pooled OPEB Trust Fund in cooperation with the Virginia Municipal League (VML)/Virginia Association of Counties (VACo) Finance Program and other jurisdictions in the Commonwealth of Virginia. The County is represented on the Board of Trustees for the pooled trust and actively participates in decision-making to prudently invest accumulated resources for OPEB. It should be noted that the Virginia Pooled OPEB Trust Fund is used for investment purposes only; funds accumulated for OPEB are still accounted for in Fund 603.

***Retiree Health Benefit Subsidy***

The County provides monthly subsidy payments to eligible retirees to help pay for health insurance. Prior to July 2003, the monthly subsidy was $100 for all eligible retirees. The current monthly subsidy, approved in FY 2006, commences at age 55 and varies by length of service as detailed in the following table. It should be noted that for those retired prior to July 2003, the monthly subsidy is the greater of $100 and the amounts below. There is not a reduction in subsidy payments for employees who retired prior to July 1, 2003 but do not meet the revised requirements for years of service. However, those employees who retired prior to July 1, 2003 with 15 or more years of service were eligible for the increased subsidy as of July 1, 2003. The retiree health benefit subsidy is provided to retirees on a discretionary basis, and the Board of Supervisors reserves the right to reduce or eliminate the benefit in the future if the cost of the subsidy becomes prohibitive or an alternative is chosen to aid retirees in meeting their health insurance needs.

**Retiree Health Benefit Subsidy**

| Years of Service at Retirement | Monthly Subsidy |
|---|---|
| 5 to 9 | $30 |
| 10 to 14 | $65 |
| 15 to 19 | $155 |
| 20 to 24 | $190 |
| 25 or more | $220 |

The current subsidy structure became effective January 1, 2006 and includes a 25 percent increase approved by the Board of Supervisors in response to the implementation of the Medicare Part D prescription drug benefit. This increase qualified the County's self-insured health insurance plan to be deemed as actuarially equivalent to the Medicare Part D program. Employers who offer an actuarially equivalent program are eligible to receive a subsidy from the Centers for Medicare and Medicaid Services (CMS) based on retiree enrollment in their plans. The County receives the CMS subsidy on retirees and spouses enrolled in the County's self-insured health plan who do not enroll in Medicare Part D. The federal funding from CMS completely offsets the cost of the 25 percent increase to the retiree subsidy. In addition to the increase, the subsidy structure was changed so that retirees no longer receive a reduced subsidy upon reaching the age of Medicare eligibility.

Primarily as a result of the March 2010 passage of comprehensive health care reform legislation, the health care environment is in the midst of significant changes. Staff is continuing to examine the impact of reform on the County's current benefit options and will be developing a long-term strategy to provide cost-effective and comprehensive health care coverage to retirees.

During FY 2012, the average number of subsidy recipients, including new retirees who are eligible to receive the retiree health benefit subsidy, is expected to increase by 195, or 6.9 percent, from 2,838 in FY 2011 to 3,033 in FY 2012. Estimates of the average number of subsidy recipients are based on a review of the projected number of retirements and health subsidy eligibility for personnel already retired from the Fairfax County Employees', Uniformed, and Police Officers Retirement Systems. Retirees who become eligible to receive the subsidy are paid based on the period of eligibility within the fiscal year, which may or may not comprise a full year of payments. It should be noted that in FY 2006, the Board of Supervisors approved an additional benefit to Health Department employees who remained in the Virginia Retirement System (VRS) after their conversion from state to County employment in 1995. Current and future retirees who participate in a County health plan are eligible to receive the differential between the County retiree health benefit subsidy for which the employee is eligible based on years of service, which currently has a maximum of $220 per month, and that provided by VRS, which has a maximum of $120 per month. Furthermore, effective July 1, 2006, the County began providing the maximum retiree health benefit subsidy of $220 per month to those Police officers who were hired before July 1, 1981 and retired or will retire with full retirement benefits with 20, but less than 25, years of service. These Police officers previously received a subsidy of $190 per month.

# Fund 603
# OPEB Trust Fund

## Initiatives

- ♦ Provide an appropriate funding level to support the retiree health benefit subsidy and make progress towards reducing the County's unfunded OPEB liability.
- ♦ Continue to allow for the timely and accurate distribution of retiree health benefit subsidy payments.
- ♦ Estimate actuarial liabilities to comply with GASB's accounting requirements for post-employment benefits other than pensions.
- ♦ Invest fund assets appropriately in order to facilitate the capture of long-term investment returns.
- ♦ Continue to develop, considering the impacts of health care reform, a long-term County strategy to provide retiree medical benefits.

## Budget and Staff Resources

| Agency Summary[1] | | | | |
|---|---|---|---|---|
| **Category** | **FY 2010 Actual** | **FY 2011 Adopted Budget Plan** | **FY 2011 Revised Budget Plan** | **FY 2012 Advertised Budget Plan** |
| Authorized Positions/Staff Years | | | | |
| Regular | 1/ 1 | 1/ 1 | 1/ 1 | 1/ 1 |
| **Total Expenditures** | **$14,239,001** | **$6,842,229** | **$6,842,229** | **$7,144,556** |

| Position Summary[1] | |
|---|---|
| 1 | Accountant III |
| **TOTAL POSITIONS** | |
| **1 Positions / 1.0 Staff Year** | |

[1] It should be noted that the 1/1.0 SYE Accountant III position resides in the Retirement Administration Agency and is financed by Fund 603, OPEB Trust Fund.

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- ♦ **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- ♦ **Benefit Payments** **$291,827**
  An increase of $291,827 is attributable to a projected increase in the number of retirees receiving the retiree health benefits subsidy.

- ♦ **Administrative Expenses** **$10,500**
  An increase of $10,500 in Operating Expenses is primarily associated with an anticipated increase in investment services fees.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- ♦ There have been no adjustments to this fund since approval of the FY 2011 Adopted Budget Plan.

# Fund 603
# OPEB Trust Fund

## FUND STATEMENT

**Fund Type G60, Trust Funds** | **Fund 603, OPEB Trust Fund**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$51,792,775** | **$57,591,794** | **$62,653,493** | **$69,987,841** |
| Revenue: | | | | |
| CMS Medicare Part D Subsidy | $1,249,630 | $1,100,000 | $1,100,000 | $1,200,000 |
| Investment Income [1] | 29,539 | 75,000 | 75,000 | 40,000 |
| Implicit Subsidy [1] | 7,871,000 | 0 | 0 | 0 |
| Other Funds Contributions | 0 | 3,101,577 | 3,101,577 | 3,959,562 |
| Total Realized Revenue | $9,150,169 | $4,276,577 | $4,276,577 | $5,199,562 |
| Unrealized Gain/(Loss) [1] | $6,049,550 | $0 | $0 | $0 |
| Total Revenue | $15,199,719 | $4,276,577 | $4,276,577 | $5,199,562 |
| Transfers In: | | | | |
| General Fund (001) | $9,900,000 | $9,900,000 | $9,900,000 | $20,000,000 |
| Total Transfers In | $9,900,000 | $9,900,000 | $9,900,000 | $20,000,000 |
| **Total Available** | **$76,892,494** | **$71,768,371** | **$76,830,070** | **$95,187,403** |
| Expenditures: | | | | |
| Benefits Paid | $6,169,565 | $6,677,488 | $6,677,488 | $6,969,315 |
| Implicit Subsidy [1] | 7,871,000 | 0 | 0 | 0 |
| Administrative [1] | 198,436 | 164,741 | 164,741 | 175,241 |
| Total Expenditures | $14,239,001 | $6,842,229 | $6,842,229 | $7,144,556 |
| **Total Disbursements** | **$14,239,001** | **$6,842,229** | **$6,842,229** | **$7,144,556** |
| **Reserved Ending Balance [2]** | **$62,653,493** | **$64,926,142** | **$69,987,841** | **$88,042,847** |

[1] In order to account for revenues and expenditures in the proper fiscal year, audit adjustments in the amount of $1,490,840.76 have been reflected as a decrease to FY 2010 revenue, primarily as a result of a net loss from the unrealized depreciation of investments, as well as to record interest revenue in the proper fiscal period. Audit adjustments in the amount of $50,318.28 have been reflected as an increase to FY 2010 expenditures in order to appropriately account for program fees and administrative expenses. In addition, an audit adjustment in the amount of $7,871,000.00 has been reflected as an increase to both FY 2010 revenues and expenditures. This adjustment, which nets to $0, is required to accurately reflect the County's contribution and benefit payments for the implicit subsidy for retirees. The implicit subsidy is paid from the General Fund, but it is shown in Fund 603, OPEB Trust Fund, to appropriately reflect all activities for GASB 45 in a single fund. These audit adjustments have been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments will be included in the FY 2011 Third Quarter Package.

[2] The Reserved Ending Balance in Fund 603, OPEB Trust Fund, represents the amount of assets held in reserve by the County to offset the estimated Unfunded Actuarial Accrued Liability for other post-employment benefits. The balance is anticipated to grow each year as a result of contributions and investment returns. The $88.0 million reserve in FY 2012 is applied toward the liability of $489.2 million calculated as of July 1, 2010.

# Fund 691
# Educational Employees' Supplementary Retirement

## Focus

The Educational Employees' Supplementary Retirement Fund is a qualified retirement plan under section 401(a) of the Internal Revenue Code and is required to operate under specific provisions of the Code and in conformance with general trust law. Responsibility for general administration and operation of the fund is vested in a Board of Trustees. FY 2012 expenditures are estimated at $179.7 million.

# Fund 691
# Educational Employees' Supplementary Retirement

## FUND STATEMENT

**Fund Type G60, Trust and Agency Funds** | **Fund 691, Educational Employees' Supplementary Retirement**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan[1] | FY 2012 Superintendent's Proposed |
|---|---|---|---|---|
| **Beginning Balance** | **$1,441,366,143** | **$1,477,410,082** | **$1,607,613,266** | **$1,730,695,809** |
| Receipts: | | | | |
| Contributions | $85,786,963 | $96,203,790 | $97,997,287 | $103,851,702 |
| Investment Income | 238,799,238 | 126,626,000 | 195,119,682 | 212,881,558 |
| Total Revenue | $324,586,201 | $222,829,790 | $293,116,969 | $316,733,260 |
| **Total Available** | **$1,765,952,344** | **$1,700,239,872** | **$1,900,730,235** | **$2,047,429,069** |
| Total Expenditures | $158,339,078 | $175,427,519 | $170,034,426 | $179,749,264 |
| **Total Disbursements** | **$158,339,078** | **$175,427,519** | **$170,034,426** | **$179,749,264** |
| **Ending Balance** | **$1,607,613,266** | **$1,524,812,353** | **$1,730,695,809** | **$1,867,679,805** |

[1] The *FY 2011 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on December 2, 2010 during their *FY 2011 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2011 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 12, 2011.

# Fund 692
# Public School OPEB Trust Fund

## Focus

Fund 692, Public School Other Post-employment Benefits (OPEB) Trust Fund, was established by the School Board in FY 2008 as a mechanism to accumulate and invest assets to fund the Fairfax County Public School (FCPS) system's other post-employment benefits.

In July 2004, the Governmental Accounting Standards Board (GASB) issued Statement No. 45, "Accounting and Financial Reporting by Employers for Post-employment Benefits Other than Pensions." This standard addresses how the school system should account for and report its costs related to post-employment health care and other non-pension benefits, such as the program subsidizing the cost of health benefit coverage and premiums for eligible retirees and their surviving spouses.

Program participants may continue medical coverage by paying the appropriate subsidized premiums. Subsidies range from $15 to $175 per month (explicit subsidy), based on years of service and the retirement plan under which the retiree is covered. In addition, FCPS subsidizes the premium rates paid by the retirees by allowing them to participate in the medical plans at the reduced or blended group premium rates for both active and retired employees (implicit subsidy). These rates provide an implicit subsidy for retirees because, on an actuarial basis, their current and future claims are expected to result in higher costs to the program on average than those of active employees. GASB 45 requires that FCPS calculate and include the liability for this implicit subsidy.

An actuarial valuation is performed to determine the actuarial accrued liability and the corresponding Annual Required Contribution (ARC) based on the 30-year amortization of this liability and an additional amount necessary to pre-fund benefits accrued by active employees during the current year. Funding contributions towards the ARC are determined by the School Board. The FY 2012 ARC will be determined by an actuarial valuation that will be completed in FY 2012.

# Fund 692
# Public School OPEB Trust Fund

## FUND STATEMENT

**Fund Type G60, Trust Funds** | **Fund 692, Public School OPEB Trust Fund**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan[1] | FY 2012 Superintendent's Proposed |
|---|---|---|---|---|
| **Beginning Balance** | **$17,520,320** | **$17,995,320** | **$19,562,623** | **$37,002,623** |
| Revenue: | | | | |
| Employer Contribution | $27,137,145 | $37,000,000 | $45,663,000 | $36,789,000 |
| Interest on Investment Income | 2,103,347 | 2,000,000 | 2,500,000 | 2,500,000 |
| Total Revenue | $29,240,492 | $39,000,000 | $48,163,000 | $39,289,000 |
| **Total Available** | **$46,760,812** | **$56,995,320** | **$67,725,623** | **$76,291,623** |
| Total Expenditures | $27,198,189 | $26,047,000 | $30,723,000 | $32,552,500 |
| **Total Disbursements** | **$27,198,189** | **$26,047,000** | **$30,723,000** | **$32,552,500** |
| **Reserved Ending Balance** | **$19,562,623** | **$30,948,320** | **$37,002,623** | **$43,739,123** |

[1] The *FY 2011 Revised Budget Plan* reflects adjustments adopted by the Fairfax County School Board on December 2, 2010 during their *FY 2011 Midyear Review*. The Fairfax County School Board adjustments will be officially reflected in the County's *FY 2011 Third Quarter Review*, which will be acted upon by the Board of Supervisors on April 12, 2011.

# Fund 700
# Route 28 Tax District

## Focus

Fairfax County, in partnership with Loudoun County, formed the Route 28 Highway Transportation Improvement District (District) on December 21, 1987. Under Virginia law such a district may be formed only upon the joint petition of owners of at least 51 percent of the land area in each county which is within the boundaries of the proposed district and which has been zoned or is used for commercial or industrial purposes. The District was formed to provide improvements to State Route 28 which connects State Route 7 in eastern Loudoun County to U.S. Route 50 and Interstate 66 in western Fairfax County, running approximately parallel to the County's western border. State Route 28 provides access to Dulles International Airport, along with the Dulles Access Road, which connects the Capital Beltway to Dulles International Airport. This District was formed upon landowner petition to accelerate planned highway improvements proposed by the state which relied primarily on slower pay-as-you-go financing from the Northern Virginia region's share of the State Primary Road Fund allocation. Under the terms of the agreement with the state, the District will fund 75 percent of defined Phase I and Phase II improvements and the state will fund 25 percent.

The District, administered by a Commission appointed by the Board of Supervisors of both counties, may subject the owners of industrial and commercial property within the District to a maximum additional tax assessment of 20 cents per $100 of assessed value. The proposed FY 2012 assessment is 18 cents per $100 of assessed value. These funds, in addition to funds received through the State Primary Road Fund allocation formula, are to be used for the road improvements and debt service on bonds issued by the state. Improvements completed for Phase I of the Route 28 project included widening the existing road from two to six lanes and upgrading three major intersections. Legislation authorizing the issuance of Commonwealth Transportation Board (CTB) revenue bonds up to $160.7 million plus issuance expenses to finance the Phase I improvements to Route 28 was enacted during the 1988 Virginia General Assembly and became effective July 1, 1988. This legislation stipulates that the additional tax assessment in the District and funds allocated to the highway construction district, in which Route 28 lies, would reimburse the state for its debt service payments on its bonds. The Commonwealth issued $138.5 million in revenue bonds for the District in September 1988.

Fairfax County and Loudoun County entered into a contract with the District and agreed to levy an additional tax assessment, as requested by the District, collect the tax, and pay all tax revenues to a Fiscal Agent for distribution. The contract specifies that the counties shall pay all revenues collected on behalf of the District to Trustees jointly designated by the CTB and the counties, and the District in turn shall notify the County of the required payment and request a rate sufficient to collect that amount, up to a maximum of 20 cents per $100 of assessed value. The rate is set at $0.18 cents per $100 dollars of assessed value. In FY 2012, an amount of $9,206,826 has been included for Fairfax County collections based on estimated tax collections and an allowance for potential property buy outs, late payments, and penalties.

In August 2002 Fairfax County, Loudoun County, the Commonwealth Transportation Board and the Fairfax County Economic Development Authority (EDA) entered into contractual agreements to provide for construction of a portion of additional improvements on Route 28 (Phase II improvements). Specifically, six separated grade interchanges to be constructed to ease traffic congestion. Funding totaling $201.7 million was made available from a joint financing plan providing $75.4 million from CTB funds allocated from the state six-year primary and secondary road plan, approximately $36.3 million of remaining CTB Route 28 bond authorization, and approximately $90.0 million of bond funds issued by the EDA in 2003 and 2004 and supported by the two counties. Construction of the first six interchanges was completed in FY 2008.

In October 2006, the CTB, the counties and the Fairfax County EDA approved the financing plan for the construction of the final four interchanges at Willard Road, Frying Pan Park Road, CIT/Innovation Drive and Nokes Boulevard. The plan included acceptance of a $5.0 million grant and a $20.0 million loan from the State Transportation Partnership Opportunity Fund (TPOF); and issuance of Route 28 District revenue bonds; and use of surplus District tax revenues to fund the $119.2 million project estimate. Fairfax County EDA Revenue bonds were planned to be issued in two series. A total of $41.505 million were issued on February 27, 2007 and $51.505 million were issued on July 9, 2008. It should be noted that on July 24, 2007, the CTB notified the District Commission that an additional $23,936,772 was approved in the CTB's FY 2008-2013 Six

Year Improvement Plan as payment toward the State Obligation under the District Contract. Therefore, this additional funding fully replaced the $20,000,000 originally planned for the TPOF loan.

All bond issues will be fully supported by District tax revenue. In order to maximize revenues available for new debt service, the CTB refunded its outstanding 1992 bonds in October 2002. At the same time the CTB issued $36.4 million of new bonds for construction, representing the balance of bond authorization remaining from the 1988 acts of the General Assembly. The Fairfax County EDA issued Transportation Contract Revenue bonds in the amount of $33.375 million in October 2003 and issued $57.4 million in August 2004 as well as $41.505 million on February 27, 2007 and $51.505 million on July 9, 2008. In the event that District revenues are not sufficient to make debt service payments, the state bonds are backed by the appropriated state allocations to the Northern Virginia Transportation District. The EDA bonds are supported by a Revenue Stabilization Fund (RSF) equal to maximum annual EDA debt service created from surplus revenue collections. As a further credit enhancement for the proposed EDA bonds, both Fairfax and Loudoun Counties have pledged a joint moral obligation in the event that tax revenues and the RSF are not sufficient to support EDA debt service. It should be noted that due to the strong financial status of the fund, the Route 28 District Advisory Board recommended on March 18, 2009 a two cent decrease in the tax rate from $0.20 to $0.18 per $100 of assessed value. This tax rate decrease was subsequently adopted by the Board of Supervisors on April 27, 2009. No change in the tax rate is recommended for FY 2012. The following chart depicts the current financing structure:

## Current Bonds

| Bond Year (April 1) | District Revenues[1] | Series 2002 CTB Debt Service[2] | Series 2003 2004 2007A & 2008 EDA Debt Service[3] | Total Debt Service | Excess Revenues | Cumulative Excess Revenues[4] |
|---|---|---|---|---|---|---|
| Balance Fwd | | | | | | $6,408,259 |
| 2003 | $5,836,398 | $4,656,294 | $0 | $4,656,294 | $1,180,104 | 7,588,363 |
| 2004 | 12,679,429 | 7,523,176 | 3,127,943 | 10,651,119 | 2,028,310 | 9,616,673 |
| 2005 | 13,367,270 | 7,531,145 | 3,676,138 | 11,207,283 | 2,159,987 | 11,776,660 |
| 2006 | 14,486,968 | 7,528,145 | 4,169,446 | 11,697,591 | 2,789,377 | 13,066,037 |
| 2007 | 20,912,782 | 7,529,845 | 4,169,445 | 11,699,290 | 9,213,492 | 22,279,529 |
| 2008 | 24,706,517 | 7,524,883 | 6,034,672 | 13,559,555 | 11,146,962 | 33,426,491 |
| 2009 | 24,836,571 | 7,530,712 | 7,582,839 | 15,113,551 | 9,723,020 | 43,149,511 |
| 2010 | 21,788,217 | 7,528,150 | 8,679,995 | 16,208,145 | 5,580,072 | 48,729,583 |
| 2011 | 17,189,628 | 7,528,835 | 9,299,115 | 16,827,950 | 361,678 | 49,091,261 |
| 2012 | 17,361,498 | 7,529,625 | 9,752,250 | 17,281,875 | 79,623 | 49,170,884 |
| 2013 | | 7,530,300 | 9,988,263 | 17,518,563 | | |
| 2014 | | 7,528,050 | 10,530,813 | 18,058,863 | | |
| 2015 | | 7,531,800 | 11,291,025 | 18,822,825 | | |
| 2016 | | 7,530,550 | 11,765,485 | 19,296,035 | | |
| 2017 | | 7,528,800 | 11,767,235 | 19,296,035 | | |
| 2018 | | 7,525,800 | 11,771,675 | 19,297,475 | | |
| 2019 | | 8,100,000 | 11,200,688 | 19,300,688 | | |
| 2020 | | 8,100,000 | 11,197,350 | 19,297,350 | | |
| 2021 | | 8,105,000 | 11,192,438 | 19,297,438 | | |
| 2022 | | 8,105,000 | 11,193,663 | 19,298,663 | | |
| 2023 | | 8,105,000 | 11,193,950 | 19,298,950 | | |
| 2024 | | 8,105,000 | 11,191,975 | 19,296,975 | | |
| 2025 | | 8,105,000 | 11,195,588 | 19,300,588 | | |
| 2026 | | 8,105,000 | 11,192,388 | 19,297,388 | | |

| Bond Year (April 1) | District Revenues[1] | Series 2002 CTB Debt Service[2] | Series 2003 2004 2007A & 2008 EDA Debt Service[3] | Total Debt Service | Excess Revenues | Cumulative Excess Revenues[4] |
|---|---|---|---|---|---|---|
| **2027** | | 8,105,000 | 11,190,888 | 19,295,888 | | |
| **2028** | | 8,105,000 | 11,191,513 | 19,296,513 | | |
| **2029** | | 8,105,000 | 11,193,488 | 19,298,488 | | |
| **2030** | | 8,105,000 | 11,191,838 | 19,296,838 | | |
| **2031** | | 8,105,000 | 11,195,288 | 19,300,288 | | |
| **2032** | | 8,105,000 | 11,192,888 | 19,297,888 | | |
| **2033** | | | 19,299,088 | 19,299,088 | | |
| **2034** | | | 19,298,213 | 19,298,213 | | |
| **2035** | | | 19,298,325 | 19,298,325 | | |
| **2036** | | | 19,298,038 | 19,298,038 | | |
| **2037** | | | 19,295,813 | 19,295,813 | | |
| **Total** | **N/A** | **$231,046,110** | **$376,809,758** | **$607,855,869** | **N/A** | **N/A** |

1 FY 2003 represents partial year tax revenue and interest collections from October 1, 2002 to April 1, 2003. Tax district revenues represent all revenue collected from April 2 through April 1, respectively. FY 2003 through FY 2010 amounts are actual figures. FY 2011 and FY 2012 are estimates of combined Fairfax and Loudoun collections plus estimated interest earnings on revenue, debt service reserve and revenue stabilization fund accounts. Actual revenues also may include district buy-out proceeds.

2 CTB Revenue Refunding and Revenue Bond Debt Service issue of September 26, 2002.

3 Based on completion of EDA bond issues in 2003, 2004, 2007 and 2008 for an aggregate amount of $183,795,000. Sale of the Series 2003 bonds in the amount of $33,375,000 was completed on October 29, 2003. Sale of the Series 2004 bonds in the amount of $57,410,000 was completed on August 19, 2004. Sale of the Series 2007A Bonds in the amount of $41,505,000 was completed on February 27, 2007. Sale of the Series 2008 Bonds in the amount of $51,505,000 was completed on July 9, 2008.

4 Balance Forward represents funds on account with CTB and transferred to the Fiscal Agent upon refunding the 1992 bonds and new money bonds issued October 2002. An amount of $19.30 million is reserved to fund the Revenue Stabilization Fund (RSF). Excess revenues available after achieving full RSF funding are held with the Fiscal Agent and may be used to fund deficiencies in the Debt Service Fund, additional Phase II improvements or reduce the tax rate in accordance with the District Contract. The tax rate may not be reduced until the District has recorded at least two successive years of excess revenues.

## FY 2012 Funding Adjustments

The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:

♦ **Fiscal Agent Payments** **($880,402)**
A decrease of $880,402 or 8.3 percent from the FY 2011 Adopted Budget Plan amount of $10,645,808 for estimated payments to the fiscal agent is projected primarily due to assessed value adjustments anticipated for FY 2012 which include tax estimates of $8,765,406 based on projections for the January 1, 2011 assessments and an allowance for one-time buy-outs and late payments of $1,000,000.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

♦ **Carryover Adjustments** **$303**
As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved appropriation of $303 remaining in the fund balance. All taxes collected, as well as tax district buy-out funds, are remitted to the fiscal agent on a monthly basis as collected.

# Fund 700
# Route 28 Tax District

## FUND STATEMENT

**Fund Type G70, Agency Funds** | **Fund 700, Route 28 Tax District**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$7,021** | **$0** | **$303** | **$0** |
| Revenue: | | | | |
| Real Estate Taxes-Current[1] | $11,530,372 | $9,645,808 | $9,645,808 | $8,765,406 |
| Revenue from Buy Outs | 0 | 1,000,000 | 1,000,000 | 1,000,000 |
| Interest on Investments | 4,332 | 0 | 0 | 0 |
| Total Revenue | $11,534,704 | $10,645,808 | $10,645,808 | $9,765,406 |
| **Total Available** | **$11,541,725** | **$10,645,808** | **$10,646,111** | **$9,765,406** |
| Expenditures: | | | | |
| Payments to the Fiscal Agent | $11,541,422 | $10,645,808 | $10,646,111 | $9,765,406 |
| Total Expenditures | $11,541,422 | $10,645,808 | $10,646,111 | $9,765,406 |
| **Total Disbursements** | **$11,541,422** | **$10,645,808** | **$10,646,111** | **$9,765,406** |
| | | | | |
| **Ending Balance[2]** | **$303** | **$0** | **$0** | **$0** |
| Tax rate/per $100 Assessed Value | $0.18 | $0.18 | $0.18 | $0.18 |

[1] Estimate to provide for sufficient appropriation includes projected tax collections based on assessments, and allowances for late payments, penalties and permitted property buy-outs. All monies collected are required to be remitted to the Fiscal Agent monthly as collected.

[2] As all monies collected are required to be remitted to the Fiscal Agent monthly as collected the ending balance should be zero unless as of the closing period there were pending remittances to the Fiscal Agent.

# Housing and Community Development Program Overview

| Affordable Housing | Neighborhood Preservation and Revitalization | Capital Formation and Capacity Building |
|---|---|---|

## Introduction

The Housing Overview section describes the programs and projects operated by the Fairfax County Department of Housing and Community Development (HCD) and the multiple sources of funds that support these activities.

As a County agency, HCD undertakes many programs on behalf of the Board of Supervisors. HCD also serves as the administrative arm of the Fairfax County Redevelopment and Housing Authority (FCRHA), a separate legal entity that was established in 1966 pursuant to Chapter 1, Title 36 of the Code of Virginia. FCRHA's roles include planning, design, production, rehabilitation and maintenance of housing, for low- and moderate-income households, and assisting in the revitalization of neighborhoods in Fairfax County. Eleven Commissioners are appointed to the FCRHA for four-year terms by the Board of Supervisors. A chairman and vice-chairman are then selected by a vote of the commissioners.

**Housing Blueprint**

In January 2010, the Board of Supervisors endorsed a new affordable housing policy, known as the "Housing Blueprint", which focuses on providing housing for those with the greatest need, including homeless families and individuals, persons with disabilities, and households with extremely low incomes. The Blueprint also emphasizes partnering with the County's non-profit community to provide creative affordable housing solutions, refocusing of existing resources, and fostering the development of workforce housing through land use policies and public/private partnerships. The Blueprint has four goals:

- To end homelessness in 10 years;
- To provide affordable housing options to those with special needs;
- To reduce the waiting lists for affordable housing by half in 10 years; and
- To produce workforce housing sufficient to accommodate projected job growth.

A set of specific Blueprint metrics has been established for FY 2012 using a combination of existing resources and additional County funding. The commitment of resources and metrics reflect the Board-adopted Plan to Prevent and End Homelessness and the recommendations of the Fairfax County Affordable Housing Advisory Committee, in concert with the FCRHA, the interagency Housing Options Group, and the Fairfax-Falls Church Community Services Board, including priority recommendations regarding the County funds requested for Blueprint projects and programs.

**Funding Sources Supporting HCD Operations**

The sources supporting HCD's operations include County funds, general obligation bonds, federal grants, private capital, revenue from program operations (e.g., developer fees, rent from tenants of housing owned by the FCRHA and income from repayment of loans) and interest income. As a result of these multiple, complex funding streams, HCD administers 19 funds. Some funds are appropriated by the Board of Supervisors, while others are allocated by the FCRHA. All are included in this budget in order to provide a complete financial overview. These 19 funds encompass all of the operations of HCD/FCRHA with the exception of nine housing developments that are operated by outside management companies under contract with the FCRHA and/or are owned by the FCHRA in partnership with private investors. Separate financial records are maintained for these developments.

# Housing and Community Development Program Overview

FY 2012 anticipated expenditures supporting the HCD and FCRHA activities total $113,396,516 including $8,432,982 in General Fund support, $30,857,551 in other County appropriated funds, and $74,105,983 in non-County appropriated funds. Total revenue for FY 2012 is anticipated to be $114,397,140 as shown on the Consolidated Fund Statement. Receipts from federal/state sources are anticipated to be $65,352,043 or 57.1 percent of total funding sources. More detailed descriptions of FY 2012 funding levels may be found in the narratives for each fund following this Overview.

Because HCD's programs are supported by multiple sources of funds, the Agency Mission and Focus, Program Goals, and Performance Measures are consolidated in this Overview rather than appearing with each fund. Performance measures for FY 2012 are generally consistent with FY 2011 performance measures. This Overview also provides summary information on the organization, staffing and consolidated budget for HCD.

## Mission

To preserve and increase opportunities for affordable housing in Fairfax County based on need, community priorities and the policy of the Board of Supervisors and the FCHRA. Driven by a community vision, to lead efforts to revitalize older areas of Fairfax County, to spur private reinvestment, maximize existing infrastructure and public investment, reverse negative perceptions, and create employment opportunities.

## Focus

HCD connects with the residents of Fairfax County at their roots – home, neighborhood and community. All HCD programs, activities and services revolve around this important link and can be grouped in three service areas: **Affordable Housing**, **Neighborhood Preservation**, and **Capital Formation and Capacity Building**.

**Affordable Housing** supports individuals and families in their effort to find homes that are safe, affordable, and stable.

**Neighborhood Preservation** focuses on sustaining and improving communities.

**Capital Formation and Capacity Building** focuses on development of partnerships with private investors and other public agencies resulting in capital investment and financial support for the HCD and FCRHA mission.

These service areas encompass all of the activities of the 19 HCD funds. The total FY 2012 Advertised Budget Plan of $113.4 million can be distributed to these service areas and the general costs of running the department. It should be noted that many of the functional areas of HCD cross these service areas, so an exact allocation to the service areas is not possible. The FY 2012 Advertised Budget Plan is $9.3 million more than the FY 2011 Adopted Budget Plan primarily due to additional funding received from the U.S. Department of Housing and Urban Development for public housing requirements. See subsequent Housing Fund narratives in Volume 2 for specific increases.

Highlighted below are the main functions included in each of the service areas.

## Affordable Housing:

**Affordable Housing Preservation**

As of February 2011, a total of 2,423 affordable units have been preserved for both homeownership and rental purposes in a variety of large and small projects. Of that number, 252 units are preserved as affordable housing for periods of five years or less, and 2,171 units are preserved for 20 years or longer. The FCRHA's major affordable housing preservation successes include: Wedgewood Apartments, 672 units (Braddock District); Janna Lee Village, 319 units (Lee District); Madison Ridge, 216 units (Sully District); Crescent Apartments, 180 units (Hunter Mill District); Coralain Gardens, 105 units (Mason District); Sunset Park Apartments, 90 units (Mason District); and Hollybrooke II, 98 units and Hollybrooke III, 50 units (Mason District).

**Bridging Affordability Program**
Authorized as part of the FY 2011 Adopted Budget Plan and included in the Housing Blueprint, the "Bridging Affordability" Program is designed to provide funding to non-profits, via a competitive process, for use as rental subsidies and as capital for the acquisition of additional affordable units to address the homelessness and waiting list goals of the Blueprint. In FY 2011, it is expected that these funds will serve approximately 48 homeless individuals and families, and about 364 households on the County's affordable housing waiting lists. The Bridging Affordability Program is funded, subject to annual allocation, with program income from the County-owned Wedgewood Apartments property.

**First-Time Homebuyers Program and Moderate Income Direct Sales Program**
This program offers new and resale homes at below market prices. These homes are built by private developers and are located throughout the County. HCD markets the homes and, in most cases, provides financing assistance to first-time homebuyers. In FY 2010, a total of 63 families purchased homes via the Fairfax County First-Time Homebuyers program. Through FY 2010, 2,126 homes have been sold to first-time homebuyers as a result of these programs since 1992. As a part of its November 2010 Lines of Business (LOBS) review, HCD proposed outsourcing of the marketing of Affordable Dwelling Units (ADUs) to a non-profit organization, and focusing staff almost entirely on program compliance.

**Below-Market Mortgages**
The Homeownership Division administers FCRHA second-trust financing for first-time homebuyers using federal HOME and Neighborhood Stabilization Program (NSP) funding, and facilitates homebuyer access to below-market first-trust financing from the Virginia Housing Development Authority (VHDA). In FY 2010, a total investment of $7,288,968 in non-county funds was leveraged by the Fairfax County First-Time Homebuyers Program in the form of federal HOME and NSP resources for second-trust financing and VHDA first-trust financing.

**Homeownership Resource Center**
The Homeownership Resource Center, located on the first floor of the FCRHA headquarters building on Pender Drive, serves over 400 persons per month, providing information on homeownership, homeownership education, one-on-one and group counseling sessions, opportunities to meet with lenders, applicant briefings, and coordination of resources for current and prospective first-time homebuyers. In FY 2010, the Homeownership Resource Center responded to 2,721 telephone calls and 2,143 walk-in clients.

**Homeownership Education**
Through a partnership with VHDA, local lenders and housing professionals, six-hour homeownership education classes were provided to potential Fairfax County homebuyers in FY 2010. Completion of the class qualifies graduates to participate in the First-Time Homebuyers Program and the ability to access below-market financing, down payment and closing cost assistance. Classes have been offered in English, Spanish, Vietnamese, Korean, and American Sign Language. During FY 2010, the Fairfax County First-Time Homebuyers Program conducted 23 orientation sessions serving 767 attendees, and 11 application sessions serving 94 attendees. Twenty-four VHDA homebuyer classes were held, serving 577 participants. In addition, first-time homebuyers learned about maintaining their home and their responsibilities as homeowners living within their community. In FY 2010, HCD continued to provide post-purchase counseling, at settlement, for purchasers of ADUs being re-sold through the FCRHA. Also, in FY 2010, staff conducted annual compliance monitoring for all owners in the First-Time Homebuyers Program, and conducted a public records review for any activity that could jeopardize sustained homeownership.

**Response to the Foreclosure Crisis**
Fairfax County's foreclosure program was implemented in FY 2008 to address the issue of foreclosures occurring in the County and help stabilize impacted neighborhoods while increasing the opportunities for additional workforce housing. These approaches included: Assistance to Homeowners in Distress, the Silver Lining Initiative and Neighborhood Preservation Efforts. HCD's foreclosure-related accomplishments in FY 2010 included:

- Assistance to Homeowners in Distress: HCD provided continuing referrals for counseling of at-risk homeowners. In FY 2010, staff participated in 8 outreach meetings in communities, and responded to 10 walk-ins and 112 telephone calls from homeowners in distress.

- Silver Lining Initiative: In FY 2010, federal Neighborhood Stabilization Program (NSP) funding allowed the FCRHA to finance the purchase of foreclosed properties by its non-profit partners. In total, 10 foreclosed properties were purchased by non-profits using NSP, CDBG, HOME funds, representing a total of 19 units/beds of affordable rental housing, including 15 units/beds for persons with disabilities. Also in FY 2010, 11 loans totaling $694,234 were made to first-time homebuyers purchasing foreclosed homes using federal HOME and NSP funds. The maximum income for HOME-funded loans is 80 percent of AMI. The maximum sales for houses purchased using a HOME-funded loan is $362,790. The average income served by the Silver Lining Initiative's homeownership component in FY 2010 was $50,313, or about 48 percent of Area Median Income (AMI) for a family of four.

- Neighborhood Preservation Efforts: A total of three Home Improvement Loan Program (HILP) loans were closed by a non-profit organization to rehabilitate foreclosed units acquired using federal NSP funds.

The number of foreclosures has decreased from a peak of 2,257 in September 2008. Additionally, mortgage financing has become more difficult to obtain. Therefore, HCD plans to phase out the Silver Lining Program and replace it with a down payment assistance program.

**Compliance Monitoring**
Compliance monitoring is an ongoing activity which encompasses a variety of HCD programs. This activity includes monitoring of:

- Over 1,400 properties sold through the First-Time Homebuyer Program (including "for-sale" ADUs);

- 1,027 privately-owned and operated rental ADUs which are located in large multifamily apartment properties across the County;

- An expected 1,212 Workforce Housing units which have been committed to be built by private developers as of July 2010;

- 2,995 Fairfax County/FCRHA-owned Public Housing and Fairfax County Rental Program (FCRP) multifamily units and 852 units (and/or beds) of senior and specialized housing; and

- Over 3,200 Housing Choice Vouchers.

In addition, HCD monitors the use of federal funds received by Fairfax County and granted to a variety of agencies and organizations. These programs include the Community Development Block Grant (CDBG), the Neighborhood Stabilization Program, and the HOME Investment Partnership (HOME) program.

**FCRHA Rental Housing Programs**
This function includes properties owned by the FCRHA under the Fairfax County Rental Program (FCRP) for households with modest means, as well as properties owned by limited partnerships affiliated with the FCRHA. In addition, it encompasses properties owned by the FCRHA and operated under the federal Public Housing Program and rental subsidies managed by the FCRHA and funded by the federal Housing Choice Voucher (HCV) Program for those with very low incomes. In FY 2010, the average income of households served in the FCRHA's major multifamily affordable rental housing and tenant subsidy programs, including the federal Public Housing and HCV programs, and the FCRP, was approximately $25,518, or 27 percent of the Area Median Income (AMI) for a family of three (the average household size in these programs). This meets the U.S. Department of Housing and Urban Development's (HUD) definition of "extremely low income". A total of 16,670 individuals were housed in these programs in FY 2010. In FY 2010, the FCRHA was again named a HUD "High Performer" for the HCV program. In 2010, the FCRHA's Public Housing properties were found to be in excellent physical condition, scoring 95 out of 100 in HUD's real estate assessment system.

In September 2010, HCD established the PROGRESS Center: the Partnership for Resident Opportunities, Growth, Resources and Economic Self Sufficiency. The Center is housed within HCD and staffed by existing employees, each bringing a rich background and experience in HCD housing programs and human services.

The Center is initially focused on Public Housing residents, participants in the HCV program, and the residents at Fairfax County Rental Program properties such as Stonegate and Murraygate. The Center will be a resource within HCD for staff addressing client issues that can range from job loss to behavior issues to residents in crisis. The PROGRESS Center will focus on a number of critical areas of need, including employment and training opportunities and services related to affordable health insurance, emergency medical intervention, adult protective services, mental health services, and physical and sensory disabilities.

As a part of its November 2010 LOBS review, HCD announced plans to reorganize its Housing Application Center and process, to ensure the delivery of sensitive, pro-active customer service designed to achieve the goal of helping applicants find a home. HCD also proposed to establish an Asset Management Division, utilizing existing staff and consolidating resources, to focus on the financial performance, physical condition, capital improvements and accountability of the FCRHA's affordable housing properties.

**FCRHA Development Activities**

HCD, in conjunction with the FCRHA, facilitates the development of affordable housing by non-profit and for-profit developers through incentives and financing. HCD and FCRHA also build and own housing for low- and moderate-income families and individuals, and households with special needs. In addition, FCRHA partners with private investors, through limited partnerships, to develop and operate affordable housing. As of the end of FY 2010, construction of the FCRHA's new 90-unit active adult property at the Glens at Little River senior campus in the Braddock District was nearly complete. In its November 2010 LOBS review, HCD recommended further utilization of the construction management resources of the Department of Public Works and Environmental Services (DPWES) for county-funded projects.

**Active Adult Housing and Assisted Living**

This activity provides 504 affordable active adult rental apartments in Fairfax, Herndon, Springfield, Lincolnia, McLean, and the Mount Vernon/Gum Springs areas of Fairfax County, including the new 90-unit Olley Glen facility. In addition, this activity provides 112 beds of assisted living at Braddock Glen in Fairfax (Braddock District) and at the Lincolnia Senior Center and Residence in Alexandria (Mason District). In its November 2010 LOBS review, HCD suggested the possibility of outsourcing management of the Lincolnia facility, with the concurrence of the other agencies operating in the facility and if efficiencies are likely to result.

**Relocation Services and Monitoring**

This program provides technical assistance and monitoring for preservation initiatives. This activity also includes relocation services for all federally-funded projects throughout the agency. In FY 2010, staff conducted relocation reviews of 166 projects for compliance with the federal Uniform Relocation Act and the Fairfax County Voluntary Relocation Assistance Guidelines.

**Relocation Advisory Services for Condominium Conversion**

These services provide technical assistance to developers under both the Fairfax County Relocation Guidelines and Fairfax County Code for projects where there is substantial rehabilitation and condominium conversion. Technical assistance under the federally mandated Uniform Relocation Act is provided if federal funds are involved in the project.

**Affordable/Workforce Housing**

The Board of Supervisors created a Workforce Housing Program through amendments to the Fairfax County Comprehensive Plan and Zoning Ordinance, and the adoption of a new Board policy. The Workforce Housing Program, based on the recommendations of the Board-appointed High-Rise Affordability Panel, is a proffer-based incentive system to encourage developers to provide workforce housing in the County's mixed-use development centers. The Board's action sets forth the expectation that 12 percent of all new residential units will be affordable to a range of moderate-incomes up to 120 percent of the AMI. As of February 2011, a total of 1,212 Workforce Dwelling Units had been committed by private developers in rezoning actions approved by the Board of Supervisors. Development of these units is expected to begin in two to three years. It is anticipated that approximately 24 workforce housing units committed by developers will be delivered on the market in FY 2011.

## Neighborhood Preservation:

**Home Improvement Loan Program and Home Repair for the Elderly**

These activities provide loans to homeowners and some non-profit landlords to improve their properties. In addition, there is a crew to assist qualified elderly and disabled homeowners in making minor repairs at no charge. In FY 2010, a total of 22 loans and grants were provided to homeowners and a non-profit organization for repairs and improvements to their properties and 128 qualified disabled or elderly homeowners received free repairs. As a part of its November 2010 LOBS review, HCD proposed downsizing the scope of the Home Improvement Loan Program (HILP) to emergency situations; however, the Home Repair for the Elderly Program will be fully maintained.

## Capital Formation and Capacity Building:

**Impact of Funding from the American Recovery and Reinvestment Act of 2009 (ARRA) and the Neighborhood Stabilization Program (NSP)**

In FY 2010, Fairfax County received a total of $10,183,379 in federal affordable housing funds under ARRA and NSP, including:

- *ARRA:*
    - $2.4 million for Public Housing rehabilitation under the Capital Fund grant;
    - $1.6 million from the Community Development Block Grant (CDBG) program; and
    - $2.4 million for homelessness prevention and rapid re-housing (HPRP).
- *NSP:*
    - $2.8 million direct allocation from HUD; and
    - $1 million from the State of Virginia's $36 million allocation of NSP funds.

The FCRHA and HCD successfully managed the County's use of ARRA and NSP funds, which required significant flexibility in re-allocating staff and other resources to meet federal deadlines. The ARRA funds allowed the County to make significant progress on a number of critical fronts, including capital improvements at Public Housing properties, rehabilitation of Fairfax County Rental Program (FCRP) properties, funding the rehabilitation of non-profit owned affordable housing and preventing homelessness. The NSP funds were used to assist non-profit organizations in purchasing a total of 19 units of affordable housing (including beds in group homes) in foreclosed residential properties in FY 2010. In addition, a total of nine foreclosed homes were purchased by first-time homebuyers using NSP funds in FY 2010. It should be noted that all Public Housing Capital Fund grant funds provided under ARRA were expended as of the end of FY 2010, and the other remaining ARRA and NSP funds will likely be fully expended before the end of FY 2011. It is unclear what, if any, additional funding opportunities will be available under ARRA and NSP in FY 2012.

**Funding Opportunities**

This activity focuses on identifying and applying for available funding opportunities to leverage and supplement County funds for projects and programs. It includes federal entitlement grants such as Community Development Block Grant (CDBG) and HOME Investment Partnership Grant (HOME), other federal grants such as the Public Housing Capital Fund Program, the Neighborhood Stabilization Program, the Homelessness Prevention and Rapid Re-Housing Program, additional funding available under the American Recovery and Reinvestment Act (ARRA), and other state and local grants and loans, as well as private financing. A recent example of the FCRHA's success in applying for federal funds is the award a $480,000 federal Resident Opportunity and Self-Sufficiency (ROSS) Grant to fund two service coordinators over three years in the Public Housing program. This grant allowed HCD to laterally move two HCD staff members to these positions as part of the creation of the HCD PROGRESS Center.

**Partnering**

This activity links the FCRHA financing abilities with those of the private sector (non-profit and for-profit) to generate additional financial resources. Non-profit corporations or limited liability corporations (LLC) formed by the FCRHA partner with private investors and benefit from Federal Low Income Housing Tax Credits to fund FCRHA affordable housing for families and seniors. In addition, the FCRHA issues revenue bonds to raise funds from private investors to fund affordable housing and community facilities.

**Consolidated Plan/Consolidated Community Funding Advisory Committee (CCFAC)**
HCD provides leadership in developing and implementing the County's annual Consolidated Plan in conjunction with the CCFAC, a citizen committee. The Consolidated Plan is the required annual application for several entitlement grants to the County from the U.S. Department of Housing and Urban Development (HUD), which provided over $8.9 million for local housing and community development programs in FY 2010. In FY 2010, HCD staff facilitated the development of the HUD-required Five-Year Consolidated Plan for FY 2011-2015, and the associated One Year Action Plan for FY 2011. This process included an in-depth review of Fairfax County's demographics, housing needs and other factors by the Center for Housing Research at Virginia Tech, a robust public input process, and oversight by the CCFAC. The plans, which were both substantially influenced by the Housing Blueprint, were approved by the Board of Supervisors and submitted to HUD in May 2010; the plans were subsequently approved by HUD with no identified deficiencies.

**Human Services**
This activity provides resources to the County's non-profit partners through the Consolidated Community Funding Pool (CCFP) for critical human services such as youth programs, housing support services, and services targeted toward the County's immigrant population. A significant portion of the funding comes from CDBG, administered by HCD, which also supports CCFP planning and administers contract awards. CCFP is providing approximately $11.1 million in total funding for these services in FY 2012.

## Affordable Housing Service Area

### Goal
To implement the Board of Supervisors' Affordable Housing Goal that "opportunities should be available to all who live or work in Fairfax County to purchase or rent safe, decent, affordable housing within their means." This goal will be achieved through providing affordable housing preservation and development, technical assistance, and financing services in conjunction with the FCRHA and both for- and non-profit community partners; managing and maintaining quality affordable rental housing; administering rental housing subsidies in accordance with federal regulations and local policies; and providing homeownership opportunities to eligible households.

## Key Performance Measures

## Affordable Housing Preservation

### Objectives

- ♦ To preserve 2,500 units of affordable housing by the end of fiscal year 2014 (from 2004 to 2014) and to leverage every $1 in local funds invested in preservation with $3 in non-County resources.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Number of affordable housing units preserved | 812 | 152 | 25 / 27 | 29 | 29 |
| **Efficiency:** | | | | | |
| Amount of General County funds per affordable housing unit preserved (1) | $42,535 | $64,644 | $70,000 / $7,509 | $10,000 | $10,000 |
| **Service Quality:** | | | | | |
| Amount of funds leveraged per $1 of County funds for units preserved (2) | $3 | $3 | $3 / $14 | $3 | $3 |
| **Outcome:** | | | | | |
| Cumulative number of affordable units preserved since April 2004 | 2,224 | 2,376 | 2,401 / 2,403 | 2,432 | 2,461 |

(1) As in FY 2010, preservation activities in FY 2011 and 2012 are anticipated to rely almost exclusively on federal funding.

(2) Future year estimate dependent on the availability of additional County funding for affordable housing preservation.

## Performance Measurement Results

In FY 2010, a total of 27 affordable units were preserved via FCRHA financing using federal funds; this exceeded the target but was considerably less than in previous fiscal years. Nearly all of the FY 2010 allocation for Fund 319, The Penny for Affordable Housing Fund was expended on debt service for two prior years' preservation projects, the Crescent (180 units; Hunter Mill District) and Wedgewood Apartments (672 units; Braddock District). With the dedication of Fund 319 primarily to debt service on the Crescent and Wedgewood properties, it is anticipated that future preservation efforts will rely primarily on federal funding.

## Public Housing

### Objectives

- To obtain a Public Housing Assessment System (PHAS) rating of at least 85 percent or better on a 100-point scale and maintain an occupancy rate of 95 percent or better.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Clients housed | 2,878 | 2,863 | 2,850 / 2,866 | 2,850 | 2,850 |
| Number of New Households Served (1) | NA | NA | NA / NA | 75 | 75 |
| **Efficiency:** | | | | | |
| Average income served as percentage of Area Median Income | 23% | 24% | 30% / 23% | 30% | 30% |
| **Service Quality:** | | | | | |
| Percent on-time re-certifications | 98% | 100% | 95% / 99% | 95% | 95% |
| Percent on-time inspections | 100% | 100% | 95% / 100% | 95% | 95% |
| **Outcome:** | | | | | |
| Occupancy Rate (2) | 98% | 99% | 95% / 99% | 95% | 95% |
| HUD's PHAS rating | NA | NA | 85% / 89% | 85% | 85% |

(1) New indicator for FY 2011 and 2012.

(2) Indicator moved to "Outcome" from "Service Quality" for FY 2011 and 2012.

## Performance Measurement Results

In FY 2010, the Public Housing program continued to provide high quality housing to nearly 2,900 Fairfax County residents and maintained a high occupancy rate of 99 percent at the properties. Agency indicators in nearly every area of the Public Housing Assessment System (PHAS) are rated very highly, resulting in an overall PHAS rating of 89 percent in FY 2010, the most recent assessment awarded. One hundred percent of inspections, and 99 percent of re-certifications, were completed on time in FY 2010. The average household income served by the Public Housing program in FY 2010 was $21,694, or 23 percent of the Area Median Income for a family of three (meets HUD definition of "extremely low-income").

## Fairfax County Rental Program (FCRP)

### Objectives

♦ To maintain an overall occupancy rate of 95 percent or higher for FCRP multi-family properties.

| Indicator | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| | FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | FY 2011 | FY 2012 |
| **Output:** | | | | | |
| Individuals housed (1) | 4,941 | 5,956 | 5,900 / 5,666 | 5,600 | 5,600 |
| Number of units in program (2) | 2,062 | 2,066 | 2,066 / 2,069 | 2,069 | 2,069 |
| **Efficiency:** | | | | | |
| Average income served as a percentage of Area Median Income | 42% | 42% | 45% / 39% | 45% | 45% |
| **Service Quality:** | | | | | |
| Percent on-time re-certifications (3) | 98% | 99% | 95% / 99% | 95% | 95% |
| **Outcome:** | | | | | |
| Occupancy rate (4) | 96% | 96% | 95% / 97% | 95% | 95% |

(1) Current and future year estimates, reflect a change in methodology for counting individuals housed. In FY 2009 and prior years, this count included residents of certain Public Housing units that are part of tax-credit partnership properties managed under FCRP; starting in FY 2010, these units have been excluded.

(2) Includes all FCRP multifamily units, the Woodley Hills mobile home park and the Coan Pond working singles residences; does not include senior housing properties and certain special needs programs.

(3) Indicator includes all FCRHA-managed FCRP multifamily rental properties, excluding active senior properties.

(4) Indicator moved to “Outcome” for FY 2011 and 2012.

## Performance Measurement Results

In FY 2010, there were 2,069 housing units for families and singles in the program, and 5,666 individuals were housed. The occupancy rate was 97 percent and the average household income served was $36,509, or 39 percent of the Area Median Income for a family of three (meets HUD definition of “very low-income”). A total of 99 percent of re-certifications of all FCRHA-managed FCRP multifamily rental properties, excluding active senior properties, were conducted on-time.

## Section 8

### Objectives

- ♦ To obtain a Section 8 Management Assessment rating of 90 percent or better on a 100-point scale in the categories of timeliness and quality of inspections, rent calculations, lease-ups and contract enforcement, as well as in nine other areas specified by HUD.

| | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| Indicator | FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | FY 2011 | FY 2012 |
| **Output:** | | | | | |
| Individuals housed (1) | 8,264 | 8,454 | 8,500 / 8,138 | 8,500 | 8,500 |
| **Efficiency:** | | | | | |
| Average income served as a percentage of Area Median Income | 21% | 21% | 30% / 21% | 30% | 30% |
| **Service Quality:** | | | | | |
| Utilization rate | 98% | 102% | 98% / 100% | 98% | 98% |
| Percent on-time inspections | 98% | 100% | 95% / 100% | 95% | 95% |
| Percent on-time recertifications | 99% | 99% | 95% / 100% | 95% | 95% |
| **Outcome:** | | | | | |
| HUD SEMAP rating | 102% | 102% | 85% / 102% | 90% | 90% |

(1) Estimate for FY 2011 and FY 2012 reflects new allocations of Family Unification Program vouchers, Veterans Affairs Supportive Housing (VASH) vouchers, and preservation vouchers for Winter Hill property (City of Falls Church).

## Performance Measurement Results

The FCRHA's federal Housing Choice Voucher program housed over 8,000 individuals in FY 2010. The average household income served in FY 2010 was $19,212, or approximately 21 percent of the Area Median Income for a family of three (meets HUD definition of "extremely low-income"). The targets for utilization rate, percent of on-time inspections, and percent of on-time re-certifications were all met. In addition, the FCRHA was again designated a "High Performer" by the United States Department of Housing and Urban Development (HUD), receiving a 102 percent HUD SEMAP score; this demonstrates highly effective stewardship of this vital federal affordable housing resource.

## Elderly Housing Programs

### Objectives

- To maintain an occupancy rate of 95 percent or higher and accurately track the cost for two subsidized Assisted Living facilities that contain a total of 112 beds.

- To maintain a customer satisfaction rating of 90 percent or higher and maintain an occupancy rate of 95 percent or higher in independent living facilities.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Assisted Living clients housed | 112 | 112 | 112 / 111 | 112 | 112 |
| Independent Living individuals housed (1) | 184 | 442 | 414 / 447 | 434 | 504 |
| **Efficiency:** | | | | | |
| Assisted Living cost per client (2) | $29,916 | $26,579 | $29,000 / $28,580 | $29,000 | $30,000 |
| Independent Living cost per client | $9,370 | $10,704 | $12,000 / $10,550 | $12,000 | $12,000 |
| **Service Quality:** | | | | | |
| Assisted Living occupancy rate (3) | 98% | 95% | 95% / 95% | 95% | 95% |
| Independent Living occupancy rate | 99% | 98% | 95% / 96% | 95% | 95% |
| **Outcome:** | | | | | |
| Assisted living overall customer satisfaction rating (3) | NA | NA | NA / 96% | 95% | 95% |
| Independent Living overall customer satisfaction rating | 91% | 85% | 85% / 96% | 90% | 90% |

(1) Current and future year estimates refer to clients housed in all senior independent living units, including those managed by the FCRHA and properties managed by third-party firms under contract with the FCRHA. Future year estimate includes the new Olley Glen community.

(2) Includes all operating costs except major capital expenditures.

(3) Occupancy and customer satisfaction may be affected as the Lincolnia property is expected to undergo major renovations in FY 2011.

## Performance Measurement Results

**Elderly Housing – Assisted Living**
In FY 2010, this performance measure addressed performance of two assisted living developments with 112 beds/units (Braddock Glen and the Lincolnia Senior Center and Residence). The cost per client was $28,580 in FY 2010 and the properties maintained a 95 percent occupancy rate.

**Elderly Housing – Independent Living**
In FY 2010, this performance measure addressed performance of all 414 senior independent living units under FCRHA management (Little River Glen, Lincolnia Senior Center and Residence and Lewinsville), and those units under management by private third party. In FY 2010, a total of 447 individuals were housed, and the cost per client was $10,550. The properties, including those managed by the FCRHA and those managed by third-party firms under contract with the FCRHA, maintained a 96 percent occupancy rate in FY 2010. The overall customer service satisfaction rating was 96 percent.

## Homeownership

### Objectives

- To obtain a Program Assessment rating of 95 percent or better on indicators addressing sales rate, foreclosures and rate of participation.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| First-time homebuyers | 152 | 120 | 100 / 63 | 50 | 100 |
| **Efficiency:** | | | | | |
| Cost per new homeowner | $1,888 | $2,633 | $2,200 / $5,306 | $5,000 | $3,000 |
| Non-county funds leveraged for Fairfax County First-Time Homebuyers (1) | $20,208,613 | $15,890,554 | $10,000,000 / $7,288,968 | $3,500,000 | $1,750,000 |
| **Service Quality:** | | | | | |
| Participant satisfaction survey scores | 94% | 95% | 95% / 94% | 95% | 95% |
| **Outcome:** | | | | | |
| Assessment rating | 95% | 95% | 95% / 93% | 95% | 95% |

(1) Non-county funds consist primarily of the VHDA set-aside of first-trust mortgage funds, via its Sponsoring Partnerships And Revitalizing Communities (SPARC) program, for Fairfax County first-time homebuyers. Qualified purchasers access these funds through HCD's homeownership programs. Current and future year estimates based on anticipated re-focusing of the SPARC program by VHDA, which may reduce the funding available for first-time homebuyers in Fairfax County.

## Performance Measurement Results

The number of new and resale units varies from year to year, due to a variety of external factors such as real estate market conditions and the economy. The pace of real estate development in the County determines the timing of the production of affordable dwelling units (ADUs) within new residential developments. In FY 2010, 63 first time homebuyers achieved homeownership utilizing HCD programs. The cost per client was $5,306 per new homeowner in FY 2010, which was higher than the target due to a decrease in the overall number of purchasers.

A total of $7,288,968 in non-County funds was leveraged in investments in homeownership for Fairfax County first-time homebuyers. Non-County funds were made up primarily of the Virginia Housing Development Authority's (VHDA) set-aside of first trust mortgage funds via its SPARC program for Fairfax County first-time homebuyers; qualified purchasers accessed these funds through HCD's homeownership programs.

In addition, the HCD Homeownership Center responded to 2,721 telephone calls and 2,143 walk-ins in FY 2010, and the service delivery satisfaction rate was 94 percent.

## Neighborhood Preservation

### Objectives

- To preserve and improve County residential properties by providing home improvement/rehabilitation services and financing to income-eligible households and non-profit organizations.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Number of properties improved and enhanced (1) | 268 | 136 | 115 / 150 | 118 | 100 |
| **Efficiency:** | | | | | |
| Amount of General County funds per property improved or enhanced | $630 | $550 | $500 / $2,398 | $2,400 | $2,400 |
| **Service Quality:** | | | | | |
| Amount of leveraged funds per $1 of County funds | $13 | $5 | $5 / $1 | $1 | $1 |
| **Outcome:** | | | | | |
| Cumulative number of properties improved or enhanced since 2000 through County programs | 1,092 | 1,228 | 1,343 / 1,378 | 1,496 | 1,596 |

(1) FY 2011 and FY 2012 estimates reflect the partial suspension of the Home Improvement Loan Program (HILP) due to staff reductions.

## Performance Measurement Results

In FY 2010, 150 properties were improved or enhanced, the amount of County General funds per property improved or enhanced was $2,398, and $1 in non-County funds was leveraged for every $1 in County funds expended. As of the end of FY 2010, a total of 1,378 properties were improved through County programs. Future targets have been adjusted to reflect the anticipated downsizing in scope of the Home Improvement Loan Program (HILP) in FY 2012.

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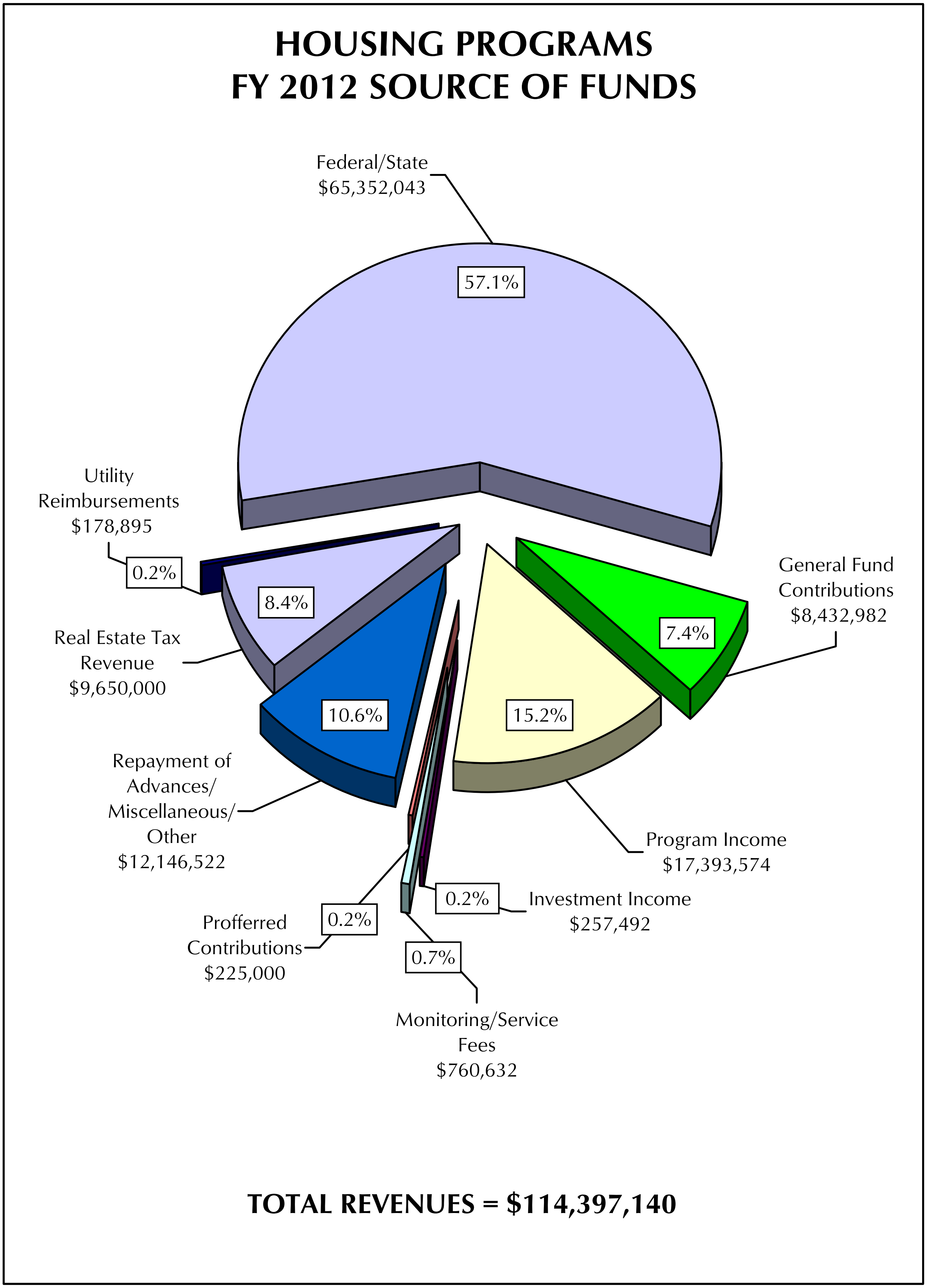
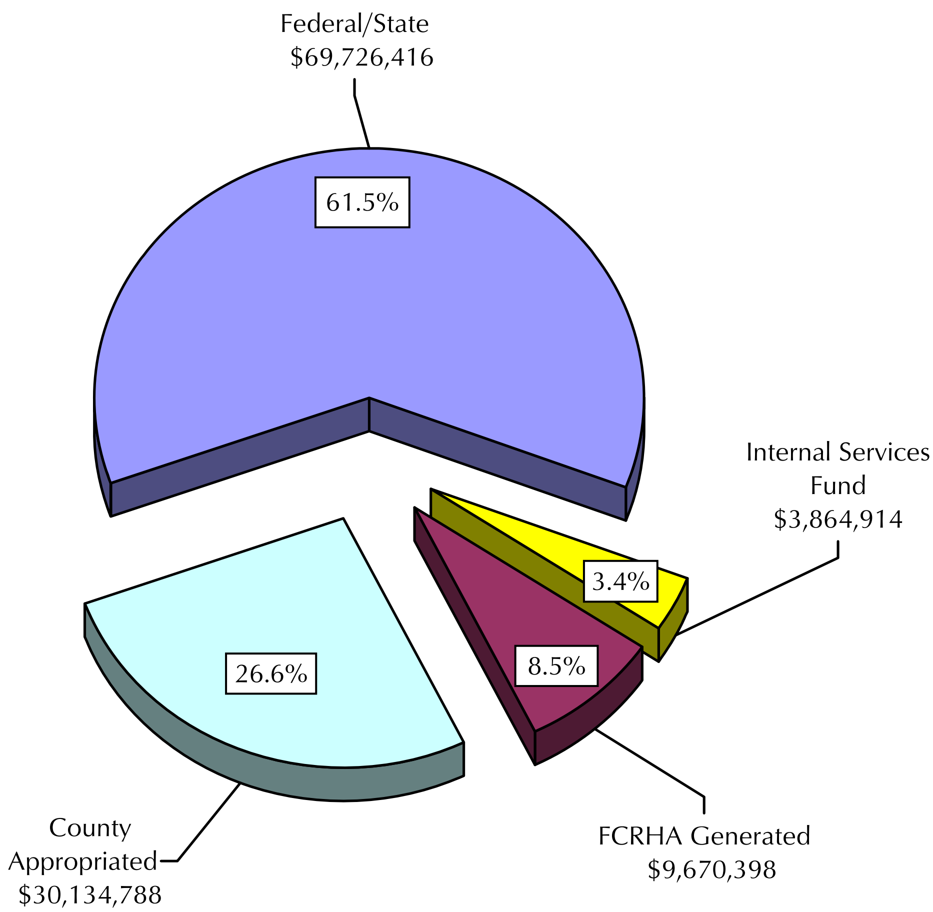
## CONSOLIDATED FUND STATEMENT

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$56,005,657** | **$34,061,436** | **$56,961,658** | **$43,057,241** |
| Revenue: | | | | |
| Federal/State | $64,284,627 | $57,547,322 | $87,613,462 | $65,352,043 |
| General Fund Contributions | 9,134,191 | 8,432,982 | 8,504,985 | 8,432,982 |
| Program Income | 17,664,475 | 16,084,784 | 18,478,420 | 17,393,574 |
| Sale of Bonds | 242,200 | 0 | 4,114,633 | 0 |
| Investment Income | 202,533 | 403,431 | 214,057 | 257,492 |
| Monitoring/Service Fees | 580,052 | 581,507 | 1,035,674 | 760,632 |
| Utility Reimbursements | 151,438 | 178,895 | 178,895 | 178,895 |
| Repayment of Advances | 1,726,871 | 23,657 | 23,657 | 8,298 |
| Proffered Contributions | 90,850 | 815,000 | 350,000 | 225,000 |
| Real Estate Tax Revenue | 10,270,000 | 9,340,000 | 9,340,000 | 9,650,000 |
| Miscellaneous/Other | 12,351,998 | 11,566,120 | 14,792,951 | 12,138,224 |
| Total Revenue[1] | $116,699,235 | $104,973,698 | $144,646,734 | $114,397,140 |
| **Total Available** | **$172,704,892** | **$139,035,134** | **$201,608,392** | **$157,454,381** |
| Expenditures: | | | | |
| Personnel Services | $14,380,774 | $15,560,218 | $16,644,050 | $16,060,343 |
| Operating Expenses | 65,678,873 | 64,293,554 | 74,520,593 | 71,927,252 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| Grant Projects | 9,007,630 | 8,689,961 | 26,845,712 | 9,155,745 |
| Capital Projects | 26,675,957 | 15,587,632 | 40,540,796 | 16,253,176 |
| Total Expenditures[1] | $115,743,234 | $104,131,365 | $158,551,151 | $113,396,516 |
| **Total Disbursements** | **$115,743,234** | **$104,131,365** | **$158,551,151** | **$113,396,516** |
| **Ending Balance** | **$56,961,658** | **$34,903,769** | **$43,057,241** | **$44,057,865** |

[1] Designations are based on fund category, for example, Fund 340, Housing Assistance Programs is included in Capital Projects although some funding is used to support Personnel Services. Fund 949, FCRHA Internal Service Fund, was included as a separate housing fund beginning in FY 1998. Revenues and expenditures for this fund are included in the Consolidated Fund Statement, but do not increase total funding available to the agency. As such, this funding is netted out of the Program Area Summary by Fund.


HOUSING PROGRAMS
FY 2012 SOURCE OF FUNDS
Federal/State
$65,352,043
57.1%
General Fund
Contributions
$8,432,982
7.4%
Program Income
$17,393,574
15.2%
Investment Income
$257,492
0.2%
Monitoring/Service
Fees
$760,632
0.7%
Profferred
Contributions
$225,000
0.2%
Repayment of
Advances/
Miscellaneous/
Other
$12,146,522
10.6%
Real Estate Tax
Revenue
$9,650,000
8.4%
Utility
Reimbursements
$178,895
0.2%
TOTAL REVENUES = $114,397,140

# HOUSING PROGRAMS
# FY 2012 EXPENDITURES




**TOTAL EXPENDITURES = $113,396,516**

# Housing and Community Development Program Overview

## Budget and Staff Resources

| Program Area Summary by Fund | | | | |
|---|---|---|---|---|
| **Category** | **FY 2010 Actual** | **FY 2011 Adopted Budget Plan** | **FY 2011 Revised Budget Plan** | **FY 2012 Advertised Budget Plan** |
| Authorized Positions/Staff Years | | | | |
| Regular | 218/ 218 | 218/ 218 | 219/ 219 | 219/ 219 |
| Grant | 10/ 10 | 10/ 10 | 12/ 12 | 12/ 12 |
| Total Positions | 228/ 228 | 228/ 228 | 231/ 231 | 231/ 231 |
| **County Appropriated Funds:** | | | | |
| Operating: | | | | |
| Department of Housing and Community Development | $6,585,966 | $5,928,757 | $6,000,760 | $5,928,757 |
| 141 Elderly Housing Programs | 3,304,084 | 4,186,706 | 5,201,767 | 4,159,501 |
| 143 Homeowner and Business Loan Programs | 5,352,189 | 3,883,825 | 8,629,710 | 4,514,316 |
| **Total Operating Expenditures** | **$15,242,239** | **$13,999,288** | **$19,832,237** | **$14,602,574** |
| 144 Housing Trust Fund | $2,177,659 | $840,000 | $4,385,008 | $348,814 |
| 319 The Penny for Affordable Housing Fund | 18,186,529 | 13,458,400 | 19,864,899 | 14,668,400 |
| 340 Housing Assistance Program | 1,374,801 | 515,000 | 8,455,286 | 515,000 |
| **Total Capital Expenditures** | **$21,738,989** | **$14,813,400** | **$32,705,193** | **$15,532,214** |
| **Total County Appropriated Fund Expenditures** | **$36,981,228** | **$28,812,688** | **$52,537,430** | **$30,134,788** |
| **Federal/State Support:** | | | | |
| 965 Housing Grants Fund | $162,353 | $0 | $668,570 | $0 |
| 966 Section 8 Annual Contribution | 44,575,823 | 43,607,618 | 47,904,573 | 50,911,987 |
| 967 Public Housing, Projects Under Management | 8,790,943 | 9,181,813 | 9,765,826 | 9,658,684 |
| 969 Public Housing, Projects Under Modernization | 4,007,098 | 0 | 3,220,899 | 0 |
| 142 Community Development Block Grant | 7,589,731 | 5,982,304 | 17,110,097 | 6,463,133 |
| 145 HOME Investment Partnerships Grant | 1,255,546 | 2,707,657 | 9,067,045 | 2,692,612 |
| **Total Federal/State Support** | **$66,381,494** | **$61,479,392** | **$87,737,010** | **$69,726,416** |

# Housing and Community Development Program Overview

## Program Area Summary by Fund

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| FCRHA Generated Funds: | | | | |
| 940 FCRHA General Operating | $2,244,483 | $2,406,754 | $2,414,363 | $2,516,625 |
| 941 Fairfax County Rental Program | 4,077,167 | 4,722,253 | 4,818,187 | 4,667,664 |
| 945 Non-County Appropriated Rehabilitation Loan | 0 | 25,000 | 25,000 | 25,000 |
| 946 FCRHA Revolving Development | 46,212 | 0 | 1,531,959 | 0 |
| 948 FCRHA Private Financing | 883,658 | 774,232 | 3,082,745 | 720,962 |
| 949 Internal Service Fund | 3,901,704 | 4,212,326 | 4,499,872 | 3,864,914 |
| 950 Housing Partnerships | 1,227,288 | 1,698,720 | 1,904,585 | 1,740,147 |
| **Subtotal, FCRHA Funds** | **$12,380,512** | **$13,839,285** | **$18,276,711** | **$13,535,312** |
| Less: | | | | |
| 949 Internal Service Fund | $3,901,704 | $4,212,326 | $4,499,872 | $3,864,914 |
| **Total, FCRHA Funds** | **$8,478,808** | **$9,626,959** | **$13,776,839** | **$9,670,398** |
| **Total, All Sources** | **$115,743,234** | **$104,131,365** | **$158,551,151** | **$113,396,516** |
| Less: | | | | |
| 949 Internal Service Fund | $3,901,704 | $4,212,326 | $4,499,872 | $3,864,914 |
| **Net Total, All Sources** | **$111,841,530** | **$99,919,039** | **$154,051,279** | **$109,531,602** |

Note: Fund 142, Community Development Block Grant, and Fund 145, HOME Investment Partnership Grant, are federally-supported County Appropriated funds and have been reflected under the Federal/State Support Category. While the Board of Supervisors appropriates funding in these funds by project, the source of revenue is the federal government. The FY 2012 preliminary estimated federal funding for Fund 142, Community Development Block Grant (CDBG), is $6,463,133 and for Fund 145, HOME Investment Partnership Grant (HOME), is $2,692,612.

## Position Summary

**ADMINISTRATION**

**General Fund:**
- 1 Director
- 1 Deputy Director
- 1 HCD Division Director
- 1 H/C Developer IV
- 1 Human Resources Generalist II
- 1 Info. Tech. Prog. Mgr. I
- 2 Network/Telecom Analysts II
- 2 Administrative Assistants IV

**CDBG:**
- 1 GIS Analyst II

**FCRHA:**
- 1 Information Officer III
- 1 Information Officer II
- 3 Administrative Assistants IV
- 1 Administrative Assistant III
- 1 Administrative Assistant II

**Section 8:**
- 1 Network Telecom. Analyst III

**Public Housing:**
- 1 Administrative Assistant II

**COMMUNITY IMPROVEMENT**

**General Fund:**
- 1 Deputy Director
- 1 HCD Division Director
- 2 H/C Developers IV
- 1 Administrative Assistant IV

**DESIGN, DEVELOPMENT AND CONSTRUCTION**

**General Fund:**
- 1 HCD Division Director
- 2 H/C Developers IV

**CDBG:**
- 2 H/C Developers IV
- 1 Administrative Assistant IV

**HOME:**
- 1 H/C Developer IV
- 1 Housing Services Specialist II

**FCRHA:**
- 1 Division Director
- 1 H/C Developer V
- 2 H/C Developers III
- 1 H/C Developer II

**FINANCIAL MANAGEMENT**

**General Fund:**
- 1 Finance Manager
- 1 Financial Specialist IV
- 2 Accountants II
- 2 Accountants I
- 2 Administrative Assistants IV
- 4 Administrative Assistants III
- 1 Contract Analyst III

**CDBG:**
- 1 Accountant III
- 1 Management Analyst III
- 1 Administrative Assistant V

**FCRHA:**
- 1 Financial Specialist IV
- 1 Financial Specialist III
- 1 Accountant III
- 1 Accountant II
- 1 Administrative Assistant II

**Section 8:**
- 1 Accountant II
- 1 Administrative Assistant IV

**Public Housing:**
- 1 Financial Specialist IV
- 1 Accountant III
- 2 Administrative Assistants III

# Housing and Community Development Program Overview

**HOUSING MANAGEMENT:**

**General Fund:**
2 HCD Division Directors
1 Housing Services Specialist IV
1 H/C Developer IV
1 H/C Developer II
1 Warehouse Supervisor
1 Administrative Associate
3 Administrative Assistants IV
1 Administrative Assistant III

**Elderly Housing Programs:**
1 Director of Senior Housing
1 Housing Services Specialist V
1 Housing Services Specialist IV
1 Housing Services Specialist III
1 Housing Services Specialist II
1 Housing Services Specialist I
1 Sr. Mech. Sys. Supervisor
1 Trades Supervisor
1 Electrician II
3 Facility Attendants II
1 General Bldg. Maint. Worker I
1 Administrative Assistant V
1 Maintenance Trade Helper II

**CDBG:**
1 Housing Services Specialist V
3 Housing Services Specialists II
1 Administrative Assistant IV

**FCRHA:**
1 Housing Services Specialist IV
1 Housing Services Specialist II
1 Assistant Supr. Facilities Support

**FCRP:**
1 Chief Accounting Fiscal Officer
1 H/C Developer V
1 H/C Developer II
1 Housing Services Specialist III
3 Housing Services Specialists II
1 Trades Supervisor
1 Electrician II
1 Engineering Technician II
1 Plumber II
3 General Bldg. Maint. Workers II
2 General Bldg. Maint. Workers I
1 Administrative Assistant IV
1 Administrative Assistant III
1 Housing Manager
1 Warehouse Specialist
1 Human Services Assistant

**Housing Partnerships:**
2 Housing Services Specialists II
1 Housing Services Specialist I
1 HVAC II
2 Gen. Bldg. Maint. Workers II
1 Plumber I
1 Administrative Assistant III
1 Administrative Assistant II

**Housing Grants:**
2 Housing Services Specialists III G
1 Housing Services Specialist II G
1 Housing Comm. Developer III G

**Section 8:**
3 Housing Srvcs. Spclsts. V 1G
5 Housing Srvcs. Spclsts. III
24 Housing Srvcs. Spclsts. II 3G
1 Human Services Assistant
2 Administrative Assistants III
1 Administrative Assistant II

**Public Housing:**
1 HCD Division Director
1 H/C Developer V
1 H/C Developer II
1 Management Analyst I
1 Human Srvcs. Coordinator II
2 Housing Srvcs. Specialists V
2 Housing Srvcs. Specialists III 1G
12 Housing Services Specialists II
3 Housing Services Specialists I
1 Trades Supervisor
4 HVACs I
6 General Bldg. Maint. Workers II
4 General Bldg. Maint. Workers I
1 Locksmith II
1 Administrative Assistant III
2 Plumbers II

**Public Housing/Modernization:**
1 H/C Developer V G
2 H/C Developers III 2G

**REAL ESTATE FINANCE AND GRANTS MANAGEMENT**

**General Fund:**
1 H/C Developer IV
1 H/C Developer I

**CDBG:**
1 Real Estate/Grant Manager
1 H/C Developers V
2 H/C Developers IV
2 H/C Developers III
1 Sr. Maintenance Supervisor
2 Gen. Bldg. Maint. Workers I
1 Administrative Assistant IV

**FCRHA:**
2 H/C Developers V
1 H/C Developer IV
1 Management Analyst III

**TOTAL POSITIONS**
**219 Positions / 219.0 Staff Years**
**12/12.0 SYE Grant Positions in Funds 965, 966, 967, and 969**

**G Denotes Grant Position**

## Housing Fund Structure

In many cases HCD service areas span multiple elements of the fund structure which follows. For example, the General Fund and the FCRHA Operating staff support most of the activities of the Department.

- County General Fund
  - Fund 001, General Operating

    This fund supports positions in Agency 38, HCD, and provides subsidies for the operation of some rental housing programs. Subsidies include support for expenses such as administrative and maintenance staff costs, as well as a portion of condominium fees for certain FCRHA-owned units, limited partnership real estate taxes, and building maintenance.

- FCRHA General Operating
  - Fund 940, FCRHA General Operating

    This fund includes all FCRHA revenues generated by financing fees earned from issuance of bonds, monitoring and service fees charged to developers, investment income, project reimbursements, consultant fees, and ground rents on land leased to developers. Revenues support operating expenses for the administration of the private activity bonds, the Home Improvement Loan Program staff, and other administrative costs, which crosscut many of the housing programs.

- Local Rental Housing Program
  - Fund 941, Fairfax County Rental Program (FCRP)

    Fund 941 covers the operation of housing developments that are owned or managed by the FCRHA, other than federally-assisted public housing and certain County-supported rental housing. This includes operating costs for the FCRP units, the Woodley Hills Estates manufactured housing development, and projects regulated by the Virginia Housing Development Authority, including group homes for the disabled and mentally handicapped. These latter units are owned and maintained by FCRHA; however, programs for the residents are administered by the Fairfax-Falls Church Community Services Board.

  - Fund 950, FCRHA Housing Partnerships

    Fund 950 was established in FY 2002 to budget and account for revenue and expenditures related to the housing developments owned by partnerships between FCHRA and private investors. Financial records for these partnerships are maintained separately, outside the County financial systems, in order to meet accounting and reporting requirements. However, HCD provides staff support to some of these developments and procures goods and services on behalf of these partnerships which are reflected in Fund 950. Previously, these items were included in Fund 941.

- Federal Section 8 Rental Assistance
  - Fund 966, Section 8 Annual Contribution

    The Section 8/Housing Choice Voucher program is a federal housing rental assistance program for lower income families to assist them in leasing housing in the private marketplace. A portion of rent payments is provided by HUD, through HCD, and is calculated under various formulas, incorporating family income and the fair market rent for various types of housing in the Washington Metropolitan Area. The FCRHA administers the program, providing rental vouchers to eligible participants and rental subsidies to certain housing developments.

- Public Housing Program
  - Fund 967, Public Housing, Projects Under Management
  - Fund 969, Public Housing, Projects Under Modernization

    These funds represent the Federal Public Housing Program that supports the operation, modernization, or acquisition of rental housing to be owned and operated by local housing authorities such as the FCRHA. The Public Housing Program had been divided into two separate components: projects in operation and modernization of existing Public Housing facilities. Under the program qualifications for Public Housing, units are leased to low-income tenants, and tenants pay no more than 30 percent of adjusted income toward dwelling rent or a minimum of $50 per month.

# Housing and Community Development Program Overview

- Special Revenue Funds
  - Fund 141, Elderly Housing Programs
  - Fund 142, Community Development Block Grant (CDBG)
  - Fund 143, Homeowner and Business Loan Programs
  - Fund 144, Housing Trust Fund
  - Fund 145, HOME Investment Partnership Grant (HOME)
  - Fund 945, Non-County Appropriated Rehabilitation Loan Program

  These funds include housing programs which have a variety of sources of revenue, including rental income, federal/state support, bank funds, or proffered contributions. Elderly Housing Programs in Fund 141 provide for the operation of FCRHA-owned affordable housing for the low- and moderate-income elderly of the County. The CDBG program in Fund 142 is a federal grant that is used to conserve and upgrade neighborhoods through the provision of public facilities, support for community services and stimulation of development of low- and moderate-income housing. The Homeowner and Business Loan Programs in Fund 143 support homeowner assistance, such as the Moderate Income Direct Sales Program, which aids homeowners in the purchase of homes, as well as a federal grant aimed at providing loans to small and minority businesses. Fund 144 utilizes proffered contributions from private developers, County contributions, and investment earnings to encourage the preservation, development, and redevelopment of affordable housing by the FCRHA, non-profit sponsors, and the private sector. The HOME program in Fund 145 is a federal grant program that supports provision of affordable housing through acquisition, rehabilitation, new construction, and tenant-based rental assistance. Fund 945, Non-County Appropriated Rehabilitation Loan Fund, represents funds raised from private sources for the rehabilitation and upgrading of housing, and works in conjunction with County-appropriated funds in the CDBG and the Homeowner and Business Loan Program funds.

- Capital Projects
  - Fund 319, The Penny for Affordable Housing Fund
  - Fund 340, Housing Assistance Program

  These funds provide County support for both affordable housing and community revitalization capital projects. Fund 319, The Penny for Affordable Housing Fund, is designed to provide funds to quickly and significantly impact the availability of affordable housing in the County within established criteria. In FY 2011, HCD established the Bridging Affordability program. It was conceived during the development of the Housing Blueprint, and is intended to provide local rental subsidies to individuals and families experiencing homelessness and households currently on Fairfax County's affordable housing waiting lists, including those managed by the Fairfax County Redevelopment and Housing Authority, the Fairfax-Falls Church Community Services Board, the Office to Prevent and End Homelessness and the homeless shelters. In FY 2011, it is expected that the Bridging Affordability program will serve 48 homeless individuals and families and 364 households on the county's waiting lists. Per the Board's direction in the Housing Blueprint, the Bridging Affordability Program will be administered by HCD with specific grants made to one or more of the county's non-profit partners. HCD will provide program compliance, inspect units and administer the contracts with the non-profit partners. As designated by the Housing Blueprint, a portion of the operations revenue at the County-owned Wedgewood property will be used to fund the program, including two merit positions that will support the program. Fund 340, Housing Assistance Program, supports countywide residential improvement and repair projects, including staff resources, marketing, consultant services and capitalized projects.

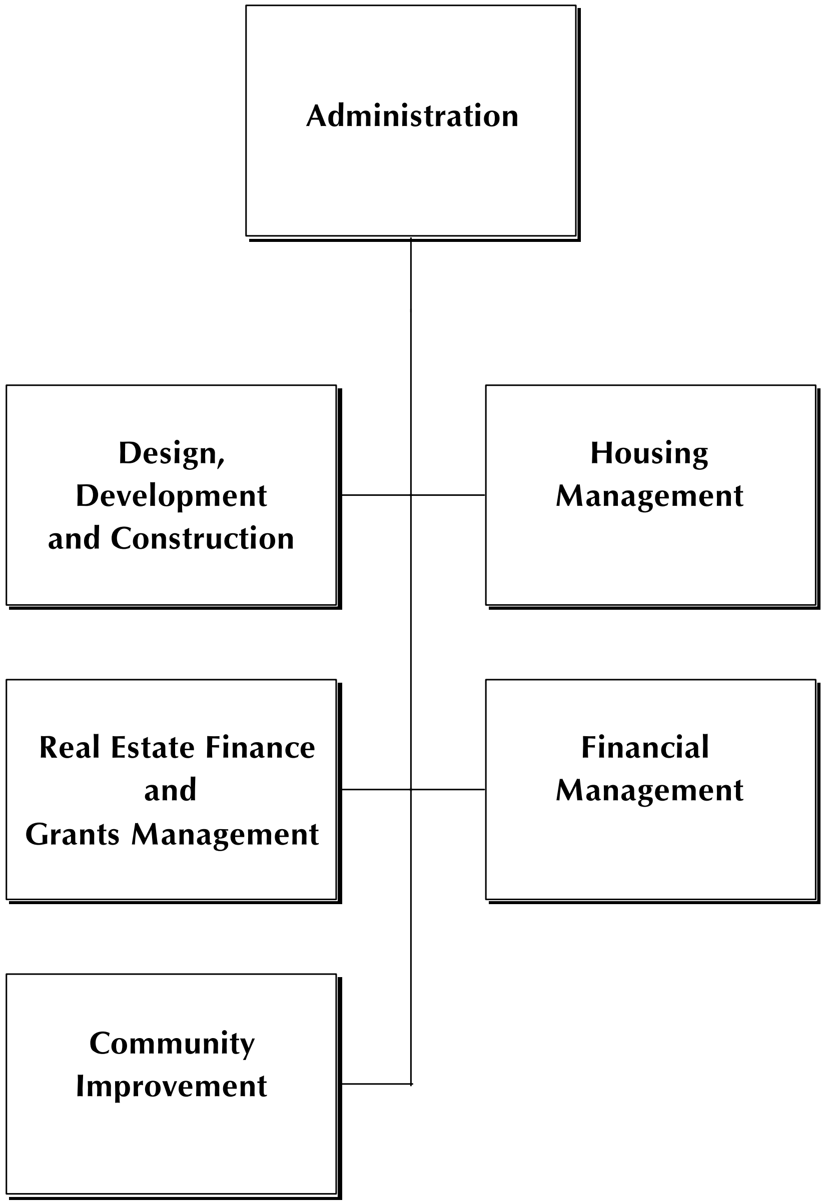
- FCRHA Development Support
  - Fund 946, FCRHA Revolving Development
  - Fund 948, FCRHA Private Financing

    Fund 946 provides development support for site investigation for proposed new projects and provides temporary advances for architectural and engineering plans, studies, or fees for which federal, state, County, or private funds will reimburse the FCRHA at a later date. Funding capital improvement projects for existing FCRP units is also provided. Fund 948, FCRHA Private Financing, is used to budget and report costs for two types of funds: those borrowed by the FCRHA from private lenders and other sources, and funds for FCRHA projects which are generated through the sale of FCRHA bonds.

- FCRHA Internal Service Fund
  - Fund 949, FCRHA Internal Service Fund, was established in FY 1998 to charge for goods and services that are shared among several housing funds. These costs include items such as office supplies, telephones, postage, copying, insurance, and audits which have been budgeted and paid from one of the FCRHA's funds and then allocated to the other funds proportionate to their share of the costs. This fund also includes costs associated with the maintenance and operation of FCRHA housing development, such as service contracts for extermination, custodial work, elevator maintenance, and grounds maintenance. The fund allows one contract to be established for goods and services, as opposed to multiple contracts in various funds.

- FCRHA Grant Fund
  - Fund 965, Housing Grants, was established in FY 2000 to administer grants awarded to the FCRHA. The grants currently in this fund are awarded by the U.S. Department of Housing and Urban Development (HUD), based on competitive applications for funding, and provide for rent subsidies, counseling services, support services, operating expenses, and property improvements. HCD established the PROGRESS Center in FY 2011 to focus on a number of critical areas including employment and training opportunities and services related to affordable health insurance, emergency medical intervention, adult protective services, mental health services, and physical and sensory disabilities for our program residents. The key to connecting FCRHA residents to these services and resources will be partnerships established with other county agencies. Such partnerships already exist but are in the process of being formalized. They include partnerships with the Northern Virginia Workforce Investment Board and its non-profit employment training and job placement arm—The SkillSource Group, Inc. (SkillSource) and partnerships with sister county agencies including the Fairfax County Department of Family Services (DFS) and the Fairfax-Falls Church Community Services Board (CSB). The federal ROSS (Residential Opportunity Self Sufficiency) Grant totaling $480,000 will be used to support this program.

# Department of Housing and Community Development




## Mission

To provide the residents of the County with safe, decent and more affordable housing for low- and moderate-income households. In addition, the Department of Housing and Community Development seeks to preserve, upgrade and enhance existing neighborhoods through conservation and rehabilitation of housing, and through the provision of public facilities and services.

## Focus

The Fairfax County Department of Housing and Community Development (HCD) provides housing opportunities for low- and moderate-income residents in Fairfax County and assists in the renovation and improvement of neighborhoods. HCD, which acts as staff to the Fairfax County Redevelopment and Housing Authority (FCRHA), supports, develops and administers a wide variety of FCRHA programs, including:

- Rental housing and tenant subsidies;
- Specialized housing;
- Loans for home ownership and home improvement;

- Affordable housing finance; and
- Community development.

County resources within the General Fund provide support for positions in Agency 38, Housing and Community Development (HCD). These positions coordinate the County's community development and improvement programs, support the development and operation of FCRHA assisted housing, and provide critical support in financial management, computer network operations and policy planning.

The General Fund also supports the federal public housing and local rental programs by funding a portion of the administrative and maintenance staff costs, as well as condominium fees, limited partnership real estate taxes and building maintenance.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| **Category** | **FY 2010 Actual** | **FY 2011 Adopted Budget Plan** | **FY 2011 Revised Budget Plan** | **FY 2012 Advertised Budget Plan** |
| Authorized Positions/Staff Years | | | | |
| Regular | 44/ 44 | 44/ 44 | 44/ 44 | 44/ 44 |
| Expenditures: | | | | |
| Personnel Services | $3,737,385 | $4,181,534 | $4,181,534 | $4,181,534 |
| Operating Expenses | 3,105,331 | 2,259,723 | 2,331,726 | 2,259,723 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Subtotal** | **$6,842,716** | **$6,441,257** | **$6,513,260** | **$6,441,257** |
| Less: | | | | |
| Recovered Costs | ($256,750) | ($512,500) | ($512,500) | ($512,500) |
| **Total Expenditures** | **$6,585,966** | **$5,928,757** | **$6,000,760** | **$5,928,757** |

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- FY 2012 funding remains at the same level as the FY 2011 Adopted Budget Plan.

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$72,003**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $72,003 primarily associated with professional consulting fees necessary for employee training, management fees and other miscellaneous expenses.

## Administration

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 10/ 10 | 10/ 10 | 10/ 10 | 10/ 10 |
| **Total Expenditures** | **$2,154,541** | **$1,814,993** | **$1,856,622** | **$1,814,993** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| 1 | Director | 1 | Housing/Community Developer IV | 2 | Network/Telecom Analysts II |
| 1 | Deputy Director | 1 | Human Resources Generalist II | 2 | Administrative Assistants IV |
| 1 | HCD Division Director | 1 | Info. Tech. Prog. Mgr. I | | |
| **TOTAL POSITIONS** | | | | | |
| **10 Positions/ 10.0 Staff Years** | | | | | |

### Goal

To provide administrative and computer systems support to the core business areas of the Fairfax County Redevelopment and Housing Authority and the Department of Housing and Community Development by responding to computer network requests from agency employees and public information requests from citizens, agencies and other interested individuals and groups.

## Design, Development and Construction

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 3/ 3 | 3/ 3 | 3/ 3 | 3/ 3 |
| **Total Expenditures** | **$312,076** | **$351,920** | **$351,920** | **$351,920** |

| Position Summary | |
|---|---|
| 1 | HCD Division Director |
| 2 | Housing/Community Developers IV |
| **TOTAL POSITIONS** | |
| **3 Positions / 3.0 Staff Years** | |

### Goal

To provide design, development and construction services to facilitate the availability of affordable housing for low- and moderate-income residents and to implement public improvement projects in the County.

## Housing Management

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 12/ 12 | 12/ 12 | 11/ 11 | 11/ 11 |
| **Total Expenditures** | **$2,718,229** | **$2,120,678** | **$2,151,052** | **$2,120,678** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| 2 | HCD Division Directors | 1 | Warehouse Supervisor | 3 | Administrative Assistants IV |
| 1 | Housing Services Specialist IV | 1 | Housing/Community Developer IV | 1 | Administrative Assistant III |
| | | 1 | Housing/Community Developer II | 1 | Administrative Associate |
| **TOTAL POSITIONS**<br>**11 Positions / 11.0 Staff Years** | | | | | |

Note: In the *FY 2011 Revised Budget Plan* 1/1.0 SYE was transferred to the Financial Management cost center.

### Goal

To manage and maintain affordable housing that is decent, safe and sanitary for eligible families and to maintain FCRHA housing in accordance with community standards and to provide homeownership opportunities to eligible households.

## Financial Management

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 12/ 12 | 12/ 12 | 13/ 13 | 13/ 13 |
| **Total Expenditures** | **$870,967** | **$994,169** | **$994,169** | **$994,169** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| 1 | Finance Manager | 2 | Accountants II | 2 | Administrative Assistants IV |
| 1 | Financial Specialist IV | 2 | Accountants I | 4 | Administrative Assistants III |
| 1 | Contract Analyst III | | | | |
| **TOTAL POSITIONS**<br>**13 Positions / 13.0 Staff Years** | | | | | |

Note: In the *FY 2011 Revised Budget Plan* 1/1.0 SYE was transferred from the Housing Management cost center.

### Goal

To provide management information for controls and compliance reporting to external oversight entities as required by policies and regulations; to collect revenues, process expenditures and service loans on a timely basis; to provide budgetary preparation and control of all agency funds; to maintain accounting records and prepare financial reports in conformance with generally accepted accounting principles to ensure accurate and auditable financial statements.

## Real Estate Finance and Grants Management

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 2/ 2 | 2/ 2 | 2/ 2 | 2/ 2 |
| **Total Expenditures** | **$66,295** | **$272,603** | **$272,603** | **$272,603** |

| Position Summary |
|---|
| 1 Housing/Community Developer IV |
| 1 Housing/Community Developer I |
| **TOTAL POSITIONS** |
| **2 Positions / 2.0 Staff Years** |

### Goal

To plan, implement and maintain community-based and agency-based support services designed to improve the quality of life for residents in low- and moderate-income communities, and to provide financial services in order to facilitate the preservation and development of affordable housing.

## Community Improvement

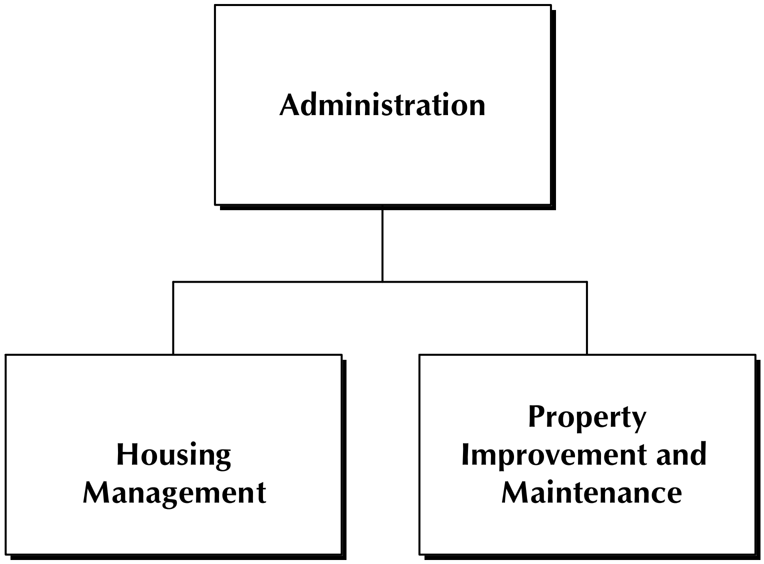
| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 5/ 5 | 5/ 5 | 5/ 5 | 5/ 5 |
| **Total Expenditures** | **$463,858** | **$374,394** | **$374,394** | **$374,394** |

| Position Summary | | |
|---|---|---|
| 1 Deputy Director | 2 Housing/Community Developers IV | 1 Administrative Assistant IV |
| 1 HCD Division Director | | |
| **TOTAL POSITIONS** | | |
| **5 Positions / 5.0 Staff Years** | | |

### Goal

To address current program needs associated with countywide residential improvement and repair projects within the Department of Housing and Community Development.

# Fund 141
# Elderly Housing Programs




## Mission
To manage affordable rental housing acquired by the FCRHA for the benefit of the elderly, and to maintain and preserve the units for long-term rental availability.

## Focus
Fund 141, Elderly Housing Programs, accounts for personnel, operating, and equipment costs related to the County's support of the operation of the three locally funded elderly housing developments owned or leased by the Fairfax County Redevelopment and Housing Authority (FCRHA) and managed by staff of the Department of Housing and Community Development. The three elderly housing developments funded in Fund 141, Elderly Housing Programs, are: Lewinsville Senior Residences in McLean (Dranesville District), Little River Glen in Fairfax (Braddock District) and Lincolnia Senior Center and Residences in the Mason District. Funding for four facilities, Gum Springs Glen (Mount Vernon District), Morris Glen (Lee District) and Herndon Harbor House (Dranesville District), are not presented in Fund 141, Elderly Housing Programs. Although they are owned by a limited partnership of which the FCRHA is the managing general partner, the facilities are managed by a private firm. In addition, Braddock Glen, a 60-unit assisted living facility, is not presented in Fund 141 as it is owned by the FCRHA but managed by a private firm. Housing and Community Development staff administers the contracts between the FCRHA and the private firms hired to manage its facilities. Together, in FY 2012, these eight facilities will provide for 610 congregate housing units, four Adult Day Health Care Centers, a 52-bed Adult Care Residence and a 60-unit assisted living facility that is affordable to low-income elderly. When completed, the Little River Glen campus is proposed to include 270 units for independent elderly, 60 units for assisted-living elderly, two Senior Centers and an Adult Day Care Center.

In FY 2012, the operation of the Elderly Housing Programs will be supported in part with rental income, a state auxiliary grant for indigent care in the Adult Care Residence component at the Lincolnia Center, County support, and Federal HOME funds. The County's General Fund transfer of approximately $1.99 million supports approximately 48 percent of expenditures in Fund 141. The housing programs at Gum Springs Glen, Morris Glen, Herndon Harbor and Olley Glen facilities are self-supporting and do not require County General Fund support in Fund 141.

Other costs related to the County's housing program at these sites, including the operating costs of senior centers, adult day care centers, and a Congregate Food Program, are reflected in the agency budgets for the Department of Neighborhood and Community Services, the Health Department, Fund 102, Federal/State Grant Fund, and the County and Schools Consolidated Debt Service Fund.

# Fund 141
# Elderly Housing Programs

The elderly projects are briefly described below:

- Lewinsville Senior Residences is a housing facility in McLean (Dranesville District) comprised of 22 efficiency units and a congregate living area serving the residential needs of low-to-moderate income elderly. The Department of Housing and Community Development (HCD) manages the residential facility, reviews applications to determine eligibility requirements, and provides maintenance services. A congregate meal program is funded through Fund 102, Federal/State Grant Fund. The FCRHA leases a portion of this facility from the County for the elderly housing program. In addition, the facility also houses a senior recreation program serving an average of 55 senior citizens, which is run by the County's Department of Neighborhood and Community Services, and an Adult Day Health Care program run by the Health Department serving an average of 35 senior citizens. FY 2012 funding provided in Fund 141 for the operation of the elderly housing component of this facility is $249,136.

- Little River Glen is a 120-unit facility which opened in the fall of 1990 is spread over five buildings on an eight-acre site, the Glens at Little River, in the Braddock District and serves the residential needs of low-to-moderate income elderly. Four different models of one-bedroom units are available. The space is designed for senior citizens who are capable of living independently and desire to participate in social and recreational opportunities provided on-site. There is a Senior Center with lounges, recreation/activity rooms, and a commercial kitchen. The Department of Neighborhood and Community Services operates the Senior Center and Fund 102, Federal/State Grant Fund funds the congregate meal program. FY 2012 funding provided in Fund 141 for the operation of this facility is $1,447,528.

- Lincolnia Center is a multi-purpose facility which opened in January 1990 in the Mason District in response to the residential needs of low-income and indigent elderly. It consists of two separate residential areas: a Congregate Residence of 26 units which provides independent living for senior citizens with limited means and a 52-bed Adult Care Residence for elderly residents who require assistance with the activities of daily living. The FCRHA leases the residential portion of this facility for the elderly housing program from the County. Funding for a management contract in the amount of approximately $0.9 million for the Lincolnia Adult Care Residence will cover the costs of care giving staffs that provide services 24 hours a day for that component of the Lincolnia facility. The Lincolnia Center also houses a Senior Center with recreation/activity rooms, a commercial kitchen, lounges, and an Adult Day Health Care Center. The Department of Neighborhood and Community Services administers the Senior Center, and the Health Department operates the Adult Day Health Care Center. A congregate meal program is administered by HCD and funded in Fund 102, Federal/State Grant Fund, for all program participants and residents. FY 2012 funding provided in Fund 141 for the operation of this facility is $2,462,837.

- Braddock Glen is a 60-unit affordable, assisted living facility which opened in July 2006. This facility is managed and maintained by a private contractor and the Adult Day Care Center is operated by the Fairfax County Health Department. The senior recreation program which is conducted inside the facility is operated by a private contractor, and a congregate meal program is funded by Fund 102, Federal/State Grant Fund.

The elderly projects owned by Limited Partnerships are briefly described below:

- Morris Glen is a 60-unit garden apartment community located in the Lee District in the Manchester Lakes Community. The facility was completed in December 1995 for moderate-income seniors capable of independent living. Morris Glen consists of two two-story residential buildings and a small community building. Four different models of one-bedroom units are available as well as an attractive common area in each residential building. This facility is managed and maintained by a private contractor, with HCD staff serving as contract administrator, and expenditures are completely supported by rental income.

# Fund 141
# Elderly Housing Programs

- Herndon Harbor House is a senior community developed in three phases and is located in the Town of Herndon in the Dranesville District. Opened in October 1998, Herndon Harbor House I is a 60-unit community that includes two 30-unit residential buildings. The facility is managed and maintained by a private contractor with HCD staff serving as contract administrator. Expenditures are supported by rental income. Herndon Harbor House II includes an additional 60 units of congregate housing, for a total of 120 units, and an Adult Day Health Care Center, both of which were opened in FY 2001. Phase III is a Senior Center completed in May 2005.

- Gum Springs Glen is a 60-unit retirement community for independent seniors which opened in May 2003, in the Mount Vernon District. Gum Springs Glen consists of two two-story buildings with 30 apartments plus common space in each building. There are 56 one-bedroom residential apartments of approximately 425 square feet and four two-bedroom apartments with approximately 550 square feet. This facility is managed and maintained by a private contractor, with HCD staff serving as contract administrator, and expenditures are completely supported by rental income. In addition to the residential units, the lower level of Gum Springs Glen provides space for a Head Start program and training center which is operated by the Department of Family Services, Office for Children.

- Olley Glen is a new 90-unit active senior development on the FCRHA's Glens at Little River senior housing campus in the Braddock District. The total development cost for the project was $24,037,988 including $17,033,859 in non-County funds, as well as $704,129 from the Housing Trust Fund and the $6,300,000 Penny for Affordable Housing Fund investment. The project was completed in October 2010. This property is owned as a partnership and it will be managed by the FCRHA and budgeted in Fund 950, Housing Partnerships.

Certain expenses reflected in this fund are not directly related to housing operations. The FCRHA, as landlord of these facilities, has inter-agency agreements, which provide for budgeting by HCD for common area expenses for utilities, telecommunications, maintenance, custodial services, and contracts. The facilities provide space for general community use, as well as for services provided by other County agencies.

## Budget and Staff Resources

### Agency Summary

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 16/ 16 | 15/ 15 | 15/ 15 | 15/ 15 |
| Expenditures: | | | | |
| Personnel Services | $1,010,218 | $1,106,001 | $1,106,001 | $1,048,665 |
| Operating Expenses | 2,293,866 | 3,080,705 | 4,095,766 | 3,110,836 |
| Capitl Equipment | 0 | 0 | 0 | 0 |
| **Total Expenditures** | **$3,304,084** | **$4,186,706** | **$5,201,767** | **$4,159,501** |

### Position Summary

**HOUSING MANAGEMENT**
- 1 Director of Senior Housing
- 1 Housing Services Specialist V
- 1 Housing Services Specialist IV
- 1 Housing Services Specialist III
- 1 Housing Services Specialist II
- 1 Housing Services Specialist I
- 1 Senior Mechanical Systems Supervisor
- 1 Trades Supervisor
- 1 Electrician II
- 1 General Building Maintenance Worker I
- 1 Administrative Assistant V
- 1 Maintenance Trade Helper II
- 3 Facility Attendants II

**TOTAL POSITIONS**
**15 Positions / 15.0 Staff Years**

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- **Personnel Services** **($57,336)**
  A decrease of $57,336 in Personnel Services is based on projected FY 2012 actual salaries.

- **Operating and Maintenance Costs** **$30,131**
  A net increase of $30,131 in Operating Expenses is primarily associated with increased costs based on prior years' actual expenses.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$1,015,061**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $1,015,061 in Operating Expenses due to encumbered carryover primarily associated with management fees for Lincolnia Senior Living Facility and maintenance and operating expenses for utilities and building repair at all properties.

# Fund 141
# Elderly Housing Programs

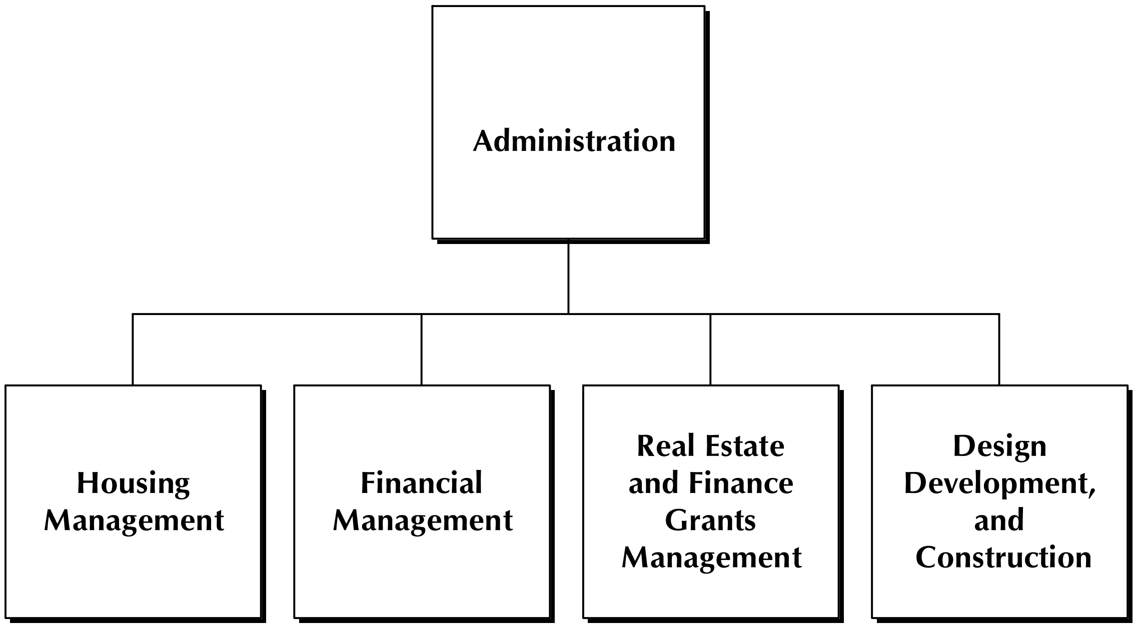
## FUND STATEMENT

**Fund Type H14, Special Revenue Funds** | **Fund 141, Elderly Housing Programs**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$963,920** | **$520,087** | **$2,001,133** | **$1,362,771** |
| Revenue: | | | | |
| Rental Income | $2,211,771 | $1,870,536 | $2,211,771 | $2,085,153 |
| Miscellaneous Revenue | 23,260 | 18,702 | 18,702 | 18,702 |
| HOME Rental Assistance | 73,041 | 343,707 | 343,707 | 245,584 |
| Total Revenue | $2,308,072 | $2,232,945 | $2,574,180 | $2,349,439 |
| Transfer In: | | | | |
| General Fund (001) | $2,033,225 | $1,989,225 | $1,989,225 | $1,989,225 |
| Total Transfer In | $2,033,225 | $1,989,225 | $1,989,225 | $1,989,225 |
| **Total Available** | **$5,305,217** | **$4,742,257** | **$6,564,538** | **$5,701,435** |
| Expenditures: | | | | |
| Personnel Services | $1,010,218 | $1,106,001 | $1,106,001 | $1,048,665 |
| Operating Expenses | 2,293,866 | 3,080,705 | 4,095,766 | 3,110,836 |
| Total Expenditures | $3,304,084 | $4,186,706 | $5,201,767 | $4,159,501 |
| **Total Disbursements** | **$3,304,084** | **$4,186,706** | **$5,201,767** | **$4,159,501** |
| **Ending Balance[1]** | **$2,001,133** | **$555,551** | **$1,362,771** | **$1,541,934** |
| Replacement Reserve | $2,001,133 | $555,551 | $1,362,771 | $1,541,934 |
| **Unreserved Ending Balance** | **$0** | **$0** | **$0** | **$0** |

[1] Ending Balances fluctuate due to program adjustments, carryover of operating expenditures, audit adjustments and adjustments in the General Fund transfer.

# Fund 142
# Community Development Block Grant




## Mission
To conserve and upgrade low- and moderate-income neighborhoods through the provision of public facilities, home improvements, public services, and economic development, and to stimulate the development and preservation of low- and moderate-income housing.

## Focus
Fund 142, Community Development Block Grant (CDBG), seeks to stimulate the development and preservation of low- and moderate-income housing and the provision of loans, public facilities, and improvements directed toward conserving and upgrading low- and moderate-income neighborhoods. It also supports the provision of special outreach and assistance services to low- and moderate-income households. Fairfax County receives an annual Community Development Block Grant through the U.S. Department of Housing and Urban Development (HUD). The use of these funds is subject to eligibility criteria established by Congress for the program and must meet one of three national objectives: (1) benefit to the low- and moderate-income population of the County; (2) elimination of slums and blight; and (3) meet urgent needs. Specific uses of each annual grant are outlined in the Consolidated Plan One-Year Action Plan. The Board of Supervisors has designated the Consolidated Community Funding Advisory Committee, (CCFAC) as the citizen advisory group charged with overseeing the Consolidated Plan process. The Consolidated Plan also incorporates the recommendations of the Fairfax County Redevelopment and Housing Authority (FCRHA) concerning the use of CDBG funds. The CCFAC forwards the Plan to the Board of Supervisors for a public hearing and adoption. The Plan is then forwarded to HUD for approval and final grant award.

Historically, CDBG funds have been used for:

- development and preservation of affordable housing;
- neighborhood improvements in communities designated as Conservation or Redevelopment Areas by the Board of Supervisors;
- programs providing needed services to the low- and moderate-income population;
- financial and technical assistance to homeowners for housing rehabilitation and repair;
- payments on loans used for affordable housing development; and
- costs to administer this grant and related programs.

# Fund 142
# Community Development Block Grant

Additional funding in the amount of $1,610,504 was received during FY 2010. This funding was part of the American Recovery and Reinvestment Act of 2009. The grant program is a supplemental appropriation and is commonly referred to as the CDBG Recovery Program (CDBG-R). The funding was used to rehabilitate FCRHA rental, group homes, and elderly properties. The carryover balance of the funding will be expended during FY 2011.

## FY 2012 Initiatives

Funding in the amount of $6,463,133 is estimated for FY 2012. The following identifies some of the projected funding initiatives:

- A portion of the County's CDBG entitlement will be combined with County General Funds and the Community Services Block Grant into a Consolidated Community Funding Pool (CCFP), providing funds through a competitive process to non-profit organizations for human services and affordable housing development and preservation. In FY 2012, it is initially projected that an amount of $2,082,914 will be available for the CCFP.

- An amount of $57,512 is currently projected for the Fair Housing Program implementation, including conducting and reporting on fair housing tests, filing fair housing complaints, training rental agents and housing counselors in the County's rental market, establishing and staffing the Fair Housing Task Force, and continuing to study and report on the County's fair housing needs.

- An amount of $297,518 is projected to support staff and operating costs for the Home Repair for the Elderly Program. This program provides minor home repairs to low-income elderly or disabled residents to enable these individuals to live in safe and sanitary housing.

- Funding of $1,510,996 is projected to be available for payments on Section 108 Loans. These loans, approved by the Board of Supervisors and HUD, are designated for affordable housing preservation and development, the reconstruction of Washington Plaza, and road and storm drainage improvements in five conservation areas: Baileys, Fairhaven, Gum Springs, James Lee, and Jefferson Manor.

- Also included in Fund 142 is support for staff and operating costs to provide federally-mandated relocation and advisory services to individuals affected by federally-funded County and FCRHA programs. In addition, funding is provided for staff support and operating costs for overall program management and planning for Community Development Block Grant and Section 108 Loan programs. This includes preparation of the annual HUD Consolidated Plan and other program reports, administration and monitoring of non-profit contracts, evaluation of program performance, and planning of the development of affordable housing in the County. In FY 2012, funding for these services is estimated to be $1,477,911 (Planning and Urban Design, General Administration and Housing Program Relocation projects).

- The Homeownership Assistance Program provides funding in the amount of $354,085 for the support of staff in the Relocation Services Branch, who provides support to the First-Time Homebuyer and Moderate Income Direct Sales Programs. The main duties of these positions include application data entry, waiting list maintenance, application processing, conducting lotteries, annual occupancy certifications, counseling applicants and program compliance.

- Upon approval of the final HUD award in May 2011, it is anticipated that funding in the amount of $682,197 for Contingency Fund requirements will be available for allocation to rehabilitation, revitalization and loan programs, which will be outlined in the Consolidated Plan One-Year Action Plan for FY 2012.

# Fund 142
# Community Development Block Grant

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 21/ 21 | 21/ 21 | 22/ 22 | 22/ 22 |
| Home Improvement Loan Program | $155,326 | $0 | $443,740 | $0 |
| Housing Development Corporation | 0 | 0 | 19,710 | 0 |
| Home Repair for the Elderly | 259,243 | 286,463 | 451,878 | 297,518 |
| General Administration | 728,417 | 670,409 | 1,260,015 | 654,951 |
| MIDS Resale Project | (27) | 0 | 27 | 0 |
| Good Shepherd Housing | 213,900 | 81,440 | 658,387 | 0 |
| Housing Program Relocation | 270,968 | 286,530 | 572,412 | 294,625 |
| RPJ Transitional Housing | 41,001 | 0 | 14,267 | 0 |
| Bilingual Rehabilitation Specialist | 101,685 | 89,380 | 119,661 | 0 |
| Section 108 Loan Payments | 1,343,463 | 1,270,001 | 2,189,714 | 1,510,996 |
| FACETS Family Enrichment | 249,161 | 232,030 | 308,608 | 0 |
| Reston Interfaith | 63,000 | 0 | 0 | 0 |
| Ethopian Development Council | 86,059 | 73,000 | 82,250 | 0 |
| New Hope Housing, Inc. | 64,819 | 71,250 | 150,527 | 0 |
| Fair Housing Program | 56,303 | 57,512 | 184,117 | 57,512 |
| Homeownership Assistance Program | 334,879 | 316,279 | 710,999 | 354,085 |
| Community Havens | 0 | 0 | 102,000 | 0 |
| Senior/Disabled Housing Development | 0 | 0 | 146,342 | 0 |
| Affordable/Workforce Housing Projects | 0 | 0 | 70,682 | 0 |
| Rehabilitation of FCRHA Properties | 0 | 0 | 1,134,464 | 0 |
| Accessibility Modifications | 0 | 0 | 500,000 | 0 |
| The Brain Foundation | 0 | 300,000 | 310,000 | 0 |
| Magnet Housing | 0 | 0 | 146,407 | 0 |
| Neighborhood Stabilization Program | 1,419,054 | 0 | 1,387,083 | 0 |
| Neighborhood Stabilization Program (State) | 455,141 | 0 | 544,859 | 0 |
| Mondloch House | 45,451 | 0 | 1,234,526 | 0 |
| Pathway Homes | 0 | 0 | 220,149 | 0 |
| Planning and Urban Design | 461,516 | 468,540 | 951,768 | 528,335 |
| Child Care Center Grant Program | 0 | 0 | 98,182 | 0 |
| Christian Relief Services | 86,127 | 117,690 | 283,631 | 0 |
| RPJ Housing Acquisition | 5,827 | 0 | 417,866 | 0 |
| Contingency Fund | 0 | 615,780 | 68,205 | 682,197 |
| Reston Interfaith Housing Corporation | 467,750 | 481,000 | 648,851 | 0 |
| Newcomer Community Service | 67,127 | 0 | 0 | 0 |
| Revitalization | 44,973 | 0 | 41,631 | 0 |

# Fund 142
# Community Development Block Grant

## Agency Summary

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Capital Projects: | | | | |
| Other[1] | $0 | $0 | $0 | $2,082,914 |
| Huntington Community Center | 0 | 0 | 12,311 | 0 |
| Bailey's Road Improvements | 0 | 0 | 53,031 | 0 |
| Fairhaven Public Improvements | 0 | 0 | 49,095 | 0 |
| Gum Springs Public Improvements | 0 | 0 | 45,971 | 0 |
| James Lee Road Improvements | 0 | 0 | 96,963 | 0 |
| North Hill | 0 | 0 | 156,271 | 0 |
| Little River Glen | 370,000 | 0 | 0 | 0 |
| Wesley/Coppermine | 63,000 | 110,000 | 226,017 | 0 |
| Homestretch | 135,568 | 455,000 | 488,333 | 0 |
| Lincolnia Center | 0 | 0 | 156,271 | 0 |
| West Ox Group Home | 0 | 0 | 200,000 | 0 |
| Lewinsville Expansion | 0 | 0 | 152,876 | 0 |
| **Total Expenditures** | **$7,589,731** | **$5,982,304** | **$17,110,097** | **$6,463,133** |

[1] Please note that FY 2012 funding will be combined with County General Funds and the Community Services Block Grant into a Consolidated Community Funding Pool to provide funds through a competitive process to non-profit organizations for human services and affordable housing development and preservation.

## Position Summary

**ADMINISTRATION**
1 GIS Analyst II

**HOUSING MANAGEMENT**
1 Housing Services Specialist IV
3 Housing Services Specialists II
1 Administrative Assistant IV

**REAL ESTATE FINANCE AND GRANTS MANAGEMENT**
1 Real Estate/Grant Manager
1 Housing/Community Developer V
2 Housing/Community Developers IV
2 Housing/Community Developers III
1 Senior Maintenance Supervisor
2 General Bldg. Maint. Workers I
1 Administrative Assistant IV

**FINANCIAL MANAGEMENT**
1 Accountant III
1 Administrative Assistant V
1 Management Analyst III

**DESIGN, DEVELOPMENT AND CONSTRUCTION**
2 H/C Developers IV
1 Administrative Assistant IV

**TOTAL POSITIONS**
**22 Positions / 22.0 Staff Years**

# FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- **U.S. Department of Housing and Urban Development (HUD) Award** **$480,829**
  An increase of $480,829 is associated with the FY 2011 HUD award that was used to project expenditures for this fund in FY 2012.

# Fund 142
# Community Development Block Grant

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

♦ **Carryover Adjustments** **$11,127,793**

As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $11,127,793 due to carryover of $10,297,741 in unexpended project balances, appropriation of $349,223 in unanticipated program income received in FY 2010, and $480,829 due to the amended U.S. Department of Housing and Urban Development (HUD) award approved by the Board of Supervisors on April 27, 2010.

♦ **Position Adjustment** **$0**

As part of the FY 2011 review of County position categories, a conversion of 1/1.0 SYE position has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

# Fund 142
# Community Development Block Grant

## FUND STATEMENT

**Fund Type H14, Special Revenue Funds** **Fund 142, Community Development Block Grant**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$390,382** | **$0** | **$1,371,202** | **$0** |
| Revenue: | | | | |
| Community Development Block Grant (CDBG) | $7,764,218 | $5,982,304 | $14,585,501 | $6,463,133 |
| American Recovery and Reinvestment Act of 2009 | 457,110 | 0 | 1,153,394 | 0 |
| CDBG Program Income | 349,223 | 0 | 0 | 0 |
| Total Revenue | $8,570,551 | $5,982,304 | $15,738,895 | $6,463,133 |
| **Total Available** | **$8,960,933** | **$5,982,304** | **$17,110,097** | **$6,463,133** |
| Expenditures: | | | | |
| CDBG Projects | $7,589,731 | $5,982,304 | $17,110,097 | $6,463,133 |
| Total Expenditures | $7,589,731 | $5,982,304 | $17,110,097 | $6,463,133 |
| **Total Disbursements** | **$7,589,731** | **$5,982,304** | **$17,110,097** | **$6,463,133** |
| **Ending Balance**[1] | **$1,371,202** | **$0** | **$0** | **$0** |

[1] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 143
# Homeowner and Business Loan Programs

## Mission

The overall goals of the Homeowner and Business Loan Programs are to enhance the quality of life and economic base of the County by providing support for homeownership, to repair and upgrade existing housing and to assist small and minority businesses. One focus is to provide a means and opportunity for low- and moderate-income households to become homeowners in the County through the First-Time Homebuyers Program operated by the Fairfax County Redevelopment and Housing Authority (FCRHA) and offering units through the Moderate Income Direct Sales (MIDS) Program and Fairfax County's Affordable Dwelling Unit (ADU) Ordinance. The second focus is to provide affordable loans for housing improvement and rehabilitation to qualifying low-income homeowners or homeowners living in areas targeted for improvement, resulting in the elimination of health and safety code violations, enhancing the quality and appearance of existing housing and retaining existing affordable housing. The third focus is to provide business assistance and counseling services as well as direct loans to qualified minority businesses.

## Focus

Fund 143, Homeowner and Business Loan Programs, is comprised of three programs designed to meet the agency mission as detailed below:

- The First-Time Homebuyers Program is designed to provide affordable homeownership opportunities for low- to moderate-income families who otherwise could not afford to purchase a home. The Moderate Income Direct Sales (MIDS) program that was initially established in 1978 allows units acquired or constructed by the FCRHA to be sold to moderate-income families, with the purchase made possible by the provision of second trust loans. The resale price of the unit is limited, and the FCRHA has the right of first refusal when the home is resold. Since 1993, the FCRHA has been marketing units that are provided under provisions of Fairfax County's Affordable Dwelling Unit Ordinance. These units also serve low- and moderate-income households who are first-time homebuyers earning at least $25,000. Homes range in price from $70,000 to $160,000. Restrictive covenants apply that limit the sales price, and require owners to occupy the home. Homes purchased currently have a 30-year control period. The FCRHA has the right of repurchase or the right to assign the purchase to a new homebuyer. Applicants for both ADU units and MIDS units are required to participate in homeownership education classes and obtain a pre-conditional approval from a lender to participate in drawings to receive these homes.

- The Home Improvement Loan Program (HILP) provides loans to low- and moderate-income individuals to repair, modernize, or expand the living space for their families to help alleviate overcrowded conditions. Funds are also loaned to homeowners who are cited for health and housing code violations, and for replacement housing, if necessary. Grants are provided for low-income elderly or disabled residents through the Elderly Home Repair Program to make needed repairs and provide for handicapped accessibility, to prevent displacement, and to allow these individuals to live in safe and sanitary housing. County appropriated funds within Fund 143, Homeowner and Business Loan Programs are used in conjunction with federal funding in Fund 142, Community Development Block Grant, to increase the assistance available to County residents.

- The Small and Minority Business Loan program was initiated in FY 1996, and Fund 143, Homeowner and Business Loan Programs, was expanded to include the receipt of federal funds for the operations of this program which provides loans to qualified small and minority businesses. Program funds are administered by the Community Business Partnership (formerly the South Fairfax Regional Business Partnership, Inc.) through an agreement with the Department of Housing and Community Development. Loan repayments from the business loans will be received as revenue in Fund 143, Homeowner and Business Loan Programs, and will be used to pay debt service on the Section 108 Loan 7.

Program income from the HILP and MIDS programs will provide direct loans, consistent with the business plan approved by the FCRHA and the Board of Supervisors.

# Fund 143
# Homeowner and Business Loan Programs

FY 2012 revenues are projected to be $4,514,316 with the actual results reliant upon economic conditions, participants' ability to repay rehabilitation loans and the real estate market environment for MIDS and ADU resale properties and second trusts. The Section 108 loans will be repaid according to scheduled payments. In addition to the funding in Fund 143, the HILP Program initiated a two percent loan origination fee as of July 1, 1996 on all loans settled by the program. The revenue generated by this program goes directly into Fund 940, FCRHA General Operating to support staff costs associated with the program.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Expenditures: | | | | |
| Operating Expenses | $5,352,189 | $3,883,825 | $8,629,710 | $4,514,316 |
| **Total Expenditures** | **$5,352,189** | **$3,883,825** | **$8,629,710** | **$4,514,316** |

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Moderate Income and Direct Sales Program** **$620,930**
  An increase of $620,930 is due to higher expenditures for an increased number of units and cost per unit purchased and resold.

- **Home Improvement Loan Program** **$11,108**
  An increase of $11,108 is due to higher expenditures for loan repayments and administrative costs based on a previous three-year average of activity.

- **Small and Minority Business Loan Program** **($1,547)**
  A decrease of $1,547 is due to lower expenditures for U..S. Department of Housing and Urban Development Section 108 Loan 7 repayments based on the repayment schedule.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$4,745,885**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $4,745,885 due to the carryover of FY 2010 balances in the County Rehabilitation Loan Program and Small and Minority Business Loan Program, as well as the appropriation of MIDS program income received in FY 2010.

# Fund 143
# Homeowner and Business Loan Programs

## FUND STATEMENT

**Fund Type H14, Special Revenue Funds** | **Fund 143, Homeowner and Business Loan Programs**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$4,078,937** | **$0** | **$3,879,171** | **$3,265,439** |
| Revenue: | | | | |
| Program Income (MIDS) | $4,823,516 | $3,721,341 | $5,986,780 | $4,342,271 |
| County Rehabilitation Loan Repayments | 266,797 | 113,349 | 1,333,166 | 124,457 |
| Business Loan Program | 62,110 | 49,135 | 696,032 | 47,588 |
| Total Revenue | $5,152,423 | $3,883,825 | $8,015,978 | $4,514,316 |
| **Total Available** | **$9,231,360** | **$3,883,825** | **$11,895,149** | **$7,779,755** |
| Expenditures: | | | | |
| Moderate Income Direct Sales Program (MIDS) | $4,944,823 | $3,721,341 | $5,530,702 | $4,342,271 |
| Rehabilitation Loans and Grants | 363,154 | 113,349 | 2,204,795 | 124,457 |
| Business Loan Program | 44,212 | 49,135 | 894,213 | 47,588 |
| Total Expenditures | $5,352,189 | $3,883,825 | $8,629,710 | $4,514,316 |
| **Total Disbursements** | **$5,352,189** | **$3,883,825** | **$8,629,710** | **$4,514,316** |
| **Ending Balance**[1] | **$3,879,171** | **$0** | **$3,265,439** | **$3,265,439** |

[1] Projects are budgeted based on the total program costs and most programs span multiple years. Therefore, funding is carried forward each fiscal year and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 144
# Housing Trust Fund

## Focus

Fund 144, Housing Trust Fund, was created in FY 1990 to reflect the expenditures and revenues of funds earmarked to encourage and support the acquisition, preservation, development and redevelopment of affordable housing by the Fairfax County Redevelopment and Housing Authority (FCRHA), non-profit sponsors and private developers. The fund is intended to promote endeavors that will furnish housing to low- and moderate-income individuals in Fairfax County by providing low-cost debt and equity capital in the form of loans, grants and equity contributions. Only capitalized costs are eligible for funding from the Housing Trust Fund.

Under the criteria approved by the FCRHA and the Board of Supervisors for the Housing Trust Fund, highest priority is assigned to projects which enhance existing County and FCRHA programs, acquire, construct or preserve housing which will be maintained for lower income occupants over the long term, promote affordable housing and leverage private funds.

In FY 1996, the Board of Supervisors authorized the FCRHA to implement a pre-development fund as a component of the Housing Trust Fund.

On behalf of the County, the FCRHA administers the Housing Trust Fund, and on an on-going basis, accepts and reviews applications from non-profit corporations and private developers for contributions from this source. The FCRHA forwards its recommendations of projects to be funded to the Board of Supervisors based on this review. The FCRHA itself may submit proposals meeting the Housing Trust Fund criteria to the Board of Supervisors at any time for the Board's approval.

In FY 2012, revenues are estimated to be $348,814, a decrease of $491,186 or 58.5 percent less than the FY 2011 Adopted Budget Plan. This decrease is primarily attributable to lower proffered contributions based on an average of the past three years' actuals. FY 2012 expenditures of $348,814 will be allocated to three projects; the Rehabilitation of FCRHA Properties, Affordable Housing Partnership Program - Tier Three and the Undesignated Project for reallocation to specific projects when identified and approved.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$3,545,008**

  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $3,545,008 due to the carryover of unexpended project balances in the amount of $4,154,038, offset by a reduction of $609,030. The reduction primarily reflects a reduction in estimated revenues in FY 2011.

# Fund 144
# Housing Trust Fund

## FUND STATEMENT

**Fund Type H14, Special Revenue Funds** **Fund 144, Housing Trust Fund**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$6,160,757** | **$229,060** | **$4,239,068** | **$229,060** |
| Revenue: | | | | |
| Proffered Contributions | $90,850 | $815,000 | $350,000 | $225,000 |
| Investment Income | 33,003 | 25,000 | 25,000 | 25,000 |
| Miscellaneous Revenue | 132,117 | 0 | 0 | 98,814 |
| Total Revenue | $255,970 | $840,000 | $375,000 | $348,814 |
| **Total Available** | **$6,416,727** | **$1,069,060** | **$4,614,068** | **$577,874** |
| Expenditures: | | | | |
| Capital Projects | $2,177,659 | $840,000 | $4,385,008 | $348,814 |
| Total Expenditures | $2,177,659 | $840,000 | $4,385,008 | $348,814 |
| **Total Disbursements** | **$2,177,659** | **$840,000** | **$4,385,008** | **$348,814** |
| | | | | |
| **Ending Balance**[1] | **$4,239,068** | **$229,060** | **$229,060** | **$229,060** |
| Reserved Fund Balance[2] | $229,060 | $229,060 | $229,060 | $229,060 |
| **Unreserved Ending Balance** | **$4,010,008** | **$0** | **$0** | **$0** |

[1] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

[2] The Reserved Fund Balance reflects revenue receivable to the Housing Trust Fund for interest owed by Reston Interfaith on an equity lien held by the FCRHA.

# Fund 144
# Housing Trust Fund

## FY 2012 Summary of Capital Projects

### Fund: 144 Housing Trust Fund

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 003875 | Island Walk Cooperative | $548,634 | $0.00 | $0.00 | $0 |
| 003892 | Briarcliff Phase I | 500,000 | 0.00 | 0.00 | 0 |
| 003910 | James Lee Road Improvements | 624 | 623.88 | 0.00 | 0 |
| 003969 | Lewinsville Elderly Facility | 159,947 | 0.00 | 0.00 | 0 |
| 013808 | Herndon Harbor House Phase I | 2,050,378 | 0.00 | 0.00 | 0 |
| 013827 | Strawbridge Square | 50,000 | 0.00 | 0.00 | 0 |
| 013854 | Founders Ridge/Kingstowne NV | 599,877 | 0.00 | 0.00 | 0 |
| 013889 | Chain Bridge Gateway/Moriarty Place | 1,595,984 | 0.00 | 0.00 | 0 |
| 013901 | Tavenner Lane | 503,331 | 0.00 | 0.00 | 0 |
| 013906 | Undesignated Project | | 0.00 | 187,059.21 | 48,814 |
| 013908 | West Ox Group Home | 119,852 | 0.00 | 0.00 | 0 |
| 013914 | Cedar Ridge | 38,053 | 0.00 | 0.00 | 0 |
| 013935 | Mt. Vernon Mental Group Home | 123,847 | 0.00 | 0.00 | 0 |
| 013939 | Wesley Housing Development Corporation | 225,700 | 0.00 | 0.00 | 0 |
| 013948 | Little River Glen Phase II | 8,269,071 | 20,701.77 | 85,679.24 | 0 |
| 013951 | Patrick Street Transitional Group Home | 22,000 | 0.00 | 0.00 | 0 |
| 013966 | Glenwood Mews | 3,200,878 | 0.00 | 0.00 | 0 |
| 014013 | Tier One Predevelopment | | 0.00 | 50,000.00 | 0 |
| 014040 | Herndon Harbor Phase II | 529,555 | 0.00 | 0.00 | 0 |
| 014042 | Tier Two Predevelopment | | 0.00 | 50,000.00 | 0 |
| 014046 | Olley Glen | 704,129 | 0.00 | 0.00 | 0 |
| 014049 | Rogers Glen | 13,917 | 0.00 | 0.00 | 0 |
| 014051 | Mixed Greens | 881,789 | 0.00 | 0.00 | 0 |
| 014056 | Gum Springs Glen | 2,431,326 | 0.00 | 0.00 | 0 |
| 014098 | HTF Magnet Housing | 20,349 | 0.00 | 0.00 | 0 |
| 014116 | AHPP Tier III | | 0.00 | 0.00 | 200,000 |
| 014134 | Habitat at Stevenson Street | 300,000 | 0.00 | 0.00 | 0 |
| 014138 | Chesterbrook Residences | 1,603,999 | 0.00 | 0.00 | 0 |
| 014140 | Lewinsville Expansion | 2,932,752 | 19,800.00 | 1,997,270.07 | 0 |
| 014142 | HTF RSRV/Emergencies & Opportunities | | 12,357.13 | 150,131.48 | 0 |
| 014143 | HTF Land/Unit Acquisition | | 0.00 | 140,794.00 | 0 |
| 014144 | Transitional Housing | 1,000,000 | 0.00 | 1,000,000.00 | 0 |
| 014148 | Westbriar Plaza Condominiums | 107,457 | 0.00 | 0.00 | 0 |
| 014166 | Katherine K. Hanley Family Shelter | 2,044,936 | 0.00 | 0.00 | 0 |
| 014188 | Westcott Ridge | 516,000 | 0.00 | 0.00 | 0 |
| 014191 | Rehabilitation of FCRHA Properties | | 52,096.01 | 83,487.74 | 100,000 |
| 014198 | Madison Ridge | 5,100,000 | 0.00 | 0.00 | 0 |
| 014199 | Route 50 / West Ox Magnet Housing Project | 907,033 | 0.00 | 256,880.39 | 0 |
| 014234 | Willow Oaks | 272,430 | 0.00 | 0.00 | 0 |
| 014250 | Fairfield at Fair Chase | 93,889 | 0.00 | 0.00 | 0 |
| 014268 | Wedgewood | 1,900,000 | 1,900,000.00 | 0.00 | 0 |
| 014271 | Bond Release Projects | | 4,783.00 | 50,752.78 | 0 |
| 014305 | Charleston Square | 109,206 | 109,206.00 | 0.00 | 0 |
| 014310 | The Brain Foundation | | 58,091.00 | 0.00 | 0 |
| 014316 | Mondloch House | 332,953 | 0.00 | 332,953.40 | 0 |
| VA1951 | Tavenner Lane Apartments | 271,934 | 0.00 | 0.00 | 0 |
| VA1952 | Water's Edge | 780,551 | 0.00 | 0.00 | 0 |
| **Total** | | **$40,862,381** | **$2,177,658.79** | **$4,385,008.31** | **$348,814** |

# Fund 145
# HOME Investment Partnership Grant

## Mission

The goal of the HOME Investment Partnership Program (HOME) is to provide affordable housing through acquisition, rehabilitation, new construction and tenant-based rental assistance.

## Focus

In FY 2012, funding of $2,692,612 represents an estimated award from the U.S. Department of Housing and Urban Development (HUD). FY 2012 funding will provide for the Tenant Based Rental Assistance program and various other new and ongoing projects. Details for specific projects in Program Year 20 (FY 2012) will be approved by the Board of Supervisors (BOS) and submitted to HUD as part of the Consolidated Plan One-Year Action Plan: Use of Funds for FY 2012 in April 2011. After HUD and BOS approval, necessary project adjustments will be made.

The HOME Program was established as part of the Cranston-Gonzalez National Affordable Housing Act of 1990. HOME funds are allocated on an annual basis to eligible participating jurisdictions based on a formula allocation system. The HOME Program requires a 25 percent local match from the participating jurisdiction. The local match can come from any Housing and Community Development project, regardless of funding source that is HOME eligible. Any expenditure beginning in October 1992 in qualifying projects can be considered as part of the required matching funds. In FY 2012, the County will have adequate matching funds from all eligible projects to satisfy the requirement. Therefore, no additional local funds will need to be allocated to meet this requirement.

## Budget and Staff Resources

**Agency Summary**

| Category[1] | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 1/ 1 | 1/ 1 | 2/ 2 | 2/ 2 |
| Expenditures: | | | | |
| Housing Capital | $0 | $0 | $2,030,086 | $0 |
| Homeless/Special Needs | 450,426 | 2,030,743 | 4,777,579 | 2,019,459 |
| Community Housing Development Organizations | 639,163 | 406,149 | 1,406,403 | 403,892 |
| Administration | 165,957 | 270,765 | 852,977 | 269,261 |
| **Total Expenditures** | **$1,255,546** | **$2,707,657** | **$9,067,045** | **$2,692,612** |

[1] Categories as required by the U.S. Department of Housing and Urban Development (HUD) for reporting purposes.

**Position Summary**

| | | | |
|---|---|---|---|
| | DESIGN, DEVELOPMENT AND CONSTRUCTION | | |
| 1 | Housing Community Developer IV | 1 | Housing Services Specialist II |
| **TOTAL POSITION** | | | |
| **2 Positions / 2.0 Staff Years** | | | |

# Fund 145
# HOME Investment Partnership Grant

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- **U.S. Department of Housing and Urban Development (HUD) Award** **($15,045)**
  A decrease of $15,045 is associated with the FY 2011 HUD award that was used to project expenditures for this fund in FY 2012.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$6,359,388**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $6,359,388 due to carryover of $6,330,180 in unexpended project balances, the appropriation of $44,253 in additional revenue received in FY 2010 due to program income, and a decrease of $15,045 due to the amended U.S. Department of Housing and Urban Development (HUD) award approved by the Board of Supervisors on April 27, 2010.

- **Position Adjustment** **$0**
  As part of the FY 2011 review of County position categories, a conversion of 1/1.0 SYE position has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 145
# HOME Investment Partnership Grant

## FUND STATEMENT

**Fund Type H14, Special Revenue Funds** **Fund 145, HOME Investment Partnership Grant**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance**[1] | **$63,945** | **$0** | **($874,135)** | **$0** |
| Revenue: | | | | |
| HOME Grant Funds | $273,213 | $2,707,657 | $9,941,180 | $2,692,612 |
| HOME Program Income | 44,253 | 0 | 0 | 0 |
| Total Revenue | $317,466 | $2,707,657 | $9,941,180 | $2,692,612 |
| **Total Available** | **$381,411** | **$2,707,657** | **$9,067,045** | **$2,692,612** |
| Expenditures: | | | | |
| HOME Projects[2] | $1,255,546 | $2,707,657 | $9,067,045 | $2,692,612 |
| Total Expenditures | $1,255,546 | $2,707,657 | $9,067,045 | $2,692,612 |
| **Total Disbursements** | **$1,255,546** | **$2,707,657** | **$9,067,045** | **$2,692,612** |
| **Ending Balance**[3] | **($874,135)** | **$0** | **$0** | **$0** |

[1] The *FY 2011 Revised Budget Plan* negative Beginning Balance was attributed to a delay in receipt of HOME project expenditure reimbursements. These reimbursements were received in FY 2011.

[2] FY 2012 HOME funding projections include $1,541,827 for the Silver Lining Initiative; a planning factor of $477,632 for Tenant-Based Rental Assistance; a set-aside of at least 15 percent, $403,892, mandated under HOME regulations, from the County's total HOME allocation for eligible Community Housing Development Organizations (CHDOs); and a 10 percent set-aside of $269,261 for administrative expenses as permitted under HOME regulations (including $24,427 for the Fair Housing Program).

[3] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 145
# HOME Investment Partnership Grant

## FY 2012 Summary of Capital Projects

### Fund: 145 HOME Investment Partnerships Grant

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 003875 | Island Walk Cooperative | $1,000,000 | $0.00 | $0.00 | $0 |
| 013808 | Herndon Harbor House Phase I | 553,853 | 0.00 | 0.00 | 0 |
| 013854 | Founders Ridge/Kingstowne NV | 31,927 | 0.00 | 0.00 | 0 |
| 013856 | Birmingham Green | 1,250,000 | 0.00 | 0.00 | 0 |
| 013868 | Good Shepherd Housing | 902,202 | 0.00 | 540,000.00 | 0 |
| 013883 | Old Mill Road | 59,500 | 0.00 | 0.00 | 0 |
| 013886 | RPJ Transitional Housing | 776,860 | 0.00 | 88,360.00 | 0 |
| 013901 | Tavenner Lane | 734,600 | 0.00 | 0.00 | 0 |
| 013912 | Stevenson Street | 570,000 | 0.00 | 0.00 | 0 |
| 013933 | Reston Interfaith Townhouses | 1,305,644 | 0.00 | 386.40 | 0 |
| 013954 | CHDO Undesignated | | 0.00 | 777,656.90 | 403,892 |
| 013969 | Castellani Meadows | 1,039,961 | 0.00 | 0.00 | 0 |
| 013971 | Tenant-Based Rental Assistance | | 73,041.00 | 658,370.52 | 245,584 |
| 013974 | HOME Development Costs | | 0.00 | 68,702.05 | 0 |
| 013975 | HOME Administration | | 156,290.23 | 787,169.70 | 244,834 |
| 014034 | Fair Housing Program | | 9,666.66 | 65,806.85 | 24,427 |
| 014040 | Herndon Harbor Phase II | 2,533,802 | 0.00 | 0.00 | 0 |
| 014056 | Gum Springs Glen | 2,612,665 | 0.00 | 0.00 | 0 |
| 014134 | Habitat at Stevenson Street | 216,000 | 0.00 | 0.00 | 0 |
| 014137 | Little River Glen III | 2,788,471 | 0.00 | 0.00 | 0 |
| 014144 | Transitional Housing | 407,000 | 23,521.28 | 94,580.86 | 0 |
| 014190 | American Dream Downpayment Initiative | | 0.00 | 46,650.52 | 0 |
| 014191 | Rehabilitation of FCRHA Properties | | 0.00 | 514,655.00 | 0 |
| 014238 | Holly Acres | 144,500 | 0.00 | 0.00 | 0 |
| 014254 | East Market | 145,000 | 0.00 | 0.00 | 0 |
| 014255 | Lorton Valley | 264,117 | 0.00 | 0.00 | 0 |
| 014265 | Partnership for Permanent Housing | | 231,464.00 | 733,112.25 | 232,048 |
| 014275 | Silver Lining Initiative | | 122,400.00 | 3,244,864.55 | 1,541,827 |
| 014310 | The Brain Foundation | | 458,992.00 | 0.00 | 0 |
| 014316 | Mondloch House | 1,446,729 | 0.00 | 1,446,729.00 | 0 |
| 014949 | Reston Interfaith Housing Corporation | | 180,171.00 | 0.00 | 0 |
| **Total** | | **$18,782,831** | **$1,255,546.17** | **$9,067,044.60** | **$2,692,612** |

# Fund 319
# The Penny for Affordable Housing Fund

## Focus

Fund 319, The Penny for Affordable Housing Fund, formerly known as the Housing Flexibility Fund, was established in FY 2006 and is designed to serve as a readily available local funding source with the flexibility to address emerging local affordable housing needs. For fiscal years 2006 through 2009, the Board of Supervisors dedicated revenue commensurate with the value of one cent from the Real Estate tax rate to the Preservation of Affordable Housing, a major County priority. In FY 2010, the Board of Supervisors reduced The Penny for Affordable Housing Fund by 50 percent to reallocate funding for critical human services and public safety program restorations in order to balance the FY 2010 budget. From FY 2006 through FY 2011, the fund has provided a total of $104.9 million for affordable housing in Fairfax County.

Between 1980 and 2005, the assessed value of housing in Fairfax County rose more than 300 percent. The foreclosure crisis in Fairfax County precipitated a significant decline in sales prices from their unprecedented highs in 2005 and 2006. However, according to the George Mason University Center for Regional Analysis, despite these declines in sales prices, the average housing price in 2009 remained equivalent to that of February 2004, or approximately 84 percent higher than 2000. Thus, homeownership remains out of reach for most low- and moderate-income households in Fairfax County.

Rents have also been driven up by the significant and growing demand for housing in the County. Although current market conditions have seen decreases in residential real estate prices, the recent recession has not had an impact on rent affordability. Between 2002 and 2010, Fairfax County lost approximately 8,051 non-subsidized rental units affordable to households earning up to 70 percent of the Area Median Income (AMI), or $71,890 for a family of four in FY 2009. The percentage of rental units affordable at 70 percent of AMI fell from 75 percent in 2002 to 56 percent in 2008, and was held constant at 56 percent in 2010. The AMI for Fairfax County in FY 2009, as published by the United States Department of Housing and Urban Development (HUD), is $102,700. In fact, the annual income needed to afford a two bedroom apartment at the HUD-published fair market rate of $1,494 per month was estimated to be $59,760 in FY 2010. This is over 50 percent of the AMI, meaning that there are many wage earners for whom living in Fairfax County is a significant financial struggle.

In addition, according to the 2009 HUD Comprehensive Housing Affordability Strategy (CHAS) data estimates, 37,847 rental households earning 80 percent of AMI and below had "housing problems", meaning they were cost burdened or paying more than 30 percent of their gross income for housing, or in overcrowded or substandard housing. The Center for Regional Analysis at George Mason University estimates that there is a need for 63,660 net new affordable units for households earning up to 120 percent of the AMI by 2025, including 40,338 net new units affordable to households earning 80 percent of the AMI and below, based on projected job growth. Taken together, this represents a need for over 100,000 units of affordable workforce housing in Fairfax County within the next 15 years.

Fund 319 represents the County's financial commitment to preserving and creating affordable housing opportunities by dedicating a portion of its revenue specifically for affordable and workforce housing. To maximize the effectiveness of these funds, the Board of Supervisors recommended a minimum leverage ratio of 3:1 with non-County funds and that units funded by Fund 319 remain affordable at a minimum for a period of time consistent with the County's Affordable Dwelling Unit Ordinance, which was amended to be 30 years effective February 2006.

As of February 2011, a total of 2,423 affordable units have been preserved for both homeownership and rental purposes in a variety of large and small projects. Of that number, 252 units are preserved as affordable housing for periods of five years or less, and 2,171 units are preserved for 20 years or longer. A variety of funding sources were used to preserve these units; however, Fund 319 funds were critical for the preservation efforts associated with five large multifamily complexes that were purchased by private nonprofits and which represent a significant portion of the units preserved: 216 units in Madison Ridge in Centreville (Sully District), 148 units in Hollybrooke II and III in the Seven Corners area of Falls Church (Mason District), 90 units in Sunset Park Apartments in Falls Church (Mason District), 319 units in Janna Lee Villages in the Hybla Valley area (Lee District) and 105 units in Coralain Gardens located on Arlington Boulevard (Route 50) in Falls Church (Mason District). Fund 319 was also instrumental in preserving two large complexes: 180 units at the

Crescent apartment complex in Reston (Hunter Mill District) and 672 units at the Wedgewood apartment complex in Annandale (Braddock District). These projects were purchased by the County and are being managed by the Fairfax County Redevelopment and Housing Authority as part of the low- and moderate-income rental program. Without the availability of Fund 319, both of these apartment complexes may have been lost as affordable housing.

More recently, the Board of Supervisors has used the flexibility of The Penny for Affordable Housing Fund to address emerging local affordable housing opportunities and needs other than preservation. In FY 2009, the fund provided $6.3 million for the construction of 90 units of affordable active senior living at Olley Glen (Braddock District). The fund also provided $0.8 million in FY 2009 to support the Silver Lining Initiative, which provides below-market second trusts to income-qualified Fairfax County first-time homebuyers purchasing bank-owned foreclosed homes. This was the first time the fund has been used to provide direct financing to qualified individual homebuyers. These funds were combined with existing federal HOME Investment Partnership (HOME) funds, and were further supplemented by $1.5 million from the County's allocation of federal Neighborhood Stabilization Program (NSP) funds, received under the Housing and Economic Recovery Act of 2008. For low-cost, first-trust financing, the Silver Lining Initiative relies primarily on Fairfax County's allocation from the Virginia Housing Development Authority's (VHDA) Sponsoring Partnerships and Revitalizing Communities (SPARC) loan allocation. To date, the Silver Lining program has resulted in opportunities for 20 households to purchase foreclosed homes.

During its retreat in June 2009, the Board of Supervisors reaffirmed the County's commitment to affordable housing and discussed the use of affordable housing resources in future fiscal years. In response to these discussions, the "Housing Blueprint" was presented to the Board at its Housing Committee meeting of January 19, 2010. The Blueprint was a collaborative effort among County agencies, non-profits and advocates and laid out the priorities for housing, including four principal goals: 1) To end homelessness in 10 years; 2) To provide affordable housing options to those with special needs; 3) To reduce the waiting lists for affordable housing by half in 10 years; and 4) To produce workforce housing sufficient to accommodate projected job growth. The effort also supports the shift of emphasis from preserving affordable housing to: 1) providing housing for those in greatest need, 2) partnering with non-profits, 3) refocusing existing resources, 4) bridging the affordability gap, 5) completing projects in the pipeline and 6) promoting workforce housing through land use policy and private sector partnerships. The Board formally adopted the Housing Blueprint on January 26, 2010.

The collaborative process that resulted in the Blueprint also helped to create specific FY 2011 metrics for each of the four overarching Blueprint goals. In addition to re-focusing existing resources and other efforts, the FY 2011 Blueprint metrics called for the creation of a locally-funded "Bridging Affordability" program to address the homelessness and waiting list goals. The Board subsequently provided, as part of the FY 2011 budget process, a total of $4.1 million in project revenue from the County-owned Wedgewood Apartments complex for the Bridging Affordability program.

The "Bridging Affordability" program is designed to provide funding to non-profits, via a competitive process, for use as rental subsidies and capital for the acquisition of additional affordable units. In FY 2011, it is expected that these funds will serve approximately 48 homeless individuals and families, and about 364 households on the County's affordable housing waiting lists. A request for proposals for the Bridging Affordability program was issued in the fall of 2010.

In FY 2012, Fund 319 funding of $14,668,400, comprised of $9,650,000 in Real Estate Tax Revenue and $5,018,400 in operating revenue from the Wedgewood and Crescent Apartments, is allocated as follows: $5,775,000 for Wedgewood for the annual debt service; $4,318,400 to fund the Bridging Affordability Program portion of the Housing Blueprint; $3,900,000 for Crescent Apartments for the annual debt service; and $675,000 to be allocated to Affordable/Workforce Housing Projects for reallocation to specific projects when authorized by the Board of Supervisors.

# Fund 319
# The Penny for Affordable Housing Fund

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$6,406,499**

  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $6,406,499 due to the carryover of $5,274,677 in unexpended project balances, $900,000 to account for the annual debt service payment required for Crescent Apartments as approved by the Board of Supervisors on January 28, 2008, and $231,822 to appropriate additional revenue received in FY 2010 from a loan repayment.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 319
# The Penny for Affordable Housing Fund

## FUND STATEMENT

**Fund Type G30, Capital Project Funds** | **Fund 319, The Penny for Affordable Housing Fund**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$10,681,953** | **$0** | **$5,506,499** | **$0** |
| Revenue: | | | | |
| Real Estate Tax Revenue Associated with The Penny for Affordable Housing | $10,270,000 | $9,340,000 | $9,340,000 | $9,650,000 |
| Miscellaneous | 2,741,075 | 4,118,400 | 5,018,400 | 5,018,400 |
| Total Revenue | $13,011,075 | $13,458,400 | $14,358,400 | $14,668,400 |
| **Total Available** | **$23,693,028** | **$13,458,400** | **$19,864,899** | **$14,668,400** |
| Total Expenditures | $18,186,529 | $13,458,400 | $19,864,899 | $14,668,400 |
| **Total Disbursements** | **$18,186,529** | **$13,458,400** | **$19,864,899** | **$14,668,400** |
| **Ending Balance[1]** | **$5,506,499** | **$0** | **$0** | **$0** |

[1] Capital projects are budgeted based on the total project costs. Many projects span multiple years, and therefore, funding for those projects are carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 319
# The Penny for Affordable Housing Fund

## FY 2012 Summary of Capital Projects

**Fund: 319 The Penny for Affordable Housing Fund**

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 014046 | Olley Glen | $6,300,000 | $0.00 | $0.00 | $0 |
| 014196 | Affordable/Workforce Housing Projects | | 321,258.90 | 961,463.66 | 675,000 |
| 014198 | Madison Ridge | 2,500,000 | 0.00 | 0.00 | 0 |
| 014232 | Hollybrooke II Apartments | 3,350,000 | 0.00 | 0.00 | 0 |
| 014237 | Yorkville Apartments | 64,932 | 0.00 | 0.00 | 0 |
| 014239 | Crescent Apartments | 72,024,180 | 3,883,455.75 | 4,452,169.16 | 3,900,000 |
| 014240 | Sunset Park Apartments | 5,000,000 | 0.00 | 0.00 | 0 |
| 014250 | Fairfield at Fair Chase | 306,555 | 0.00 | 0.00 | 0 |
| 014252 | Janna Lee Village I | 13,000,000 | 0.00 | 0.00 | 0 |
| 014253 | Janna Lee Village II | 5,377,810 | 0.00 | 0.00 | 0 |
| 014254 | East Market | 145,395 | 0.00 | 0.00 | 0 |
| 014258 | Hollybrooke III Apartments | 3,100,000 | 0.00 | 0.00 | 0 |
| 014261 | Reston Glen | 2,375,000 | 0.00 | 0.00 | 0 |
| 014262 | Coralain Gardens | 5,300,000 | 0.00 | 0.00 | 0 |
| 014263 | Bryson at Woodland Park | 108,000 | 0.00 | 0.00 | 0 |
| 014264 | Fair Oaks Landing | 188,000 | 0.00 | 0.00 | 0 |
| 014268 | Wedgewood | 37,191,250 | 13,417,998.08 | 9,643,669.93 | 5,775,000 |
| 014269 | Northampton | 207,977 | 207,976.68 | 0.00 | 0 |
| 014270 | Stockwell Manor | 182,746 | 182,746.19 | 0.00 | 0 |
| 014273 | Halstead | 172,593 | 172,593.28 | 0.00 | 0 |
| 014275 | Silver Lining Initiative | | 500.00 | 689,196.00 | 0 |
| 014277 | Bridging Affordability Program | | 0.00 | 4,118,400.00 | 4,318,400 |
| **Total** | | **$156,894,439** | **$18,186,528.88** | **$19,864,898.75** | **$14,668,400** |

# Fund 340
# Housing Assistance Program

## Focus
The Housing Assistance Program has been a source of funds for the development of low- and moderate-income housing and support of public improvement projects in low- and moderate-income neighborhoods. In addition, proceeds from the U.S. Department of Housing and Urban Development (HUD) Section 108 Loan provide for public improvement projects in five of the County's Conservation Areas: Bailey's, Fairhaven, Gum Springs, James Lee and Jefferson Manor.

In FY 2012, a General Fund Transfer provides $515,000 for current program needs, staffing and other activities associated with countywide residential improvement and repair projects within the Department of Housing and Community Development.

## Changes to FY 2011 Adopted Budget Plan
*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$7,940,286**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $7,940,286 due to the carryover of unexpended project balances in the amount of $7,939,656 and an increase of $688 to appropriate revenues received in FY 2010, offset by a decrease of $58 due to project closeouts.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 340
# Housing Assistance Program

## FUND STATEMENT

**Fund Type H34, Capital Project Funds** **Fund 340, Housing Assistance Program**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **($3,162,227)** | **$23,037** | **($3,610,267)** | **$23,095** |
| Revenue: | | | | |
| Miscellaneous Revenues | $688 | $0 | $0 | $0 |
| Bond Proceeds[1] | 242,200 | 0 | 4,114,633 | 0 |
| Grant Proceeds | 168,873 | 0 | 617,156 | 0 |
| Section 108 Proceeds | 0 | 0 | 6,841,859 | 0 |
| Total Revenue | $411,761 | $0 | $11,573,648 | $0 |
| Transfer In: | | | | |
| General Fund (001) | $515,000 | $515,000 | $515,000 | $515,000 |
| Total Transfer In | $515,000 | $515,000 | $515,000 | $515,000 |
| **Total Available** | **($2,235,466)** | **$538,037** | **$8,478,381** | **$538,095** |
| Expenditures: | | | | |
| Capital Projects | $1,074,560 | $515,000 | $8,455,286 | $515,000 |
| Total Expenditures | $1,074,560 | $515,000 | $8,455,286 | $515,000 |
| Transfer Out: | | | | |
| County Construction (303) | $300,241 | $0 | $0 | $0 |
| Total Transfer Out | $300,241 | $0 | $0 | $0 |
| **Total Disbursements** | **$1,374,801** | **$515,000** | **$8,455,286** | **$515,000** |
| **Ending Balance[2]** | **($3,610,267)** | **$23,037** | **$23,095** | **$23,095** |

[1] It should be noted that in the Fall of 1988 a Commercial and Development Bond Referendum was approved, of which $9.7 million was designated for the redevelopment of the Woodley-Nightingale mobile home park. The amount of $4,114,633 represents the authorized but unissued bond proceeds for this project.

[2] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds. The FY 2010 Actual negative balance is attributed to a delay in receipt of: bond proceeds for Project 003836, Woodley-Nightingale that are projected to be received in FY 2011; Section 108 proceeds to cover the expenditures incurred for Project 003848, Fairhaven Public Improvements and Project 013918, Jefferson Manor Public Improvements that are projected to be received in installments from the U.S. Department of Housing and Urban Development (HUD) Community Development Block Grants for a term up to 20 years as approved by the Board of Supervisors on May 11, 2010; and Economic Development Initiative grant funds from HUD to support Project 014244, Annandale Community Cultural Center and Project 014247, Magnet Housing that are projected to be received in FY 2011.

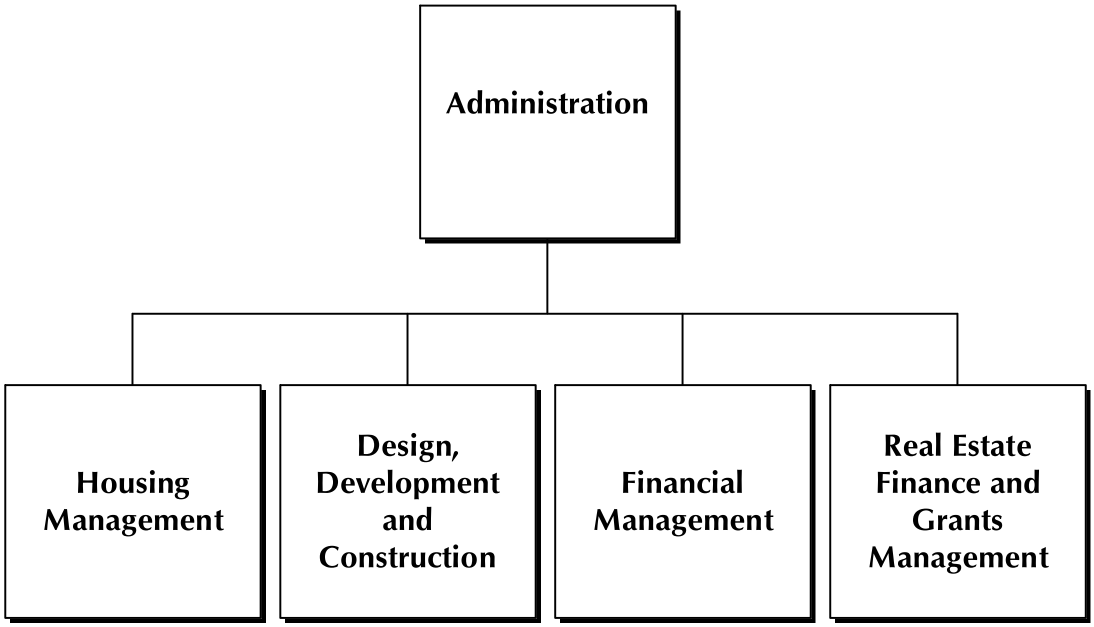
# Fund 340
# Housing Assistance Program

## FY 2012 Summary of Capital Projects

**Fund: 340 Housing Assistance Program**

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 003817 | Bailey's Community Center | $121,378 | $0.00 | $0.00 | $0 |
| 003836 | Woodley-Nightingale | 3,351,250 | 242,200.32 | 2,433,719.66 | 0 |
| 003844 | Emergency Housing | 578,448 | 775.00 | 96,418.58 | 0 |
| 003846 | Bailey's Road Improvements | 586,783 | 0.00 | 45,824.49 | 0 |
| 003848 | Fairhaven Public Improvements | 1,796,863 | 0.00 | 395,983.69 | 0 |
| 003875 | Island Walk Cooperative | 49,997 | 0.00 | 0.00 | 0 |
| 003905 | Gum Springs Public Improvements | 1,825,391 | 1,900.00 | 5,517.35 | 0 |
| 003907 | James Lee Community Center | 642,729 | 0.00 | 3,441.20 | 0 |
| 003910 | James Lee Road Improvements | 352,092 | 0.00 | 41,382.53 | 0 |
| 003978 | Lincolnia Center | 7,743,067 | 0.00 | 0.00 | 0 |
| 003993 | Little River Glen | 3,569,435 | 0.00 | 0.00 | 0 |
| 013808 | Herndon Harbor House Phase I | 25,180 | 0.00 | 0.00 | 0 |
| 013831 | FCRHA Office Building | | (200.90) | 200.90 | 0 |
| 013846 | Murraygate Village | 1,038,750 | 0.00 | 0.00 | 0 |
| 013905 | Creighton Square/Lockheed Blvd. | 53,365 | 0.00 | 0.00 | 0 |
| 013912 | Stevenson Street | 64,863 | 0.00 | 0.00 | 0 |
| 013914 | Cedar Ridge | 13,250 | 0.00 | 0.00 | 0 |
| 013918 | Jefferson Manor Public Imp. | 8,210,707 | 155,600.00 | 1,767,947.92 | 0 |
| 013944 | Gum Springs Community Center | 9,785 | 0.00 | 0.00 | 0 |
| 013948 | Little River Glen Phase II | 9,384 | 0.00 | 0.00 | 0 |
| 013963 | Section 108 Loan Issuance Costs | | 0.00 | 115,808.00 | 0 |
| 013966 | Glenwood Mews | 36,908 | 0.00 | 0.00 | 0 |
| 013969 | Castellani Meadows | 9,875 | 0.00 | 0.00 | 0 |
| 014010 | Commercial Revitalization | | 33,680.00 | 19,523.34 | 0 |
| 014020 | Stonegate Village Phase II | 13,379 | 0.00 | 0.00 | 0 |
| 014045 | McLean Revitalization | 100,000 | 0.00 | 0.00 | 0 |
| 014047 | Lake Anne Reston | 50,000 | 0.00 | 0.00 | 0 |
| 014048 | Revitalization Spot Blight Abatement | | 35,153.33 | 0.00 | 0 |
| 014050 | Herndon Senior Center | 55,877 | 0.00 | 0.00 | 0 |
| 014100 | Commerce Street Redevelopment | 2,158,422 | 0.00 | 2,079,049.23 | 0 |
| 014101 | Kings Crossing Redevelopment | 27,979 | 0.00 | 0.00 | 0 |
| 014102 | Gallows Road Streetscape | 32,330 | 0.00 | 0.00 | 0 |
| 014103 | Richmond Hwy. Facade Improvements | 214,346 | 0.00 | 0.00 | 0 |
| 014115 | Sacramento Community Center | 882 | 0.00 | 0.00 | 0 |
| 014117 | Richmond Highway Corridor | 100,000 | 5,276.86 | 23,364.51 | 0 |
| 014122 | Allen Street | 75,000 | 0.00 | 0.00 | 0 |
| 014125 | David R. Pinn Community Center | 97,417 | 0.00 | 0.00 | 0 |
| 014141 | Mason District Park - EDI | 89,802 | 0.00 | 0.00 | 0 |
| 014156 | Merrifield Town Center Urban Park | 2,000,000 | 0.00 | 0.00 | 0 |
| 014157 | Annandale Façade Imp. Program | 83,890 | 0.00 | 0.00 | 0 |
| 014159 | Baileys 7 Corners Streetscape Imp. | 135,041 | 0.00 | 0.00 | 0 |
| 014160 | Baileys SE Quad. Town Ctr. Comm. | 75,000 | 0.00 | 367.13 | 0 |
| 014161 | Revitalization Field Services | | 0.00 | 5,476.60 | 0 |
| 014242 | Richmond Highway Town Center | 99,410 | 0.00 | 79,528.00 | 0 |
| 014244 | Annandale Community Cultural Center | 90,000 | 0.00 | 0.00 | 0 |
| 014245 | Lorton Arts Center | 99,410 | 0.00 | 99,410.00 | 0 |
| 014247 | Magnet Housing | 347,935 | 40,839.00 | 98,632.29 | 0 |
| 014252 | Janna Lee Village I | 622,190 | 0.00 | 0.00 | 0 |
| 014272 | Community Improvement Program Costs | 2,060,000 | 514,820.00 | 515,180.00 | 515,000 |
| 014306 | EDI Housing Information Technology | 99,000 | 43,216.54 | 40,587.01 | 0 |
| 014313 | Huntington Flood Insurance Program | | 0.00 | 295,224.00 | 0 |
| 014314 | EDI-SRO Housing | | 1,300.20 | 292,699.80 | 0 |
| VA1940 | Reston Town Center | 615,000 | 0.00 | 0.00 | 0 |
| **Total** | | **$39,431,810** | **$1,074,560.35** | **$8,455,286.23** | **$515,000** |

# Fund 940
# Fairfax County Redevelopment and Housing Authority General Operating




## Mission

To preserve and increase opportunities for affordable housing in Fairfax County based on need, community priorities and the policy of the Board of Supervisors and the Fairfax County Redevelopment and Housing Authority (FCHRA). Driven by community vision, to lead efforts to revitalize older areas of Fairfax County, to spur private reinvestment, maximize existing infrastructure and public investment, reverse negative perceptions and create employment opportunities.

## Focus

This fund includes all FCRHA revenues generated by financing fees earned from the issuance of bonds, monitoring and service fees charged to developers, management fees, investment income, project reimbursements, consultant fees and ground rents on land leased to developers. Revenue supports operating expenses for the administration of the private activity bonds, Home Improvement Loan Program (HILP) staff, and other administrative costs, which crosscut many or all of the housing programs.

In FY 2012, revenue projections for Fund 940, FCRHA General Operating, are $2,602,535, an increase of $195,781 or 8.1 percent over the FY 2011 Adopted Budget Plan amount. The revenue increase is primarily attributable to anticipated increases in developer fees and program income offset by decreases in investment income and reimbursement revenue related to a write off of a Federal Financing Bank note. Expenditures are $2,516,625, an increase of $109,871 or 4.6 percent over the FY 2011 Adopted Budget Plan due primarily to an increase in Personnel Services expenditures due to position adjustments for project-based budgeting.

A portion of the staff costs associated with the FCRHA Home Improvement Loan Program and FCRHA real estate development and financing activities are supported by the financing and development fees generated by these activities. In FY 2012, Fund 340, Housing Assistance Program, will continue to provide $515,000 for community revitalization activities to address current program needs for staffing and other efforts associated with countywide residential improvement and repair projects within the Department of Housing and Community Development.

The FCRHA will continue to make tax-exempt financing available and earn related financing fees. The financing will be used for the agency's own development as well as for the construction or preservation of qualified multi-family housing owned by other developers. However, because many types of projects must compete for an allocation of tax-exempt bond authority from the limited pool of such authority available in the Commonwealth of Virginia, the number of FCRHA tax-exempt bond issues in any year is limited and will vary significantly from year to year. Under this financing mechanism, a percentage of the units in a housing development must meet lower income occupancy requirements. Since 1986, there have been two alternate standards for meeting these requirements. Either 20 percent of the units must be occupied by households with incomes at 50 percent or less of the Washington D.C./Baltimore Metropolitan Statistical Area (MSA) median income (adjusted for household size), or 40 percent of the units must be occupied by households with 60 percent or less of the MSA median income.

In FY 2011, HCD established the Bridging Affordability program. It was conceived during the development of the Housing Blueprint, and is intended to provide local rental subsidies to individuals and families experiencing homelessness and households currently on Fairfax County's affordable housing waiting lists, including those managed by the FCRHA, the Fairfax-Falls Church Community Services Board, the Office to End and Prevent Homelessness and the homeless shelters. In FY 2011, it is expected that the Bridging Affordability Program will serve 48 homeless individuals and families and 364 households on the County's waiting lists. Per the Board's direction in the Housing Blueprint, the Bridging Affordability Program will be administered by HCD with specific grants made to one or more of the County's non-profit partners. HCD will provide program compliance, inspect units and administer the contracts with non-profit partners. As designated by the Housing Blueprint, a portion of the operations revenue at the County-owned Wedgewood property will be used to fund two merit positions that will support this program.

The FCRHA will continue to monitor existing tax-exempt financed multi-family housing projects to assure continuing developer compliance with program guidelines.

## Budget and Staff Resources

**Agency Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 25/ 25 | 24/ 24 | 24/ 24 | 24/ 24 |
| Expenditures | | | | |
| Personnel Services | $1,433,030 | $1,611,139 | $1,611,139 | $1,804,340 |
| Operating Expenses | 811,453 | 795,615 | 803,224 | 712,285 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Total Expenditures** | **$2,244,483** | **$2,406,754** | **$2,414,363** | **$2,516,625** |

**Position Summary**

**ADMINISTRATION**
1 Information Officer III
1 Information Officer II
3 Administrative Assistants IV
1 Administrative Assistant III
1 Administrative Assistant II

**FINANCIAL MANAGEMENT**
1 Financial Specialist IV
1 Financial Specialist III
1 Accountant III
1 Accountant II
1 Administrative Assistant II

**DESIGN, DEVELOPMENT AND CONSTRUCTION**
1 Division Director
1 Housing/Community Developer V
2 Housing/Community Developers III
1 Housing/Community Developer II

**HOUSING MANAGEMENT**
1 Housing Services Specialist IV
1 Housing Services Specialist II
1 Assistant Supervisor Facilities Support

**REAL ESTATE FINANCE AND GRANTS MANAGEMENT**
2 Housing/Community Developers V
1 Housing/Community Developer IV
1 Management Analyst III

**TOTAL POSITIONS**
**24 Positions/ 24.0 Staff Years**

# Fund 940
# Fairfax County Redevelopment and Housing Authority General Operating

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- **Project-Based Budgeting Adjustments and Operating Requirements** **$112,147**
  An increase of $112,147 is primarily associated with an increase of $195,477 in Personnel Services necessary to support project-based budgeting efforts, offset by a decrease of $83,330 in Operating Expenses based on a three-year average of prior years' actuals.

- **Other Post Employment Benefits** **($2,276)**
  A decrease of $2,276 is required to reflect costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Advertised Budget Plan.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$7,609**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $7,609 in Operating Expenses due to encumbered carryover primarily associated with requirements for professional consulting services and various program expenses.

# Fund 940
# Fairfax County Redevelopment and Housing Authority General Operating

## FUND STATEMENT

**Fund Type H94, FCRHA General Revenue** | **Fund 940, FCRHA General Operating**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$11,606,881** | **$11,457,884** | **$11,769,276** | **$12,076,527** |
| Revenue: | | | | |
| Investment Income[1] | $55,712 | $194,307 | $55,000 | $88,000 |
| Monitoring/Developer Fees[2] | 580,052 | 581,507 | 1,035,674 | 760,632 |
| Rental Income | 69,345 | 73,248 | 73,248 | 73,803 |
| Program Income[3] | 1,173,209 | 1,155,370 | 1,155,370 | 1,371,054 |
| Other Income[4] | 528,560 | 402,322 | 402,322 | 309,046 |
| Total Revenue | $2,406,878 | $2,406,754 | $2,721,614 | $2,602,535 |
| **Total Available** | **$14,013,759** | **$13,864,638** | **$14,490,890** | **$14,679,062** |
| Expenditures: | | | | |
| Personnel Services[5] | $1,433,030 | $1,611,139 | $1,611,139 | $1,804,340 |
| Operating Expenses[6] | 811,453 | 795,615 | 803,224 | 712,285 |
| Total Expenditures | $2,244,483 | $2,406,754 | $2,414,363 | $2,516,625 |
| **Total Disbursements** | **$2,244,483** | **$2,406,754** | **$2,414,363** | **$2,516,625** |
| **Ending Balance** | **$11,769,276** | **$11,457,884** | **$12,076,527** | **$12,162,437** |
| Debt Service Reserve on One University Plaza | $2,195,925 | $2,195,925 | $2,195,925 | $2,195,925 |
| Cash with Fiscal Agent | 6,854,000 | 6,854,000 | 6,854,000 | 6,854,000 |
| **Unreserved Ending Balance** | **$2,719,351** | **$2,407,959** | **$3,026,602** | **$3,112,512** |

[1] The FY 2012 decrease from the FY 2011 Adopted Budget Plan is due to anticipated reductions in investment income for funds held with fiscal agent.

[2] The FY 2012 increase from the FY 2011 Adopted Budget Plan is due to additional developer fee incomes.

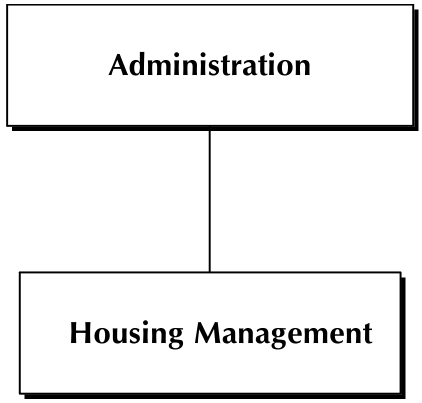
[3] The FY 2012 increase primarily reflects support for Bridging Affordability Program administrative expenses.

[4] The FY 2012 decrease is due to a reduction in reimbursement revenue related to the write off of a Federal Financing Bank note.

[5] The FY 2012 increase in Personnel Services is primarily due to adjustments for project-based budgeting.

[6] The FY 2012 decrease is primarily based on a three-year average of prior years' actuals.

# Fund 941
# Fairfax County Rental Program




## Mission

To manage affordable rental housing acquired by the Fairfax County Redevelopment and Housing Authority (FCRHA) and to maintain and preserve the units for long term rental availability.

## Focus

The Fairfax County Rental Program (FCRP) is a local rental-housing program developed and managed by the Department of Housing and Community Development (HCD) for the Fairfax County Redevelopment and Housing Authority (FCRHA). The FCRP is designed to provide affordable rental housing in the County for low-and-moderate-income families. The FCRP includes projects developed by the FCRHA and other privately developed or rehabilitated housing units acquired by the FCRHA or Fairfax County. In FY 2012, a total of 1,429 multifamily, senior independent, specialized units and beds in FCRHA-owned group homes will receive operating support under Fund 941. This includes a total of five Affordable Dwelling Units (ADU) at the Charleston Square (Springfield District) and Northampton (Lee District) that were acquired by the FCRHA and added to the FCRP multifamily portfolio in FY 2009.

The operation of this program is primarily supported by tenant rents. In FY 2012, the County's General Fund is being charged directly for payments in support of condominium fees in the amount of $463,500. In addition, debt service contributions are received from Fund 141, Elderly Housing, to provide support for the debt service costs of Little River Glen, an elderly housing development owned by the FCRHA. Accounting procedures require that the debt service for this project be paid out of Fund 941, Fairfax County Rental Program, although the operating costs are reflected in Fund 141, Elderly Housing. Fund 941 is also used to account for debt service payments on two facilities owned by the FCRHA and leased to Fairfax County: the United Community Ministries (UCM) offices and the replacement Mondloch I emergency shelter (Creighton Square project).

In addition, HCD staff administers contracts between the FCRHA and private firms hired to manage Hopkins Glen, Crescent and Wedgewood Apartments.

# Fund 941
# Fairfax County Rental Program

The following charts summarize the total number of units in the Rental Program and Group Homes in FY 2012 and the projected operating costs associated with the units:

| Project Name | Units | 2012 Cost | District |
|---|---|---|---|
| Chatham Town | 10 | 92,263 | Braddock |
| Charleston Square | 1 | 9,224 | Springfield |
| Little River Square | 45 | 429,150 | Braddock |
| McLean Hills | 25 | 298,183 | Providence |
| Springfield Green | 14 | 159,625 | Lee |
| Colchester Towne | 24 | 224,202 | Lee |
| Penderbrook | 48 | 571,104 | Providence |
| Island Creek | 8 | 73,863 | Lee |
| Cedar Lakes | 3 | 27,681 | Sully |
| Westbriar | 1 | 9,224 | Providence |
| Faircrest | 6 | 92,025 | Sully |
| Westcott Ridge | 10 | 140,543 | Springfield |
| Laurel Hill | 6 | 92,025 | Mt Vernon |
| Willow Oaks | 7 | 104,730 | Sully |
| Saintsbury Plaza[1] | 6 | 55,358 | Providence |
| ParcReston | 23 | 212,355 | Hunter Mill |
| Holly Acres | 2 | 29,769 | Lee |
| Legato Corner Condominiums | 13 | 185,630 | Springfield |
| East Market | 4 | 57,893 | Springfield |
| Madison Ridge | 10 | 92,264 | Sully |
| Lorton Valley | 2 | 18,455 | Mt Vernon |
| Fair Oaks Landing | 3 | 49,970 | Springfield |
| Bryson at Woodland Park | 4 | 61,302 | Hunter Mill |
| Northampton | 4 | 57,293 | Mason |
| Halstead | 4 | 57,082 | Providence |
| Stockwell Manor | 3 | 47,837 | Dranesville |
| Glenwood Mews | 15 | 135,233 | Lee |
| Coan Pond (Working Singles Housing Program) | 19 | 102,685 | Providence |
| FCRHA Operating [2] | NA | 32,680 | N/A |
| Fairfax Ridge Condo | 1 | 4,019 | Springfield |
| Stonegate at Faircrest | 1 | 4,010 | Springfield |
| Woodley Homes Mobile Home Park | 115 | 184,283 | Mt. Vernon |
| Hopkins Glen[3] | 91 | 0 | Providence |
| Crescent Apartments[3] | 180 | 0 | Hunter Mill |
| Wedgewood Apartments[3] | 672 | 0 | Braddock |
| United Community Ministries (Debt Service) | NA | 37,970 | Lee |
| Mondloch I Shelter (Creighton Square- Debt Service) | NA | 83,670 | Lee |
| Little River Glen (Debt Service) | NA | 527,512 | Braddock |
| **Units Managed Under Fund 941 Subtotal FCRP Operating** | **1,380** | **$4,361,112** | |

[1] The six units at Saintsbury Plaza are age restricted and managed as senior properties. Senior independent properties, other than Saintsbury Plaza, that are directly managed by the FCRHA are supported under Fund 141.

[2] FCRHA operating project tracks occupancy cost allocation to the FCRP.

[3]The units at Hopkins Glen, Crescent Apartments and Wedgewood Apartments are part of the FCRP Program. The properties are managed and maintained by private contractors. All funding for these units will be budgeted and reported by the property management firm and reported to the agency on a regular basis. It should also be noted that a variety of other FCRP multifamily and senior independent units are owned by FCRHA-controlled partnerships and are either privately managed by third-party entities or are managed directly by the FCRHA under Fund 950.

The Group Homes program is summarized in the following table including the number of beds and the level of FY 2012 funding:

| Project Name | Beds/Units | FY 2012 Cost |
|---|---|---|
| Minerva Fisher Group Home | 12 | $87,417 |
| Rolling Road Group Home | 5 | 31,900 |
| First Stop Group Home (Sojourn House) | 8 | 77,622 |
| Mount Vernon Group Home | 8 | 17,264 |
| Leland Group Home | 8 | 63,244 |
| Patrick Street Group Home | 8 | 29,105 |
| **Subtotal Group Homes** | **49** | **$306,552** |
| **Total Beds/Fund Expenditures** | **1,429** | **$4,667,664** |
| **Less: Debt Service** | **NA** | **($532,715)** |
| **Total Program Operations** | **1,429** | **$4,134,949** |

*Ownership of West Ox Group Home (Sunrise Houses I and II was transferred to the Board of Supervisors (County) in FY 2010.

## Budget and Staff Resources

**Agency Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 22/ 22 | 22/ 22 | 21/ 21 | 21/ 21 |
| Expenditures: | | | | |
| Personnel Services | $1,876,298 | $2,019,549 | $2,019,549 | $1,780,464 |
| Operating Expenses | 2,200,257 | 2,702,704 | 2,798,638 | 2,887,200 |
| Capital Projects | 0 | 0 | 0 | 0 |
| **Total Expenditures** | **$4,076,555** | **$4,722,253** | **$4,818,187** | **$4,667,664** |

**Position Summary**

**HOUSING MANAGEMENT**

| | | | | | |
|---|---|---|---|---|---|
| 1 | Chief Accounting Fiscal Officer | 1 | Housing Manager | 1 | Human Services Assistant |
| 1 | Hsg. Community Developer V | 1 | Trades Supervisor | 1 | Warehouse Specialist |
| 1 | Hsg. Community Developer II | 1 | Electrician II | 3 | General Building Maintenance Workers II |
| 1 | Housing Services Specialist III | 1 | Plumber II | 2 | General Building Maintenance Workers I |
| 3 | Housing Services Specialists II | 1 | Engineering Technician II | 1 | Administrative Assistant IV |
| | | | | 1 | Administrative Assistant III |

**TOTAL POSITIONS**
**21 Positions/ 21.0 Staff Years**

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- **Project-Based Budgeting Adjustments and Operating Requirements** **($73,242)**
  A net decrease of $73,242 includes a reduction of $257,738 for Personnel Services, primarily associated with program adjustments to support project-based budgeting, offset by an increase of $184,496 for Operating Expenses, primarily associated with additional requirements for condominium fees and services provided by other Housing funds adjusted for decreases in professional and consulting services and repair and maintenance based on prior year actual expenses.

- **Other Post Employment Benefits** **$18,653**
  An increase of $18,653 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Advertised Budget Plan.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$95,934**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $95,934 which is primarily associated with utilities, building repairs and maintenance, and other operating requirements.

- **Position Adjustment** **$0**
  Subsequent to the *FY 2010 Carryover Review*, 1/1.0 SYE Housing Community Developer III position was abolished. Funding adjustments will be made at a subsequent budget review process associated with project-based budgeting requirements.

# Fund 941
# Fairfax County Rental Program

## FUND STATEMENT

**Fund Type H94, Local Rental Housing Program** | **Fund 941, Fairfax County Rental Program**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$4,567,426** | **$5,346,890** | **$6,836,664** | **$6,642,066** |
| Revenue: | | | | |
| Dwelling Rents[1] | $3,848,969 | $4,062,007 | $3,848,969 | $4,062,522 |
| Investment Income | 31,110 | 80,067 | 30,000 | 58,429 |
| Other Income | 1,284,985 | 235,801 | 235,801 | 197,128 |
| Intergovernmental Income[2] | 672,522 | 0 | 0 | 0 |
| Debt Service Contribution (Little River Glen) | 508,819 | 508,819 | 508,819 | 508,819 |
| Total Revenue | $6,346,405 | $4,886,694 | $4,623,589 | $4,826,898 |
| **Total Available** | **$10,913,831** | **$10,233,584** | **$11,460,253** | **$11,468,964** |
| Expenditures: | | | | |
| Personnel Services | $1,876,298 | $2,019,549 | $2,019,549 | $1,780,464 |
| Operating Expenses | 2,200,869 | 2,702,704 | 2,798,638 | 2,887,200 |
| Total Expenditures | $4,077,167 | $4,722,253 | $4,818,187 | $4,667,664 |
| **Total Disbursements** | **$4,077,167** | **$4,722,253** | **$4,818,187** | **$4,667,664** |
| **Ending Balance[3]** | **$6,836,664** | **$5,511,331** | **$6,642,066** | **$6,801,300** |
| Replacement Reserve | $6,252,977 | $4,927,644 | $6,058,379 | $6,217,613 |
| Cash with Fiscal Agent | 583,687 | 583,687 | 583,687 | 583,687 |
| **Unreserved Ending Balance** | **$0** | **$0** | **$0** | **$0** |

[1] FY 2012 rental revenues are estimated to increase by approximately $213,553, or 5.3 percent, over the *FY 2011 Revised Budget Plan* due to anticipated rent increases.

[2] The intergovernmental income received in FY 2010 was a non-recurring revenue to pay for mortgage expenses at Halstead, Stockwell and North Hampton properties.

[3] Ending balances fluctuate due to adjustments in revenues and expenditures, as well as the carryover of balances each fiscal year.

# Fund 945
# FCRHA Non-County Appropriated
# Rehabilitation Loan Program

## Mission

To enhance the quality of existing housing in the County through the provision of affordable loans for housing improvement and rehabilitation to qualifying low-income homeowners or homeowners living in areas targeted for improvement.


## Focus

Fund 945, FCRHA Non-County Appropriated Rehabilitation Loan Program, provides the Fairfax County Redevelopment and Housing Authority (FCRHA) portion of funding for the Home Improvement Loan Program (HILP). The Home Improvement Loan Program provides financial and technical assistance to low- and moderate-income homeowners for rehabilitation of their property. The program is designed to preserve the affordable housing stock in the County and to upgrade neighborhoods through individual home improvements. Resources in Fund 945 include bank loans, homeowners' contributions to the cost of rehabilitation and payments on outstanding home improvement loans made through this fund. Additional funding for the Home Improvement Loan Program is provided in Fund 142, Community Development Block Grant, and Fund 143, Homeowner and Business Loan Programs.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Expenditures: | | | | |
| Operating Expenses | $0 | $25,000 | $25,000 | $25,000 |
| **Total Expenditures** | **$0** | **$25,000** | **$25,000** | **$25,000** |

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- FY 2012 funding remains at the same level as the FY 2011 Adopted Budget Plan.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- There have been no adjustments to this fund since approval of the FY 2011 Adopted Budget Plan.

# Fund 945
# FCRHA Non-County Appropriated
# Rehabilitation Loan Program

## FUND STATEMENT

**Fund Type H94, Rehabilitation Loan Funds**

**Fund 945, Non-County Appropriated Rehabilitation Loan Program**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$231,157** | **$237,176** | **$232,515** | **$239,298** |
| Revenue: | | | | |
| Other (Pooled Interest, etc.) | $1,358 | $6,783 | $6,783 | $2,869 |
| Homeowners Contributions | 0 | 5,000 | 5,000 | 5,000 |
| Fairfax City Rehab. Loans[1] | 0 | 20,000 | 20,000 | 20,000 |
| Total Revenue | $1,358 | $31,783 | $31,783 | $27,869 |
| **Total Available** | **$232,515** | **$268,959** | **$264,298** | **$267,167** |
| Expenditures: | | | | |
| Homeowners Contributions | $0 | $5,000 | $5,000 | $5,000 |
| Fairfax City Rehab. Loans[1] | 0 | 20,000 | 20,000 | 20,000 |
| Total Expenditures | $0 | $25,000 | $25,000 | $25,000 |
| **Total Disbursements** | **$0** | **$25,000** | **$25,000** | **$25,000** |
| **Ending Balance** | **$232,515** | **$243,959** | **$239,298** | **$242,167** |

[1] It is estimated that a deferred Fairfax City loan of approximately $20,000 will be paid off in FY 2012.

# Fund 946
# FCRHA Revolving Development

## Focus

Fund 946, Fairfax County Redevelopment and Housing Authority (FCRHA) Revolving Development Fund provides initial funds in the form of advances for projects for which federal, state, or private financing is later available. Initial project costs, such as development support for new site investigations for proposed projects, architectural and engineering plans, studies and fees, are advanced from this fund and are later included in permanent financing plans for repayment to this fund. This funding mechanism ensures that sufficient funding is available to provide adequate plans and proposals for individual projects prior to obtaining construction and permanent project financing.

This fund is supported by multiple revenue sources, including income from investments and repayment of advances on behalf of two projects, Mt. Pleasant and Charleston Square.

No funding for advances is currently required for Fund 946 in FY 2012. As projects that require Revolving Development funds are identified and approved by the FCRHA, adjustments will be made through allocations during the year. Repayment of two previously advanced loans totaling $8,298 is anticipated in FY 2012.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$1,531,959**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $1,531,959 due to the carryover of unexpended project balances of $2,346,209 for continuing projects, offset by a decrease of $814,250 to close out a project.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 946
# FCRHA Revolving Development

## FUND STATEMENT

**Fund Type H94, FCRHA Development Support** — **Fund 946, FCRHA Revolving Development**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$2,846,380** | **$520,031** | **$4,547,229** | **$3,045,317** |
| Revenue: | | | | |
| Investment Income | $20,190 | $6,390 | $6,390 | $22,718 |
| Repayment of Advances | 1,726,871 | 23,657 | 23,657 | 8,298 |
| Total Revenue | $1,747,061 | $30,047 | $30,047 | $31,016 |
| **Total Available** | **$4,593,441** | **$550,078** | **$4,577,276** | **$3,076,333** |
| Expenditures: | | | | |
| Advances | $46,212 | $0 | $1,531,959 | $0 |
| Total Expenditures | $46,212 | $0 | $1,531,959 | $0 |
| **Total Disbursements** | **$46,212** | **$0** | **$1,531,959** | **$0** |
| **Ending Balance**[1] | **$4,547,229** | **$550,078** | **$3,045,317** | **$3,076,333** |

[1] Ending balances fluctuate due to increases and decreases in investment income and the repayment of advances.

# Fund 946
# FCRHA Revolving Development

## FY 2012 Summary of Capital Projects

### Fund: 946 FCRHA Revolving Development

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 003817 | Bailey's Community Center | $214,907 | $0.00 | $0.00 | $0 |
| 003844 | Emergency Housing | 124,999 | 0.00 | 0.00 | 0 |
| 003907 | James Lee Community Center | 698,845 | 0.00 | 0.00 | 0 |
| 013831 | FCRHA Office Building | 108,420 | 0.00 | 0.00 | 0 |
| 013854 | Founders Ridge/Kingstowne NV | 369,987 | 0.00 | 0.00 | 0 |
| 013883 | Old Mill Road | 65,728 | 0.00 | 0.00 | 0 |
| 013889 | Chain Bridge Gateway/Moriarty Place | 765,894 | 0.00 | 0.00 | 0 |
| 013901 | Tavenner Lane | 91,873 | 0.00 | 0.00 | 0 |
| 013905 | Creighton Square/Lockheed Blvd. | 206,852 | 0.00 | 0.00 | 0 |
| 013908 | West Ox Group Home | 861,464 | 0.00 | 0.00 | 0 |
| 013914 | Cedar Ridge | 289,475 | 0.00 | 0.00 | 0 |
| 013938 | Fairfield House | 1,303,211 | 0.00 | 0.00 | 0 |
| 013944 | Gum Springs Community Center | 299,641 | 0.00 | 0.00 | 0 |
| 013948 | Little River Glen Phase II | 156,028 | 0.00 | 0.00 | 0 |
| 013951 | Patrick Street Transitional Group Home | 20,337 | 0.00 | 0.00 | 0 |
| 013966 | Glenwood Mews | 973,426 | 12,728.87 | 134,517.95 | 0 |
| 013969 | Castellani Meadows | 250,404 | 0.00 | 4,122.59 | 0 |
| 013983 | Memorial Street | 75,910 | 0.00 | 0.00 | 0 |
| 013985 | Willow Spring Elementary School | 91,330 | 0.00 | 0.00 | 0 |
| 013990 | Washington Plaza | 129,894 | 0.00 | 0.00 | 0 |
| 014002 | Spring Street Site Working Singles | 18,838 | 0.00 | 0.00 | 0 |
| 014023 | Island Creek | 10,602 | 0.00 | 0.00 | 0 |
| 014031 | South Meadows Condominium | 221,172 | 0.00 | 0.00 | 0 |
| 014050 | Herndon Senior Center | 668,751 | 0.00 | 0.00 | 0 |
| 014051 | Mixed Greens | 665,248 | 0.00 | 0.00 | 0 |
| 014056 | Gum Springs Glen | 334,532 | 0.00 | 0.00 | 0 |
| 014060 | Elden Terrace Apts | 12,192 | 0.00 | 0.00 | 0 |
| 014061 | Leland Road | 55,000 | 0.00 | 0.00 | 0 |
| 014062 | Windsor Mews / Price Club | 4,401 | 0.00 | 0.00 | 0 |
| 014063 | Herndon Fortnightly | 90,114 | 0.00 | 0.00 | 0 |
| 014130 | Southgate Community Center | 148,434 | 0.00 | 0.00 | 0 |
| 014137 | Little River Glen III | 1,185,750 | 0.00 | 1,000,000.00 | 0 |
| 014196 | Affordable/Workforce Housing Projects | | 0.00 | 91,909.23 | 0 |
| 014234 | Willow Oaks | 922,241 | 0.00 | 0.00 | 0 |
| 014237 | Yorkville Apartments | 31,303 | 0.00 | 0.00 | 0 |
| 014238 | Holly Acres | 283,522 | 0.00 | 0.00 | 0 |
| 014250 | Fairfield at Fair Chase | 53,371 | 0.00 | 0.00 | 0 |
| 014254 | East Market | 561,304 | 0.00 | 0.00 | 0 |
| 014257 | Crescent Redevelopment | 300,000 | 0.00 | 300,000.00 | 0 |
| 014263 | Bryson at Woodland Park | 376,304 | 0.00 | 0.00 | 0 |
| 014264 | Fair Oaks Landing | 434,163 | 0.00 | 0.00 | 0 |
| 014269 | Northampton | 553,583 | 0.00 | 0.00 | 0 |
| 014270 | Stockwell Manor | 431,698 | 0.00 | 0.00 | 0 |
| 014273 | Halstead | 417,216 | 0.00 | 0.00 | 0 |
| 014305 | Charleston Square | 140,822 | 0.00 | 0.00 | 0 |
| 014307 | Ox Road | 1,100,000 | 33,482.63 | 1,409.72 | 0 |
| VA1942 | Old Mill Site | 368,421 | 0.00 | 0.00 | 0 |
| VA1945 | Ragan Oaks | 255,749 | 0.00 | 0.00 | 0 |
| VA1951 | Tavenner Lane Apartments | 263,918 | 0.00 | 0.00 | 0 |
| VA1956 | Scattered ADU'S | 736,052 | 0.00 | 0.00 | 0 |
| **Total** | | **$17,743,324** | **$46,211.50** | **$1,531,959.49** | **$0** |

# Fund 948
# FCRHA Private Financing

## Focus
Fund 948, FCRHA Private Financing, was established to budget and report costs for capital projects which are supported in full or in part by funds borrowed by the Fairfax County Redevelopment and Housing Authority (FCRHA) through the FCRHA sale of notes or bonds, or through equity financing received through the sale of federal low-income housing tax credits. Housing development and improvement projects may be financed with funds borrowed from private lenders, the Virginia Housing Development Authority, or the federal government. At times, the FCRHA has invested in short-term notes of the County to provide an interim source of financing until permanent financing from one of these sources can be secured. Fund 948, FCHRA Private Financing, permits accounting for the receipt of funds from the lender and disbursements made by the FCRHA so that the total cost of a project can be maintained in the County's financial system and can be reflected on the FCRHA balance sheet.

An amount of $720,962 is included in FY 2012 for payment of debt service for three Section 108 Loans (Loans 3, 4 and 5) paid by this fund. Debt service payments, in the amount of $287,582, are budgeted in Fund 142, Community Development Block Grant (CDBG), and are received as revenue in Fund 948. The expenditures are made from Fund 948 to accommodate accounting requirements. The remaining debt service of $433,380 will be paid from two sources: a scheduled repayment on Loan 5A (partial payment) and remaining loan proceeds from Section 108 Loans 4A/B and 5.

In FY 2012, necessary adjustments will be made to Fund 948 to track revenue and disbursements, as new projects and additional plans that require private financing are developed and approved by the FCRHA and the Board of Supervisors.

## Changes to FY 2011 Adopted Budget Plan
*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

♦ **Carryover Adjustments** **$2,308,513**

As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $2,308,513 due to the carryover of unexpended project balances of $2,412,993 for continuing projects and to appropriate $6,629 in unanticipated investment earnings received in FY 2010, offset by a decrease of $111,109 to close out project balances.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 948
# FCRHA Private Financing

## FUND STATEMENT

**Fund Type H94, FCRHA Development Support** | **Fund 948, FCRHA Private Financing**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$7,490,906** | **$5,200,053** | **$7,509,866** | **$5,241,353** |
| Revenue: | | | | |
| Section 108 Debt Service | $0 | $774,232 | $774,232 | $720,962 |
| Investment Income | 6,629 | 0 | 0 | 0 |
| Miscellaneous Income | 895,989 | 0 | 40,000 | 0 |
| Total Revenue | $902,618 | $774,232 | $814,232 | $720,962 |
| **Total Available** | **$8,393,524** | **$5,974,285** | **$8,324,098** | **$5,962,315** |
| Expenditures: | | | | |
| Capital Projects | $883,658 | $774,232 | $3,082,745 | $720,962 |
| Total Expenditures | $883,658 | $774,232 | $3,082,745 | $720,962 |
| **Total Disbursements** | **$883,658** | **$774,232** | **$3,082,745** | **$720,962** |
| | | | | |
| **Ending Balance**[1] | **$7,509,866** | **$5,200,053** | **$5,241,353** | **$5,241,353** |

[1] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 948
# FCRHA Private Financing

## FY 2012 Summary of Capital Projects

### Fund: 948 FCRHA Private Financing

| Project # | | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 003817 | Bailey's Community Center | $4,468,781 | $0.00 | $0.00 | $0 |
| 003829 | Mott Community Center | 2,025,228 | 0.00 | 0.00 | 0 |
| 003907 | James Lee Community Center | 18,464,058 | 0.00 | 0.00 | 0 |
| 003923 | Undesignated Projects | | 12,286.00 | 2,210,199.16 | 0 |
| 003928 | Springfield Green | 115,579 | 0.00 | 0.00 | 0 |
| 003969 | Lewinsville Elderly Facility | 137,107 | 0.00 | 0.00 | 0 |
| 003993 | Little River Glen | 11,111,238 | 0.00 | 0.00 | 0 |
| 013808 | Herndon Harbor House Phase I | 3,400,391 | 0.00 | 0.00 | 0 |
| 013831 | FCRHA Office Building | 3,793,010 | 0.00 | 0.00 | 0 |
| 013846 | Murraygate Village | 8,874,469 | 0.00 | 0.00 | 0 |
| 013854 | Founders Ridge/Kingstowne NV | 2,392,291 | 0.00 | 0.00 | 0 |
| 013883 | Old Mill Road | 2,439,025 | 0.00 | 0.00 | 0 |
| 013887 | Section 108 Loan Payments | | 799,301.75 | 774,232.00 | 720,962 |
| 013889 | Chain Bridge Gateway/Moriarty Place | 2,989,731 | 0.00 | 0.00 | 0 |
| 013901 | Tavenner Lane | 462,411 | 0.00 | 0.00 | 0 |
| 013905 | Creighton Square/Lockheed Blvd. | 1,040,000 | 0.00 | 25,444.98 | 0 |
| 013912 | Stevenson Street | 832,063 | 0.00 | 0.00 | 0 |
| 013944 | Gum Springs Community Center | 3,499,771 | 0.00 | 0.00 | 0 |
| 013948 | Little River Glen Phase II | 1,740,576 | 0.00 | 0.00 | 0 |
| 013952 | Special Tenant Equity Program (STEP) | 265,299 | 0.00 | 0.00 | 0 |
| 013966 | Glenwood Mews | 606,257 | 0.00 | 0.00 | 0 |
| 013969 | Castellani Meadows | 2,580,000 | 0.00 | 0.00 | 0 |
| 013990 | Washington Plaza | 980,050 | 0.00 | 0.00 | 0 |
| 014040 | Herndon Harbor Phase II | 5,617,956 | 0.00 | 0.00 | 0 |
| 014050 | Herndon Senior Center | 7,250,492 | 0.00 | 0.00 | 0 |
| 014051 | Mixed Greens | 226,015 | 0.00 | 0.00 | 0 |
| 014056 | Gum Springs Glen | 8,117,279 | 0.00 | 0.00 | 0 |
| 014061 | Leland Road | 608,085 | 0.00 | 0.00 | 0 |
| 014063 | Herndon Fortnightly | 2,673,964 | 0.00 | 0.00 | 0 |
| 014099 | Herndon Adult Day Care Center | 979,507 | 0.00 | 0.00 | 0 |
| 014123 | Gum Springs Headstart | 5,060,000 | 0.00 | 0.00 | 0 |
| 014130 | Southgate Community Center | 3,903,710 | 0.44 | 0.00 | 0 |
| 014188 | Westcott Ridge | 800,000 | 0.00 | 0.00 | 0 |
| 014251 | Braddock Glen Adult Day Health Care Center | 3,780,000 | 63,539.57 | 72,868.43 | 0 |
| 014253 | Janna Lee Village II | 5,500,000 | 0.00 | 0.00 | 0 |
| 014308 | "HELP" Resale | | 8,530.48 | 0.00 | 0 |
| VA1942 | Old Mill Site | 640,249 | 0.00 | 0.00 | 0 |
| **Total** | | **$117,374,592** | **$883,658.24** | **$3,082,744.57** | **$720,962** |

# Fund 949
# FCRHA Internal Service Fund

## Focus

Fund 949, Fairfax County Redevelopment and Housing Authority (FCRHA) Internal Service Fund, was established in FY 1998 to charge for goods and services that are shared among several housing funds. These costs include items such as office supplies, telephones, postage, copying and audits, which have been budgeted in and expensed from one of the FCRHA's funds, and then allocated out to other funds proportionate to their share of the costs. It also includes costs associated with the maintenance and operation of FCRHA housing developments such as service contracts for extermination, custodial work, elevator maintenance and grounds maintenance. The fund allows one purchasing document to be established for each vendor, as opposed to multiple purchase orders in various funds. Reimbursed charges incurred on behalf of other Department of Housing and Community Development funds are recorded as revenue.

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Program Adjustments** **($347,412)**
  A net decrease of $347,412 is associated with expenditure projections for goods and services shared among several housing funds.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$287,546**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $287,546 due to encumbered carryover.

# Fund 949
# FCRHA Internal Service Fund

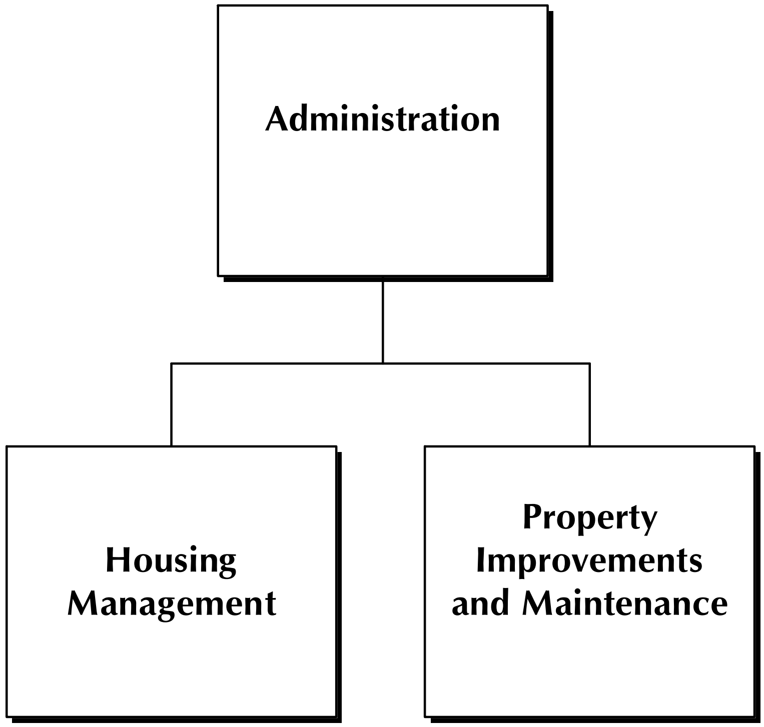
## FUND STATEMENT

**Fund Type H94, FCRHA Development Support** | **Fund 949, FCRHA Internal Service Fund**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$0** | **$0** | **$0** | **$0** |
| Revenue: | | | | |
| Reimbursement from Other Funds | $3,901,704 | $4,212,326 | $4,499,872 | $3,864,914 |
| Total Revenue | $3,901,704 | $4,212,326 | $4,499,872 | $3,864,914 |
| **Total Available** | **$3,901,704** | **$4,212,326** | **$4,499,872** | **$3,864,914** |
| Expenditures: | | | | |
| Operating Expenses | $3,901,704 | $4,212,326 | $4,499,872 | $3,864,914 |
| Total Expenditures | $3,901,704 | $4,212,326 | $4,499,872 | $3,864,914 |
| **Total Disbursements** | **$3,901,704** | **$4,212,326** | **$4,499,872** | **$3,864,914** |
| | | | | |
| **Ending Balance**[1] | **$0** | **$0** | **$0** | **$0** |

[1] The Ending Balance is reserved for inventory and represents goods to be sold.

# Fund 950
# Housing Partnerships




## Mission
To provide affordable rental housing through partnerships between the Fairfax County Redevelopment and Housing Authority (FCRHA) and private investors.

## Focus
Fund 950, Housing Partnerships, was created in FY 2002 to allow the FCRHA to efficiently track partnership properties in the Federal Low Income Housing Tax Credit program, which promotes private investment in affordable housing through partnerships with nonprofit entities such as the FCRHA. The Housing Partnerships Fund supports a portion of the operating expenses for local rental-housing programs that are owned by limited partnerships of which the FCRHA is the managing general partner. In FY 2012, the FCRHA will directly manage five partnership properties: Castellani Meadows, The Green, Tavenner Lane, Murraygate Village and Olley Glen. Some costs of the operation of these five properties are tracked through the County's mainframe Financial Accounting and Management Information System (FAMIS); however, a separate FCRHA software system, Yardi, is required to maintain partnership accounts and meet partnership calendar year reporting schedules. The operation of these developments is primarily supported by tenant rents with a County contribution for real estate taxes. The revenue collected from rents and property excess income is also monitored by Yardi and utilized by the partnerships to reimburse the FCRHA for expenses incurred to support salaries, maintenance and other operating expenses as identified in Fund 950.

Substantial completion of the construction of Olley Glen, a 90-unit independent living facility, was achieved in August 2010 with operations beginning in October 2010. This project is located in the Braddock District and serves the residential needs of low-to-moderate income elderly.

Six other partnership properties receive a County contribution for real estate taxes, but are managed by a private management company and are not reported in FAMIS. These other partnership properties include: Herndon Harbor I & II, Gum Springs Glen, Morris Glen, Stonegate, and Cedar Ridge.

# Fund 950
# Housing Partnerships

The following chart summarizes the total number of units in the FCRHA managed portion of the Partnership Program in FY 2012 and the projected operating costs associated with the units:

| Project Name | Units | FY 2012 Cost | District |
|---|---|---|---|
| Castellani Meadows | 24 | $120,299 | Sully |
| The Green[1] | 24 | 274,299 | Providence, Hunter Mill, and Sully |
| Tavenner Lane[2] | 12 | 100,239 | Lee |
| Murraygate Village | 199 | 896,110 | Lee |
| Olley Glen | 90 | 349,200 | Braddock |
| **Total Partnership Program** | **349** | **$1,740,147** | |

[1] An additional 50 units counted as part of The Green Partnership property are part of the federally assisted Public Housing program and are reflected in Fund 967, Public Housing Projects Under Management. However, operating expenses for all 74 units are included in Fund 950 since they are all owned by a limited partnership.

[2] An additional 12 units at Tavenner Lane are part of the federally assisted Public Housing program and are reflected in Fund 967, Public Housing Projects Under Management. However, operating expenses for all 24 units are included in Fund 950 since they are all owned by a limited partnership.

## Budget and Staff Resources

**Agency Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 8/ 8 | 10/ 10 | 9/ 9 | 9/ 9 |
| Expenditures | | | | |
| Personnel Services | $506,880 | $650,962 | $650,962 | $690,045 |
| Operating Expenses | 720,408 | 1,047,758 | 1,253,623 | 1,050,102 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Total Expenditures** | **$1,227,288** | **$1,698,720** | **$1,904,585** | **$1,740,147** |

**Position Summary**

| | | |
|---|---|---|
| **HOUSING MANAGEMENT** | 1 HVAC II | 1 Administrative Assistant III |
| 2 Housing Services Specialists II | 2 General Building Maintenance Workers II | 1 Administrative Assistant II |
| 1 Housing Services Specialist I | 1 Plumber I | |

**TOTAL POSITIONS**
**9 Positions / 9.0 Staff Years**

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- **Project-Based Budgeting Adjustments and Operating Requirements** **$39,031**
  An increase of $39,031 includes $36,687 for Personnel Services, primarily associated with program adjustments and other necessary adjustments to support project-based budgeting, and $2,344 for Operating Expenses, primarily associated with additional requirements for repair and maintenance and custodial services.

- **Other Post Employment Benefits** **$2,396**
  An increase of $2,396 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Advertised Budget Plan.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$205,865**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $205,865 due to encumbered carryover for grounds maintenance, custodial work and repair and maintenance.

- **Position Adjustment** **$0**
  Subsequent to the *FY 2010 Carryover Review*, 1/1.0 SYE Housing Services Specialist III position was abolished. Funding adjustments will be made at a subsequent budget review process associated with project-based budgeting requirements.

# Fund 950
# Housing Partnerships

## FUND STATEMENT

**Fund Type H94, FCRHA Development Support** **Fund 950, Housing Partnerships**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$225,288** | **$48,522** | **$121,568** | **$21,513** |
| Revenue: | | | | |
| FCRHA Reimbursements | $1,123,568 | $1,671,959 | $1,804,530 | $1,737,751 |
| Total Revenue | $1,123,568 | $1,671,959 | $1,804,530 | $1,737,751 |
| **Total Available** | **$1,348,856** | **$1,720,481** | **$1,926,098** | **$1,759,264** |
| Expenditures: | | | | |
| Personnel Services | $506,880 | $650,962 | $650,962 | $690,045 |
| Operating Expenses | 720,408 | 1,047,758 | 1,253,623 | 1,050,102 |
| Total Expenditures | $1,227,288 | $1,698,720 | $1,904,585 | $1,740,147 |
| **Total Disbursements** | **$1,227,288** | **$1,698,720** | **$1,904,585** | **$1,740,147** |
| | | | | |
| **Ending Balance[1]** | **$121,568** | **$21,761** | **$21,513** | **$19,117** |
| Replacement Reserve | $121,568 | $21,761 | $21,513 | $19,117 |
| **Unreserved Ending Balance** | **$0** | **$0** | **$0** | **$0** |

[1]The Housing Partnerships Fund maintains fund balances at adequate levels relative to projected operating and maintenance requirements. These costs change annually, therefore, funding is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 965
# Housing Grants

## Mission

To provide the residents of the County with safe, decent, and more affordable housing for low- and moderate-income households.

## Focus

Fund 965, Housing Grants, separately tracks grants which are awarded to the Fairfax County Redevelopment and Housing Authority (FCRHA). This fund currently provides accounting for the Resident Opportunity and Self Sufficiency (ROSS) Grant received by the FCRHA from the U.S. Department of Housing and Urban Development (HUD). The ROSS grant is a three-year grant that provides and coordinates supportive services that help public housing residents' move toward self-sufficiency. Currently, the Department of Housing and Community Development is administering two ROSS programs, the Public Housing Family Self-Sufficiency Program and Homeownership Program.

HUD's Public Housing Family Self–Sufficiency Program provides funds for the FCRHA to support one grant-funded program coordinator position. The coordinator is responsible for leveraging public and private support services for selected Pubic Housing families to help them achieve economic independence and self-sufficiency.

HCD established the Progress Center in FY 2011 to focus on a number of critical areas including employment and training opportunities and services related to affordable health insurance, emergency medical intervention, adult protective services, mental health services, and physical and sensory disabilities for program residents. The key to connecting FCRHA residents to these services and resources will be partnerships established with other County agencies. Such partnerships already exist but are in the process of being formalized. They include partnerships with the Northern Virginia Workforce Investment Board and its non-profit employment training and job placement, The SkillSource Group, inc. (Skillsource) and partnerships with County agencies including the Fairfax County Department of Family Services and the Fairfax-Falls Church Community Services Board. The ROSS grant totaling $480,000 will be used to support this program including two grant-funded program coordinator positions.

The Family and Homeownership Program provides funds for the FCRHA to offer housing counseling services to Public Housing residents and supports one grant–funded program coordinator position.

No FY 2012 funding is included for Fund 965 at this time. Funding will be allocated at the time of the award from HUD.

**Agency Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Grant | 2/ 2 | 2/ 2 | 4/ 4 | 4/ 4 |
| Expenditures: | | | | |
| Personnel Services | $96,796 | $0 | $509,957 | $0 |
| Operating Expenses | 65,557 | 0 | 158,613 | 0 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Total Expenditures** | **$162,353** | **$0** | **$668,570** | **$0** |

**Position Summary**

| | | |
|---|---|---|
| **HOUSING MANAGEMENT** | | |
| 2 Housing Services Specialists III 2G | 1 Housing Services Specialist II G | 1 Housing Comm. Developer III G |
| **TOTAL POSITIONS** | | |
| **4 Positions /4.0 Staff Years** | | **G Denotes Grant Positions** |

# Fund 965
# Housing Grants

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- FY 2012 funding remains at the same level as the FY 2011 Adopted Budget Plan.

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$668,570**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $668,570 due to the carryover of unexpended FY 2010 grant balances and to appropriate additional revenue received from the allocation of a ROSS Service Coordinators Grant from the U. S. Department of Housing and Urban Development (HUD). The ROSS Service Coordinators Grant provides for two additional grant positions, 1/1.0 SYE Housing Services Specialist III and 1/1.0 SYE Housing Community Developer III.

# Fund 965
# Housing Grants

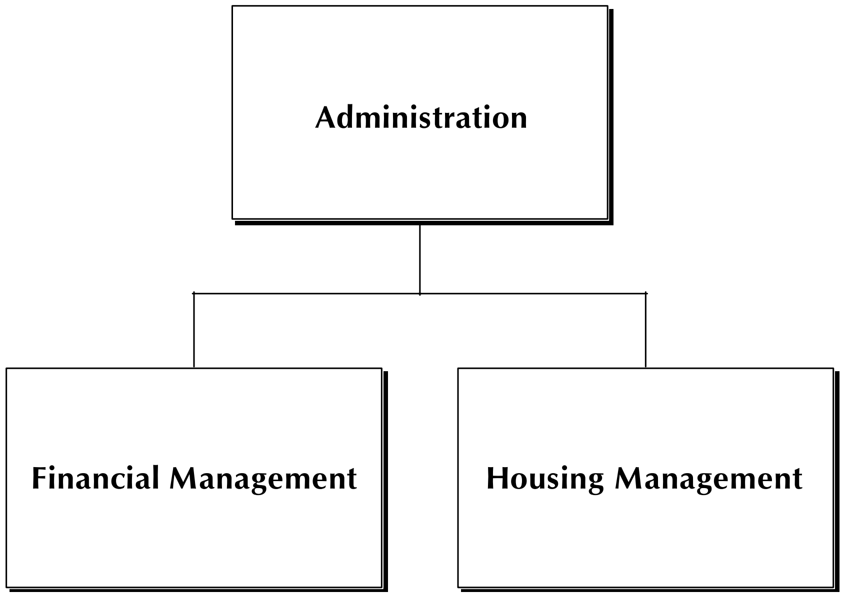
## FUND STATEMENT

**Fund Type H94, FCRHA Development Support** **Fund 965, Housing Grants**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$0** | **$0** | **$0** | **$0** |
| Revenue: | | | | |
| ROSS Grant | $162,353 | $0 | $668,570 | $0 |
| Total Revenue | $162,353 | $0 | $668,570 | $0 |
| **Total Available** | **$162,353** | **$0** | **$668,570** | **$0** |
| Expenditures: | | | | |
| ROSS Grant | $162,353 | $0 | $668,570 | $0 |
| Total Expenditures | $162,353 | $0 | $668,570 | $0 |
| **Total Disbursements** | **$162,353** | **$0** | **$668,570** | **$0** |
| | | | | |
| **Ending Balance[1]** | **$0** | **$0** | **$0** | **$0** |

[1] Grant projects are budgeted based on the total grant costs. Most grants span multiple years, therefore, funding for grant projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 966
# Section 8 Annual Contribution




## Mission
To ensure that participants in the Federal Section 8 Program, also known as the Housing Choice Voucher (HCV) program, are provided with decent, safe and affordable private market housing.

## Focus
The Section 8 program is a Federal Housing Assistance Program for lower income families seeking housing in the private market place. The United States Department of Housing and Urban Development (HUD) provides funds to pay a portion of the family's rent. In most cases, this subsidy is the difference between 30 percent of the eligible family's income and a HUD-approved Fair Market Rent (FMR) for a housing unit, although FMRs are different for the Housing Choice Voucher (HCV) program and the project-based components of the program. The rent subsidy payments are made pursuant to a Housing Assistance Payments (HAP) Contract with the owner of the housing. Housing authorities administer the contract for these subsidy funds on behalf of HUD, which involves making the monthly subsidy payments, verifying that those benefiting from the subsidy are eligible and monitoring compliance with federal regulations. This is done pursuant to an Annual Contribution Contract between the Fairfax County Redevelopment and Housing Authority (FCRHA) and HUD. Administrative fees are established by HUD and earned from HUD by the FCRHA. The administrative fee earned is used to cover expenses associated with administering the Section 8 program.

Under Fund 966, Section 8 Annual Contribution, rental subsidies are provided by HUD to cover the difference between a market-established rent and the rent which is determined to be affordable at a given family's income level. In some cases, the subsidies are associated with a particular housing development and in other cases they are transferable with the tenant. Private developers, local housing authorities and state housing finance agencies all participate in different aspects of the HCV program. Within the Portability Program, one aspect of the HCV program, a tenant from another locality finds housing in Fairfax County and the FCRHA pays the subsidy portion of the rent on behalf of the originating housing authority. A subsequent reimbursement from the originating housing authority is received by the FCRHA as Portability Program Revenue to cover the subsidy payment as well as 80 percent of the originating Housing Authority's administrative fee to cover administrative costs.

# Fund 966
# Section 8 Annual Contribution

The FY 2012 funding level of $50,911,987 consists of housing assistance payments of $47,378,066 and administrative expenses of $3,533,921 to support 3,493 Housing Choice Voucher units as part of the Federal Housing Assistance Program for lower income families. The FY 2012 request for this program is based on the Calendar Year 2010 HUD budget for July 2010 through December 2010 and projected for the full fiscal year for HAP and Administrative Fees. In FY 2010, the FCRHA was awarded 35 vouchers to serve homeless veterans and their families who are referred by the Veterans Affairs (VA) Administration to HCD. These 35 veterans are assigned a VA case manager who works with the veteran to improve the veteran's general health and mental health, and to enhance the veteran's ability to remain stable, housed, and community integrated.

The FY 2012 revenue projection is $51,382,654, an increase of $7,240,884 over the FY 2011 Adopted Budget Plan as a result of an increase in leasing by HCV Portability participants, and also the recently awarded Annual Contribution Contract from HUD for an additional 74 Enhanced Vouchers increasing the total number of vouchers to 209 over the 2011 Adopted Budget Plan. In accordance with the HUD funding formula that became effective January 1, 2008, administrative fees are earned by the FCRHA for the lease-up of authorized FCRHA vouchers. The formula is based on a graduated scale for leased units rather than a fixed rate.

The current income limits for most components of the HCV Program as established by HUD, effective as of May 14, 2010, are shown below:

| Household Size | Very Low Income | Lower Income |
|---|---|---|
| 1 | $36,250 | $45,100 |
| 2 | $41,400 | $51,550 |
| 3 | $46,600 | $58,000 |
| 4 | $51,750 | $64,400 |
| 5 | $55,900 | $69,600 |
| 6 | $60,050 | $74,750 |
| 7 | $64,200 | $79,900 |
| 8+ | $68,350 | $85,050 |

| FY 2012 SUMMARY OF PROJECTS | |
|---|---|
| **PROJECTS** | **NUMBER OF UNITS** |
| Consolidated Vouchers[1] | 3,493 |
| **Total Contract P-2509 Fund 966** | **3,493** |

[1] Actual number of vouchers issued may be lower than HUD-approved count due to local market conditions.

# Fund 966
# Section 8 Annual Contribution

Fund 966 covers the following components in FY 2012:

- **Housing Choice Vouchers – 3,493 issued through the FCRHA**
  Under this component of the Section 8 housing program, local or state housing authorities contract with HUD for housing assistance payment subsidy funds and issue vouchers to eligible households who may lease any appropriately sized, standard quality rental unit from a participating landlord.

- The housing authority maintains a waiting list of those seeking a Housing Choice Voucher, verifies applicant income eligibility before issuing a voucher, inspects the unit the family selects to ensure compliance with HCV Housing Quality Standards, computes the portion of rent the family must pay or the maximum subsidy, contracts with the landlord to pay the subsidy, recertifies eligibility annually, and maintains required financial records and reports. The owner of the housing (landlord), not the housing authority, selects the families to whom the landlord will rent, and renews or terminates the family's lease in accordance with the terms of the lease.

- The FY 2012 Advertised Budget Plan is based on the maximum funding available in FY 2011 under the Annual Contributions (ACC) contract with HUD for the Housing Choice Voucher program at the time of budget preparation.

## Budget and Staff Resources

**Agency Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 34/ 34 | 34/ 34 | 35/ 35 | 35/ 35 |
| Grant | 4/ 4 | 4/ 4 | 4/ 4 | 4/ 4 |
| Expenditures: | | | | |
| Personnel Services | $2,698,519 | $2,551,002 | $2,818,803 | $2,944,864 |
| Operating Expenses | 41,877,304 | 41,056,616 | 45,085,770 | 47,967,123 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Total Expenditures** | **$44,575,823** | **$43,607,618** | **$47,904,573** | **$50,911,987** |

**Position Summary**

| | ADMINISTRATION | | HOUSING MANAGEMENT | | |
|---|---|---|---|---|---|
| 1 | Network/Telecom Analyst III | 3 | Housing Srvcs. Specialists V 1G | 2 | Administrative Assistants III |
| | | 5 | Housing Srvcs. Specialists III | 1 | Administrative Assistant II |
| | **FINANCIAL MANAGEMENT** | 24 | Housing Srvcs. Specialists II 3G | | |
| 1 | Accountant II | 1 | Human Services Assistant | | |
| 1 | Administrative Assistant IV | | | | |

**TOTAL POSITIONS**
**39 Positions / 39.0 Staff Years** **G Denotes Grant Positions**

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- **Housing Assistance Program** **$6,939,518**
  An increase of $6,939,518 in Housing Assistance Payments is based primarily on increased leasing in the portability program and an increase in maximum monthly voucher count in the Housing Choice Voucher Program from 3,284 to 3,493.

- **Ongoing Administrative Expenses** **$265,716**
  An increase of $265,716 in Ongoing Administrative Expenses is primarily due to the net impact of a Personnel Services increase of $294,727 associated with realigning positions within the Department of Housing and Community Development to correspond with the U.S. Department of Housing and Urban Development project-based budgeting model offset by a decrease in anticipated Operating Expenses of $29,011.

- **Other Post Employment Benefits** **$99,135**
  An increase of $99,135 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Advertised Budget Plan.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$4,296,955**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $4,296,955 due to an increase for the Housing Choice Voucher (HCV) housing assistance payment funding based on the recently released U. S. Department of Housing and Urban Development (HUD) HCV Annual Contributions funding renewal notice, encumbrances for professional contracts, and Personnel Services increases attributed to reductions in contributions previously provided by the Department of Housing and Community Development General Fund.

- **Position Adjustment** **$0**
  As part of the FY 2011 review of County position categories, a conversion of 1/1.0 SYE position has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

# Fund 966
# Section 8 Annual Contribution

## FUND STATEMENT

**Fund Type H96, Annual Contribution Contract** | **Fund 966, Section 8 Annual Contribution**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$3,887,046** | **$6,274,216** | **$6,241,748** | **$6,380,061** |
| Revenue: | | | | |
| Annual Contributions[1] | $44,833,934 | $40,792,562 | $45,348,552 | $46,922,352 |
| Investment Income[2] | 16,354 | 40,818 | 40,818 | 16,354 |
| Portability Program[3] | 2,017,907 | 3,211,406 | 2,556,532 | 4,398,815 |
| Miscellaneous Revenue | 62,330 | 96,984 | 96,984 | 45,133 |
| Total Revenue | $46,930,525 | $44,141,770 | $48,042,886 | $51,382,654 |
| **Total Available** | **$50,817,571** | **$50,415,986** | **$54,284,634** | **$57,762,715** |
| Expenditures: | | | | |
| Housing Assistance Payments[4] | $41,257,261 | $40,438,548 | $44,463,852 | $47,378,066 |
| Ongoing Admin. Expenses | 3,318,562 | 3,169,070 | 3,440,721 | 3,533,921 |
| Total Expenditures | $44,575,823 | $43,607,618 | $47,904,573 | $50,911,987 |
| **Total Disbursements** | **$44,575,823** | **$43,607,618** | **$47,904,573** | **$50,911,987** |
| **Ending Balance[5]** | **$6,241,748** | **$6,808,368** | **$6,380,061** | **$6,850,728** |
| HAP Reserve | $4,906,795 | $5,057,778 | $4,906,795 | $4,848,438 |
| Operating Reserve[6] | 1,334,953 | 1,750,590 | 1,473,266 | 2,002,290 |
| **Unreserved Ending Balance** | **$0** | **$0** | **$0** | **$0** |

[1]The FY 2012 Advertised Budget Plan is based on the calendar year 2010 HUD budget for Annual Contributions from July 2010 through December 2010, and projected for the period January 2011 through June 2011. Adjustments to projected Annual Contributions, if necessary, will be made at a future quarterly review.

[2]The FY 2012 decrease in Investment Income is based on FY 2010 actuals.

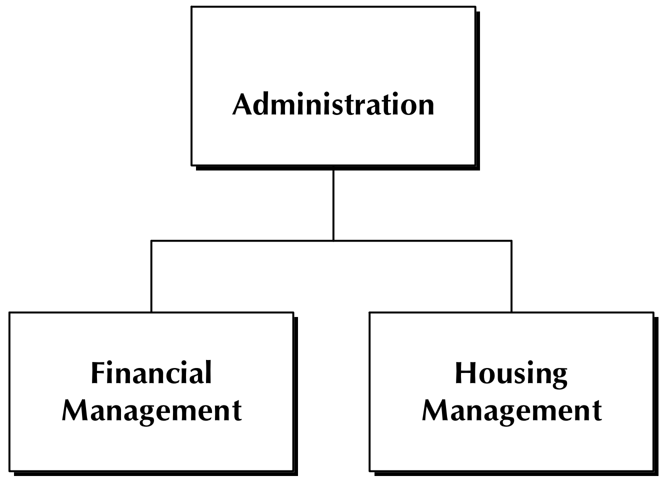
[3]Portability In tenants are being billed to the local originating housing authorities. The increase in Recovered Costs and Servicing Fees is due to an increase in the lease rate anticipated based on actual Portability in monthly leasing activity. Revenue for FY 2012 is based on Recovered Costs of 100 percent for Portability Housing Assistance Payment (HAP) and Utility Allowance Payment (UAP) expenses. A servicing fee will also be earned equal to 80 percent of the originating housing authority's administrative fees.

[4]The FY 2012 increase of $6,939,518 over the FY 2011 Adopted Budget Plan is based primarily on an increase in leasing for the portability program and an increase in the maximum monthly voucher count of the Housing Choice Voucher Program from 3,284 to 3,493.

[5]The fluctuations in the Ending Balance are primarily a result of projected adjustments in leasing trends.

[6]The increase in the projected FY 2012 Operating Reserve is the net result of additional administrative fees earned from a new allocation of 209 vouchers granted by HUD in FY 2011 subsequent to the approval of the FY 2011 Adopted Budget Plan and increased leasing in the portability program.

# Fund 967
# Public Housing Projects Under Management




## Mission
To ensure that all tenants of Fairfax County Redevelopment and Housing Authority's (FCRHA) owned and operated public housing units are provided with decent, safe and adequate housing; maintenance and management; social services referrals; and housing counseling.

## Focus
The Federal Public Housing Program is administered by the U.S. Department of Housing and Urban Development (HUD) to provide funds for rental housing serving low income households owned and operated by local housing authorities such as the Fairfax County Redevelopment and Housing Authority (FCRHA). There are two components of this program with each having separate funding for operations and capital improvements. Fund 967, Public Housing Program Projects Under Management, is for management and maintenance of public housing properties and includes an annual federal operating subsidy from HUD. Fund 969, Public Housing Under Modernization, provides funds for capital improvements and repairs of existing public housing through an annual Capital Fund Grant (formerly the Comprehensive Grant).

Revenues are derived from dwelling rents, payments for utilities in excess of FCRHA established standards, investment income, maintenance charges, late fees and HUD provided contributions and subsidies. Projected FY 2012 revenues of $9,732,845 represent an increase of $452,825 or 4.9 percent over the FY 2011 Adopted Budget Plan primarily due to increases in the projected HUD Operating Subsidy and Dwelling Rental Income. Effective January 1, 2007, the HUD Operating Subsidy calculation is based on HUD's Final Rule (Revisions to Public Housing Operating Fund) published on September 19, 2005, using a formula developed by HUD to provide a mechanism to align expenditures and revenues for Public Housing Authorities.

The FCRHA is required by HUD to be in compliance with Project Based Accounting and Budgeting, which requires separate reporting for the County's Public Housing properties. The 27 Public Housing properties are grouped into 11 Asset Management Projects (AMPs) for HUD Reporting purposes. In addition to the project reporting requirement, Public Housing Authorities are also required to track and report activities of the Central Office, which resulted in the creation of three new cost centers for tracking various types of Central Office expenses such as indirect administrative costs, which are covered by HUD prescribed management fees. The expenses for the AMPs are covered by program revenues, which are mainly Dwelling Rental Income and the HUD Operating Subsidy.

# Fund 967
# Public Housing Projects Under Management

In addition to the public housing support provided in this fund, FY 2012 funds totaling $829,673 are provided in the General Fund, Agency 38, Department of Housing and Community Development, in support of refuse-collection costs, painting expenses and townhouse/condominium-association fees for a portion of these properties.

The current income limits for the program as established by HUD effective May 14, 2010 are as follows:

| INCOME LIMITS | | |
|---|---|---|
| **Number of Persons** | **Very Low** | **Low** |
| 1 | $36,250 | $45,100 |
| 2 | $41,400 | $51,550 |
| 3 | $46,600 | $58,000 |
| 4 | $51,750 | $64,400 |
| 5 | $55,900 | $69,600 |
| 6 | $60,050 | $74,750 |
| 7 | $64,200 | $79,900 |
| 8 | $68,350 | $85,050 |

The Public Housing projects, as reflected in the following chart, are located throughout the County.

| Project Name | HUD Number | Number of Units | Supervisory District |
|---|---|---|---|
| Audubon Apartments | VA 19-01 | 46 | Lee |
| Rosedale Manor | VA 19-03 | 97 | Mason |
| Newington Station | VA 19-04 | 36 | Mt. Vernon |
| The Park | VA 19-06 | 24 | Lee |
| Shadowood | VA 19-11 | 16 | Hunter Mill |
| Atrium Apartments | VA 19-13 | 37 | Lee |
| Villages of Falls Church[1] | VA 19-25 | 37 | Mason |
| Heritage Woods I | VA 19-26 | 19 | Braddock |
| Robinson Square | VA 19-27 | 46 | Braddock |
| Heritage Woods South | VA 19-28 | 12 | Braddock |
| Sheffield Village | VA 19-29 | 8 | Mt. Vernon |
| Greenwood | VA 19-30 | 138 | Mason |
| Briarcliff II | VA 19-31 | 20 | Providence |
| West Ford II | VA 19-32 | 22 | Mt. Vernon |

# Fund 967
# Public Housing Projects Under Management

| Project Name | HUD Number | Number of Units | Supervisory District |
|---|---|---|---|
| West Ford I | VA 19-33 | 24 | Mt. Vernon |
| West Ford III | VA 19-34 | 59 | Mt. Vernon |
| Barros Circle | VA 19-35 | 44 | Sully |
| Belle View | VA 19-36 | 40 | Mt. Vernon |
| Kingsley Park | VA 19-38 | 108 | Providence |
| Scattered Sites | VA 19-39 | 25 | Various |
| Reston Town Center | VA 19-40 | 30 | Hunter Mill |
| Old Mill | VA 19-42 | 48 | Lee |
| Ragan Oaks | VA 19-45 | 51 | Sully |
| Tavenner Lane[2] | VA 19-51 | 12 | Lee |
| Water's Edge | VA 19-52 | 9 | Sully |
| West Glade[2] | VA 19-55 | 50 | Hunter Mill |
| Scattered ADU Sites | VA 19-56 | 7 | Various |
| **Total Units[3]** | | **1,065** | |

[1] This HUD project includes one unit at Heritage Woods South in Braddock District.

[2] Properties are owned by limited partnerships of which the FCRHA is the managing general partner. Therefore, rental revenue and other expenses for these properties are not reported in Fund 967.

[3] There are projected to be 1,065 units of Public Housing; however, only 1,060 are income producing. There are five units off-line, four of which are used for management purposes and the other as a community room. Per HUD guidelines, the community room is not reported to HUD when requesting the HUD Operating Subsidy. Tavenner Lane and West Glade are reported separately when reporting to HUD, since they are partnership properties and have different reporting requirements. The FY 2012 vacancy rate is projected to be approximately 2 percent for public housing properties, primarily due to normal turnover.

Admissions and Occupancy policies for this program are governed by the Quality Housing and Work Responsibility Act of 1998 (which amended the United States Housing Act of 1937) and are consistent with the objectives of Title VI of the Civil Rights Act of 1964. Eligibility for admission and occupancy to Low-Income Housing requires the applicants to fulfill the following general criteria: (1) qualify as a family, (2) have annual income which does not exceed the income limits for admission to a designated development, and (3) qualify under the Local Preference if head of household or spouse is employed, is attending school or participating in a job training program, a combination thereof at least 30 hours per week; or is 62 or older; or is a primary caretaker of a disabled dependent; or meets HUD's definition of being disabled. In addition, the FCRHA approved a new income policy on May 1, 2008, to support the FCRHA's mission to serve low income households. Eligible applicants for Public Housing who live or work in Fairfax County, City of Fairfax, City of Falls Church or Town of Herndon can (4) have household incomes above 50 percent of the AMI and must be from households that pay more than 30 percent of gross income for rent and utilities for the past 90 days (excluding telephone and cable costs) or have household incomes at or below 50 percent of AMI.

# Fund 967
# Public Housing Projects Under Management

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 47/ 47 | 47/ 47 | 47/ 47 | 47/ 47 |
| Grant | 1/ 1 | 1/ 1 | 1/ 1 | 1/ 1 |
| Expenditures | | | | |
| Personnel Services | $3,118,444 | $3,440,031 | $4,256,062 | $3,610,431 |
| Operating Expenses | 5,672,499 | 5,741,782 | 5,509,764 | 6,048,253 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Total Expenditures** | **$8,790,943** | **$9,181,813** | **$9,765,826** | **$9,658,684** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| | **ADMINISTRATION** | | **HOUSING MANAGEMENT** | | |
| 1 | Administrative Assistant II | 1 | HCD Division Director | 1 | Administrative Assistant III |
| | | 1 | Housing Community Developer V | 1 | Trades Supervisor |
| | **FINANCIAL MANAGEMENT** | 1 | Housing/Community Developer II | 4 | HVACs I |
| 1 | Financial Specialist IV | 1 | Human Services Coordinator II | 6 | General Building Maintenance Workers II |
| 1 | Accountant III | 1 | Management Analyst I | | |
| 2 | Administrative Assistants III | 2 | Housing Services Specialists V | 4 | General Building Maintenance Workers I |
| | | 2 | Housing Services Specialists III, 1G | | |
| | | 11 | Housing Services Specialists II | 1 | Locksmith II |
| | | 3 | Housing Services Specialists I | 2 | Plumbers II |
| | | 1 | Human Services Assistant | | |
| **TOTAL POSITIONS** | | | | | |
| **48 Positions / 48.0 Staff Years** | | | **G Denotes Grant Position** | | |

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

♦ **Employee Compensation** **$0**
It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

♦ **Project-Based Budgeting Adjustments and Operating Requirements** **$339,832**
An increase of $339,832 is associated with $33,361 in Personnel Services necessary to support project-based budgeting efforts and $306,471 in Operating Expenses as a result of costs for the oversight and management of the Capital Fund Program for Public Housing, repairs and maintenance and utility expenses based on prior year actual expenditures.

♦ **Other Post Employment Benefits** **$137,039**
An increase of $137,039 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefits Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for the employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Advertised Budget Plan.

# Fund 967
# Public Housing Projects Under Management

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

♦ **Carryover Adjustments** **$584,013**

As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $584,013 primarily for contractual services, utilities, repairs and maintenance, insurance and furniture and fixtures.

# Fund 967
# Public Housing Projects Under Management

## FUND STATEMENT

**Fund Type H96, Annual Contribution Contract** | **Fund 967, Projects Under Management**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$3,799,332** | **$4,204,480** | **$5,016,547** | **$4,530,741** |
| Revenue: | | | | |
| Dwelling Rental Income | $5,071,148 | $4,853,575 | $4,853,575 | $5,208,187 |
| Excess Utilities | 151,438 | 178,895 | 178,895 | 178,895 |
| Interest on Investments | 38,177 | 50,066 | 50,066 | 44,122 |
| Other Operating Receipts | 147,474 | 118,323 | 118,323 | 147,472 |
| Management Fee - Capital Fund[1] | 1,435,790 | 1,155,362 | 1,155,362 | 1,155,362 |
| HUD Annual Contribution[2] | 165,324 | 165,324 | 165,324 | 0 |
| HUD Operating Subsidy[3] | 2,998,807 | 2,758,475 | 2,758,475 | 2,998,807 |
| Total Revenue | $10,008,158 | $9,280,020 | $9,280,020 | $9,732,845 |
| **Total Available** | **$13,807,490** | **$13,484,500** | **$14,296,567** | **$14,263,586** |
| Expenditures:[4] | | | | |
| Administration | $1,017,239 | $2,223,363 | $1,559,601 | $1,398,180 |
| Central Office | 763,684 | 944,073 | 808,426 | 941,456 |
| Central Housing Management | 110,267 | 431,113 | 228,335 | 161,698 |
| Central Maintenance | 442,538 | 241,755 | 451,260 | 444,088 |
| Tenant Services | 21,912 | 30,050 | 32,050 | 51,935 |
| Utilities | 1,895,904 | 2,027,228 | 2,264,424 | 2,027,228 |
| Ordinary Maintenance and Operation | 4,314,678 | 3,017,266 | 4,152,546 | 4,558,053 |
| General Expenses | 35,645 | 66,658 | 66,658 | 36,658 |
| Non-Routine Expenditures | 28,847 | 34,983 | 37,202 | 39,388 |
| Other Expenses[2] | 160,229 | 165,324 | 165,324 | 0 |
| Total Expenditures | $8,790,943 | $9,181,813 | $9,765,826 | $9,658,684 |
| **Total Disbursements** | **$8,790,943** | **$9,181,813** | **$9,765,826** | **$9,658,684** |
| **Ending Balance[5]** | **$5,016,547** | **$4,302,687** | **$4,530,741** | **$4,604,902** |

[1]Revenue associated with fees received for the oversight and management of the Central Office. Management Fee revenues that are based on U.S. Department of Housing and Urban Development (HUD) prescribed fees, consist of property management, bookeeping, and asset management fees. For FY 2011 and FY 2012, Management Fees from Fund 969, Public Housing Projects Under Modernization are also included.

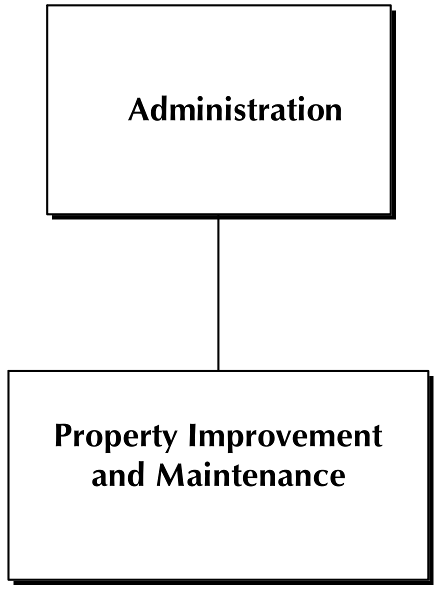
[2]HUD's Federal Financing Bank loans were written off in prior year, therefore no debt service contribution is expected as well as its corresponding debt service expenditure.

[3]Category represents a HUD Operating Subsidy based on revenue and expenditure criteria developed by HUD under the Final Rule that was effective January 1, 2007.

[4]Expenditure categories reflect HUD required cost groupings. Increase in expenditures is primarily associated with costs for the oversight and management of the fund, repairs and maintenance, and increased utility expenses based on prior year actual expenditures.

[5]The Ending Balance fluctuates due primarily to revenue adjustments for HUD Operating Subsidy and Management Fee Income, as well as expenditures adjustments related to the oversight and management of the fund.

# Fund 969
# Public Housing Projects Under Modernization




## Focus

Fund 969, Public Housing Projects Under Modernization, receives an annual federal grant, determined by formula, to be used for major physical and management improvements to public housing properties owned by the Fairfax County Redevelopment and Housing Authority (FCRHA). This grant program fund which was called the Comprehensive Grant Program (CGP) or the Modernization Program is now referred to as the Capital Fund Program (CFP). It is one of the two components of the Public Housing Program. The other fund supporting this program is Fund 967, Public Housing Under Management, which supports the daily maintenance and management of public housing properties.

Local public housing authorities submit a five-year comprehensive capital and management improvement plan to the U.S. Department of Housing and Urban Development (HUD) as part of the FCRHA's Five-Year Plan. The plan is updated each year as part of the Annual Plan. HUD reviews the plan and releases the annual capital grant amount that supports administrative and planning expenses as well as improvements to one or more projects. Housing authorities may revise the annual plan/budget to substitute projects as long as they are part of the Five-Year Plan.

Three grant positions are supported in this fund for the administration of the program to include monitoring of all construction in process for projects that have been approved by HUD.

The FCRHA submitted an improvement plan in June 2010 for Program Year 39 (FY 2011) funding and received HUD approval for $1,900,288. Program Year 39 provides for staff administration and capital improvements for five properties: VA1903, Rosedale Manor; VA1913, Atrium; VA1935, Barros Circle; VA1938, Kingsley Park; and VA1955, West Glade (reallocation from VA 1951, Tavenner Lane Apartments).

No FY 2012 funding is included for Fund 969 at this time. Funding will be allocated at the time of the award from HUD and will provide Program Year 40 funding for new and ongoing projects.

| Position Summary | | |
|---|---|---|
| | **HOUSING MANAGEMENT** | |
| 1 | Housing/Community Developer V G | |
| 2 | Housing/Community Developers III 2G | |
| **TOTAL POSITIONS** | | |
| **3 Positions / 3.0 Staff Years** | | **G Denotes Grant Positions** |

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- FY 2012 funding remains at the same level as the FY 2011 Adopted Budget Plan.

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Carryover Adjustments** **$3,220,899**
  As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $3,220,899 due to the carryover of $1,320,611 in previously unexpended project balances and $1,900,288 for an award by U.S. Department of Housing and Urban Development (HUD) for five projects: VA1903, Rosedale Manor; VA1913, Atrium; VA1935, Barros Circle; VA1938, Kingsley Park; and VA1951, Tavenner Lane Apartments.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 969
# Public Housing Program Projects Under Modernization

## FUND STATEMENT

**Fund Type H96, Annual Contribution Contract** **Fund 969, Projects Under Modernization**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$2,173,574** | **$0** | **$2,173,574** | **$0** |
| Revenue: | | | | |
| HUD Authorizations | $0 | $0 | $1,047,325 | $0 |
| HUD Reimbursements[1] | 4,007,098 | 0 | 0 | 0 |
| Total Revenue | $4,007,098 | $0 | $1,047,325 | $0 |
| **Total Available** | **$6,180,672** | **$0** | **$3,220,899** | **$0** |
| Expenditures: | | | | |
| Administration | $516,983 | $0 | $516,983 | $0 |
| Capital/Related Improvements | 3,490,115 | 0 | 2,703,916 | 0 |
| Total Expenditures | $4,007,098 | $0 | $3,220,899 | $0 |
| **Total Disbursements** | **$4,007,098** | **$0** | **$3,220,899** | **$0** |
| **Ending Balance[2]** | **$2,173,574** | **$0** | **$0** | **$0** |

[1] This represents the U.S. Department of Housing and Urban Development (HUD) reimbursements for capital improvements, major repairs/maintenance and modernization of public housing properties.

[2] Capital projects are budgeted based on the total project costs. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

# Fund 969
# Public Housing Program Projects Under Modernization

### FY 2012 Summary of Capital Projects

### Fund: 969 Public Housing, Projects Under Modernization

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| VA0501 | Capital Improvement - Year 28 | $432,080 | $0.00 | $10,569.83 | $0 |
| VA0502 | Capital Improvement - Year 29 | 788,485 | 0.00 | 0.00 | 0 |
| VA0503 | Capital Improvement - Year 30 | 692,526 | 0.00 | 0.00 | 0 |
| VA0504 | Capital Improvement - Year 31 | 396,086 | 0.00 | 0.00 | 0 |
| VA0505 | Capital Improvement - Year 32 | 100,726 | 0.00 | 20,212.35 | 0 |
| VA0506 | Capital Improvement - Year 33 | 929,360 | 0.00 | 0.00 | 0 |
| VA0507 | Capital Improvement - Year 34 | 754,516 | 0.00 | 0.00 | 0 |
| VA0508 | Capital Improvement - Year 35 | 556,348 | 0.00 | 46,523.07 | 0 |
| VA0702 | Comp Grant - Year Two | 346,829 | 0.00 | 0.00 | 0 |
| VA0703 | Comp Grant - Year Three | 374,978 | 0.00 | 0.00 | 0 |
| VA0704 | Comp Grant - Year Four | 386,386 | 0.00 | 0.00 | 0 |
| VA0705 | Comp Grant - Year Five | 288,906 | 0.00 | 0.00 | 0 |
| VA0706 | Comp Grant - Year Six | 276,087 | 0.00 | 0.00 | 0 |
| VA0707 | Comp Grant - Year Seven | 267,251 | 0.00 | 0.00 | 0 |
| VA0708 | Comp Grant - Year Eight | 391,601 | 0.00 | 0.00 | 0 |
| VA1900 | 4500 University Drive | 124,939 | 0.00 | 105,000.00 | 0 |
| VA1901 | Audubon Apartments | 1,961,940 | 15,586.84 | 30,450.00 | 0 |
| VA1903 | Rosedale Manor | 2,063,080 | 324,965.99 | 238,830.72 | 0 |
| VA1904 | Newington Station | 1,087,336 | 0.00 | 0.00 | 0 |
| VA1905 | Green Apartments | 2,186,251 | 0.00 | 0.00 | 0 |
| VA1906 | The Park | 1,226,044 | 66,843.70 | 83,003.30 | 0 |
| VA1911 | Shadowood Condominiums | 329,274 | 171,349.90 | 2,551.24 | 0 |
| VA1913 | Atrium | 2,182,941 | 536,583.11 | 515,170.87 | 0 |
| VA1925 | Villages at Falls Church | 261,985 | 0.00 | 0.00 | 0 |
| VA1927 | Robinson Square | 2,641,475 | 1,126,962.02 | 16,690.91 | 0 |
| VA1929 | Sheffield Village Square | 74,915 | 0.00 | 0.00 | 0 |
| VA1930 | Greenwood Apartments | 3,921,358 | 138,503.76 | 669,275.31 | 0 |
| VA1931 | Briarcliff Phase II | 465,742 | 0.00 | 0.00 | 0 |
| VA1932 | West Ford Phase II | 1,427,362 | 0.00 | 0.00 | 0 |
| VA1933 | West Ford Phase I | 1,248,233 | 13,291.45 | 44,712.26 | 0 |
| VA1934 | West Ford Phase III | 2,389,195 | 669,087.59 | 147,114.97 | 0 |
| VA1935 | Barros Circle | 1,622,459 | 137,850.38 | 707,163.77 | 0 |
| VA1936 | Belle View Condominiums | 359,712 | 0.00 | 0.00 | 0 |
| VA1938 | Kingsley Park | 2,618,136 | 339,169.80 | 208,634.98 | 0 |
| VA1939 | Scattered Acquisitions | | 3,813.65 | 30,000.00 | 0 |
| VA1940 | Reston Town Center | 773,183 | 0.00 | 0.00 | 0 |
| VA1942 | Old Mill Site | 244,163 | 169,501.52 | 52,819.05 | 0 |
| VA1945 | Ragan Oaks | 97,454 | 59,617.87 | 3,494.37 | 0 |
| VA1951 | Tavenner Lane Apartments | 82,114 | 20,474.37 | 61,639.25 | 0 |
| VA1952 | Water's Edge | 119,621 | 0.00 | 0.00 | 0 |
| VA1955 | West Glade | 439,689 | 212,646.34 | 227,042.89 | 0 |
| VA1956 | Scattered ADU'S | 849 | 849.24 | 0.00 | 0 |
| **Total** | | **$36,931,615** | **$4,007,097.53** | **$3,220,899.14** | **$0** |

# FY 2012 ADVERTISED REVENUE & RECEIPTS BY FUND
## SUMMARY OF NON-APPROPRIATED FUNDS

| Fund Type/Fund | FY 2010 Actual [1] | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|
| **HUMAN SERVICES** | | | | | | |
| **G10 Special Revenue Funds** | | | | | | |
| 117 Alcohol Safety Action Program | $1,710,249 | $1,687,300 | $1,687,300 | $1,687,300 | $0 | 0.00% |
| **NORTHERN VIRGINIA REGIONAL IDENTIFICATION SYSTEM (NOVARIS)** | | | | | | |
| **G70 Agency Funds** | | | | | | |
| 703 Northern Virginia Regional Identification System | $18,771 | $19,310 | $19,310 | $29,310 | $10,000 | 51.79% |
| **HOUSING AND COMMUNITY DEVELOPMENT** | | | | | | |
| **H94 Other Housing Funds** | | | | | | |
| 940 FCRHA General Operating | $2,406,878 | $2,406,754 | $2,721,614 | $2,602,535 | ($119,079) | (4.38%) |
| 941 Fairfax County Rental Program | 6,346,405 | 4,886,694 | 4,623,589 | 4,826,898 | 203,309 | 4.40% |
| 945 Non-County Appropriated Rehabilitation Loan | 1,358 | 31,783 | 31,783 | 27,869 | (3,914) | (12.31%) |
| 946 FCRHA Revolving Development | 1,747,061 | 30,047 | 30,047 | 31,016 | 969 | 3.22% |
| 948 FCRHA Private Financing | 902,618 | 774,232 | 814,232 | 720,962 | (93,270) | (11.45%) |
| 949 FCRHA Internal Service Fund | 3,901,704 | 4,212,326 | 4,499,872 | 3,864,914 | (634,958) | (14.11%) |
| 950 Housing Partnerships | 1,123,568 | 1,671,959 | 1,804,530 | 1,737,751 | (66,779) | (3.70%) |
| 965 Housing Grants Fund | 162,353 | 0 | 668,570 | 0 | (668,570) | (100.00%) |
| **Total Other Housing Funds** | **$16,591,945** | **$14,013,795** | **$15,194,237** | **$13,811,945** | **($1,382,292)** | **(9.10%)** |
| **H96 Annual Contribution Contract** | | | | | | |
| 966 Section 8 Annual Contribution | $46,930,525 | $44,141,770 | $48,042,886 | $51,382,654 | $3,339,768 | 6.95% |
| 967 Public Housing, Projects Under Management | 10,008,158 | 9,280,020 | 9,280,020 | 9,732,845 | 452,825 | 4.88% |
| 969 Public Housing, Projects Under Modernization | 4,007,098 | 0 | 1,047,325 | 0 | (1,047,325) | (100.00%) |
| **Total Annual Contribution Contract** | **$60,945,781** | **$53,421,790** | **$58,370,231** | **$61,115,499** | **$2,745,268** | **4.70%** |
| **TOTAL HOUSING AND COMMUNITY DEVELOPMENT** | **$77,537,726** | **$67,435,585** | **$73,564,468** | **$74,927,444** | **$1,362,976** | **1.85%** |

# FY 2012 ADVERTISED REVENUE & RECEIPTS BY FUND
## SUMMARY OF NON-APPROPRIATED FUNDS

| Fund Type/Fund | FY 2010 Actual [1] | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|
| **FAIRFAX COUNTY PARK AUTHORITY** | | | | | | |
| **P17 Special Revenue - Park Authority** | | | | | | |
| 170 Park Revenue Fund | $39,167,789 | $42,641,814 | $42,641,814 | $42,097,806 | ($544,008) | (1.28%) |
| **P37 Capital Projects - Park Authority** | | | | | | |
| 371 Park Capital Improvement Fund | $11,943,435 | $0 | $0 | $0 | $0 | - |
| **TOTAL FAIRFAX COUNTY PARK AUTHORITY** | **$51,111,224** | **$42,641,814** | **$42,641,814** | **$42,097,806** | **($544,008)** | **(1.28%)** |
| **TOTAL NON-APPROPRIATED FUNDS** | **$130,377,970** | **$111,784,009** | **$117,912,892** | **$118,741,860** | **$828,968** | **0.70%** |
| **Appropriated from (Added to) Surplus** | **($19,580,112)** | **($807,579)** | **$34,537,370** | **($816,172)** | **($35,353,542)** | **(102.36%)** |
| **TOTAL AVAILABLE** | **$110,797,858** | **$110,976,430** | **$152,450,262** | **$117,925,688** | **($34,524,574)** | **(22.65%)** |

***EXPLANATORY NOTE:***
*The "Total Available" indicates the revenue in each fiscal year that is to be used to support expenditures. This amount is the total revenue adjusted by the amount of funding that is either appropriated from fund balance or added to fund balance. In some instances, adjustments to fund balance that are not currently reflected in the "Changes in Fund Balance" table also affect the "Total Available." Explanations for these adjustments are provided below. The "Total Available," plus (minus) the effect of these changes matches the expenditure totals by fiscal year on the "Expenditure by Fund/Summary of Non-Appropriated Funds."*

[1] **Not reflected are the following adjustments to balance which were carried forward from FY 2009 to FY 2010:**
Fund 170, Park Revenue Fund, assumption of cash basis accounting reflecting the net effect of deferred revenue of $45,546 higher than reflected in the County's accounting system.

# FY 2012 ADVERTISED EXPENDITURES BY FUND
## SUMMARY OF NON-APPROPRIATED FUNDS

| Fund Type/Fund | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|
| **HUMAN SERVICES** | | | | | | |
| **G10 Special Revenue Funds** | | | | | | |
| 117 Alcohol Safety Action Program | $1,679,877 | $1,687,300 | $1,687,300 | $1,687,300 | $0 | 0.00% |
| **NORTHERN VIRGINIA REGIONAL IDENTIFICATION SYSTEM (NOVARIS)** | | | | | | |
| **G70 Agency Funds** | | | | | | |
| 703 Northern Virginia Regional Identification System | $334 | $18,599 | $18,599 | $34,599 | $16,000 | 86.03% |
| **HOUSING AND COMMUNITY DEVELOPMENT** | | | | | | |
| **H94 Other Housing Funds** | | | | | | |
| 940 FCRHA General Operating | $2,244,483 | $2,406,754 | $2,414,363 | $2,516,625 | $102,262 | 4.24% |
| 941 Fairfax County Rental Program | 4,077,167 | 4,722,253 | 4,818,187 | 4,667,664 | (150,523) | (3.12%) |
| 945 Non-County Appropriated Rehabilitation Loan | 0 | 25,000 | 25,000 | 25,000 | 0 | 0.00% |
| 946 FCRHA Revolving Development | 46,212 | 0 | 1,531,959 | 0 | (1,531,959) | (100.00%) |
| 948 FCRHA Private Financing | 883,658 | 774,232 | 3,082,745 | 720,962 | (2,361,783) | (76.61%) |
| 949 FCRHA Internal Service Fund | 3,901,704 | 4,212,326 | 4,499,872 | 3,864,914 | (634,958) | (14.11%) |
| 950 Housing Partnerships | 1,227,288 | 1,698,720 | 1,904,585 | 1,740,147 | (164,438) | (8.63%) |
| 965 Housing Grants Fund | 162,353 | 0 | 668,570 | 0 | (668,570) | (100.00%) |
| **Total Other Housing Funds** | **$12,542,865** | **$13,839,285** | **$18,945,281** | **$13,535,312** | **($5,409,969)** | **(28.56%)** |
| **H96 Annual Contribution Contract** | | | | | | |
| 966 Section 8 Annual Contribution | $44,575,823 | $43,607,618 | $47,904,573 | $50,911,987 | $3,007,414 | 6.28% |
| 967 Public Housing, Projects Under Management | 8,790,943 | 9,181,813 | 9,765,826 | 9,658,684 | (107,142) | (1.10%) |
| 969 Public Housing, Projects Under Modernization | 4,007,098 | 0 | 3,220,899 | 0 | (3,220,899) | (100.00%) |
| **Total Annual Contribution Contract** | **$57,373,864** | **$52,789,431** | **$60,891,298** | **$60,570,671** | **($320,627)** | **(0.53%)** |
| **TOTAL HOUSING AND COMMUNITY DEVELOPMENT** | **$69,916,729** | **$66,628,716** | **$79,836,579** | **$74,105,983** | **($5,730,596)** | **(7.18%)** |

# FY 2012 ADVERTISED EXPENDITURES BY FUND
# SUMMARY OF NON-APPROPRIATED FUNDS

| Fund Type/Fund | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|
| **FAIRFAX COUNTY PARK AUTHORITY** | | | | | | |
| **P17 Special Revenue - Park Authority** | | | | | | |
| 170 Park Revenue Fund | $36,830,062 | $41,814,002 | $41,814,002 | $41,244,493 | ($569,509) | (1.36%) |
| **P37 Capital Projects - Park Authority** | | | | | | |
| 371 Park Capital Improvement Fund | $1,609,839 | $0 | $28,265,969 | $0 | ($28,265,969) | (100.00%) |
| **TOTAL FAIRFAX COUNTY PARK AUTHORITY** | **$38,439,901** | **$41,814,002** | **$70,079,971** | **$41,244,493** | **($28,835,478)** | **(41.15%)** |
| **TOTAL NON-APPROPRIATED FUNDS** | **$110,036,841** | **$110,148,617** | **$151,622,449** | **$117,072,375** | **($34,550,074)** | **(22.79%)** |

# FY 2012 ADVERTISED CHANGES IN FUND BALANCE
# SUMMARY OF NON-APPROPRIATED FUNDS

| Fund Type/ Fund | Balance 6/30/09 | Balance 6/30/10 | Balance 6/30/11 | Balance 6/30/12 | From/(Added to) Surplus |
|---|---|---|---|---|---|
| **HUMAN SERVICES** | | | | | |
| **G10 Special Revenue Funds** | | | | | |
| 117 Alcohol Safety Action Program | $22,116 | $52,488 | $52,488 | $52,488 | $0 |
| **NORTHERN VIRGINIA REGIONAL IDENTIFICATION SYSTEM (NOVARIS)** | | | | | |
| **G70 Agency Funds** | | | | | |
| 703 Northern Virginia Regional Identification System | $19,273 | $37,710 | $38,421 | $33,132 | $5,289 |
| **HOUSING AND COMMUNITY DEVELOPMENT** | | | | | |
| **H94 Other Housing Funds** | | | | | |
| 940 FCRHA General Operating | $11,606,881 | $11,769,276 | $12,076,527 | $12,162,437 | ($85,910) |
| 941 Fairfax County Rental Program | 4,567,426 | 6,836,664 | 6,642,066 | 6,801,300 | (159,234) |
| 945 Non-County Appropriated Rehabilitation Loan | 231,157 | 232,515 | 239,298 | 242,167 | (2,869) |
| 946 FCRHA Revolving Development | 2,846,380 | 4,547,229 | 3,045,317 | 3,076,333 | (31,016) |
| 948 FCRHA Private Financing | 7,490,906 | 7,509,866 | 5,241,353 | 5,241,353 | 0 |
| 949 FCRHA Internal Service Fund | 0 | 0 | 0 | 0 | 0 |
| 950 Housing Partnerships | 225,288 | 121,568 | 21,513 | 19,117 | 2,396 |
| 965 Housing Grants Fund | 0 | 0 | 0 | 0 | 0 |
| **Total Other Housing Funds** | **$26,968,038** | **$31,017,118** | **$27,266,074** | **$27,542,707** | **($276,633)** |
| **H96 Annual Contribution Contract** | | | | | |
| 966 Section 8 Annual Contribution | $3,887,046 | $6,241,748 | $6,380,061 | $6,850,728 | ($470,667) |
| 967 Public Housing, Projects Under Management | 3,799,332 | 5,016,547 | 4,530,741 | 4,604,902 | (74,161) |
| 969 Public Housing, Projects Under Modernization | 2,173,574 | 2,173,574 | 0 | 0 | 0 |
| **Total Annual Contribution Contract** | **$9,859,952** | **$13,431,869** | **$10,910,802** | **$11,455,630** | **($544,828)** |
| **TOTAL HOUSING AND COMMUNITY DEVELOPMENT** | **$36,827,990** | **$44,448,987** | **$38,176,876** | **$38,998,337** | **($821,461)** |

# FY 2012 ADVERTISED CHANGES IN FUND BALANCE
# SUMMARY OF NON-APPROPRIATED FUNDS

| Fund Type/ Fund | Balance 6/30/09 | Balance 6/30/10 | Balance 6/30/11 | Balance 6/30/12 | From/(Added to) Surplus |
|---|---|---|---|---|---|
| **FAIRFAX COUNTY PARK AUTHORITY** | | | | | |
| **P17 Special Revenue - Park Authority** | | | | | |
| 170 Park Revenue Fund | $8,437,658 | $9,854,368 | $9,854,367 | $9,854,367 | $0 |
| **P37 Capital Projects - Park Authority** | | | | | |
| 371 Park Capital Improvement Fund | $21,792,074 | $32,285,670 | $4,019,701 | $4,019,701 | $0 |
| **TOTAL FAIRFAX COUNTY PARK AUTHORITY** | **$30,229,732** | **$42,140,038** | **$13,874,068** | **$13,874,068** | **$0** |
| **TOTAL NON-APPROPRIATED FUNDS** | **$67,099,111** | **$86,679,223** | **$52,141,853** | **$52,958,025** | **($816,172)** |

# FY 2012 ADVERTISED SUMMARY OF EXPENDITURES FOR PROGRAMS WITH APPROPRIATED AND NON-APPROPRIATED FUNDS

| Fund Type/ Fund | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|
| **HOUSING AND COMMUNITY DEVELOPMENT** | | | | | | |
| **APPROPRIATED FUNDS** | | | | | | |
| **G00 General Fund** | | | | | | |
| Department of Housing and Community Development | $6,585,966 | $5,928,757 | $6,000,760 | $5,928,757 | ($72,003) | (1.20%) |
| **G30 Capital Project Funds** | | | | | | |
| 319 The Penny for Affordable Housing Fund | $18,186,529 | $13,458,400 | $19,864,899 | $14,668,400 | ($5,196,499) | (26.16%) |
| **H14 Special Revenue - Housing** | | | | | | |
| 141 Elderly Housing Programs | $3,304,084 | $4,186,706 | $5,201,767 | $4,159,501 | ($1,042,266) | (20.04%) |
| 142 Community Development Block Grant | 7,589,731 | 5,982,304 | 17,110,097 | 6,463,133 | (10,646,964) | (62.23%) |
| 143 Homeowner and Business Loan Programs | 5,352,189 | 3,883,825 | 8,629,710 | 4,514,316 | (4,115,394) | (47.69%) |
| 144 Housing Trust Fund | 2,177,659 | 840,000 | 4,385,008 | 348,814 | (4,036,194) | (92.05%) |
| 145 HOME Investment Partnerships Grant | 1,255,546 | 2,707,657 | 9,067,045 | 2,692,612 | (6,374,433) | (70.30%) |
| **Total Special Revenue Funds** | **$19,679,209** | **$17,600,492** | **$44,393,627** | **$18,178,376** | **($26,215,251)** | **(59.05%)** |
| **H34 Capital Projects - Housing** | | | | | | |
| 340 Housing Assistance Program | $1,074,560 | $515,000 | $8,455,286 | $515,000 | ($7,940,286) | (93.91%) |
| **Total Capital Project Funds** | **$1,074,560** | **$515,000** | **$8,455,286** | **$515,000** | **($7,940,286)** | **(93.91%)** |
| **TOTAL APPROPRIATED HOUSING AUTHORITY** | **$45,526,264** | **$37,502,649** | **$78,714,572** | **$39,290,533** | **($39,424,039)** | **(50.08%)** |
| **NON-APPROPRIATED FUNDS** | | | | | | |
| **H94 Other Housing Funds** | | | | | | |
| 940 FCRHA General Operating | $2,244,483 | $2,406,754 | $2,414,363 | $2,516,625 | $102,262 | 4.24% |
| 941 Fairfax County Rental Program | 4,077,167 | 4,722,253 | 4,818,187 | 4,667,664 | (150,523) | (3.12%) |
| 945 Non-County Appropriated Rehabilitation Loan | 0 | 25,000 | 25,000 | 25,000 | 0 | 0.00% |
| 946 FCRHA Revolving Development | 46,212 | 0 | 1,531,959 | 0 | (1,531,959) | (100.00%) |
| 948 FCRHA Private Financing | 883,658 | 774,232 | 3,082,745 | 720,962 | (2,361,783) | (76.61%) |
| 949 FCRHA Internal Service Fund | 3,901,704 | 4,212,326 | 4,499,872 | 3,864,914 | (634,958) | (14.11%) |
| 950 Housing Partnerships | 1,227,288 | 1,698,720 | 1,904,585 | 1,740,147 | (164,438) | (8.63%) |
| 965 Housing Grants Fund | 162,353 | 0 | 668,570 | 0 | (668,570) | (100.00%) |
| **Total Other Housing Funds** | **$12,542,865** | **$13,839,285** | **$18,945,281** | **$13,535,312** | **($5,409,969)** | **(28.56%)** |

# FY 2012 ADVERTISED SUMMARY OF EXPENDITURES FOR PROGRAMS WITH APPROPRIATED AND NON-APPROPRIATED FUNDS

| Fund Type/ Fund | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan | Increase/ (Decrease) Over Revised | % Increase/ (Decrease) Over Revised |
|---|---|---|---|---|---|---|
| **H96 Annual Contribution Contract** | | | | | | |
| 966 Section 8 Annual Contribution | $44,575,823 | $43,607,618 | $47,904,573 | $50,911,987 | $3,007,414 | 6.28% |
| 967 Public Housing, Projects Under Management | 8,790,943 | 9,181,813 | 9,765,826 | 9,658,684 | (107,142) | (1.10%) |
| 969 Public Housing, Projects Under Modernization | 4,007,098 | 0 | 3,220,899 | 0 | (3,220,899) | (100.00%) |
| **Total Annual Contribution Contract** | **$57,373,864** | **$52,789,431** | **$60,891,298** | **$60,570,671** | **($320,627)** | **(0.53%)** |
| **TOTAL NON-APPROPRIATED HOUSING AUTHORITY** | **$69,916,729** | **$66,628,716** | **$79,836,579** | **$74,105,983** | **($5,730,596)** | **(7.18%)** |
| **TOTAL HOUSING AND COMMUNITY DEVELOPMENT** | **$115,442,993** | **$104,131,365** | **$158,551,151** | **$113,396,516** | **($45,154,635)** | **(28.48%)** |
| **PARKS, RECREATION AND LIBRARIES** | | | | | | |
| **APPROPRIATED FUNDS** | | | | | | |
| **G00 General Fund** | | | | | | |
| Fairfax County Park Authority | $25,681,402 | $23,592,766 | $23,715,200 | $20,926,432 | ($2,788,768) | (11.76%) |
| **P37 Capital Projects - Park Authority** | | | | | | |
| 370 Park Authority Bond Construction | $19,079,048 | $0 | $81,879,185 | $0 | ($81,879,185) | (100.00%) |
| **TOTAL APPROPRIATED PARK AUTHORITY** | **$44,760,450** | **$23,592,766** | **$105,594,385** | **$20,926,432** | **($84,667,953)** | **(80.18%)** |
| **NON-APPROPRIATED FUNDS** | | | | | | |
| **P17 Special Revenue - Park Authority** | | | | | | |
| 170 Park Revenue Fund | $36,585,322 | $40,032,110 | $40,165,872 | $41,814,002 | $1,648,130 | 4.10% |
| **P37 Capital Projects - Park Authority** | | | | | | |
| 371 Park Capital Improvement Fund | $5,354,458 | $0 | $18,310,873 | $0 | ($18,310,873) | (100.00%) |
| **TOTAL NON-APPROPRIATED PARK AUTHORITY** | **$41,939,780** | **$40,032,110** | **$58,476,745** | **$41,814,002** | **($16,662,743)** | **(28.49%)** |
| **TOTAL PARKS, RECREATION AND LIBRARIES** | **$86,700,230** | **$63,624,876** | **$164,071,130** | **$62,740,434** | **($101,330,696)** | **(61.76%)** |
| **TOTAL EXPENDITURES** | **$202,143,223** | **$167,756,241** | **$322,622,281** | **$176,136,950** | **($146,485,331)** | **(45.40%)** |

# Fairfax County Park Authority Trust Funds

## Overview

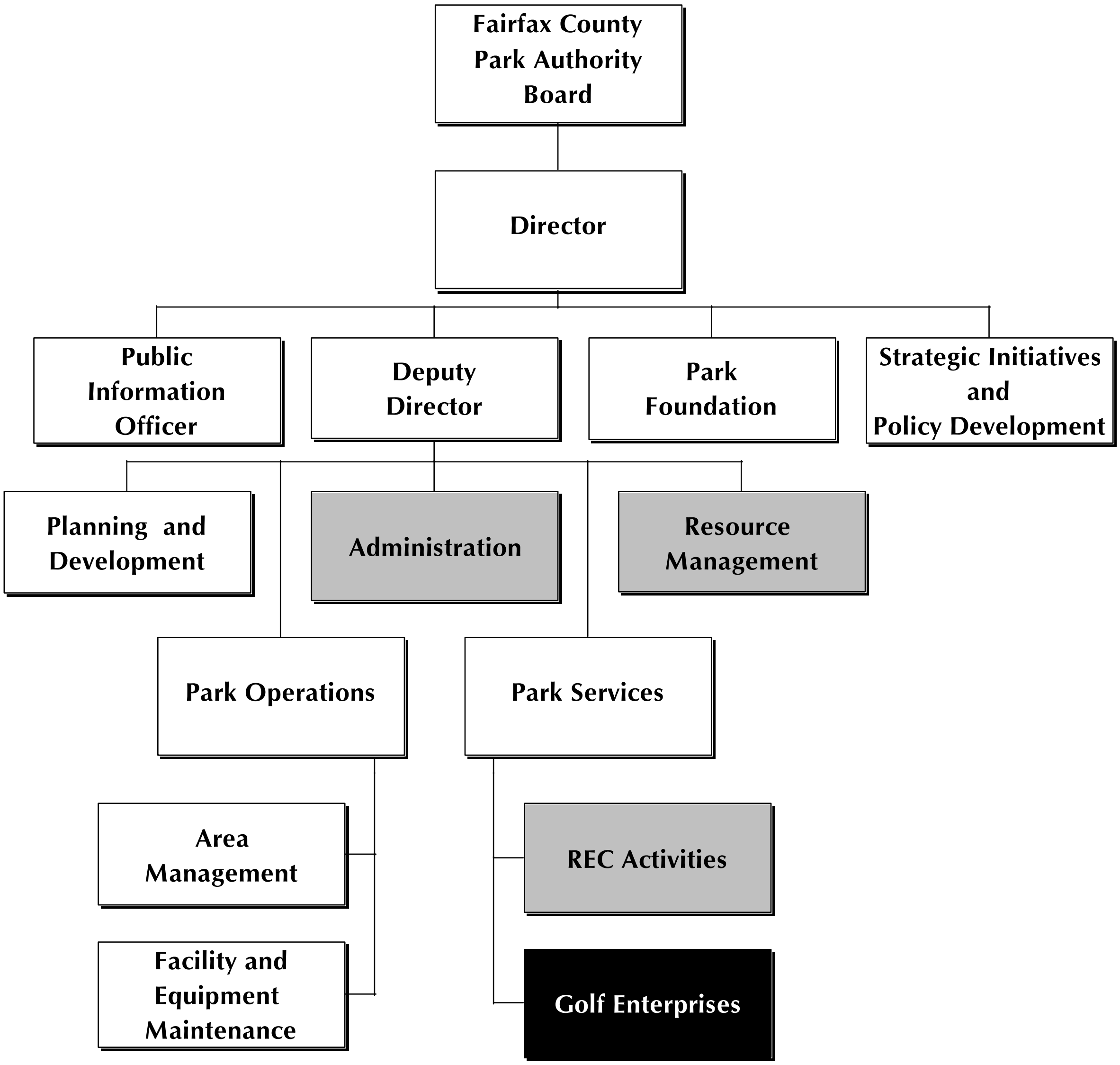
The Fairfax County Park Authority operates two separate and distinct Trust Funds. All funds received by the Park Authority Board under these Trust Funds are held and applied solely to expenditures determined by the Park Authority Board as authorized under the Commonwealth's Park Authorities Act. These funds provide support for the Park Authority which currently maintains and operates 417 parks, and more than 22,000 acres of park land, including recreation centers, historic sites, nature centers, and golf courses.

The Park Authority, in its memorandum of agreement with the Fairfax County Board of Supervisors, adopted budgeting and reporting procedures for its Trust Funds which are used by the General County Government. These two Trust Funds are being published in accordance with this agreement.

- **Fund 170 - Park Revenue Fund**

- **Fund 371 - Park Capital Improvement Fund**

# Fund 170
# Park Revenue Fund




Denotes Cost Centers that are included in both the General Fund and Fund 170, Park Revenue Fund.

Denotes Cost Center that is only in Fund 170, Park Revenue Fund.

# Fund 170
# Park Revenue Fund

## Mission

To set aside public spaces for and assist citizens in the protection and enhancement of environmental values, diversity of natural habitats and cultural heritage; to guarantee that these resources will be available to both present and future generations; to create and sustain quality facilities and services that offer citizens opportunities for recreation, improvement of their physical and mental well-being and enhancement of their quality of life.

## Focus

The Fairfax County Park Authority (the Authority), created by legislative action in 1950, serves the most populous jurisdiction in both the Virginia and Washington D.C. metropolitan area with over 1 million people. Under the direction of a Board of Supervisor's appointed 12-member Park Authority Board, the Authority works collaboratively with constituents, partners, stakeholders, and government leaders and appointees to implement Board policies, champion the preservation and protection of natural and cultural resources, and facilitate the development of park and recreation programs and facilities. The Authority oversees operation and management of a County park system with over 22,000 acres, 417 parks, nine recreation centers, eight golf courses, an ice skating rink, 220 playgrounds, 668 public gardens, five nature centers, an equestrian center, 505 Fairfax County Public School owned athletic fields, 287 Park Authority owned athletic fields, 10 historic sites, two waterparks, a horticultural center, and more than 300 miles of trails. The Authority has balanced the dual roles of providing recreational and fitness opportunities to citizens and serving as stewards and interpreters of Fairfax County's natural and cultural resources.

The Authority, a National Gold Medal Award winner and an accredited agency is arguably one of the largest, most diverse park systems in the nation. The agency offers leisure and recreational opportunities through an array of programmed and un-programmed resources which enrich the quality of life for County residents. This is accomplished through the protection and preservation of open space and natural areas, nature centers, RECenters, historic sites, golf courses, athletic fields, public gardens, horticulture sites, trails, and neighborhood, community, district and countywide parks, as well as stewardship education, park programs, classes, camps and tours. Delivering high-quality service in parks is an important focus for the Park Authority as demand and usage continue to grow. The Authority seeks to provide quality recreational opportunities through construction, development, operation, and maintenance of a wide variety of facilities to meet the varied needs and interests of the County's residents. The Authority strives to improve the quality of life for the residents of the County by keeping pace with residents' interests, by continually enhancing the park system, and by demonstrating stewardship for parkland. Notable enhancements include increased open space through land acquisition, protection of critical natural and cultural resources, expanded trails, new inclusive features, and upgraded playability of outdoor facilities.

In FY 2010, the Authority acquired 39 acres of land. These acquisitions included additional acreage for Arrowhead Park, located in the Sully District, and the establishment of the new Ordick Homestead Park. Also, two existing natural turf rectangular fields were converted to synthetic turf which included one at Lee District Park and one at Greenbriar Park. In addition, some significant park infrastructure improvements were completed. New facilities that were completed include: four pavilions at Lake Fairfax Park, various equestrian facilities at Frying Pan Farm Park and Turner Farm Park, and an equipment storage building at Green Springs Gardens. Trail and pedestrian bridge improvements were completed at the Clark's Branch bridge at Riverbend Park, the Lamond pedestrian access bridge, the Wolf Trap Stream Valley bridge and trail, the Tyson's Wood Park connection to the W&OD trail, the Rocky Run Stream Valley trail, the Frog Branch Stream Valley trail, and the Pohick Stream Valley trail. Also, athletic field lighting was upgraded at South Run District Park, Poplar Tree Park and Rolling Valley West Park, and lights were added to the existing diamond field at JEB Stuart Park.

# Fund 170
# Park Revenue Fund

The Park Revenue Fund is supported from user fees and charges generated at the Authority's revenue supported facilities and is supplemented by donations and grants. Revenue generating facilities include recreation centers, golf courses, lake parks, nature centers, historic sites and various other major parks. The Authority's enabling legislation states that revenues must be spent exclusively for park purposes. Revenue received from recreation centers and golf courses are designed to fully recover the annual operating and maintenance costs of programs and services at these facilities, while the revenue received from the lake parks, nature centers, historic sites and various other major parks only cover a portion of the annual costs. The Authority strives to achieve an overall positive net cost recovery in order to contribute to capital repairs for revenue funded facilities necessary to maintain and adapt facilities to meet County residents' service expectations.

Some park operations are funded from both the General Fund and the Park Revenue Fund. For example, the General Fund supports some camps, trips and tours, lakefront park operations and resource management sites and programs. The General Fund also pays for the policy, communication and leadership activities of the Director's Office, the requirements of the Public Information Office, and funds administrative costs for purchasing, accounting, budgeting, and payroll and risk management procedural compliance.

**Park Board**

The Authority operates under the policy oversight of a Board of Supervisors' appointed 12-member Park Authority Board, in accordance with a Memorandum of Understanding with the County's Board of Supervisors. The Authority manages acquisition, preservation, development, maintenance and operation of its assets and activities through five funds: the Parks General Fund Operating Budget, Park Revenue Fund, County Construction Fund, Park Authority Bond Construction Fund and Park Capital Improvement Fund. The Park Authority Board has direct fiduciary responsibility for the Park Revenue Fund and the Park Capital Improvement Fund, while the County has fiduciary responsibility for the three other funds. The Authority aggressively seeks management initiatives and alternate funding sources to sustain the delivery of quality services and facilities.

In FY 2010, the Park Authority Board approved its focus areas and identified maintaining fiscal sustainability as its number one priority. Other areas identified were to address park infrastructure, sustain workforce readiness, coordinate communication and marketing, and broaden customer service and diversify partnerships. Maintaining economic vitality is a longstanding component of the Board of Supervisors' vision for Fairfax County. A healthy, functional park system is a critical component of economic vitality and attracts businesses to the County. The Park Authority embraces the County's Cultural Recreational Vision Element and encompasses the Board of Supervisors' Clean, Sustainable Environment Goal Statement.

# Fund 170
# Park Revenue Fund

**Current Trends**
In FY 2004, to address a growing population and evolving recreation desires of County residents, the Authority implemented a comprehensive Needs Assessment study that resulted in a 10-Year Action Plan, including a phased-in 10-year Capital Improvement Program. Indexed for inflation and adjusted land values, completion of this Plan requires $435 million. This amount includes an estimated requirement of $120 million over the next 10 years to address the decline of facilities and infrastructure due to age, high usage, and limited resources to perform required life-cycle maintenance. The Needs Assessment was a significant part of the justification for the 2004, 2006, and 2008 voter approved park bond referendums totaling $155 million. "Great Parks, Great Communities," a comprehensive park planning effort to develop district-level long range plans, was initiated in 2007 and will continue to serve as a guide for future park development and resource protection to better address changing needs and growth forecasts through 2020.

The Authority continues to be challenged by the current economic situation and resulting budget reductions. Resident demand for services continues to grow due to an increasing population and changing needs and diversity of the community. Parks and park programs also have been a popular recreational outlet during the economic downturn. In order to preserve as many services as possible during the FY 2010 and FY 2011 budget reductions, several program costs were redirected in previous budget years from the General Fund to the Park Revenue Fund; however, this is limiting the available Set Aside Reserve which would be used for renovations and enhancements of revenue producing facilities and programs.

In FY 2012, the Park Revenue Fund continues to face financial challenges due to the general economic downturn that has reduced participation in some of the key revenue-generating activities and created stagnation for participation in some activities. The economic conditions families are facing is also exerting downward or stay-the-course pressure on the pricing of services, limiting the ability to generate additional revenue through fee increases. The Authority must quickly respond to changing expectations in order to maintain customer loyalty and stability in the revenue base. Recent or near-term initiatives include enabling customer-oriented services such as online pass sales/renewals, e-mail classes and camp surveys, electronic distribution of camp registration packets, updated concert series web pages that include new search capability for citizens to find programs, and development of an enhanced *Parktakes* online web portal.

The Authority is undergoing an analysis and review of core/non-core services which will lead to the development of a financial and sustainable model and business plan.

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 237/ 237 | 237/ 237 | 245/ 245 | 245/ 245 |
| Expenditures: | | | | |
| Personnel Services | $24,720,737 | $26,762,527 | $26,762,527 | $26,413,216 |
| Operating Expenses | 11,975,608 | 14,710,283 | 14,710,283 | 14,454,215 |
| Recovered Costs | (992,579) | (1,302,599) | (1,302,599) | (1,302,599) |
| Capital Equipment | 57,088 | 579,500 | 579,500 | 617,000 |
| Bond Costs | 1,069,208 | 1,064,291 | 1,064,291 | 1,062,661 |
| **Total Expenditures** | **$36,830,062** | **$41,814,002** | **$41,814,002** | **$41,244,493** |

# Fund 170
# Park Revenue Fund

## FY 2012 Funding Adjustments

*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

- **Personnel Services** **($408,784)**
  A decrease of $408,784 in Personnel Services is primarily associated with a decrease in limited term costs to reflect FY 2010 actual experience and the anticipated array of classes and programming scheduled for FY 2012.

- **Other Post-Employment Benefits** **$59,473**
  An increase of $59,473 is required to reflect increased costs associated with providing Other Post-Employment Benefits (OPEBs) to retirees, including the Retiree Health Benefit Subsidy. Before FY 2011, costs related to these benefits were paid solely by the General Fund; however, these annual costs are now spread across funds in order to more appropriately reflect benefit-related expenses for employees within each fund. For more information on Other Post-Employment Benefits, please refer to Fund 603, OPEB Trust Fund, in Volume 2 of the FY 2012 Advertised Budget Plan.

- **Operating Expenses** **($256,068)**
  A decrease of $256,068 in Operating Expenses is primarily associated with a decrease in electric utility costs based on FY 2010 actual experience.

- **Capital Equipment** **$617,000**
  Funding in the amount of $617,000 is included for Capital Equipment, primarily for the replacement of exercise equipment and golf course maintenance equipment, such as greens mowers and motorized grooming rakes that have outlived their useful life. In addition, $15,000 is for the replacement of a utility vehicle used for golf maintenance tasks and $23,000 is for the replacement of a heavy utility vehicle used for hauling golf course material, sand and gravel, both of which have surpassed the recommended age and mileage usage and require extensive repairs.

- **Bond Costs** **($1,630)**
  A decrease of $1,630 in Bond costs is consistent with principal and interest requirements for FY 2012.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- **Position Changes** **$0**
  As part of the FY 2011 review of County position categories, a conversion of 8/8.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

## Cost Centers

The four Cost Centers of the Park Revenue Fund are Administration, Golf Enterprises, REC Activities and Resource Management. The Cost Centers work together to fulfill the mission of the Fund and carry out the key initiatives for the Fiscal Year.

# Fund 170
# Park Revenue Fund

## Administration

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Funding Summary** | | | | |
| Authorized Positions/Staff Years | | | | |
| Regular | 13/ 13 | 13/ 13 | 15/ 15 | 15/ 15 |
| **Total Expenditures** | **$2,028,203** | **$2,770,051** | **$2,770,051** | **$2,771,693** |

**Position Summary**

| | | | |
|---|---|---|---|
| 1 | Management Analyst II | 7 | Engineers III |
| 1 | Network Telecom Analyst I | 1 | Planner IV |
| 1 | Network Telecom Analyst II | 1 | Senior Right-of-Way Agent |
| 1 | Internet/Intranet Architect I | 1 | Materials Requirement Specialist |
| 1 | Engineer IV | | |

**TOTAL POSITIONS**
**15 Positions / 15.0 Staff Years**

## Key Performance Measures

### Goal

To implement Park Authority Board policies and provide high quality administrative and business support to all levels of the Park Authority in order to assist division management in achieving Park Authority mission-related objectives.

### Objectives

- To manage expenditures, revenues, and personnel and to provide safety and information technology services for the Park Authority, with at least 85 percent customer satisfaction, while achieving at least 75 percent of the approved administration division's work plan objectives.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Annual budget expenditures administered | $30,497,647 | $26,214,391 | $33,129,318 / $25,072,817 | $33,098,807 | $27,298,156 |
| Employees (regular merit and limited term) | 3,237 | 3,284 | 3,236 / 3,103 | 3,200 | 3,200 |
| PC's, servers, and printers | 708 | 716 | 716 / 716 | 724 | 724 |
| **Efficiency:** | | | | | |
| Expenditure per Purchasing/Finance SYE | $1,355,451 | $1,165,084 | $1,472,414 / $1,166,178 | $1,539,479 | $1,269,682 |
| Agency employees served per HR SYE | 341 | 383 | 381 / 365 | 451 | 457 |
| IT Components per IT SYE | 118.00 | 119.00 | 119.00 / 119.00 | 145.00 | 145.00 |
| **Service Quality:** | | | | | |
| Customer satisfaction | 92% | 92% | 90% / 90% | 85% | 85% |
| **Outcome:** | | | | | |
| Percent of annual work plan objectives achieved | 80% | 86% | 75% / 78% | 75% | 75% |

# Fund 170
# Park Revenue Fund

## Performance Measurement Results

Workload has continued to increase as a result of the opening of several facilities over the last several years as well as increased audit requirements. Customer satisfaction for FY 2010 was at 90 percent. This figure is anticipated to decrease to 85 percent in FY 2011 and FY 2012 since administrative support staff has decreased due to budget reductions, while workload has grown. The division accomplished 78 percent of its work plan objectives for FY 2010. Because of budget constraints, the division will work to achieve an objective target of at least 75 percent for both FY 2011 and FY 2012.

## Golf Enterprises

| Funding Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 78/ 78 | 78/ 78 | 78/ 78 | 78/ 78 |
| **Total Expenditures** | **$8,614,335** | **$9,959,029** | **$9,959,029** | **$9,844,596** |

| Position Summary | | | | | |
|---|---|---|---|---|---|
| 3 | Park/Rec Specialists IV | 1 | Administrative Assistant III | 4 | Motor Equip. Operators |
| 3 | Park/Rec Specialists III | 5 | Facility Attendants II | 2 | Automotive Mechanics II |
| 3 | Park/Rec Specialists II | 1 | Park Management Specialist II | 3 | Golf Course Superintendents III |
| 7 | Park/Rec Specialists I | 10 | Senior Maintenance Workers | 1 | Golf Course Superintendent II |
| 9 | Park/Rec Assistants | 22 | Maintenance Workers | 4 | Golf Course Superintendents I |

**TOTAL POSITIONS**
**78 Positions / 78.0 Staff Years**

## Key Performance Measures

### Goal

To operate and maintain quality golf facilities, programs and services for the use and enjoyment of Fairfax County citizens and visitors; plan for future golf needs countywide; and provide opportunities and programs that enhance the growth of the sport as a life-long leisure activity.

### Objectives

- To maintain the number of golf rounds played at 325,000.
- To achieve cost recovery of 119 percent.

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Rounds played | 322,184 | 298,631 | 310,000 / 289,384 | 325,000 | 325,000 |
| Gross revenue | $11,403,317 | $10,520,811 | $12,388,376 / $10,360,691 | $11,940,162 | $11,643,723 |
| **Efficiency:** | | | | | |
| Expense/rounds played | $28.93 | $29.12 | $32.84 / $29.77 | $30.00 | $30.00 |
| Revenue per round | $35.39 | $35.23 | $39.96 / $35.80 | $36.74 | $35.83 |

# Fund 170
# Park Revenue Fund

| Indicator | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| | FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | FY 2011 | FY 2012 |
| **Service Quality:** | | | | | |
| Percent "Very" Satisfied | NA | NA | NA / NA | NA | NA |
| **Outcome:** | | | | | |
| Percent change in rounds played | 1.3% | (7.3%) | 3.8% / (3.1%) | 12.3% | 0.0% |
| Cost recovery percentage | 122.30% | 120.90% | 121.70% / 120.40% | 122.00% | 119.00% |

## Performance Measurement Results

In FY 2010, rounds played decreased 3.1 percent from FY 2009, as a combination of poor golf weather and the effects of the economic recession impacted overall play. Given the extreme winter that resulted in the loss of many operating days at the courses, rounds are expected to increase in FY 2011 and remain flat in FY 2012.

The actual cost recovery of 120.4 percent for FY 2010 was achieved with aggressive cost containment efforts by site managers and the deferral of replacement course maintenance equipment. In addition, no survey has been conducted since FY 2007 due to budget constraints; therefore, the Service Quality measures are not available.

## REC Activities

**Funding Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 134/ 134 | 134/ 134 | 136/ 136 | 136/ 136 |
| **Total Expenditures** | **$24,582,715** | **$27,060,473** | **$27,060,473** | **$26,661,597** |

**Position Summary**

| | | | | | |
|---|---|---|---|---|---|
| 1 | Recreation Division Supervisor I | 1 | Communications Specialist II | 8 | Prevent. Maintenance Specs. |
| 2 | Park Management Specialists II | 2 | Communications Specialists I | 1 | Maintenance Crew Chief |
| 2 | Park Management Specialists I | 1 | Management Analyst III | 7 | Custodians II |
| 10 | Park/Rec Specialists IV | 2 | Management Analysts II | 4 | Custodians I |
| 3 | Park/Rec Specialists III | 1 | Management Analyst I | 1 | Electronic Equipment Tech. II |
| 32 | Park/Rec Specialists II | 1 | Contract Analyst II | 1 | Painter II |
| 4 | Park/Rec Specialists I | 1 | Facility Attendant I | 1 | Producer/Director |
| 35 | Park/Rec Assistants | 12 | Administrative Assistants III | 1 | Business Analyst II |
| 1 | Publications Assistant | 1 | Naturalist/Historian Senior Interpreter | | |

**TOTAL POSITIONS**
**136 Positions / 136.0 Staff Years**

## Key Performance Measures

### Goal

To provide financially self-sufficient recreational facilities and services that meet the expectations of the citizens of Fairfax County in order to enhance their quality of life by providing opportunities to develop lifetime leisure pursuits.

# Fund 170
# Park Revenue Fund

## Objectives

- To achieve and maintain a rate of 6.00 service contacts per household in order to provide opportunities for Fairfax County citizens to enhance their recreational, fitness, health, and leisure activities while learning about linkages between these resources and a healthy community and personal life.

| Indicator | Prior Year Actuals<br>FY 2008<br>Actual | <br>FY 2009<br>Actual | <br>FY 2010<br>Estimate/Actual | Current Estimate<br>FY 2011 | Future Estimate<br>FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Service contacts | 2,194,563 | 2,297,479 | 2,174,413 / 2,353,041 | 2,354,046 | 2,347,530 |
| **Efficiency:** | | | | | |
| Service contacts per household | 5.72 | 5.96 | 5.60 / 6.10 | 6.00 | 6.00 |
| **Service Quality:** | | | | | |
| Percent "Very" Satisfied | NA | NA | NA / NA | NA | NA |
| **Outcome:** | | | | | |
| Percent of households indicating parks/recreation services are "very" important or "extremely" important to their quality of life | NA | NA | NA / NA | NA | NA |

## Performance Measurement Results

In FY 2010, the Park Authority achieved a rate of 6.10 service contacts per household, higher than the 5.96 contacts per household in FY 2009, demonstrating the continuing popularity of Park Authority programs. Service contacts measure the number of individuals who enter a Park Authority facility such as a RECenter and receive a service. Based on recent history and the addition of programs and facilities, a conservative goal for FY 2011 and FY 2012 of 6.0 service contacts per household has been set. In addition, no survey has been conducted since FY 2007 due to budget constraints; therefore, the Service Quality and Outcome measures are not available.

## Resource Management

**Funding Summary**

| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| Authorized Positions/Staff Years | | | | |
| Regular | 12/ 12 | 12/ 12 | 16/ 16 | 16/ 16 |
| **Total Expenditures** | **$1,604,809** | **$2,024,449** | **$2,024,449** | **$1,966,607** |

**Position Summary**

| | | | | | |
|---|---|---|---|---|---|
| 1 | Historian II | 1 | Park/Rec Specialist II | 1 | Administrative Assistant III |
| 2 | Historians I | 2 | Park/Rec Specialists I | 1 | Administrative Assistant I |
| 1 | Facility Attendant II | 4 | Park/Rec Support Assistants V | 1 | Custodian II |
| 1 | Park/Rec Specialist III | 1 | Administrative Assistant V | | |

**TOTAL POSITIONS**
**16 Positions / 16.0 Staff Years**

## Key Performance Measures

### Goal

To maintain and expand the availability of division services, programs, publications and facilities for citizens of Fairfax County and visitors of our parks in order to provide opportunities for education and appreciation of their natural and cultural heritage.

### Objectives

- To increase visitor contacts by 3.5 percent.

| Indicator | Prior Year Actuals | | | Current Estimate | Future Estimate |
|---|---|---|---|---|---|
| | FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | FY 2011 | FY 2012 |
| **Output:** | | | | | |
| Visitor contacts | 226,960 | 199,799 | 206,792 / 212,882 | 220,439 | 228,265 |
| **Efficiency:** | | | | | |
| Visitors contacts per household | 0.57 | 0.52 | 0.55 / 0.55 | 0.56 | 0.58 |
| **Service Quality:** | | | | | |
| Percent of visitors "very" satisfied with programs and services | NA | NA | NA / NA | NA | NA |
| **Outcome:** | | | | | |
| Percent of households indicating that natural, cultural and horticultural resources, facilities and services are "extremely" or "very" important to quality of life | NA | NA | NA / NA | NA | NA |
| Percent change in number of visitor contacts | 11.3% | (12.0%) | 3.5% / 6.5% | 3.5% | 3.5% |

## Performance Measurement Results

In FY 2010, there was a 6.5 percent increase in the amount of visitor contacts. The Park Authority will strive to maintain the goal of increasing visitor contacts by 3.5 percent in FY 2011 and FY 2012. No survey has been conducted since FY 2007 due to budget constraints; therefore, the Service Quality and Outcome measures are not available.

# Fund 170
# Park Revenue Fund

## FUND STATEMENT

**Fund Type P17, Non-Appropriated Funds** | **Fund 170, Park Revenue Fund**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$8,437,658** | **$9,299,922** | **$9,854,368** | **$9,854,367** |
| Revenue: | | | | |
| Interest on Bond Proceeds | $753 | $52,488 | $52,488 | $18,363 |
| Park Fees | 38,779,826 | 41,985,585 | 41,985,585 | 41,564,289 |
| Interest | 43,942 | 248,241 | 248,241 | 133,734 |
| Donations | 388,814 | 355,500 | 355,500 | 381,420 |
| Total Revenue[1] | $39,213,335 | $42,641,814 | $42,641,814 | $42,097,806 |
| **Total Available** | **$47,650,993** | **$51,941,736** | **$52,496,182** | **$51,952,173** |
| Expenditures: | | | | |
| Personnel Services | $24,720,737 | $26,762,527 | $26,762,527 | $26,413,216 |
| Operating Expenses | 11,975,608 | 14,710,283 | 14,710,283 | 14,454,215 |
| Recovered Costs | (992,579) | (1,302,599) | (1,302,599) | (1,302,599) |
| Capital Equipment | 57,088 | 579,500 | 579,500 | 617,000 |
| Subtotal | $35,760,854 | $40,749,711 | $40,749,711 | $40,181,832 |
| Debt Service:[2] | | | | |
| Fiscal Agent Fee | $3,233 | $3,233 | $3,233 | $3,233 |
| Accrued Bond Interest Payable | 1,065,975 | 1,061,058 | 1,061,058 | 1,059,428 |
| Subtotal | $1,069,208 | $1,064,291 | $1,064,291 | $1,062,661 |
| **Total Expenditures[3]** | **$36,830,062** | **$41,814,002** | **$41,814,002** | **$41,244,493** |
| Transfers Out: | | | | |
| County Debt Service (200)[4] | $806,563 | $827,813 | $827,813 | $853,313 |
| Park Capital Improvement Fund (371) | 160,000 | 0 | 0 | 0 |
| Total Transfers Out | $966,563 | $827,813 | $827,813 | $853,313 |
| **Total Disbursements** | **$37,796,625** | **$42,641,815** | **$42,641,815** | **$42,097,806** |
| **Ending Balance[5]** | **$9,854,368** | **$9,299,921** | **$9,854,367** | **$9,854,367** |
| Debt Service Reserve | $1,875,771 | $1,937,368 | $1,937,368 | $1,915,974 |
| Managed Reserve[6] | 7,178,597 | 7,362,553 | 7,116,999 | 7,138,393 |
| Set Aside Reserve[7] | 800,000 | 0 | 800,000 | 800,000 |
| **Unreserved Ending Balance** | **$0** | **$0** | **$0** | **$0** |

[1]This fund statement reflects cash basis accounting. This method differs from the Park Authority's Comprehensive Annual Financial Report (CAFR-FAMIS) which records revenue for unused Park passes in order to be in compliance with Generally Accepted Accounting Principles. The difference in the amount of revenue recognized under the cash basis accounting method used above and not recognized in the Park Authority's CAFR is $4,437,951. The net effect of deferred revenue is that the FY 2010 Actual Column shown above is $45,546 higher than reflected in the County's accounting system using accrual basis. This impact is included in the Managed Reserve.

[2]Debt service represents principle and interest on Park Revenue Bonds which supported the construction of the Twin Lakes and Oak Marr Golf Courses.

[3]In order to account for revenues and expenditures in the proper fiscal year, an audit adjustment in the amount of $11,878 has been reflected as an increase to FY 2010 expenditures to accurately reflect expenditure accruals for Operating Expenses. This results in a net decrease of $11,878 to the fund balance. This adjustment has been included in the FY 2010 Comprehensive Annual Financial Report (CAFR). Details of the FY 2010 audit adjustments will be included in the FY 2011 Third Quarter Package.

[4]Debt service payments for the Note Payable which supported the development of the Laurel Hill Golf Club will be made from Fund 200, County Debt Service.

[5]The Park Revenue Fund maintains fund balances at adequate levels relative to projected operation and maintenance expenses, as well as debt service requirements. These costs change annually; therefore, funding is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

[6]The Managed Reserve includes set aside cash flow and emergency reserves for operations as a contingency for unanticipated operating expenses or a disruption in the revenue stream. In addition, more than half of the existing reserve has been set aside over the past 5 years in order to eventually convert to Generally Accepted Accounting Principles (GAAP) accrual basis of accounting (where revenues and expenditures are accounted for in the same fiscal year in which a service is provided). The conversion from the current cash fund statement to an accrual fund statement is anticipated to occur at the end of FY 2011.

[7]The Set Aside Reserve is used to fund renovations and repairs at various park facilities as approved by the Park Authority Board.

# Fund 371
# Park Capital Improvement Fund

## Focus

This fund was established under the provisions of the Park Authority Act to provide for capital improvements to the agency's revenue-generating facilities and parks, as well as to various park sites. Through a combination of grants, proffers, and donations, this fund provides for specific park improvements. Funding is also derived through lease payments and revenue bonds for golf course development. In recent years, transfers from Fund 170, Park Revenue Fund have supported improvements to park facilities; however, the amount of funding received from Fund 170 fluctuates from year to year.

No funding is included for Fund 371, Park Capital Improvement Fund, in FY 2012. Work will continue on existing and previously funded projects.

## Changes to FY 2011 Adopted Budget Plan

*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- As part of the *FY 2010 Carryover Review*, the Board of Supervisors approved an increase of $28,265,969 due to the carryover of unexpended project balances in the amount of $16,701,034 and an adjustment of $11,564,935. This adjustment includes the appropriation of revenues received in FY 2010 including $167,756 in interest earnings and $11,397,179 in capital grants and contributions. The largest component of capital receipts is associated with an amount of $9,648,305 received in FY 2010 for the sale of 115 acres of the former Lorton Correctional Complex to Vulcan Materials Company.

A Fund Statement and a Summary of Capital Projects are provided on the following pages. The Summary of Capital Projects may include some projects without a Total Project Estimate amount. These projects are considered "continuing" projects or projects for which funding is necessary on an ongoing basis (e.g., a contingency or planning project).

# Fund 371
# Park Capital Improvement Fund

## FUND STATEMENT

**Fund Type P37, Non-Appropriated Funds** | **Fund 371, Park Capital Improvement Fund**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$21,792,074** | **$4,019,701** | **$32,285,670** | **$4,019,701** |
| Revenue: | | | | |
| Interest | $167,756 | $0 | $0 | $0 |
| Capital Grants and Contributions[1] | 370,000 | 0 | 0 | 0 |
| VDOT Revenue | 300,423 | 0 | 0 | 0 |
| Other Revenue[2] | 11,105,256 | 0 | 0 | 0 |
| Total Revenue | $11,943,435 | $0 | $0 | $0 |
| Transfers In: | | | | |
| Park Revenue Fund (170)[3] | $160,000 | $0 | $0 | $0 |
| Total Transfers In: | $160,000 | $0 | $0 | $0 |
| **Total Available** | **$33,895,509** | **$4,019,701** | **$32,285,670** | **$4,019,701** |
| Total Expenditures | $1,609,839 | $0 | $28,265,969 | $0 |
| **Total Disbursements** | **$1,609,839** | **$0** | **$28,265,969** | **$0** |
| **Ending Balance[4]** | **$32,285,670** | **$4,019,701** | **$4,019,701** | **$4,019,701** |
| Lawrence Trust Reserve[5] | $1,507,926 | $1,507,926 | $1,507,926 | $1,507,926 |
| Repair and Replacement Reserve[6] | 700,000 | 700,000 | 700,000 | 700,000 |
| Facilities and Services Reserve[7] | 1,811,775 | 1,811,775 | 1,811,775 | 1,811,775 |
| **Unreserved Ending Balance** | **$28,265,969** | **$0** | **$0** | **$0** |

[1] Reflects revenues received from a Recreation Access Program grant awarded by the Commonwealth of Virginia Transportation Board for improvements at Project 004567, Stratton Woods Park.

[2] Other revenue reflects easements, donations, monopole revenue, and proffer revenue. In FY 2010 the Park Authority received $9.65 million associated with the sale of 115 acres of land to the Vulcan Materials Company.

[3] Reflects an amount of $160,000 transferred from Fund 170, Park Revenue Fund, for General Park Improvements.

[4] Capital Projects are budgeted based on total project cost. Most projects span multiple years, from design to construction completion. Therefore, funding for capital projects is carried forward each fiscal year, and ending balances fluctuate, reflecting the carryover of these funds.

[5] This Reserve separately accounts for the Ellanor C. Lawrence monies received for maintenance and renovation to this site. In accordance with the Fairfax County Park Authority Board, the principal amount of $1,507,926 received from the donation will remain intact, and any interest earned will be used according to the terms of the Trust.

[6] The Golf Revenue Bond Indenture requires that a repair and replacement security reserve be maintained in the Capital Improvement Plan for repairs to park facilities.

[7] The Facilities and Services Reserve supports the maintenance and renovation of revenue-generating facilities.

## FY 2012 Summary of Capital Projects

### Fund: 371 Park Capital Improvement Fund

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 004102 | Low Impact Development | $150,000 | $4,080.20 | $2,646.58 | $0 |
| 004103 | Stewardship Education | 135,000 | 5,449.74 | 48,970.69 | 0 |
| 004105 | GIS/Data/Green Infrastructure | 180,000 | 2,710.50 | 8,714.31 | 0 |
| 004108 | Lee District Land Acquisition and Development | 542,862 | 225,454.64 | 256,702.97 | 0 |
| 004109 | Countywide Trails | 50,894 | 0.00 | 36,560.18 | 0 |
| 004110 | Merrilee Park | 17,139 | 0.00 | 17,139.00 | 0 |
| 004113 | Lee District Telecommunications | 133,739 | 27,327.64 | 96,071.69 | 0 |
| 004115 | Pimmit Run SV-Area 1 Maint. Facility | 100,838 | 0.00 | 84,874.94 | 0 |
| 004116 | Confederate Fortifications Historic Site | 69,137 | 0.00 | 66,475.18 | 0 |
| 004117 | Turner Farm Observatory | 13,025 | 0.00 | 13,025.00 | 0 |
| 004119 | Vulcan | 2,407,277 | 0.00 | 2,407,277.00 | 0 |
| 004126 | Restitution for VDOT Takings | 311,541 | 91,473.96 | 220,067.04 | 0 |
| 004127 | Fort Willard - Fort Restoration | 85,000 | 17,115.21 | 10,709.23 | 0 |
| 004128 | Ft. Willard - Park (Non-Fort) Development | 37,895 | 36,879.64 | 1,015.36 | 0 |
| 004129 | Lee District Tree House | 259,515 | 70,123.13 | 61,988.71 | 0 |
| 004131 | Mt. Vernon Parks - Districtwide | 88,573 | 0.00 | 88,573.00 | 0 |
| 004132 | Oakton Community Park | 100,000 | 0.00 | 100,000.00 | 0 |
| 004135 | Lake Fairfax Skate Park | 2,172 | 0.00 | 2,172.00 | 0 |
| 004136 | Stout Condemnation | 10,773,305 | 0.00 | 9,648,305.00 | 0 |
| 004141 | Advertising Study | 50,000 | 0.00 | 50,000.00 | 0 |
| 004143 | Mark Bleiweis Memoral Sportsmanship Field | 8,500 | 7,218.20 | 1,281.80 | 0 |
| 004145 | Lee Districtwide Parks | 82,900 | 0.00 | 82,900.00 | 0 |
| 004146 | Fox Mill Park | 116,045 | 32.00 | 5,180.90 | 0 |
| 004148 | Hunter Mill Districtwide Parks | 17,500 | 0.00 | 17,500.00 | 0 |
| 004149 | CLEMYJONTRI - Liberty Swing | 57,070 | 0.00 | 57,070.00 | 0 |
| 004157 | Linway Terrace Park Synthetic Turf | 81,380 | 6,769.90 | 74,610.10 | 0 |
| 004158 | Sully Districtwide Parks | 15,200 | 0.00 | 15,200.00 | 0 |
| 004159 | Telecommunications - Administration | 20,000 | 0.00 | 20,000.00 | 0 |
| 004349 | South Run Park | 277,397 | 11,378.24 | 67,076.95 | 0 |
| 004380 | Beulah Road Park | 7,670 | 0.00 | 7,670.00 | 0 |
| 004493 | Robert E. Lee Recreation Center | 528,774 | 24,430.94 | 27,377.10 | 0 |
| 004503 | Cub Run S. V. Park | 324,186 | 3,919.47 | 215,137.27 | 0 |
| 004522 | Frying Pan Park | 233,449 | 79,520.00 | 40,491.89 | 0 |
| 004528 | Riverbend Park | 88,525 | 595.00 | 49,886.11 | 0 |
| 004534 | Park Contingency | | 0.00 | 3,164,415.16 | 0 |
| 004538 | Park Easement Admin. | 2,879,597 | 272,565.00 | 841,330.46 | 0 |
| 004558 | Park Collections | 51,217 | 0.00 | 3,124.53 | 0 |
| 004564 | History Special Events | 8,000 | 0.00 | 2,994.65 | 0 |
| 004567 | Stratton Woods | 1,663,596 | 4,605.86 | 702,885.44 | 0 |
| 004592 | Sully Plantation | 790,282 | 10,354.71 | 492,358.42 | 0 |
| 004593 | Green Spring Farm Park | 110,000 | 0.00 | 60,250.00 | 0 |
| 004595 | Mason District Park | 576,198 | 10,630.77 | 245,396.70 | 0 |
| 004596 | Wakefield | 1,964,800 | 1,382.10 | 36,986.44 | 0 |
| 004626 | Stuart Ridge/Sugarland Run Park | 24,886 | 0.00 | 14,896.00 | 0 |
| 004748 | Gen. Park Improvements | | 95,096.19 | 249,997.71 | 0 |
| 004749 | Site Information Management | 2,842,000 | 43,582.90 | 695,093.08 | 0 |
| 004750 | Park Proffers | 11,094,035 | 358,117.48 | 5,892,756.63 | 0 |
| 004751 | Park Rental Bldg. Maint. | 1,468,558 | 55,120.00 | 199,627.56 | 0 |
| 004758 | Archaeology Proffers | 169,732 | 0.00 | 67,903.56 | 0 |

# Fund 371
# Park Capital Improvement Fund

| Project # | Description | Total Project Estimate | FY 2010 Actual Expenditures | FY 2011 Revised Budget | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|---|
| 004759 | Stewardship Publications | 71,164 | 4,769.00 | 43,580.83 | 0 |
| 004760 | Stewardship Exhibits | 13,325 | 0.00 | 4,427.33 | 0 |
| 004761 | Lawrence Trust | 484,229 | 0.00 | 337,498.16 | 0 |
| 004762 | Golf Improvements | 2,662,740 | 1,722.00 | 5,307.98 | 0 |
| 004763 | Grants | | 62,297.64 | 355,213.27 | 0 |
| 004764 | Mt. Air | 46,701 | 0.00 | 3,059.92 | 0 |
| 004769 | Mastenbrook Volunteer Grant Program | | 3,485.35 | 81,268.66 | 0 |
| 004771 | Historic Huntley | 454,527 | 0.00 | 438,635.83 | 0 |
| 004774 | Gabrielson Gardens | 2,000 | 0.00 | 2,000.00 | 0 |
| 004775 | Open Space Preservation Contributions | 593,564 | 50,000.00 | 193,564.00 | 0 |
| 004778 | Land Acquisition Support | 156,420 | 0.00 | 54,362.43 | 0 |
| 004782 | CLEMYJONTRI | 769,528 | 20,332.60 | 1,419.44 | 0 |
| 004783 | Linway Terrace | 5,000 | 0.00 | 5,000.00 | 0 |
| 004785 | Providence Area Park Improvements | 83,050 | 0.00 | 60,000.00 | 0 |
| 004787 | McLean Central Park | 12,000 | 1,298.60 | 0.00 | 0 |
| 004788 | West County Recenter | 435,000 | 0.00 | 11,059.16 | 0 |
| 004796 | South Run S.V. - Mt. Vernon | 88,963 | 0.00 | 88,963.00 | 0 |
| 004797 | Arrowhead Park | 158,000 | 0.00 | 5,453.38 | 0 |
| 004799 | Wolf Trap | 24,000 | 0.00 | 2,469.19 | 0 |
| **Total** | | **$47,069,621** | **$1,609,838.61** | **$28,265,968.92** | **$0** |

1742

# Fund 117
# Alcohol Safety Action Program

Alcohol Safety
Action Program

## Mission

To reduce the incidence of driving under the influence of alcohol (DUI) in Fairfax County through completion of a rehabilitative alcohol/drug education program, case management, public education, and referral to alcohol/drug treatment programs when necessary.

## Focus

The Fairfax County Alcohol Safety Action Program (ASAP) serves a probationary function for the Circuit and General District Courts under the supervision of the ASAP Policy Board. The Fairfax ASAP is one of 24 ASAPs in Virginia and clients are court ordered, DMV referred, or participate voluntarily. The core programs are state mandated and address essential needs of clients including: intake, assessment, rehabilitative alcohol/drug education, referral to treatment, and case management to individuals charged with, or convicted of, driving under the influence of alcohol (DUI). In addition, ASAP provides alcohol/drug education programs for habitual offenders, a drug education program for first-time drug possession offenders, and programs for adolescent substance abusers. ASAP also participates in outreach activities to educate the community about its mission. Programs are available in English and Spanish. ASAP's continual focus will be the supervision of DUI offenders as well as the enforcement of the Code of Virginia. The agency also continues to rely on partnerships with the courts, Commonwealth Attorney's office, and treatment providers.

The County is the fiscal agent for the Fairfax ASAP which is administered through the Department of Administration for Human Services. ASAP is expected to be a self-supporting agency, funded entirely by client fees with the County providing indirect support through office space and utilities. The State imposes a $400 fee ceiling on per client costs for the state mandated core program. The agreement between the ASAP Policy Board and the Board of Supervisors provides that ASAP will endeavor to develop a reserve fund balance sufficient to avoid deficit status during periods where referrals, and therefore client fee revenues to ASAP, decline. Should surplus client fees above and beyond the balance required for a sufficient reserve fund become available in any fiscal year, the ASAP Policy Board will reimburse the County for the indirect costs noted above, or may request permission from the Board of Supervisors to expend such funds on the program.

It should be noted that the overall number of clients served in FY 2010 decreased by 229, due primarily to a reduction in the amount of clients served in the core education program (see performance measures), partially offset by increases in other service types. In order to maintain a balanced budget, the agency worked diligently to maximize fee revenue collection and reduce expenditures in targeted areas resulting in the agency ending the fiscal year with a positive fund balance. The agency will continue to focus on both of these areas in FY 2011 and FY 2012.

# Fund 117
# Alcohol Safety Action Program

## Budget and Staff Resources

| Agency Summary | | | | |
|---|---|---|---|---|
| Category | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
| Authorized Positions/Staff Years | | | | |
| Regular | 16/ 16 | 16/ 16 | 21/ 21 | 21/ 21 |
| Expenditures: | | | | |
| Personnel Services | $1,522,130 | $1,525,149 | $1,525,149 | $1,525,149 |
| Operating Expenses | 157,747 | 162,151 | 162,151 | 162,151 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| **Total Expenditures** | **$1,679,877** | **$1,687,300** | **$1,687,300** | **$1,687,300** |

| Position Summary | | |
|---|---|---|
| 1 Probation Supervisor II | 1 Probation Counselor III | 1 Administrative Associate |
| 4 Probation Supervisor I | 6 Probation Counselors II | 2 Administrative Assistants IV |
| | 1 Financial Specialist I | 5 Administrative Assistants II |
| **TOTAL POSITIONS**<br>**21 Positions / 21.0 Staff Years** | | |

## FY 2012 Funding Adjustments
*The following funding adjustments from the FY 2011 Adopted Budget Plan are necessary to support the FY 2012 program:*

- **Position Adjustment** **$0**
  FY 2012 funding remains at the same level as the FY 2011 Adopted Budget Plan.

- **Employee Compensation** **$0**
  It should be noted that no funding is included for pay for performance or market rate adjustments in FY 2012.

## Changes to FY 2011 Adopted Budget Plan
*The following funding adjustments reflect all approved changes in the FY 2011 Revised Budget Plan since passage of the FY 2011 Adopted Budget Plan. Included are all adjustments made as part of the FY 2010 Carryover Review, and all other approved changes through December 31, 2010:*

- As part of the FY 2011 review of County position categories, a conversion of 5/5.0 SYE positions has been made. The status of limited term positions was reviewed in light of recent changes to federal regulations related to health care and other federal tax requirements. As a result of this review a number of existing limited term positions have been converted to Merit Regular status.

## Key Performance Measures

### Objectives
- To provide a comprehensive alcohol/drug education program to individuals charged with driving under the influence of alcohol (DUI) that results in 92 percent of clients who have successfully completed the probationary period two years prior and have not recidivated.

# Fund 117
# Alcohol Safety Action Program

| Indicator | Prior Year Actuals FY 2008 Actual | FY 2009 Actual | FY 2010 Estimate/Actual | Current Estimate FY 2011 | Future Estimate FY 2012 |
|---|---|---|---|---|---|
| **Output:** | | | | | |
| Individuals served in ASAP education program | 3,779 | 4,470 | 4,354 / 3,881 | 4,043 | 4,043 |
| **Efficiency:** | | | | | |
| Cost per individual served | $390 | $328 | $322 / $355 | $342 | $342 |
| **Service Quality:** | | | | | |
| Percent of individuals satisfied | 97% | 97% | 97% / 97% | 97% | 97% |
| **Outcome:** | | | | | |
| Percent of individuals completing the program two years prior who have not recidivated based on Department of Motor Vehicles (DMV) records | 92% | 92% | 92% / 92% | 92% | 92% |

## Performance Measurement Results

The overall number of clients served in FY 2010 decreased by 229 from 7,071 to 6,842, due primarily to the reduction in the amount of clients served in the core education program highlighted in the above table, partially offset by increases in other service types. Clients referred by the Courts to programs other than ASAP's core education program are not required to pay the full state-mandated fee and are not counted in the above table. In order to maintain a balanced budget, the agency worked diligently to maximize fee revenue collection and reduce expenditures in targeted areas resulting in the agency ending the fiscal year with a positive fund balance. Further, the FY 2009 actual totals of individuals served and cost per individual served have been revised from earlier published documents due to a data collecting issue which has been corrected.

Service Quality, a measurement of client satisfaction with ASAP education classes, has remained at the 97 percent level since FY 2001, and is projected to remain at this high level. The percentage of individuals completing the core education program two years prior who have not recidivated has remained at 92 percent and is expected to remain at that level in FY 2011 and FY 2012.

# Fund 117
# Alcohol Safety Action Program

## FUND STATEMENT

**Fund Type G10, Special Revenue Funds** **Fund 117, Alcohol Safety Action Program**

| | FY 2010 Actual | FY 2011 Adopted Budget Plan | FY 2011 Revised Budget Plan | FY 2012 Advertised Budget Plan |
|---|---|---|---|---|
| **Beginning Balance** | **$22,116** | **$22,114** | **$52,488** | **$52,488** |
| Revenue: | | | | |
| Client Fees | $1,637,680 | $1,597,986 | $1,597,986 | $1,597,986 |
| ASAP Client Intake | 11,355 | 12,000 | 12,000 | 12,000 |
| ASAP Client Out | (15,521) | (24,000) | (24,000) | (24,000) |
| ASAP Restaff | 3,530 | 4,000 | 4,000 | 4,000 |
| Interest Income | 0 | 400 | 400 | 400 |
| Other Fees | 73,205 | 96,914 | 96,914 | 96,914 |
| Total Revenue | $1,710,249 | $1,687,300 | $1,687,300 | $1,687,300 |
| **Total Available** | **$1,732,365** | **$1,709,414** | **$1,739,788** | **$1,739,788** |
| Expenditures: | | | | |
| Personnel Services | $1,522,130 | $1,525,149 | $1,525,149 | $1,525,149 |
| Operating Expenses | 157,747 | 162,151 | 162,151 | 162,151 |
| Capital Equipment | 0 | 0 | 0 | 0 |
| Total Expenditures | $1,679,877 | $1,687,300 | $1,687,300 | $1,687,300 |
| **Total Disbursements** | **$1,679,877** | **$1,687,300** | **$1,687,300** | **$1,687,300** |
| **Ending Balance**[1] | **$52,488** | **$22,114** | **$52,488** | **$52,488** |

[1] Ending Balance fluctuations are the result of the uncertain nature of client referrals to ASAP-sponsored programs. The agreement between the ASAP Policy Board and the Board of Supervisors provides that ASAP will endeavor to develop a reserve fund balance sufficient to avoid deficit status during periods where referrals, and therefore client fee revenues to ASAP decline.